

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2022-011-00
Temporary Application Number	6953-2022-1
Application Name	Bus Replacement 5311(c) Capital Funds FY2019 TTP Discretionary Award, One Replacenment bus
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: SANTA CLARA, PUEBLO OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6953	Indian Tribe	SANTA CLARA, PUEBLO OF		075762740

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	1 KEE ST	ESPANOLA	NM	87532
Mailing Address	P.O. BOX 580	ESPANOLA	NM	87532

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Bus Replacement 5311(c) Capital Funds FY2019 TTP

Discretionary Award, One Replacenet bus

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2022-011-00	Final Concurrence / Reservation	Grant	3/1/2022	3/1/2022	No

Application Executive Summary

Purpose: apply for FY19 5311c TTP Discretionary Programs funds in the amount of \$126,000 D2020-TRTR-014. The TTP program will provide \$126,000 and a local match of \$14,000 from gross receipts tax (GRT).

Activities to be performed: Replace vehicle #579, a 2016 FORD, E-350 STARTRANS Candidate, VIN # 1FDEE3FL2GDC03660 mileage 222,260 as of 2/25/2022. Vehicle will meet useful life prior to disposition.

Expected Outcomes: Continue and improve service. The vehicle replacement funds requested will allow NCRTD to continue to operate its 100 Riverside route and provide access to essential services such as employment/healthcare/education to Santa Clara Tribal members as well as others that live in the surrounding communities.

Intended Beneficiaries: Grantee and those who ride these routes. Transit connectivity offers alternate modes of transportation to get to and from jobs, to benefit from social service programs, and to support the health and wellbeing of community members through greater access to healthcare, education and goods and services. Other needs are fulfilled from a transit service that provides a seamless benefit to its customers by providing regional access to meet their collective needs.

Subrecipient Activities: N/A

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Abel	Ayala	Program Management Specialist	Abel.Ayala@dot.gov	(817) 978-0560

Timothy	Mildren	Finance Director	timmm@ncrtd.org	(505) 629-4701
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Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$126,000
Local			\$14,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$140,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$126,000.00	\$14,000.00	\$140,000.00	1
NM-2022-011-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$126,000.00	\$14,000.00	\$140,000.00	1

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2020-TRTR-014	Capital Replacement of Vehicle	\$126,000	NM-2022-011-00	

Part 3: Project Information

Project Title: Bus Replacement 5311(c) Capital Funds FY2019 TTP Discretionary Award \$126,000.

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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NM-2022-011-01-00

6953-2022-1-P1

3/1/2022

11/28/2020

12/31/2023

Project Description

This grant will provide the Pueblo of Tesuque funds for some and capital needs in the form of a vehicle replacement. The Pueblo of Santa Clara is located in North Central New Mexico and borders the City of Española on the southwest. Replace vehicle #579, a 2016 FORD, E-350 STARTRANS Candidate, VIN # 1FDEE3FL2GDC03660 mileage 222,260 as of 2/25/2022. Vehicle will meet useful life prior to disposition.

Project Benefits

These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the District.

Additional Information

The Santa Clara Pueblo is a member of the North Central Regional Transit District.

Location Description

Santa Clara Pueblo (is a census-designated place (CDP) in Rio Arriba County, New Mexico, United States and a federally recognized tribe of Native American Pueblo people. The Pueblo was established about 1550 and is located in North Central New Mexico and borders the City of Española on the southwest. The pueblo is next to the Rio Grande, between Ohkay Owingeh (formerly San Juan Pueblo) to the north and San Ildefonso Pueblo to the south.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Program Plan Information**STIP/TIP**

Date: 12/30/2021

Description: Not Provided

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 10/30/2015

Description: The Santa Clara Pueblo is included on pages 6, 16, and i.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$126,000

Local			\$14,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$140,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$126,000.00	\$14,000.00	\$140,000.00	1
NM-2022-011-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$126,000.00	\$14,000.00	\$140,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

The Pueblo of Santa Clara was awarded \$126,000 from the FY19 5311c TTP Discretionary Program for one bus replacement. The local match for the discretionary awards will be provided by the North Central Regional Transit District from gross receipts tax (GRT) revenue sourced received by the District. The TTP program will provide \$126,000 and a local match of \$14,000 from gross receipts tax (GRT). The Bus that will be replaced T579 a 2016 FORD, E-350 STARTRANS Candidate, VIN # 1FDEE3FL2GDC03660 mileage 222,260 as of 2/25/2022. Useful life of vehicle and replacement is 5 years/150,000 miles. Vehicle will meet useful life prior to disposition. Santa Clara will be purchasing off the State Contract which had an IFB of 11/28/2020.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$126,000

Local		\$14,000
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$140,000

Milestone Name	Est. Completion Date	Description
IFB	11/28/2020	This milestone is being entered by FTA. IFB for the state purchasing agreement was completed by a different recipient, the State of NM (Rec 1564).
Utilize State Purchasing Schedule	5/16/2022	Select and award contract for purchase of bus from statewide price agreement. Santa Clara will be purchasing off the State Contract which had an IFB of 11/28/2020.
End Date	12/31/2023	Receive bus and install any additional equipment. Contract complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/2/2022

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Abel Ayala

Comment Type DOL Review for Information

Date 4/4/2022

Comment Tribal Section 5311 1c for information ONLY

Application Review Comments

Comment By Abel Ayala

Comment Type Pre-Award Manager Returns Application

Date 3/9/2022

Comment

Comment By Abel Ayala

Comment Type Pre-Award Manager Returns Application

Date 3/11/2022

Comment

Application Review Comments

Comment By Troy Thomas

Comment Type Application Details

Date 3/14/2022

Comment

- Recipient must not acquire telecommunications and video surveillance equipment for rolling stock from: Huawei Technologies Company; ZTE Corporation; Hytera Communications Corporation; Hangzhou Hikvision Digital Technology Company; or Dahua Technology Company. This prohibition includes rolling stock telecommunications and video surveillance equipment, commercial items and micro-purchases in accordance with Section 889 of the 2019 National Defense Authorization Act.
- Recipients must not procure rolling stock from certain transit vehicle manufacturers. Refer to 49 U.S.C. Section 5323(u) of federal public transportation law, which limits the use of FTA funds, and in some circumstances local funds, to procure rolling stock from certain transit vehicle manufacturers. Refer to <https://www.transit.dot.gov/funding/procurement/frequently-asked-questions-regarding-section-7613-national-defense> for additional guidance.
- 49 CFR Part 26.49(a) states 'If you are an FTA recipient, you must require in your DBE program that each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, certify that it has complied with

the requirements of this section.' FTA's current list of eligible TVMs can be found at <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-transit-vehicle-manufacturers>.

- 49 CFR Part 26.49(a)(4) states 'FTA recipients are required to submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract in the manner prescribed in the grant agreement.' The Transit Vehicle Award Reporting Forms can be found on FTA's website at <https://www.surveymonkey.com/r/vehicleawardreportsurvey>. Please take a screenshot of the completed report before submission and retain locally to demonstrate compliance with this requirement during oversight activities such as Triennial Review and/or State Management Reviews.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, 3rd Party Contracting Guidance, as they apply to acquiring rolling stock including 49 CFR Part 661, Buy America Requirements, 49 CFR 663, Pre-Award and Post-Delivery Audits, accessibility, minimum service life, spare ratios, air pollution and fuel economy, bus testing, in-state dealers, basis for contract award and five-year limitation.
- Recipient must comply with the Recipient's procurement policies and procedures as they apply to FTA-funded projects.
- The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-29, October 1, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: SANTA CLARA, PUEBLO OF

Recipient ID: 6953

UEI:

DUNS: 075762740

Application Information

Federal Award Identification Number: NM-2022-011-00

Application Name: Bus Replacement 5311(c) Capital Funds FY2019 TTP Discretionary Award, One Replacenment bus

Application Start Date: N/A

Original Award End Date: 3/31/2024

Current Award End Date: N/A

Application Executive Summary: Purpose: apply for FY19 5311c TTP Discretionary Programs funds in the amount of \$126,000 D2020-TRTR-014. The TTP program will provide \$126,000 and a local match of \$14,000 from gross receipts tax (GRT).

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Intended Beneficiaries: Grantee and those who ride these routes. Transit connectivity offers alternate modes of transportation to get to and from jobs, to benefit from social service programs, and to support the health and wellbeing of community members through greater access to healthcare, education and goods and services. Other needs are fulfilled from a transit service that provides a seamless benefit to its customers by providing regional access to meet their collective needs.

Subrecipient Activities: N/A

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$140,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$126,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$14,000.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$126,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$14,000.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$126,000
Local			\$14,000
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$140,000

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

SANTA CLARA, PUEBLO OF