

Application

Federal Award Identification Number (FAIN)	AZ-2022-030-00
Temporary Application Number	6717-2021-1
Application Name	Hualapai Tribal Council, FY20 CARES Act 5311(c)(2) Rural Area Formula, AZDOT allocated fund Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Hualapai Tribal Council

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6717	Indian Tribe	Hualapai Tribal Council		035883487

Location Type	Address	City	State	Zip
Headquarters	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Physical Address	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Mailing Address	PO BOX 179	PEACH SPRINGS	AZ	86434

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hualapai Tribal Council, FY20 CARES Act 5311(c)(2) Rural Area Formula, AZDOT allocated fund Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2022-030-00	Final Concurrence / Reservation	Grant	2/2/2021	2/2/2021	No

Application Executive Summary

This application is to request FY 2020 Section 5311 (c)(2) Rural Area CARES Act funds apportioned to the Hualapai Tribal Council, Arizona in the amount of \$930,065. This is the transferred/allocated fund from AZDOT.

This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$930,065 in Section 5311 (c) (2) FTA Rural Area CARES Act for Hualapai Tribal Council, to support operating costs for the for the period of 1/1/2020 to 9/30/2023.

Purpose: This CARES Act Allocation will be used by Hualapai Transit to support Operating costs.

Activities to be performed: Funds will be used to cover all eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year, office supplies, salaries for 10 employees, 2 administrative staff, PPE to prepare for and respond to COVID-19, purchase face masks for staff and public to prevent the spread of COVID-19.

Expected Outcomes: Funding will permit Hualapai transit to continue operations that would otherwise have to be cut due to lack of funding. Maintain safe reliable transportation for the rural communities along Route 66 and the Hualapai reservation during the 2020 Covid-19 pandemic. Provide for extra cleaning and disinfectant supplies, equipment and hours need to ensure a clean, sanitized, and safe physically distanced environment for drivers and passengers.

Intended Beneficiaries: Hualapai Tribe Transit riders.

Subrecipient Activities: none

The Recipient agrees that if it receives Federal funding from the Federal emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement provided by FEMA, another Federal Agency, or an insurance company, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding.

Scope 1 Operating assistance, Federal Funds: FY 2020 CARES 5311 (c) (2) Rural Area funds from Hualapai Tribal Council, Arizona, amount of \$930,065.

Local Match: No local match/Local Sales Tax

Total Project Cost: \$930,065.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The FY 2022 approved DOI indirect cost rate is 18%. For this application, the base is \$930,065. Indirect Costs to be charged are \$930,065 award amount x 18% indirect cost rate = \$167,411.70 x 10% FTA 5311 Tribal indirect costs cap = \$16,741 total eligible indirect costs. This rate will apply from 1/1/2022 until 12/31/22 & data will update on annual FFR.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Darlene	Wakayuta	Transportation Planner	dwakayuta@hualapai-nsn.gov	(928) 769-2216
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$930,065
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$930,065

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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AZ-2022-030-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS		\$930,065.00	\$0.00	\$930,065.00	1
AZ-2022-030-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$930,065.00	\$0.00	\$930,065.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY2020 5311 AZDOT CARES Act Apportionment

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2022-030-01-00	6717-2021-1-P1	4/14/2021	2/1/2020	9/30/2024

Project Description

Arizona Department of Transportation (ADOT) has allocated the sum of \$930,065 to Hualapai Transit to use for Operations and other Transit related items during the COVID Pandemic.

Project Benefits

This money will insure that Hualapai Transit will continue to operate during the Pandemic. Hualapai Transit, with the permission of the ADOT 5311 administrator (Jill Dusenberry), Hualapai Transit helped the Hualapai People and the people of northern Mohave County, Arizona with transportation of vital supplies and transported persons to necessary medical, dental, surgical and all other treatments in a safe physically distance environment.

Additional Information

None provided.

Location Description

Hualapai Transit's hub is the community of Peach Springs, Arizona. Hualapai Transit operates 8 buses over 6 routes in daily commuter, local and tripper services for the communities of Peach Springs, Seligman, Truxton, Valentine, Hackberry, Valle Vista, Butler and Kingman in Northern Mohave County Arizona.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: 10/1/2019

Description: TIP document from Federal Highways showing what is approved to be spent on Transit costs. The period of performance is October 1, 2017 through September 30, 2022.

UPWP

Date: 9/23/2019

Description: Document is the plan maintenance plan for Hualapai Transit.

Long Range Plan

Date: 12/8/2014

Description: LRTP was developed and approved by the Hualapai Tribe in 2014.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$930,065
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$930,065

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-030-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$930,065.00	\$0.00	\$930,065.00	1
AZ-2022-030-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$930,065.00	\$0.00	\$930,065.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Emergency Relief Operating Expenses will be used to support the cost of Operations. Operating costs will be salaries and benefits of 14 employees, office supplies, utility costs, fuel, oil, travel expenses, drug screening and any other Transit Department Operating costs.

Scope 1 Operating assistance, Federal Funds: FY 2020 CARES 5311 (c) (2) Rural Area funds from Hualapai Tribal Council, Arizona, amount of \$930,065.

Local Match: No local match/Local Sales Tax

Total Project Cost: \$930,065.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$930,065
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$930,065

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	start date
End Date	9/30/2024	end date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/1/2022

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 8/16/2022

Comment Department of Labor (DOL) certification is not required. This is for information purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 4/21/2021

Comment Comparing the amount between the letter and TRAMs application, the tribe claims less money, it should be \$930,065. The CARES Rural Area (AZDOT) is 100% FTA, no local match. AZDOT only allows operating assistance but on the TRAMs application, beside operating assistance, the tribe also added project administration. If Hualapai wants to add project administration on budget, AZDOT will need to provide a revised approval letter showing the new activities. The fund source is incorrect, it should be Rural Area Formula (CARES Acts).

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/28/2021

Comment Please upload the AZDOT approval/transfer letter on application document.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 3/8/2022

1) Please change your application name to: Hualapai Tribal Council, FY20 CARES Act 5311(c)(2) FTA Rural Area, Bus purchase and Operating Assistance See image. The amount the tribe received from CARES Rural Area program from AZDOT is \$930,065, please adjust all your project budget.

2) On executive summary, please change your first sentence and enter the statement like this, This application is to request FY2020 Section 5311 (c) (2) Rural Area CARES Act funds apportioned to the UZA [enter your city and State] in the amount of \$930,065. This is the transferred/allocated fund from AZDOT.

Scope 1 Operating assistance, Federal Funds: FY 2020 CARES 5311 (c) (2) Rural Area funds from (enter your city and state) UZA, \$(enter the amount).

Local Match: No local match/Local Sales Tax

Total Project Cost: \$(enter the amount)

Scope 2 Capital/bus purchase, Federal Funds: FY 2020 CARES 5311 (c) (2) Rural Area funds from (enter your city and state) UZA, \$(enter the amount)

Local Match: No local match/Local Sales Tax

Total Project Cost: \$(enter the amount)

This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$930,065 in Section 5311 (c) (2) FTA Rural Area CARES Act for (enter tribe name) to support operating costs and capital (bus purchase/ Preventative Maintenance) for the for the period of (enter the start and end date).

3) On executive summary, please enter the statement on Intended beneficiaries and Expected outcomes for receiving this fund

Comment

4) On executive summary, please enter justification and the detail of the vehicle you will purchase, brand, type, size, year and any accessories included. Does it include any ADA accessibilities?

5) On environment finding, please add class II c, type 7 for the bus purchase

6) On environment finding, please add class II c, type 4 for the operating assistance

7) On operating assistance budget, please check yes on 3rd party contractor, supplies and fuels are considered 3rd party.

8) On project description and bus purchase budget, extended description, please provide more new vehicle description (brand, type, size, year and any accessories included). Does it include any ADA accessibilities?

9) On bus purchase milestone information, only start and end date are not enough information. Please add new milestones to provide the Contract Award Date, Initial Delivery Date, Final Delivery Date, RFP Issue Date and Contract Completion Date

10) Please complete the FY2022 certification and assurance, both pinning and uploading the signed/completed hardcopies on recipient document.

11) Please upload the photo and description of the new vehicle you plan to purchase on application document.

12) On bus purchase budget, please check yes on 3rd party contractor

13) On executive summary, please add this statement, The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement provided by FEMA, another Federal agency, or an insurance company, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates

funding.

There are no sub-recipient activities applied on this grant.

14) On your extended budget description, you mentioned preventive maintenance. If the tribe wants to claim this activity, on your project budget you will need to create a new activity and enter the amount and reflect this info on your application name and scope information on executive summary.

15) There is a new policy that for non-tribal transit program like (5311 Rural Area (fund transferred from state government), 5399 bus and bus facility or 5399 No-Low emission), the tribe will need to provide civil right report to AZDOT for oversight purpose. Please contact AZDOT on the procedure. Once you completed, on executive summary please indicate the statement, The civil report had been submitted to AZDOT for oversight purpose.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 3/22/2022

1) On application name, please change to: Hualapai Tribal Council, FY20 CARES Act 5311(c)(2) Rural Area Formula, AZDOT allocated fund,, Bus Purchase, Operating Assistance & PM

2) On executive summary, please change your first sentence and enter the statement like this, This application is to request FY2020 Section 5311 (c) (2) Rural Area CARES Act funds apportioned to the UZA [enter your city and State) in the amount of \$930,065. This is the transferred/allocated fund from AZDOT.

Scope 1 Operating assistance, Federal Funds: FY 2020 CARES 5311 (c) (2) Rural Area funds from (enter your city and state) UZA, \$(enter the amount).

Local Match: No local match/Local Sales Tax

Total Project Cost: \$(enter the amount)

Scope 2 Capital/bus purchase, Federal Funds: FY 2020 CARES 5311 (c) (2) Rural Area funds from (enter your city and state) UZA, \$(enter the amount)

Local Match: No local match/Local Sales Tax

Total Project Cost: \$(enter the amount)

Comment

Scope 3 Capital/Preventative Maintenance, Federal Funds: FY 2020 CARES 5311 (c) (2) Rural Area funds from (enter your city and state) UZA, \$(enter the amount)

Local Match: No local match/Local Sales Tax

Total Project Cost: \$(enter the amount)

This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$930,065 in Section 5311 (c) (2) FTA Rural Area CARES Act for (enter tribe name) to support operating costs and capital (bus purchase/ Preventative Maintenance) for the for the period of (enter the start and end date).

3) On executive summary, please enter the statement, Hualapai Indian Council has not furloughed any employees to use CARES funding for other purposes

4) Please complete the pinning of the FY2022 certification and assurance on TRAMs

5) There is a new policy that for non-tribal transit program like (5311 Rural Area (fund transferred from state government), 5399 bus and bus facility or 5399 No-Low emission), the tribe will need to submit Civil Right Report/Title VI to TRAMs for review. Once

completed, please let me know.

6) All the vehicles milestone completion date, why do they all have the same dates, 9/30/2022? If these dates are correct, can you provide the justification under extended budget description?

7) On all the bus purchase budget, extended description, please provide more new vehicle description on type (van?), size (how many passenger), accessories included (radio, safety equip?)

8) On environmental finding, please enter the approved dates.

9) One project description, the amount should be \$930,065, not \$620,043. Please adjust.

10) On project description, please include the bus purchases and the quantity.

11) Please upload the AZDOT transfer/approval letter on application document

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/13/2022

Comment

1) Checking the AZDOT transfer letter, it shows the project is for operating only and it did not mention about capital (bus purchase/PM). Is this the current version? If the letter did not indicate capital, you cannot claim on your application. Please double check with AZDOT or possible you need to request AZDOT to make an amendment of the letter.

2) On executive summary, please delete the word UZA and change Peach Springs (city) to Hualapai Tribal Council.

3) Your budget amount and description do not match from your executive summary information. Where is the preventative maintenance? Did you want to add more buses? The amounts are not even matched.

4) On executive summary, please enter your tribe name.

5) On each of extended description, please add the scope information.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/19/2022

Comment

1) On extended budget description, please add the fund/scope activities information

2) On executive summary, first paragraph and fund/scope/activities, please change the city name Peach Spring to Hualapai Indian Council and remove the word UZA

3) On extended budget description, you mentioned this project will use the fund the preventative maintenance, however on the AZDOT transfer letter, it only mentioned the project is for operating assistance only, please remove the word preventative maintenance

4) On executive summary, you mentioned the operating cost will end 9/30/2023 but on your milestone estimate completion date, it shows 9/30/2025. Which one is correct, please adjust.

5) On executive summary and extended budget description, please provide the break down cost of each operating assistance activity.

6) On Civil Rights Programs screen, title IV program, it does not show the report ever been submitted or reviewed. Please check with your Civil Right Official. If she already approved your title IV report, please upload her email/response on recipient document.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 8/12/2022

- Comment
- 1) On indirect cost detail please provide start and end date the indirect cost plan cover period and provide statement to indicate Federal Financial report will update indirect cost charge and information.
 - 2) On extended budget description, please add the fund/scope/activities information
 - 3) On extended budget description, you mentioned this operating expense will use preventative maintenance, which the AZDOT transferred letter only indicated operating assistance 100%. You cannot claim the activity if it was not approved by AZDOT, please remove.
 - 4) On environmental finding, since this is operating assistance, you should select type 4, not type 7. Please adjust.
 - 5) On millstone description, it is lack of info, please provide more details.
 - 6) Your performance end date shows 9/30/2024 but your last millstone date shows 9/30/2025, which one is correct? Please adjust.