

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2021-015-01
Temporary Application Number	1564-2021-4
Application Name	NMDOT Sec FY2020 5311 COVID-19 ER Response Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	6/15/2021	
Original Period of Performance End Date	3/31/2023	
Current Period of Performance End Date	3/31/2023	Revision #: 0

Part 1: Recipient Information

Name: TRANSPORTATION, NEW MEXICO DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	KW1EKL33X3H9	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875011149
Physical Address	1120 CERRILLOS RD	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	

City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: NMDOT Sec FY2020 5311 COVID-19 ER Response Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2021-015-01	Final Concurrence / Reservation	Grant	3/11/2022	3/11/2022	No

Application Executive Summary

Amendment 1: NMDOT (UZA 350000) is applying for an additional \$50,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide additional emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. NMDOT is requesting an additional \$50,000 through March 2022.. Service is needed urgently as declared by the Governor on March 11, 2020. These funds were approved for transfer from our FFY2021 5311 apportionment from the following account code: 2020.25.18.81.2 to 2020.25.18.ER. FTA approved the transfer of funds on 2/18/2022 and the approval documentation is attached to application documents.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14. The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Purpose of the Award: Provide additional funding to cover 100% of operating costs for on-call transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico.

- Activities to be performed: Provide public transportation for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico.
- Expected Outcomes: The use of funds at 100% will continue to provide transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico during the COVID-19 pandemic in order to save lives.
- Intended Beneficiaries: Citizens of the state of New Mexico.
- Subrecipient Activities: Provide transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico

during the COVID-19 pandemic in order to save lives.

NMDOT is applying for \$300,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently as declared by the Governor on March 11, 2020. These funds were transferred from our FFY2021 5311 apportionment to the State of New Mexico posted in Federal Register Vol. 85, No. 128/Wednesday, July 3, 2020 for our 5311 Public Rural Transportation program on 5/7/21 with the following account code: 2020.25.18.81.2.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14. The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

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Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112

Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	(817) 978-0563
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Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$350,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$350,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-015-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$350,000.00	\$0.00	\$350,000.00	0
NM-2021-015-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$350,000.00	\$0.00	\$350,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: COVID-19 Emergency Relief funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-015-01-01	1564-2021-4-P1	3/11/2022	3/11/2020	12/31/2022

Project Description

Amendment 1: NMDOT is applying for an additional \$50,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide additional emergency operations for the transport of COVID-19

patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently as declared by the Governor on March 11, 2020. These funds were approved for transfer from our FFY2021 5311 apportionment with the following account code: 2020.25.18.81.2.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14. The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

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NMDOT is applying for \$300,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide emergency operations for the on-call transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed in response to COVID-19 public emergency as declared by the Governor on March 11, 2020.

Project Benefits

This is a unique circumstance in response to a public health crisis.

Additional Information

None provided.

Location Description

Emergency operations will be conducted as needed throughout the entire State of New Mexico.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico
350710	Albuquerque, NM
353520	Las Cruces, NM
353790	Santa Fe, NM
354250	Farmington, NM
354990	Los Lunas, NM
350660	El Paso, TX-NM

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: Not Provided

Description: FY20 Section 5311 Rural Area Formula Project Control Number T900109

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$350,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$350,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-015-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$350,000.00	\$0.00	\$350,000.00	0
NM-2021-015-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$350,000.00	\$0.00	\$350,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment 1: NMDOT is applying for an additional \$50,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide additional emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently as declared by the Governor on March 11, 2020. These funds were approved for transfer on 2/18/2022 by FTA, from our FFY2021 5311 apportionment.

NMDOT is applying for \$300,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide emergency operations for the on-call transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed in response to COVID-19 public emergency as declared by the Governor on March 11, 2020.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$350,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$350,000

Milestone Name	Est. Completion Date	Description
Start Date	3/11/2020	Service began, as declared by the Governor, on March 11, 2020.
Contract Award	5/5/2021	Contract Awarded.
End Date	12/31/2022	This project will conclude on or before December 31, 2022

Project Environmental Findings**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$350,000.00	\$350,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Daniel Peschell

Comment Type DOL Review for Information

Date 4/4/2022

Comment Section 5311 for info only.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:
(1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, NEW MEXICO DEPARTMENT OF

Recipient ID: 1564

UEI: KW1EKL33X3H9

DUNS: 117883178

Application Information

Federal Award Identification Number: NM-2021-015-01

Application Name: NMDOT Sec FY2020 5311 COVID-19 ER Response Funds

Application Start Date: 6/15/2021

Original Award End Date: 3/31/2023

Current Award End Date: 3/31/2023

Application Executive Summary: Amendment 1: NMDOT (UZA 350000) is applying for an additional \$50,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide additional emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. NMDOT is requesting an additional \$50,000 through March 2022.. Service is needed urgently as declared by the Governor on March 11, 2020. These funds were approved for transfer from our FFY2021 5311 apportionment from the following account code: 2020.25.18.81.2 to 2020.25.18.ER. FTA approved the transfer of funds on 2/18/2022 and the approval documentation is attached to application documents.

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- Subrecipient Activities: Provide transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico during the COVID-19 pandemic in order to save lives.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$350,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$50,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$350,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$350,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$350,000

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty

Original Certification Date: 6/2/2021

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, NEW MEXICO DEPARTMENT OF