

Application

Federal Award Identification Number (FAIN)	AZ-2022-031-00
Temporary Application Number	6717-2022-1
Application Name	Hualapai Tribal Council, FY20 Tribal Transit Formula, Operating Assistance and Bus Purchase
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: HUALAPAI TRIBAL COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6717	Indian Tribe	Hualapai Tribal Council	QSKUU9K352J1	035883487

Location Type	Address	City	State	Zip
Headquarters	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Physical Address	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Mailing Address	PO BOX 179	PEACH SPRINGS	AZ	86434

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hualapai Tribal Council, FY20 Tribal Transit Formula, Operating

Assistance and Bus Purchase

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2022-031-00	Final Concurrence / Reservation	Grant	7/13/2022	7/13/2022	No

Application Executive Summary

This application to request FY 2020 Section 5311 Tribal Transit Formula appointed to Hualapai Tribal Council, Arizona, in the amount of \$414,079.

Purpose: The purpose of this application is to assist with operational funds for upgrading, reclassifying and retaining transit employees. Operational funds will include expenses needed for fuel costs for the remaining year of FY22 & FY23. Lastly, operational funds will be used for administration supplies/equipment for the transit facility and assistance with the transit administration's operations. Capital funds will be used to acquire 2 larger capacity vehicles to be used during heavy ridership periods and for routes with larger ridership to insure physical distancing and consolidate equipment and personnel. Physical distancing requires more space for passengers. Covid-19 still continues to affect the community of Peach Springs.

Activities to be performed: The activities that will be performed is to upgrade and reclassify the current transit specialist/dispatcher and transit operator positions within the Tribe The buses will be purchased to provide physical distancing for riders. Buses to be purchased 2021 International Diesel Starcraft Ford E-350 7.3L 138" WB 11,500 GVWR 36+2 ADA with V-8 gas engine, Rear Braun lift and back camera system.

Expected Outcomes: The outcomes of having this grant application approved will be to improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The inflated fuel rates have tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$6,921.84 in April 2021 to \$11,434.06 in the month of April, 2022.

Intended Beneficiaries: The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit specialist/dispatcher and transit operators will be pay for a 25% increase upon approval of the job reclassification by the tribe.

Subrecipient Activities: None.

The following is a breakdown of costs that will be used with this award: Bus Purchase \$280,000, Salary & Fringe for upgrades/reclassification of the transit specialist/dispatcher and transit operator positions: \$134,079 Administrative expenses for supplies, equipment under \$3,000, R&M, etc.

Performance date from 1/1/2021 thru 9/30/2023

Federal Funds: FY2020 5311 Capital/Bus Purchase Funds from Hualapai Tribal Council, Arizona in the amount of \$280,000

Local Match: \$0 Local Sales Tax

Total Project Cost: \$280,000

Federal Funds: FY2020 5311 Operating Assistance Funds from Hualapai Tribal Council, Arizona in the

amount of \$134,079
 Local Match: \$0 Local Sales Tax
 Total Project Cost: \$134,079

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The FY 2022 approved DOI indirect cost rate is 18%. For this application, the base is \$414,079 – \$280,000= \$134,079. Indirect Costs to be charged are \$134,079 federal award amount x 18% indirect cost rate = \$24,134.22 x 10% FTA 5311 Tribal indirect costs cap = \$2,413 total eligible indirect costs. This rate will apply from 1/1/2022 until 12/31/22 & data will update on annual FFR.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Darlene	Wakayuta	Transportation Planner	dwakayuta@hualapai-nsn.gov	(928) 769-2216
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$414,079
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$414,079

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-031-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$414,079.00	\$0.00	\$414,079.00	3
AZ-2022-031-01-00	11.13.01 BUY 40-FT BUS FOR EXPANSION	\$280,000.00	\$0.00	\$280,000.00	2
AZ-2022-031-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$134,079.00	\$0.00	\$134,079.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY20 5311 Tribal Transit Formula Bus Purchase & Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2022-031-01-00	6717-2022-1-P1	7/13/2022	1/20/2020	9/30/2023

Project Description

This project will assist with operational costs related to administrative expenses such as salaries, supplies/equipment, and fuel. The tribe will acquire (two) buses to be used during heavy ridership periods and for routes with larger ridership to ensure physical distancing. Coach buses will be purchased to provide physical distancing for riders.

Project Benefits

The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit specialist/dispatcher and transit operators will be pay for a 25% increase upon approval of the job reclassifications by the tribe.

Additional Information

Expected Outcomes: Funding will permit Hualapai transit to continue operations that would otherwise have to be cut due to lack of funding. Maintain safe reliable transportation for the rural communities along Route 66 and the Hualapai reservation. Assist in providing supplies, equipment and hours to ensure a clean, sanitized, and safe physically distanced environment for drivers and passengers.

Location Description

Hualapai Transit's hub is the community of Peach Springs, Arizona. Hualapai Transit operates 8 buses over 6 routes in daily commuter, local and tripper services for the communities of Peach Springs, Seligman, Truxton, Valentine, Hackberry, Valle Vista, Butler and Kingman in Northern Mohave County Arizona.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: 10/1/2019

Description: TIP document from Federal Highways showing what is approved to be spent on Transit costs. The period of performance is October 1, 2017 through September 30, 2022.

UPWP

Date: 9/23/2019

Description: Document is the plan maintenance plan for Hualapai Transit.

Long Range Plan

Date: 12/8/2014

Description: LRTP was developed and approved by the Hualapai Tribe in 2014

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$414,079
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$414,079
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Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-031-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$414,079.00	\$0.00	\$414,079.00	3
AZ-2022-031-01-00	11.13.01 BUY 40-FT BUS FOR EXPANSION	\$280,000.00	\$0.00	\$280,000.00	2
AZ-2022-031-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$134,079.00	\$0.00	\$134,079.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.01 - BUY 40-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.01	BUY 40-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	2

Extended Budget Description

This application to request FY2020 Section 5311 Tribal Transit Formula appointed to Hualapai Tribe, Arizona, in the amount of \$280,000.

Federal Funds: FY2020 5311 Capital/Bus Purchase Funds from Hualapai Tribe, Arizona in the amount of \$280,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$280,000

It will be used to acquire two larger capacity vehicles to be used during heavy ridership periods and for routes with larger ridership to insure physical distancing and consolidate equipment and personnel. Buses to be purchased 2021 International Diesel Starcraft Ford E-350 7.3L 138" WB 11,500 GVWR 36+2 ADA with V-8 gas engine, Rear Braun lift and back camera system.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$280,000
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$280,000

Milestone Name	Est. Completion Date	Description
Contract Award Date	9/30/2022	Contract Award Date
Contract Completion Date	9/30/2022	Contract Completion Date
Initial Delivery Date	11/30/2022	Initial Delivery Date
Final Delivery Date	12/30/2022	Final Delivery Date
RFP Issue Date	2/28/2023	RFP Issue Date

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

This application to request FY2020 Section 5311 Tribal Transit Formula appointed to Hualapai Tribe, Arizona in the amount of \$134,079.

Federal Funds: FY2020 5311 Operating Assistance Funds from Hualapai Tribe, Arizona in the amount of \$134,079,

Local Match: \$0 Local Sales Tax

Total Project Cost: \$134,079

The following is breakdown of costs that will be used with operating assistance: Salary & Fringe for upgrades/reclassification of the transit specialist/dispatcher and transit operator positions: \$3000 * Administrative expenses for supplies, equipment under \$3000, R&M, etc

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$134,079
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$134,079

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	begin operating assistance
End Date	9/30/2023	end operating assistance

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/4/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.13.01	BUY 40-FT BUS FOR EXPANSION	2	\$280,000.00	\$280,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/4/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$134,079.00	\$134,079.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type	DOL Review for Information
Date	8/16/2022
Comment	Department of Labor (DOL) certification is not required. This is for information purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	7/19/2022
Comment	<ol style="list-style-type: none"> 1) Please change your applicaiton name to: Hualapai Indian Council, FY20 Tribal Transit Formula, Operating Assistance, Preventative Maintenance, and Bus Purchase 2) On executive summary, you only entered one element, it is missing the rest of four elements. 3) On executive summary, you did not enter the first required paragraph. 4) On indirect cost detail, please apply the additional 10% FTA cap on your calculation. after your change the amount, please enter the new amount on the estimate indirect cost. 5) On extended budget description for all 3 activities (operating assistance, PM and bus purchase), please enter the fund/scope/activities information and enter yes on 3rd party contractor 6) On executive summary, scope 1, 2 & 3, please remove the city name Peach Springs and replace to Hualapai Indian Council and remove the word UZA 7) On each environmental finding, please enter the approved date 8) Your indirect cost agreement/plan only covers the period until 12/31/2022. On your indirect cost detail you need to indicate that this rate/charge will apply until 12/31/2022 and will need to provide this information on your annual FFR report. 9) Please upload the new vehicle, estimation, photo and description on applicaiton document

- 10) Please upload the route map the new vehicle will service on application document
- 11) On executive summary, please provide the justification why the tribe needs to purchase the new vehicles. Provide more detail about how this new vehicle can help physical distance to riders.

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	7/20/2022
Comment	<p>Please change your applicaiton name to: Hualapai Indian Council, FY20 Tribal Transit Formula, Operating Assistance and Bus Purchase</p> <p>2) On both executive summary and extended budget description, fund/scope/activities, please remove the word Peach Springs and change the Fiscal Year from FY2022 to FY2020</p> <p>3) On executive summary, on first paragraph, please enter the required statement.</p> <p>4) On apply estimate indirect cost, the indirect cost amount must matched to indirect cost detail, please change to \$2,413.</p> <p>5) On Indirect Cost Detail, please enter the statement, based on indirect cost agreement, the indirect cost rate/amount of \$2,413 will only charge for the period until 12/31/2022. The update information will reflect on the annual FFR report.</p> <p>6) On Bus purchase milestone, why all the activities (contract award date, initial Deliver Date, Final Delivery date and RFP Issue date) are 9/30/2022? Please provide the justification.</p> <p>7) On each environmental finding, please enter the approved date</p> <p>8) On project description, it shows the bus be purchased on 2021 but on extended budget description, it shows it will be purchased by the end of FY2022, which one is correct? Please adjust.</p> <p>9) Please upload the new vehicle, estimation, photo and description on applicaiton document</p> <p>10) Please upload the route map the new vehicle will service</p>

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	8/12/2022
Comment	<p>1) On your project budget, the quantity you indicated for the bus is two, but the project description, you enter one (1) bus. Which one is correct? Please adjust.</p> <p>2) On executive summary, please enter the performance start and end date</p> <p>3) The performance end date shows 7/13/2022 but your last milestone date shows 9/30/2023? Which one is correct? Please adjust.</p> <p>4) On your bus purchase milestone, please enter the 5 required milestone.</p> <p>5) On your indirect cost detail, please provide the detail of the indirect cost plan cover period and the FFR reporting on indirect cost charge/information.</p>

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
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Date	8/12/2022
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Comment	1) On your project budget, the quantity you indicated for the bus is two, but the project description, you enter one (1) bus. Which one is correct? Please adjust 2) On executive summary, please enter the performance start and end date
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Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
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Date	8/12/2022
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Comment	On executive summary, please enter the performance start and end date.
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