

Application

Federal Award Identification Number (FAIN)	AZ-2022-029-00
Temporary Application Number	6725-2021-1
Application Name	White Mountain Apache Tribe FY20 5311 Tribal Formula, Operating Assistance and Preventative Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: WHITE MOUNTAIN APACHE TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6725	Indian Tribe	White Mountain Apache Tribe		063293153

Location Type	Address	City	State	Zip
Headquarters	306 N CHIEF AVE	WHITERIVER	AZ	85941
Physical Address	306 CHIEF AVE	WHITERIVER	AZ	85941
Mailing Address	P.O. BOX 700	WHITERIVER	AZ	85941

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: White Mountain Apache Tribe FY20 5311 Tribal Formula, Operating Assistance and Preventative Maintenance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2022-029-00	Final Concurrence / Reservation	Grant	5/26/2021	5/26/2021	No

Application Executive Summary

This application is to request FY2020 Section 5311 Tribal Transit Formula appointed to White Mountain Apache Tribe, Arizona in the amount of \$314,459 from June 30, 2022 to June 30, 2024.

Purpose

The purpose of this application is to assist with operational funds for upgrading, reclassifying and retaining transit employees. Operational funds will include expenses needed for fuel cost for the remaining year of FY22 to FY 2027. Lastly, operational funds will be used for administration supplies/equipment for the newly constructed transit facility and assistance with the transit administration's operations.

Activities to be performed

The activities that will be performed is to upgrade and reclassify the current transit dispatcher and transit operator positions within the Tribe as well as upgrade the GPS systems and software systems for the Transit Program.

Expected Outcomes

The outcomes of having this grant application approved will be to improve the Tribal Transit Program by retaining employees and attracting qualified job applications for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages this making it difficult to recruit qualified drivers and dispatchers. The inflated fuel rates have tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$6,000.00 per month in 2021 to \$8,000.00 in the month of May, 2022.

Intended Beneficiaries

The benefits of this grant award will improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit dispatcher and transit operators will be pay for 25% increase upon approval of the job reclassification by the Tribe.

Subrecipient Activities

None

The following is a breakdown of costs that will be used with this award:

Federal Funds: FY2020 5311 Tribal Transit Formula, Preventative Maintenance from White Mountain Apache Tribe, Arizona in the amount of \$114,000

Local Match: \$0 Local Sales Tax

Total Project Cost: \$114,000

Federal Funds: FY2020 5311 Tribal Transit Formula, Operating Assistance Funds from White Mountain Apache Tribe, Arizona in the amount of \$200,459

Local Match: \$0 Local Sales Tax

Total Project Cost: \$200,459

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Cassie	Kenton-Garcia	Transit Manager	cassiekenton-garcia@wmat.us	928-338-5155

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$314,459
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$314,459

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-029-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$314,459.00	\$0.00	\$314,459.00	14
AZ-2022-029-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$114,000.00	\$0.00	\$114,000.00	10
AZ-2022-029-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$200,459.00	\$0.00	\$200,459.00	4

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY20 5311 Tribal Formula Operating Assistance & Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2022-029-01-00	6725-2021-1-P1	5/26/2021	6/29/2022	6/30/2024

Project Description

This application is to request FY2020 Section 5311 Tribal Transit Formula appointed to White Mountain Apache Tribe, Arizona in the amount of \$314,459 from June 30, 2022 to June 30, 2024 to support preventive maintenance for the Fort Apache Connection Program.

The following is a breakdown of costs that will be used with this award:

Federal Funds: FY2020 5311 Tribal Transit Formula, Preventative Maintenance from White Mountain Apache Tribe, Arizona in the amount of \$114,000

Local Match: \$0 Local Sales Tax

Total Project Cost: \$114,000

Federal Funds: FY2020 5311 Tribal Transit Formula, Operating Assistance Funds from White Mountain Apache Tribe, Arizona in the amount of \$200,459

Local Match: \$0 Local Sales Tax

Total Project Cost: \$200,459

The Tribal Formula Funds is based off report to the National Transit Database submitted for FY 2018. The Tribal Formula Funds will be used to support operating cost, administrative cost and preventive maintenance for the Fort Apache Connection Program under the White Mountain Apache Tribe Division of Transportation.

Project Benefits

The White Mountain Apache Tribe will use these funds to sustain the Fort Apache Connection Transit Program

Additional Information

The FY 2020 Section 5311 (c) Public Transportation on Indian Reservations Formula Apportionments can be view on the following website <https://www.transit.dot.gov/funding/apportionments/table-10-fy-2020-public-transportation-indian-reservations-apportionments>

Location Description

Fort Apache Indian Reservation, Navajo County, Arizona 85941

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Ann Kirkpatrick

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$314,459
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$314,459

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-029-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$314,459.00	\$0.00	\$314,459.00	14
AZ-2022-029-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$114,000.00	\$0.00	\$114,000.00	10
AZ-2022-029-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$200,459.00	\$0.00	\$200,459.00	4

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	4

Extended Budget Description

The following is a breakdown of costs that will be used with this award:

Federal Funds: FY2021 5311 Tribal Transit Formula, Operating Assistance Funds from White Mountain Apache Tribe, Arizona in the amount of \$200,459

Local Match: \$0 Local Sales Tax

Total Project Cost: \$200,459

This Operating Assistance will be used to purchase fuel, supplies, and salaries.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$200,459
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$200,459
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Milestone Name	Est. Completion Date	Description
Start Date	6/30/2022	Start Date for award to improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions as well as expenses needed for fuel cost and office supplies.
End Date	6/30/2024	End Date of this award that is used to improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions as well as expenses needed for fuel cost and office supplies.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	10

Extended Budget Description

The following is a breakdown of costs that will be used with this award:
 Federal Funds: FY2020 5311 Tribal Transit Formula, Preventative Maintenance from White Mountain Apache Tribe, Arizona in the amount of \$114,000
 Local Match: \$0 Local Sales Tax
 Total Project Cost: \$114,000

This activity will be performed by a contractor.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$114,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$114,000

Milestone Name	Est. Completion Date	Description
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Start Date	6/29/2022	Start Date of funds to be used to purchase supplies/ equipment for the transit program and assistance with the transit preventative maintenance and upkeep to sustain a safe and reliable transit service for the Tribe.
End Date	6/30/2024	End Date of funds to be used to purchase supplies/ equipment for the transit program and assistance with the transit preventative maintenance and upkeep to sustain a safe and reliable transit service for the Tribe.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/20/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	10	\$114,000.00	\$114,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/20/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	4	\$200,459.00	\$200,459.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type	DOL Review for Information
Date	8/16/2022
Comment	Department of Labor (DOL) certification is not required. This is for information purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	5/27/2021
Comment	<p>If this is a Tribal Formula program, your funding source should be 5311 Tribal Formula. Please make the correction. .</p> <p>2) Please provide and upload the most recent Indirect Cost plan. The one you provide is 6 years old (2015) Also, On Indirect Cost section, beside the % of rate, you need provide the applicable base and amount this indirect cost will charge. See sample. Applicable base = \$? (enter the amount), amount charged, \$? (enter the amount charge for this indirect cost), rate, 17.50%.</p> <p>3) On your application budget, please change all the scope to 600-00 (other program cost), the ALI activity will remain the same.</p> <p>4) On your milestone, preventative maintenance, the start and end date are the same. Can the tribe complete all these function in one day? If you do, please provide the justification.</p> <p>5) On environmental finding, please add finding for operating assistance, class IIc, type 4.</p> <p>6) Please upload the completed hardcopies of page 19-21 of FY2021 Certification and Assurance on recipient document. Please make sure the category matched from the one pinned on line.</p> <p>7) Please upload the most recent Indirect cost plan on recipient document.</p>

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/15/2021

Comment The tribe requested to return the application for editing.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/29/2021

Comment The tribe requested to return the application for modification.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/12/2022

Comment

- 1) On C&A hardcopies, Matt McReynolds name is not showing on opinion of counsel and he is not authorized to sign the hardcopies. Please have the authorized lawyer KeAloha Douma completes and signs the form and uploads on the recipient document. Please do not submit this application until this task completed.
- 2) On executive summary (first paragraph), performance end date, project description and both milestone est. completion end date , please change the end date from 12/31/2025 to 6/30/2024.
- 3) On extended budget description for operating assistance and preventative maintenance, they are lack of detail. Will the operating assistance include supplies purchase? If it does, please check yes on 3rd party contractor. For preventative maintenance, who will perform the service, the tribe or the outside contractor? Please indicate.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 8/15/2022

Comment return to the tribe to make adjustment on the project budget