

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2022-006-01
<b>Temporary Application Number</b>	6692-2021-3
<b>Application Name</b>	LAPSING 5311c Discretionary Award for Capital
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	1/18/2022	
<b>Original Period of Performance End Date</b>	3/30/2025	
<b>Current Period of Performance End Date</b>	3/30/2025	Revision #: 0

## Part 1: Recipient Information

**Name: RED LAKE BAND OF CHIPPEWA INDIANS**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>UEI</b>	<b>DUNS</b>
6692	Indian Tribe	RED LAKE BAND OF CHIPPEWA INDIANS		078683406

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	24200 COUNCIL ST	REDLAKE	MN	56671
Physical Address	24200 COUNCIL ST	REDLAKE	MN	56671
Mailing Address	15484 MIGIZI DRIVE	REDLAKE	MN	56671

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: LAPSING 5311c Discretionary Award for Capital**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MN-2022-006-01	Final Concurrence / Reservation	Grant	2/24/2022	2/24/2022	No

#### **Application Executive Summary**

Amendment#1: This amendment adds the remaining \$53,333 from the discretionary award project selection (FY2019 5311c) to fully fund the purchase of two buses and one expansion support service vehicle. The addition of funds covers anticipated cost increases and needed vehicle standard safety options including installation of driver barriers for new buses. The additional funds will be matched at the required 10% threshold with an additional \$5,926 from the Tribal General fund. No scope change is associated with this amendment. No change to the period of performance. All other grant details (purpose, activities, expected outcomes, intended beneficiaries and subrecipient activities) remain unchanged from below:

#### **ORIGINAL GRANT:**

Purpose: Provide vehicles for transit service.

Activities to be performed: Purchase two buses for expansion and one expansion support service vehicle

Expected Outcomes: This project will benefit our passengers greatly by expanding needed transportation to employment, shopping, etc., to provide for their daily necessities and way of life

Intended Beneficiaries: People who reside in, work in or visit the Red Lake Tribe of Chippewa Indians

Subrecipient Activities: n/a

FY19 5311c discretionary grant in the amount of \$270,667 with a local match of \$30,075 for a total of \$300,742. Funding will be used to purchase two buses for expansion and one expansion support service vehicle. Discretionary ID #D2020-TRTR-011. Local funding source is the Tribal General Fund. This project will benefit our passengers greatly by expanding needed transportation to employment, shopping, etc., to provide for their daily necessities and way of life

In executing this grant, the Red Lake Band of Chippewa Indians agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy American statute for rolling stock.

As Grant Agreement includes an FTS-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR Section 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-transit vehicle procurements, that each transit vehicles manufacturer certified that it complied with DOT requirement for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making an FTR-assistance transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

#### **Frequency of Milestone Progress Reports (MPR)**

Annual

#### **Frequency of Federal Financial Reports (FFR)**

Annual

#### **Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

#### **Pre-Award Authority**

This award is using Pre-Award Authority.

#### **Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Evan	Gross	Transportation Program Specialist	evan.gross@dot.gov	(312) 886-1619
Ramona	Desjarlait	Transit Director	ramonadesjarlait@redlakenation.org	(218) 679-3341

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$324,000
Local			\$36,001
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$360,001</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2022-006-01-01	111-00 (111-A1) BUS - ROLLING STOCK	\$259,929.00	\$28,882.00	\$288,811.00	2
MN-2022-006-01-01	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$259,929.00	\$28,882.00	\$288,811.00	2

MN-2022-006-01-01	114-00 (114-A2)	BUS: SUPPORT EQUIP AND FACILITIES	\$64,071.00	\$7,119.00	\$71,190.00	1
MN-2022-006-01-01	11.42.11	ACQUIRE - SUPPORT VEHICLES	\$64,071.00	\$7,119.00	\$71,190.00	1

## Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2020-TRTR-011	Transit Bus Expansion	\$53,333	MN-2022-006-01	

## Part 3: Project Information

### Project Title: FY19 5311c discretionary grant for capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2022-006-01-01	6692-2021-3-P4	2/24/2022	8/30/2021	12/31/2022

#### Project Description

This application includes capital for our Public Transit System (Red Lake Transit). Funds will be used to purchase two buses for expansion and one support service vehicle.

#### Project Benefits

Continuation of current transit services. This project will benefit our passengers greatly by providing the needed transportation to employment, shopping, etc., to provide for their daily necessities and way of life

#### Additional Information

Presently we are operating all buses and vans in regular service on the Red Lake Reservation. Our service area includes The Big Stone and Little Rock areas to the West, the casino complex on our southern border, the towns of Red Lake, Redby and following around Red Lake (the lake), the town of Ponemah. Our service area is approximately 70 miles long.

#### Location Description

Presently we are operating all buses and vans in regular service on the Red Lake Reservation. Our service area includes The Big Stone and Little Rock areas to the West, the casino complex on our southern border, the towns of Red Lake, Redby and following around Red Lake (the lake), the town of Ponemah. Our service area is approximately 70 miles long.

## Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

## Congressional District Information

State	District	Representative
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Minnesota	7	Michelle Fischbach
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## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$324,000
Local			\$36,001
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$360,001</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2022-006-01-01	111-00 (111-A1) BUS - ROLLING STOCK	\$259,929.00	\$28,882.00	\$288,811.00	2
MN-2022-006-01-01	BUY <30-FT 11.13.04 BUS FOR EXPANSION	\$259,929.00	\$28,882.00	\$288,811.00	2
MN-2022-006-01-01	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$64,071.00	\$7,119.00	\$71,190.00	1
MN-2022-006-	11.42.11 ACQUIRE -	\$64,071.00	\$7,119.00	\$71,190.00	1

01-01	SUPPORT VEHICLES
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## Project Budget Activity Line Items

### Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	2

#### Extended Budget Description

Amendment 1:

Additional \$26,666 added to project budget from remaining 5311 TTP competitive fund allocation to cover anticipated cost increases and include necessary safety features, such as plexiglass driver barriers, in the vehicle purchase. Project schedule is still on track.

ORIGINAL GRANT:

Purchase two new buses. 2021 Spirit of Freedom by ARBOC. Body on chassis vehicles with a useful life of 5 years or 150,000 miles.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	24' passenger, 2w/c

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$259,929
Local			\$28,882
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$288,811</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	8/30/2021	RFP issued
Contract Award Date	11/1/2021	Contract awarded
Initial Delivery Date	9/30/2022	initial delivery date for two buses
Contract Completion Date	12/31/2022	contract completed

**Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

**Extended Budget Description**

Amendment 1:

Additional \$26,667 from remaining 5311 TTP Competitive allocation to cover anticipated cost increases and include necessary additional safety/longevity features in the vehicle purchase, including running board, bed cover, snow plow & hookup, and brush guard

ORIGINAL GRANT:

Purchase a support service vehicle for expansion. 2021 Chevrolet Silverado with a useful life up to 4 years or 100,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$64,071
Local			\$7,119
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$71,190</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue date	8/30/2021	RFP issued
Contract Award Date	11/1/2021	Contract will be awarded.
Initial Delivery Date	9/30/2022	Support vehicle to be delivered
Contract completion date	12/31/2022	Complete contract and close out award.

**Project Environmental Findings****Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	7/26/2021

## Part 4: Fleet Details

**Fleet Type:** Fixed Route

#### Fleet Comments

Red Lake Transit currently operates two buses and three transit vans. The new busses coming in will allow us to place one of our high mileage buses into backup service. Our fleet currently consists of:

1. #18 - 2015 Ford E450 Bus ( 188398 miles)
2. #19 2015 Ford E450 Buss (156462 miles)
3. # 20 2015 Ford E350 Transit Van (154666 miles)
4. #21 2015 Ford E350 Transit van (139226 miles)
5. #22 2015 Ford E350 Transit van (119836 miles)

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	4
	B. Spares	1
	C. Total (A+B)	5
	D. Spare Ratio (B/A)	25%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	5

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Evan Gross



Comment Type	DOL Review for Information
Date	4/27/2022
Comment	5311 DOL for information - Amendment to MN-2022-006 to add additional 5311 Tribal Transit Program Discretionary Funds to the project due to cost increases.
	Evan Gross, FTA 312-886-1619

## Part 6: Agreement

### UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

#### GRANT AGREEMENT (FTA G-29, October 1, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

#### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: RED LAKE BAND OF CHIPPEWA INDIANS

Recipient ID: 6692

UEI:

DUNS: 078683406

## Application Information

Federal Award Identification Number: MN-2022-006-01

Application Name: LAPSING 5311c Discretionary Award for Capital

Application Start Date: 1/18/2022

Original Award End Date: 3/30/2025

Current Award End Date: 3/30/2025

Application Executive Summary: Amendment#1: This amendment adds the remaining \$53,333 from the discretionary award project selection (FY2019 5311c) to fully fund the purchase of two buses and one expansion support service vehicle. The addition of funds covers anticipated cost increases and needed vehicle standard safety options including installation of driver barriers for new buses. The additional funds will be matched at the required 10% threshold with an additional \$5,926 from the Tribal General fund. No scope change is associated with this amendment. No change to the period of performance. All other grant details (purpose, activities, expected outcomes, intended beneficiaries and subrecipient activities) remain unchanged from below:

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Intended Beneficiaries: People who reside in, work in or visit the Red Lake Tribe of Chippewa Indians

Subrecipient Activities: n/a

FY19 5311c discretionary grant in the amount of \$270,667 with a local match of \$30,075 for a total of \$300,742. Funding will be used to purchase two buses for expansion and one expansion support service vehicle. Discretionary ID #D2020-TRTR-011. Local funding source is the Tribal General Fund. This project will benefit our passengers greatly by expanding needed transportation to employment, shopping, etc., to provide for their daily necessities and way of life

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$360,001.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$53,333.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$5,926.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$324,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$36,001.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Competitive	5311-1C	20509	\$324,000
Local			\$36,001
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$360,001</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: Special Warranty  
Original Certification Date: 1/5/2022

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by

federal law and regulations before this Award may be made.

#### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

#### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*RED LAKE BAND OF CHIPPEWA INDIANS*