

Application

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|---|--|
| Federal Award Identification Number (FAIN) | AZ-2022-025-00 |
| Temporary Application Number | 6721-2022-1 |
| Application Name | Yavapai-Apache Nation, FY2020 5311 Tribal Transit Formula Operating Assistance |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 4/1/2024 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: YAVAPAI APACHE NATION

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS |
|--------------|--------------------|-----------------------|-----|-----------|
| 6721 | Indian Tribe | Yavapai Apache Nation | | 017872552 |

| Location Type | Address | City | State | Zip |
|------------------|----------------------|------------|-------|-------|
| Headquarters | | | | |
| Physical Address | 2400 W DATSI ST | CAMP VERDE | AZ | 86322 |
| Mailing Address | 2400 W. DATSI STREET | CAMP VERDE | AZ | 86322 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Yavapai-Apache Nation, FY2020 5311 Tribal Transit Formula

Operating Assistance

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| AZ-2022-025-00 | Final Concurrence / Reservation | Grant | 3/4/2022 | 3/4/2022 | No |

Application Executive Summary

This application is to request FY2020 Section 5311 Tribal Formula Funds apportioned to the Yavapai-Apache Nation, Arizona, in the amount of \$120,034.

Purpose: Purpose of this application is to assist with the continued operation of the Yavapai-Apache Transit System. The Yavapai-Apache Nation will use 2020 Formula Funds to continue and improve Public Transit System. The Yavapai-Apache Nation has completed the process of expanding the Public Transit System by adding additional routes and times. Funds from this application will be used in this expanded operation for maintenance, salaries and supplies, and travel. Funds will be used in upgrading employee compensation, adding additional transit operators and continued safety training and other trainings as available for all operators and support staff. Remaining funds will used to cover fuel costs, operational supplies and vehicle maintenance. This grant applies for \$120,034 in section 5311 funds for the Yavapai-Apache Nation to support costs such as driver salaries & benefits, vehicle maintenance, fuel, operating supplies, and travel to annual conferences.

Activities to be Performed: Activities that will be performed will be a review of current employee status and adjust salary & benefits as needed while adding additional operators to cover expanded services. Additional activity will be the scheduling transit vehicles for routine maintenance, scheduling training for employees and ensuring all vehicles have adequate safety, COVID-19 and passenger services supplies on board at all times.

Expected Outcomes: Outcomes expected from this application funding will be to improve Yavapai-Apache Transit by retaining and adding employees, ensure operators are properly trained and vehicles are safe to operate over the expanded rural routes.

Intended Beneficiaries: First Beneficiaries of this project will be members of the Yavapai-Apache Nation and other residents of the rural Verde Valley of Central Arizona. Yavapai-Apache Nation has successfully operated a public transit system located in the Verde Valley of Central Arizona. The system covers 3 towns a rural areas adjacent to Yavapai-Apache Nation. Yavapai-Apache Transit coordinates with other local transit systems to maximize coverage and provide best possible service to transit users. Funding will allow these services to continue!

Subrecipient Activities: None

Operating assistance breakdown cost: Salary \$50,000; Benefits \$10,034; Fuel \$20,000; Maintenance \$35,000; Travel/Training \$5,000.

Scope 1 Operating assistance, Federal Funds: FY2020 Section 5311 Tribal Transit Formula funds from Yavapai Apache Nation, Arizona, in the amount of \$120,034.

Local Match: No local match/Local Sales Tax

Total Project Cost: \$120,034

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-------------------------------|----------------------|----------------|
| Phil | Yue | Program Management Specialist | Phil.Yue@dot.gov | (415) 734-9476 |
| Robert | Mills | Contracts & Grants Manager | rmills@yan-tribe.org | (928) 567-1090 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$120,034 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$120,034 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|----------------------------------|--------------|----------------|-----------------------|----------|
| AZ-2022-025-01-00 | 600-00 (600- OTHER PROGRAM COSTS | \$120,034.00 | \$0.00 | \$120,034.00 | 1 |

| A1) | | | | | | |
|-------------------|----------|---|--------------|--------|--------------|---|
| AZ-2022-025-01-00 | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$120,034.00 | \$0.00 | \$120,034.00 | 1 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Yavapai-Apache Nation FY 2020 Tribal Transit Formula Program - Operating Assistance

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|----------|
| AZ-2022-025-01-00 | 6721-2022-1-P1 | 3/4/2022 | 4/1/2022 | 4/1/2024 |

Project Description

Operating Funds FY 2020 Section 5311 Tribal Transit Formula Funds for Yavapai-Apache Nation Camp Verde, AZ USA for \$120,034. This grant applies for \$120,034 in Section 5311 funds for the Yavapai-Apache Nation to support operating costs such as driver salaries & benefits, vehicle maintenance, fuel, operational supplies, and travel to annual conference. These funds will support the current operations and routes.

Operating assistance breakdown cost: Salary \$50,000; Benefits \$10,034; Fuel \$20,000; Maintenance \$35,000; Travel/Training \$5,000.

Project Benefits

Will allow for continued operation of Yavapai Apache-Nation Transit System. With transit system in operation residents of the Verde Valley in Rural Central Arizona will continue to have transportation to employment, medical facilities, commercial areas and educational opportunities. Formula funds will be used to cover operation al costs including personnel cost, maintenance, fuel and operational supplies and travel and training as required. Many passengers using the transit system depend on it for all their transportation needs. Keeping vehicles, clean, safe and operational is a priority.

Additional Information

Funds for salaries, benefits, maintenance, fuel and supplies and travel/training.

Location Description

Yavapai-Apache Nation located in Rural Central Arizona

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 040000 | Arizona |

Congressional District Information

| State | District | Representative |
|---------|----------|----------------|
| Arizona | 1 | Tom O'Halleran |

Program Plan Information

STIP/TIP

Date: 12/31/2022

Description: BIA Tribal Transportation Plan

UPWP

Date: 12/31/2023

Description: Proposed 2023 TTIP

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$120,034 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$120,034 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|--------------|----------------|-----------------------|----------|
| AZ-2022-025-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$120,034.00 | \$0.00 | \$120,034.00 | 1 |
| AZ-2022-025-01-00 | 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT) | \$120,034.00 | \$0.00 | \$120,034.00 | 1 |

ONLY)

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | OPERATING ASSISTANCE | 1 |

Extended Budget Description

Formula Funds will be used for salaries, benefits, vehicle maintenance, fuel, operating supplies and travel/training

Operating Funds FY 2020 Section 5311 Tribal Transit Formula Funds for Yavapai-Apache Nation Camp Verde, AZ USA for \$120,034. This grant applies for \$120,034 in Section 5311 funds for the Yavapai-Apache Nation to support operating costs such as driver salaries & benefits, vehicle maintenance, fuel, operational supplies, and travel to annual conference. These funds will support the current operations and routes.

Operating assistance breakdown cost: Salary \$50,000; Benefits \$10,034; Fuel \$20,000; Maintenance \$35,000; Travel/Training \$5,000.

Scope 1 Operating assistance, Federal Funds: FY2020 Section 5311 Tribal Transit Formula funds from Yavapai Apache Nation, Arizona, in the amount of \$120,034.

Local Match: No local match/Local Sales Tax

Total Project Cost: \$120,034

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$120,034 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$120,034 |

| Milestone Name | Est. Completion Date | Description |
|--------------------------|----------------------|--|
| Start Date April 1, 2022 | 4/1/2022 | Work period for project |
| Travel and Training | 12/31/2023 | Funds used for staff and driver trainings and annual conference attendance |

| | | |
|--------------------|-----------|--|
| Operating expenses | 1/31/2024 | Operation supplies and fuel need for transit operation |
| End Date | 4/1/2024 | All work on project completed by December 31, 2024 |
| Maintenance | 4/1/2024 | Scheduled and unscheduled maintenance costs |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------------|
| Class IIc CE Approved | 12/17/2019 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

| | |
|--------------|--|
| Comment Type | DOL Review for Information |
| Date | 8/3/2022 |
| Comment | Department of Labor (DOL) certification is not required. It is for information purposes—as with regular Section 5311 Program applications. |

Application Review Comments

Comment By Phil Yue

| | |
|--------------|---------------------------------------|
| Comment Type | Pre-Award Manager Returns Application |
| Date | 3/8/2022 |

- 1) Please change your application name to: Yavapai Apache Nation, FY20 FTA Tribal Transit Formula Operating Assistance.
- 2) On executive summary, please change your first sentence and enter the statement like this, This application is to request FY2020 Section 5311 Tribal Transit Formula funds apportioned to the UZA [enter your city and State) in the amount of \$120,034.

Scope 1 Operating assistance, Federal Funds: FY2020 Section 5311 Tribal Transit Formula funds from (enter your city and state) UZA, \$(enter the amount).
 Local Match: No local match/Local Sales Tax
 Total Project Cost: \$(enter the amount)

Comment

This grant applies for \$120,034 in Section 5311 Tribal Transit Formula funds for (enter tribe name) to support operating costs like vehicle maintenance, driver salary, fuel and transit supplies, travel to annual conference for the for the period of (enter the start and end date).

- 3) On executive summary, please enter this statement on the Intended beneficiaries and Expected outcomes for receiving this fund
- 4) On executive summary, please enter this statement, There are no sub-recipient activities applied on this grant.
- 5) On operating assistance budget, please check yes on 3rd party contractor, supplies and fuels are considered 3rd party.
- 6) Please complete the FY2022 certification and assurance, both pinning and uploading the signed/completed hardcopies on recipient document.
- 7) on milestone, start date 4/1/2022, est. completion date, please enter 4/1/2022
- 8) on milestone name, typo on the word Travel, please change.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 3/11/2022

1) On executive summary, please add below scope and fund information.
 Scope 1 Operating assistance, Federal Funds: FY2020 Section 5311 Tribal Transit Formula funds from (enter your city and state) UZA, \$(enter the amount).
 Local Match: No local match/Local Sales Tax
 Total Project Cost: \$(enter the amount)

Comment

- 2) On executive summary, please enter this statement on the Intended beneficiaries and Expected outcomes for receiving this fund
- 3) Please complete the FY2022 certification and assurance, both pinning and uploading the signed/completed hardcopies on recipient document.
- 4) On executive summary, first paragraph, it is UZA, not USA, please change.
- 5) The highlighted paragraph is repeated, please remove.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/21/2022

Comment 1) On both executive summary and extended budget description, please add the fund/scope/activities information.

Scope 1 Operating assistance, Federal Funds: FY2020 Section 5311 Tribal Transit Formula funds from (enter your tribe name and state), in the amount of \$(enter the amount).

Local Match: No local match/Local Sales Tax

Total Project Cost: \$(enter the amount)

2) On executive summary, please provide the detail and breakdown for the operating cost.

3) Why the milestone est. completion date for end date, operating expenses, maintenance and travel and training all have the same date, 12/31/2024? If these dates are correct, please provide the justification on description.

4) Performance end date and last milestone date, region 9 has new policy on operating assistance and preventative maintenance, the performance end date will only last 3 years. Please adjust your performance end date and last milestone date to 4/1/2024.

5) It is very hard to read when you have all paragraphs/headings without spacing. On executive summary, please enter space to separate each paragraph and/or header (5 elements).

6) On executive summary, first paragraph, please remove the words USA and Camp Verde

7) On application name, please delete the word FTA.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 8/2/2022

Comment 1) On both executive summary and extended budget description, please insert the fund/scope/activities information, (see sample)
Scope 1 Operating assistance, Federal Funds: FY2020 Section 5311 Tribal Transit Formula funds from (enter your tribe name and state), in the amount of \$(enter the amount).
Local Match: No local match/Local Sales Tax
Total Project Cost: \$(enter the amount)
2) On all 3 areas, executive summary, project description and extended budget description, your operating assistance breakdown costs do not sum up to \$120,034, please recalculate and adjust.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 8/2/2022

Comment 1) On extended budget description, please insert the information exactly like this.

Scope 1 Operating assistance, Federal Funds: FY2020 Section 5311 Tribal Transit Formula funds from Yavapai Apache Nation, Arizona, in the amount of \$120,034.
Local Match: No local match/Local Sales Tax
Total Project Cost: \$120,034

2) On 2 areas, project description and extended budget description, your operating assistance breakdown costs do not sum up to \$120,034, please recalculate and adjust.