

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2021-015-01
<b>Temporary Application Number</b>	1564-2021-4
<b>Application Name</b>	NMDOT Sec FY2020 5311 COVID-19 ER Response Funds
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	6/15/2021	
<b>Original Period of Performance End Date</b>	3/31/2023	
<b>Current Period of Performance End Date</b>	3/31/2023	Revision #: 0

## Part 1: Recipient Information

**Name: TRANSPORTATION, NEW MEXICO DEPARTMENT OF**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>UEI</b>	<b>DUNS</b>
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	KW1EKL33X3H9	117883178

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875011149
Physical Address	1120 CERRILLOS RD	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

## Union Information

<b>Union Name</b>	NONE
<b>Address 1</b>	
<b>Address 2</b>	

City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

## Part 2: Application Information

### Title: NMDOT Sec FY2020 5311 COVID-19 ER Response Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2021-015-01	Final Concurrence / Reservation	Grant	3/11/2022	3/11/2022	No

#### Application Executive Summary

Amendment 1: The current grant only shows \$300K has been obligated of the \$500K transferred from NM-2021-013. The program has now ended, and the total expenditures are \$338,546. NMDOT (UZA 350000) is requesting to have and additional \$38,546 obligated so we can seek reimbursement for the remaining expenditures incurred through March 2022. NMDOT is requesting that you disregard the previous request to transfer an additional \$50k, (FTA approved the transfer of funds on 2/18/2022 and the approval documentation is attached to application documents) as enough money has been transferred, just not obligated. NMDOT is also requesting that the remaining balance of \$161,454 (after expenditures from the original \$500k transferred) to be transferred back to NM-2021-013. The COVID-19 Emergency Relief funds covered expenses for our Park & Ride Program to provide additional emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently as declared by the Governor on March 11, 2020. These funds were approved for transfer from our FFY2021 5311 apportionment from the following account code: 2020.25.18.81.2 to 2020.25.18.ER.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14. The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html)

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Purpose of the Award: Provide additional funding to cover 100% of operating costs for on-call transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico.

- Activities to be performed: Provide public transportation for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico.
- Expected Outcomes: The use of funds at 100% will continue to provide transport of COVID-19 patients

and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico during the COVID-19 pandemic in order to save lives.

- Intended Beneficiaries: Citizens of the state of New Mexico.
- Subrecipient Activities: Provide transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico during the COVID-19 pandemic in order to save lives.

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NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14. The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html)

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**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
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Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	(817) 978-0563

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$338,546
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$338,546</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-015-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$338,546.00	\$0.00	\$338,546.00	0
NM-2021-015-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$338,546.00	\$0.00	\$338,546.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: COVID-19 Emergency Relief funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-015-01-01	1564-2021-4-P1	3/11/2022	3/11/2020	12/31/2022

**Project Description**

Amendment 1: The current grant only shows \$300K has been obligated of the \$500K transferred (according to a trams report) from NM-2021-013. The program has now ended, and the total expenditures are \$338,546. NMDOT (UZA 350000) is requesting to have an additional \$38,546 obligated so we can seek reimbursement for the remaining expenditures incurred through March 2022. NMDOT is requesting that you disregard the previous request to transfer an additional \$50k, (FTA approved the transfer of funds on 2/18/2022 and the approval documentation is attached to application documents) as enough money has been transferred, just not obligated. NMDOT is also requesting that the remaining balance of \$161,454 (after expenditures from the original \$500k transferred) to be transferred back to NM-2021-013.

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NMDOT is applying for \$300,000 in COVID-19 Emergency Relief funds for our Park & Ride Program to provide emergency operations for the on-call transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed in response to COVID-19 public emergency as declared by the Governor on March 11, 2020.

**Project Benefits**

This is a unique circumstance in response to a public health crisis.

**Additional Information**

*None provided.*

**Location Description**

Emergency operations will be conducted as needed throughout the entire State of New Mexico.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
350000	New Mexico
350710	Albuquerque, NM
353520	Las Cruces, NM
353790	Santa Fe, NM
354250	Farmington, NM
354990	Los Lunas, NM
350660	El Paso, TX-NM

**Congressional District Information**

State	District	Representative
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New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: FY20 Section 5311 Rural Area Formula Project Control Number T900109

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$338,546
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$338,546</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-015-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$338,546.00	\$0.00	\$338,546.00	0
NM-2021-015-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed	\$338,546.00	\$0.00	\$338,546.00	0

Share
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## Project Budget Activity Line Items

<b>Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

Amendment 1: The current grant only shows \$300K has been obligated of the \$500K transferred from NM-2021-013. The program has now ended, and the total expenditures are \$338,546. NMDOT (UZA 350000) is requesting to have an additional \$38,546 obligated so we can seek reimbursement for the remaining expenditures incurred through March 2022. NMDOT is requesting that you disregard the previous request to transfer an additional \$50k, (FTA approved the transfer of funds on 2/18/2022 and the approval documentation is attached to application documents) as enough money has been transferred, just not obligated. NMDOT is also requesting that the remaining balance of \$161,454 (after expenditures from the original \$500k transferred) to be transferred back to NM-2021-013.

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### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$338,546
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$338,546</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/11/2020	Service began, as declared by the Governor, on March 11, 2020.
Contract Award	5/5/2021	Contract Awarded.
End Date	12/31/2022	This project will conclude on or before December 31,

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$338,546.00	\$338,546.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### DOL Certification

Comment By Denise Diminuco

Comment Type DOL Review for Information

Date 4/4/2022

Comment FTA makes the January 3, 2011 Special Warranty applicable to the grantee in its grant contract.

### FTA Comments for DOL

Comment By Daniel Peschell



Comment Type DOL Review for Information

Date 4/4/2022

Comment Section 5311 for info only.

**Comment By Daniel Peschell**

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Comment Type DOL Review for Information

Date 4/21/2022

Comment Section 5311 being sent for information only.

## Application Review Comments

**Comment By Daniel Peschell**

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Comment Type Pre-Award Manager Returns Application

Date 4/4/2022

Comment Returned to recipient to revise amount.

**Comment By Daniel Peschell**

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Comment Type Pre-Award Manager Returns Application

Date 4/14/2022

Comment Please revise the Period of Performance End date and the Milestone End date to reflect the project changes.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:  
(1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and  
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, NEW MEXICO DEPARTMENT OF

Recipient ID: 1564

UEI: KW1EKL33X3H9

DUNS: 117883178

#### **Application Information**

Federal Award Identification Number: NM-2021-015-01

Application Name: NMDOT Sec FY2020 5311 COVID-19 ER Response Funds

Application Start Date: 6/15/2021

Original Award End Date: 3/31/2023

Current Award End Date: 3/31/2023

Application Executive Summary: Amendment 1: The current grant only shows \$300K has been obligated of the \$500K transferred from NM-2021-013. The program has now ended, and the total expenditures are \$338,546. NMDOT (UZA 350000) is requesting to have an additional \$38,546 obligated so we can seek reimbursement for the remaining expenditures incurred through March 2022. NMDOT is requesting that you disregard the previous request to transfer an additional \$50k, (FTA approved the transfer of funds on 2/18/2022 and the approval documentation is attached to application documents) as enough money has been transferred, just not obligated. NMDOT is also requesting that the remaining balance of \$161,454 (after expenditures from the original \$500k transferred) to be transferred back to NM-2021-013. The COVID-19 Emergency Relief funds covered expenses for our Park & Ride Program to provide additional emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently as declared by the Governor on March 11, 2020. These funds were approved for transfer from our FFY2021 5311 apportionment from the following account code: 2020.25.18.81.2 to 2020.25.18.ER.

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Purpose of the Award: Provide additional funding to cover 100% of operating costs for on-call transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico.

- Activities to be performed: Provide public transportation for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico.
- Expected Outcomes: The use of funds at 100% will continue to provide transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico during the COVID-19 pandemic in order to save lives.
- Intended Beneficiaries: Citizens of the state of New Mexico.
- Subrecipient Activities: Provide transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers and any other destination as needed throughout the State of New Mexico during the COVID-19 pandemic in order to save lives.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$338,546.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$38,546.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$338,546.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$338,546
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$338,546</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: Special Warranty  
Original Certification Date: 6/2/2021

Review Decision: Special Warranty  
Amendment 1 Certification Date: 4/4/2022

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, NEW MEXICO DEPARTMENT OF*