

Application

Federal Award Identification Number (FAIN)	AZ-2022-033-00
Temporary Application Number	6717-2022-2
Application Name	Hualapai Tribal Council, FY20 5311 Rural Area Formula AZDOT transferred, Project Administration and Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: HUALAPAI TRIBAL COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6717	Indian Tribe	Hualapai Tribal Council	QSKUU9K352J1	035883487

Location Type	Address	City	State	Zip
Headquarters	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Physical Address	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Mailing Address	PO BOX 179	PEACH SPRINGS	AZ	86434

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hualapai Tribal Council, FY20 5311 Rural Area Formula AZDOT transferred, Project Administration and Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2022-033-00	Final Concurrence / Reservation	Grant	7/29/2022	7/29/2022	No

Application Executive Summary

This application to request FY 2020 5311 Rural Area Formula AZDOT transferred appointed to Hualapai Tribal Council, Arizona, in the amount of \$405,000.

Purpose: The purpose of this application is to assist with operational funds that will include expenses directly related to system operations: fuel, oil, licenses, vehicle maintenance, salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager. Administration funds will be used for administration supplies/equipment, salaries for the project director, transit manager, and the administrative clerk. Marketing expenses, insurance premiums, or payments to a self-insurance reserve, office supplies, equipment rental and drug employee physicals, and alcohol test administration.

Activities to be performed: The activities that will be performed is to sustain the current transit employee positions, continue transit operations and maintain equipment.

Expected Outcomes: The outcomes of having this grant application approved will be to improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The inflated fuel rates have also tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$6,921.84 in April 2021 to \$11,434.06 in the month of April, 2022.

Intended Beneficiaries: The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit specialist/dispatcher and transit operators will be pay for a 25% increase upon approval of the job reclassification by the tribe.

Subrecipient Activities: None.

Performance date from 1/1/2021 thru 9/30/2023

The following is a breakdown of costs that will be used with this award: Operating \$240,000 for salary & fringe benefits for transit drivers and transit operator positions: \$100,000 Administrative expenses for supplies, equipment under \$3,000, R&M, etc.

Scope 1, Federal Funds. Operating Assistance Funds, FY2020 5311 Rural Area Formula AZDOT Transferred from Hualapai Tribal Council, Arizona in the amount of \$240,000 at an 58/42 ratio
Local Match: \$173,793
Total Project Cost: \$413,793

Scope 2, Federal Funds, Project Administration Funds, FY2020 5311 Rural Area Formula AZDOT Transferred from Hualapai Tribal Council, Arizona in the amount of \$165,000 at an 80/20 ratio
Local Match: \$41,250
Total Project Cost: \$206,250

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The FY 2022 DOI indirect cost rate is 18%. For this application, the base is \$405,000. Indirect Costs to be charged are \$405,000 federal award amount x 18% indirect cost rate = \$72,900.00 x 10% FTA 5311 Tribal indirect costs cap = \$7290 total eligible indirect costs. This rate will apply from 1/1/2022 until 12/31/22 & data will update on annual FFR.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Darlene	Wakayuta	Transportation Planner	dwakayuta@hualapai-nsn.gov	(928) 769-2216
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$405,000
Local			\$215,043
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$620,043

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-033-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$240,000.00	\$173,793.00	\$413,793.00	0
AZ-2022-033-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$240,000.00	\$173,793.00	\$413,793.00	0
AZ-2022-033-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$165,000.00	\$41,250.00	\$206,250.00	1
AZ-2022-033-01-00	11.79.00 PROJECT ADMINISTRATION	\$165,000.00	\$41,250.00	\$206,250.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY20 5311 Rural Formula AZDOT Allocation for Administration and Operations

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2022-033-01-00	6717-2022-2-P1	8/12/2022	1/1/2020	9/30/2023

Project Description

Arizona Department of Transportation (ADOT) has allocated the sum of \$405,000 to Hualapai Transit to use for Operations and Operations for FY2020. This project will assist with operational costs related to administrative expenses such as salaries, supplies/equipment, uniforms and fuel.

Project Benefits

The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit specialist/dispatcher and transit operators will be pay for a 25% increase upon approval of the job reclassifications by the tribe.

Additional Information

The service areas will be County of Mohave in the State of Arizona

Location Description

Hualapai Transit's hub is the community of Peach Springs, Arizona. Hualapai Transit operates 8 buses over 6 routes in daily commuter, local and tripper services for the communities of Peach Springs, Seligman, Truxton, Valentine, Hackberry, Valle Vista, Butler and Kingman in Northern Mohave County Arizona.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: 10/1/2019

Description: TIP document from Federal Highways showing what is approved to be spent on Transit costs. The period of performance is October 1, 2017 through September 30, 2022.

UPWP

Date: 9/23/2019

Description: Document is the plan maintenance plan for Hualapai Transit.

Long Range Plan

Date: 12/8/2014

Description: LRTP was developed and approved by the Hualapai Tribe in 2014.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$405,000
Local			\$215,043
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$620,043

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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AZ-2022-033-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$240,000.00	\$173,793.00	\$413,793.00	0
AZ-2022-033-01-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$240,000.00	\$173,793.00	\$413,793.00	0
AZ-2022-033-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$165,000.00	\$41,250.00	\$206,250.00	1
AZ-2022-033-01-00		11.79.00 PROJECT ADMINISTRATION	\$165,000.00	\$41,250.00	\$206,250.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

This application to request FY2020 Section 5311 Tribal Transit Formula appointed to Hualapai Tribe, Arizona in the amount of \$165,000. Project Administration, Federal Funds: FY2020 5311 Rural Area Formula AZDOT transferred Funds from Hualapai Tribal Council, Arizona in the amount of \$165,000
Local Match: \$41,250

Total Project Cost: \$206,250

The following is breakdown of costs that will be used with operating assistance: Salary & Fringe for upgrades/reclassification of the transit specialist/dispatcher and transit operator positions: \$3000 *
Administrative expenses for supplies, equipment under \$3000, R&M, etc

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$165,000
Local			\$41,250
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$206,250

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	start date
End Date	9/30/2023	End Date

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

Extended Budget Description

Operating funds will include expenses directly related to system operations: fuel, oil, licenses, salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager.

Operating Assistance, Federal Funds: FY2020 5311 Rural Area Formula AZDOT Transferred Funds from Hualapai Tribal Council, Arizona in the amount of \$240,000

Local Match: \$173,793

Total Project Cost: \$413,793

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$240,000
Local			\$173,793
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$413,793

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	start date
End Date	9/30/2023	End date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/12/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	0	\$240,000.00	\$413,793.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/12/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$165,000.00	\$206,250.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 8/16/2022

Comment Department of Labor (DOL) certification is not required. This is for information purposes—as with regular Section 5311 Program applications.

Application Review Comments**Comment By Phil Yue**

Comment Type Pre-Award Manager Returns Application

Date 8/12/2022

Comment

- 1) The performance end date shows 9/30/2026 and the last milestone date shows 9/30/2023, which one is correct? Also, operating assistance activity should only last no more than 3 years. Please adjust.
- 2) On indirect cost detail, please provide the cover period start and end and FFR report on indirect cost charge and information
- 3) On executive summary, please provide the breakdown cost for State/program administration
- 4) On executive summary, please provide the performance start and end date
- 5) Your two milestone descriptions for program administration and operating assistance are lack of information, please provide more details.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 8/16/2022

Comment

- 1) On application budget, please change the budget item from 11.80.00 to 11.79.0 Project Administration.
- 2) Please change your application name to Hualapai Tribal Council, FY20 5311 Rural Area Formula AZDOT transferred, Project Administration and Operating Assistance
- 3) On executive summary and extended budget description, please change the fund/scope/activities from Program Management to Project Management