

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	KY-2021-011-02
Temporary Application Number	1003-2021-4
Application Name	CARES FFY20 Section 5311 Construction of add on Administrative Facility
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	3/18/2021	
Original Period of Performance End Date	3/30/2028	
Current Period of Performance End Date	3/30/2028	Revision #: 0

Part 1: Recipient Information

Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET		927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CPTL 700 CPITOL AVE STATE CAPITOL	FRANKFORT	KY	40601
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	

City	No Union
State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: CARES FFY20 Section 5311 Construction of add on Administrative Facility

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2021-011-02	Final Concurrence / Reservation	Grant	8/20/2021	8/20/2021	No

Application Executive Summary

Amendment #2 - adds Project KY 2021-011-02

Construction \$5,000,000

A & E \$500,000

Using remaining CARES FY20 Apportionment, zero remaining after this amendment.

Frankfort Transit

Frankfort Intermodal Intercity Garage Useful Life 40 years 11.43.01

11.43.01

Construction of Intercity Garage, five story building, intercity/administrative office, rest rooms, waiting room space

This Grant Amendment#2 includes \$5,500,000 for construction and A & E for ONE Facility in Frankfort, Ky Which is the Remaining CARES FY20 Apportionment

Frankfort Transit/City of Frankfort

Located: 405 Mero Street, Frankfort, KY 40601

Frankfort Intermodal/intercity Facility

POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell)

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

SEE POP

SEE NEPA Approvals

Kentucky Transportation Cabinet (KYTC) certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and

reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

ACTIVIITES TO BE PERFORMED:

To build an intermodal intercity 5 story Transit bus garage.
Construction and A & E

EXPECTED OUTCOMES:

To develop and construct an intercity bus garage allowing bus companies to pick up and drop off continuing meaningful connections. Also allowing space for transit employees out of the elements. Indoor covered Center. employees to have work space distancing preventing the spread of COVID or other related air borne diseases.

INTENDED BENEFITS:

- Facility Improvement- The current transit facility is remotely located and not easily accessible to citizens. The current facility is also no longer adequate to meet the needs of current/future staffing. The current building has met its useful service life and has considerable structural and maintenance issues. The facility is currently listed with a 2.3 rating on the Facility Condition Assessment Form submitted to KYTC on January 12, 2021. The facility was downgraded to this new rating based on a structural engineering study that found the facility to be structurally unsafe in multiple locations due to excessive rust and corrosion to the main support beams located thorough out the building. In addition, there is not adequate parking for both staff and the vehicle fleet. A new downtown center will provide the basic needs not being met by the current facility and allow for expansion of staffing and services in the future.

- Intermodal Connectivity- The new center will allow Frankfort to provide a hub for intermodal transportation. Bluegrass Community Action Partnership, Inc. dba BGCAP Transit and Miller Transportation provide service to and from Louisville and Lexington using Frankfort as a central location. The new facility would facilitate a large transfer station for all three agencies. This would increase convenience, safety and ridership. The center would also serve as a centralized ride-share location, allowing the public parking spaces to commute and decreasing the number of vehicles on our highways. In addition, other services such as taxis, Uber and Lyft would use the center to provide transportation for that "last mile" of a citizen's trip. Due to its centralized downtown location, there are many residential, retail, office, and commercial units within walking distance; therefore, the opportunity for pedestrian access to transportation options is increased.

Bluegrass Ultra-Transit Services has since changed its name to BGCAP Transit

SUBRECIPIENT BENEFITS:

OMB Subrecipient: KYTC/OTD will contract with the subrecipient, Section 5311 agency City of Frankfort, Frankfort Transit. KYTC/OTD will monitor the subrecipient project. The Frankfort Intermodal Intercity Garage will enable the transit employees to be in one centralized area (part of the employees currently located in both City Hall and another area several miles away). The Offices will be spaced for work distances preventing any future air borne diseases, etc. Intercity buses such as Greyhound, Miller Transportation or Bluegrass Community Bus Transportation will be able to pull off the main road and allow buses to load and unload passengers in a more secure/safe and convenient location. Parking for the buses will be more secure. The community will benefit with the parking spaces and with the anticipated economic opportunities.

This Project completes the 15% Intercity Bus Requirement for this Apportionment.

END OF AMENDMENT#2 APRIL 2022

Amendment #1- adds Project KY-2021-011-01-P2.

The Kentucky Transportation Cabinet (KYTC/OTD) is making an AMENDMENT to the Application KY 2021-011-00 for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:

\$58,808,456 and \$1,764,000 total \$60,572,456,

Under KY 2021-011-00 \$1,500,000 was awarded 3/18//2021.

This pending grant is for \$6,597,628 Federal. Amendment#1

The remaining balance of the FFY20 CARES is \$5,500,000.

This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky (USEFUL LIFE 40 YEARS)

LOCATED: 6021-6025 Atwood Dr, Richmond, KY 40475

Referred to as Madison County Transit Hub \$3,393,750 FEDERAL SHARE 100%

and for Operating total Federal: \$3,203,878.

(SCOPE(S) 300 & 114)

SEE POP

SEE NEPA Approvals

The proposed project will have three buildings: main facility; bus maintenance garage; and storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and man.

SEE Project Site.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

The Kentucky Transportation Cabinet (KYTC/OTD) is making application for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:
\$58,808,456 and \$1,764,000 total \$60,572,456
Under KY 2020-011 \$46,974,828 has been awarded.
The remaining balance of the FFY20 CARES is \$13,597,628

Pre-Award for expenses that occurred on or after January 20, 2020. Total Federal funds for this Application is \$1,500,000
The Balance of unobligated CARES funding after this GRANT is \$12,097,628
The GRANT is for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,500,000 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

The application scope of work includes:
\$1,500,000 in Capital Assistance,
ALI CODE Construction Administrative Facility
11.43.01
100% Federal
SEE Preliminary Floor Plan
The address is 222 Saint Elizabeth Street Owensboro, Kentucky.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID WORK distancing safety.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion.
See details in Extended budget and POP.
Floor Plan also included as an attached document and in POP.

No federal funding was previously received for this project or obligated in another grant application.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting

Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$13,597,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,597,628

Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-011-01-02	114-00 (114-A1)	BUS: SUPPORT EQUIP AND FACILITIES	\$1,500,000.00	\$0.00	\$1,500,000.00	1
KY-2021-011-01-02		11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$1,500,000.00	\$0.00	\$1,500,000.00	1
KY-2021-011-02-02	114-00 (114-A2)	BUS: SUPPORT EQUIP AND FACILITIES	\$3,393,750.00	\$0.00	\$3,393,750.00	1
KY-2021-011-02-02		11.41.03 ENG/DESIGN - ADMIN/ MAINTENANCE FACILITY	\$262,500.00	\$0.00	\$262,500.00	0
KY-2021-011-02-02		11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$3,131,250.00	\$0.00	\$3,131,250.00	1
KY-2021-011-02-02	300-00 (300-A3)	OPERATING ASSISTANCE	\$3,203,878.00	\$0.00	\$3,203,878.00	0
KY-2021-011-02-02		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,203,878.00	\$0.00	\$3,203,878.00	0
KY-2021-011-03-02	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$5,500,000.00	\$0.00	\$5,500,000.00	2
KY-2021-011-03-02		11.41.01 ENG/DESIGN - ADMIN FACILITY	\$500,000.00	\$0.00	\$500,000.00	1
KY-2021-011-03-02		11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$5,000,000.00	\$0.00	\$5,000,000.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES FFY20 Section 5311 Construction of Facility AACS Owensboro
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-011-01-02	1003-2021-4-P1	8/20/2021	4/5/2021	3/28/2025

Project Description

The application scope of work includes:

\$1,500,000 in Capital Assistance,

ALI CODE Add on Administrative Facility

11.43.01

100% Federal

The address is 222 Saint Elizabeth Street Owensboro, Kentucky

See Preliminary Floor Plan

KYTC is not funding Engineering/Design or construction Management, Nor is Engineering/Design or construction management coming out of this grant.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a Deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion.

The addition will consist of a single story, and 4,790 square feet. It will consist of 9 offices in the addition, plus 2 restrooms, one conference room, and a training room to accommodate 78 persons. There are multiple modifications to the existing space to accommodate, a Driver's area, 2 more additional restrooms, a larger breakroom, a larger workroom and additional space for Reservations and Dispatching. The building is being expanded for multiple reasons. Reason number one is to allow for more space to increase and allow for social distancing. In the current building configuration all employees must utilize 1 restroom. With 20 office staff members and 80 additional Drivers coming and going this situation is neither functional, or sanitary, and very difficult to accommodate during the pandemic. In addition our Breakroom is too small for this many employees. Our organization has grown dramatically from the time this building was constructed and our training room had to be converted into office space to accommodate the larger management staff.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID work distancing safety

Adding Administrative Facility

KYTC does not own the land but will place a deed of restrictions on the property/facility STATING USEFUL LIFE 40 YEARS AND FTA/KYTC MUST APPROVE IN DISPOSAL/USAGE OF THE PROPERTY

Project Benefits

Allow drivers, employees to have space to distance workstations, break rooms and maintain safe environment during COVID or any other pandemic

Additional Information

None provided.

Location Description

Congressional District 2

Dan Lanham

Director of Transportation

AACS/GRITS Transportation

222 Saint Elizabethtown Street

Owensboro, KY 42301

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky
212750	Owensboro, KY

Congressional District Information

State	District	Representative
Kentucky	2	Brett Guthrie

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,500,000
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,500,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-011-01-02	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$1,500,000.00	\$0.00	\$1,500,000.00	1
KY-2021-011-01-02	11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$1,500,000.00	\$0.00	\$1,500,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.43.01 - CONSTRUCT - ADMINISTRATIVE BUILDING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

Federal Share \$1,500,000

Local \$0

Total line item \$1,500,000

11.41.03 Owensboro AACS/GRITS

This Facility is Located 222 Saint Elizabeth Street Owensboro, Kentucky.

The addition will consist of a single story, and 4,790 square feet. It will consist of 9 offices in the addition, plus 2 restrooms, one conference room, and a training room to accommodate 78 persons. There are multiple modifications to the existing space to accommodate, a Driver's area, 2 more additional restrooms, a larger breakroom, a larger workroom and additional space for Reservations and Dispatching. The building is being expanded for multiple reasons. Reason number one is to allow for more space to increase and allow for social distancing. In the current building configuration all employees must utilize 1 restroom. With 20 office staff members and 80 additional Drivers coming and going this situation is neither functional, or sanitary, and very difficult to accommodate during the pandemic. In addition our Breakroom is too small for this many employees. Our organization has grown dramatically from the time this building

was constructed and our training room had to be converted into office space to accommodate the larger management staff.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

40 Years Useful Life

Additional information in attachments of grant

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,500,000

Milestone Name	Est. Completion Date	Description
Start Date	4/5/2021	AACS/GRITS will submit design and procurement documents and send to State for Approval
State approval of design/procurement facility documents	6/15/2021	State review footprint/design documents Review for compliance State seeks to approve beginning of construction process
Approve bid process	8/1/2021	Approve bid process
AACS GRITS Progress Report to KYTC/OTD	11/1/2021	AACS GRITS will make a progress Report to the State
State Inspections/AACS GRITS Progress Reports	2/15/2022	State will continue on going inspections and AACS/GRITS will submit Progress Report
AACS GRITS Progress Report March 2023	3/15/2023	AACS GRITS will submit ongoing progress reports of the construction KYTC/OTD will monitor and discuss with the progress and reimburse payments of work
Final work construction performance	11/15/2024	final performance work construction on project
End Date	3/28/2025	Complete inspections, invoices and initiate closeout

Project Environmental Findings

Finding: Class II(d) - Categorical Exclusions (D-List)

Class Level Description

Class II(d) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) requires documentation.

Categorical Exclusion Description

Type 09: Other

Date Description	Date
Class IId CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	1	\$1,500,000.00	\$1,500,000.00

Project Title: KY River Foothills Add Construction of Adm/Maintenance Facility

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-011-02-02	KY-2021-011-01-P2	8/20/2021	4/15/2021	10/15/2024

Project Description

The Kentucky Transportation Cabinet (KYTC/OTD) is making an AMENDMENT to the Application KY 2021-011-00 for FFY20 CARES Section 5311 Federal 100% Amendment #1 adds the below project KY-2021-011-01-P2

The Total FFY20 CARES Apportionment:
\$58,808,456 and \$1,764,000 total \$60,572,456,

Under KY 2020-011-00/02/03 \$46,974,828 has been awarded.

Under KY 2021-011-00 \$1,500,000 was awarded 3/18//2021.

This pending grant is for \$6,597,628 Federal. Amendment#1

The remaining balance of the FFY20 CARES is \$5,500,000.

This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky
LOCATED: 6021-6025 Atwood Dr, Richmond, KY 40475

Referred to as Madison County Transit Hub (USEFUL LIFE 40 YEARS)

and for Operating total Federal: \$3,203,878.

(SCOPE(S) 300 & 114)

SEE POP

SEE NEPA Approvals

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

This grant amendment is for \$6,597,628 KY-2021-011-01

30.09.08 Operating \$3,203,878

11.41.03 A & E \$262,500

11.43.03 Paving \$56,250 (5 years useful life)

11.43.03 Construction of Adm/Maintenance Facility \$3,075,000 (40 yr useful life)

TO DATE the Total Federal Share AWARDED: \$48,474,828 There is a total intercity of 7.33% of the 5311 CARES Act grant programmed for Intercity from CARES FFY20 apportionment.. Although 15% (\$8,821,268) of the Apportionment has not yet been fully designated for Intercity, the remaining apportionment includes an intercity construction (\$5.5), which will surpass the 15% Intercity and will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

The Balance of unobligated CARES funding is \$5,500,000

See Attached POP and STIP. See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program. KYTC/OTD is a designated recipient of the Section 5311 funds. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Project Benefits

PROJECT DESCRIPTION- USEFUL LIFE 40 YEARS

Foothills Express has outgrown its current facility (309 Spangler Drive, Richmond) and to accommodate COVID-19 recommendations has adjusted transit work schedules where staff alternate working from the office. This has kept staff members from being crowded into small offices (for example, three dispatchers commonly shared one office prior to COVID-19 precautions). The new facility will allow staff to be distanced enough to work in the office together which will increase efficiency and camaraderie among team members.

The proposed project will have three buildings: main facility; bus maintenance garage; and storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and management. Occasionally the conference and training rooms may be used for short-term meetings/events by other groups including Foothills' programs, partner organizations

Additional Information

Specifically, the design of the proposed facility will promote social distancing by separating dispatch staff with cubicle style desks; having separate training and conference areas (rather than a shared space as we currently have); having a large waiting room in the front of the building; having separate office suites for transit staff and support staff including fiscal, HR, IT, and management. Covered patios on each side of the building will allow for staff to enjoy fresh air during breaks; and additional parking for visitors will isolate employee and bus parking.

Location Description

This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky
 Located: 6021-6025 Atwood Dr, Richmond, KY 40475.
 Congressional District #6

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky
211250	Lexington-Fayette, KY

Congressional District Information

State	District	Representative
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,597,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$6,597,628

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-011-02-02	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$3,393,750.00	\$0.00	\$3,393,750.00	1
KY-2021-011-02-02	11.41.03 ENG/DESIGN - ADMIN/ MAINTENANCE FACILITY	\$262,500.00	\$0.00	\$262,500.00	0
KY-2021-011-02-02	11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$3,131,250.00	\$0.00	\$3,131,250.00	1
KY-2021-011-02-02	300-00 (300-A3) OPERATING ASSISTANCE	\$3,203,878.00	\$0.00	\$3,203,878.00	0
KY-2021-011-02-02	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,203,878.00	\$0.00	\$3,203,878.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

11.43.03

\$3,131,250 Federal Share (100% CARES)

PROJECT DESCRIPTION- USEFUL LIFE 40 YEARS for Facility

Paving around Facility will have a Useful Life of 5 years.

Foothills Express has outgrown its current facility (309 Spangler Drive, Richmond) and to accommodate COVID-19 recommendations has adjusted transit work schedules where staff alternate working from the office. This has kept staff members from being crowded into small offices (for example, three dispatchers

commonly shared one office prior to COVID-19 precautions). The new facility will allow staff to be distanced enough to work in the office together which will increase efficiency and camaraderie among team members.

Specifically, the design of the proposed facility will promote social distancing by separating dispatch staff with cubicle style desks; having separate training and conference areas (rather than a shared space as we currently have); having a large waiting room in the front of the building; having separate office suites for transit staff and support staff including fiscal, HR, IT, and management. Covered patios on each side of the building will allow for staff to enjoy fresh air during breaks; and additional parking for visitors will isolate employee and bus parking.

The proposed project will have three buildings

Main facility; Bus maintenance garage; and Storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and management. Occasionally the conference and training rooms may be used for short-term meetings/events by other groups including Foothills' programs, partner organizations. Within the operational facility, a large training room will enable Foothills Express to conduct staff training at a central location. This space will also allow KRFDC to host community events, such as public hearings, board of directors meetings, and other extended learning including CPR, First-Aid, Bloodborne Pathogen, Defensive Driving, Quarterly Meetings, etc. Due to extremely limited meeting and parking space, the agency is not able to host these types of meetings and trainings at its current location. Thus, Foothills Express staff often utilizes a complimentary space on the campus of Eastern Kentucky University. Justification for New Facility: Currently, the Foothills Express operational staff is currently positioned in a facility not suitable for use as a true transit hub due to its location and space limitations. Specifically, it is located in a business district that is almost 100% developed, leaving little opportunity for expansion, flexibility, or transparency for its many patrons.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,131,250
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,131,250

Milestone Name	Est. Completion Date	Description
Start Date	5/17/2021	KRF will submit to the State for approval Procurement Documents and Design Plans for construction.
Approval to go out to bid	6/1/2021	Approval to go out for construction
Approval of award on bid	7/1/2021	State approval of award

Periodic Inspections and review of progress reports	8/2/2021	State review of progress/inspections
State Review of Progress Reports	12/1/2021	State Review of Construction Progress
State Final Approval/Inspections/Invoicing	8/25/2024	State will make final inspections/review of construction project. finalize invoicing and reports by
End Date of Construction	10/15/2024	Finals End of Project

Budget Activity Line Item: 11.41.03 - ENG/DESIGN - ADMIN/MAINTENANCE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.03	ENG/DESIGN - ADMIN/ MAINTENANCE FACILITY	ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL	0

Extended Budget Description

11.41.03 Federal Share \$262,500 (100% CARES)

Subgrantee Kentucky River Foothills Development.

Eng/Design of Madison Transit Hub located at located: 6021-6025 Atwood Dr, Richmond, KY 40475.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$262,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$262,500

Milestone Name	Est. Completion Date	Description
Start Date	4/15/2021	Engineer/Design of Facility Approval Start Date
Ongoing Progress of A & E	12/15/2021	Ongoing Progress of A & E
End Date	1/5/2023	End Date of Eng/Design of Kentucky River Foothills Facility Located located: 6021-6025 Atwood Dr, Richmond, KY 40475

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

30.09.08

Operating Federal Share \$3,203,878.00

Total Eligible \$3,203,878

See POP detail transit agencies

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,203,878
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,203,878

Milestone Name	Est. Completion Date	Description
Start Date to begin by July 1 2021	7/1/2021	State to reimburse operating expenses by July 1, 2021
End Date	6/30/2022	to complete disbursements by June 30, 2022

Project Environmental Findings

Finding: Class II(d) - Categorical Exclusions (D-List)

Class Level Description

Class II(d) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) requires documentation.

Categorical Exclusion Description

Type 09: Other

Date	Description	Date
	Class IId CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	1	\$3,131,250.00	\$3,131,250.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.03	ENG/DESIGN - ADMIN/ MAINTENANCE FACILITY	0	\$262,500.00	\$262,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OPERATING ASSISTANCE (300- 00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$3,203,878.00	\$3,203,878.00
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Project Title: FKFT Construction Intercity Garage Facility

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-011-03-02	KY-2021-011-02-P3	8/24/2021	5/3/2022	11/1/2024

Project Description

This Grant Amendment#2 includes \$5,500,000 for construction and A & E for ONE Facility in Frankfort, Ky Remaining CARES FY20 Apportionment located: 405 Mero Street, Frankfort, KY 40601 Frankfort Intermodal/intercity Facility POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell) (SCOPE 114) 11.43.01 USEFUL LIFE 40 YEARS SEE POP SEE NEPA Approvals The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act). This grant amendment is for \$5,500,000 KY-2021-011-02

11.41.01 A & E \$500,000

11.43.01 Construction of Intermodal/Intercity Garage/Facility \$5,000,000 (40 yr useful life)
THIRD PARTY GUIDELINES WILL BE FOLLOWED

Project Benefits

Service for intercity connection for Greyhound, Miller Intercity Transportation Bus Companies. Service for Bluegrass Ultra Transit (Section 5311 bus company) to make meaningful connections. Service for public parking and allow Frankfort Transit to have work distance space for Transit employees/bus drivers.

Additional Information

Allow space between work areas for transit employees and further reduce COVID or airborne contaminants.

Location Description

Frankfort, KY

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky
211250	Lexington-Fayette, KY

Congressional District Information

State	District	Representative
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Kentucky	6	Andy Barr
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Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,500,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-011-03-02	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$5,500,000.00	\$0.00	\$5,500,000.00	2
KY-2021-011-03-02	11.41.01 ENG/DESIGN - ADMIN FACILITY	\$500,000.00	\$0.00	\$500,000.00	1
KY-2021-011-03-02	11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$5,000,000.00	\$0.00	\$5,000,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.43.01 - CONSTRUCT - ADMINISTRATIVE BUILDING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634- 00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

AMENDMENT#2

Adding construction of intercity, five story administrative facility and garage for the Frankfort Transit
Uses remaining CARES FY20 apportionment

11.43.01 Construction of Intermodal/Intercity Garage/Facility \$5,000,000 (40 yr useful life)

Construction Federal \$5,000,000 (100% federal)

SEE POP

SEE NEPA

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,000,000

Milestone Name	Est. Completion	Description
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Date		
Procurement Process Start Date	6/1/2022	Procurement process to begin by June 1 2022
Procurement Award Date	8/2/2022	Award date to be made by August 2022
Completion Construction End Date	11/1/2024	Final inspection, invoices completion scheduled to be Nov 2024

Budget Activity Line Item: 11.41.01 - ENG/DESIGN - ADMIN FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.41.01	ENG/DESIGN - ADMIN FACILITY	ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

A & E Services for Frankfort Administrative/Garage Facility

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
RFP procurement Start Date	5/3/2022	Start RFP process by 05/03/22
Award RFP date	7/31/2022	award RFP A & E
RFP End Date	11/1/2024	End projected date of A & E

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.41.01	ENG/DESIGN - ADMIN FACILITY	1	\$500,000.00	\$500,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	1	\$5,000,000.00	\$5,000,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Sherman

Comment Type	DOL Review for Information
Date	4/28/2022
Comment	Sent to DOL on 04/28/22

Application Review Comments

Comment By Michael Sherman

Comment Type	Pre-Award Manager Returns Application
Date	9/23/2021

Comment	<p>1) For the executive summary, please revise to incorporate the First Paragraph Template referenced below and remove CRRSAA and add in CARES Act. The language is located on page 3 of the attached template.</p> <p>2) Because this is a capital project, please include the appropriate furlough language listed on page 3 & 4 of the attached toolkit and revise the Executive Summary accordingly to include the language.</p> <p>3) Please include the statement in the Executive Summary listed on page 4 of the toolkit to indicate whether or not the project lead to a functional, location, or capacity change.</p> <p>4) Because 3rd party contractors will be used, please add the language into the Executive Summary. Please see page 4 of the attached toolkit.</p> <p>5) Please revise the Executive Summary to include the required 15% intercity bus calculation. The language must outline whether the 15% ICB calculation has been met and include the dollar amount.</p> <p>6) Review the STIP/TIP language on page 6 of the attached toolkit and incorporate into the Executive Summary.</p> <p>7) Please go back and make clear distinctions between Amendment 2, Amendment 1, and the original application for clarity purposes.</p> <p>8) Please clarify whether 3rd party contractors will be used to construct the admin building (Scope 114-00 and ALI 11.43.01).</p> <p>9) Per new guidance, you must incorporate the new OMB requirements into your Executive Summary. Please add the following five elements into the Executive Summary portion of the application and provide the narrative. See below:</p> <ul style="list-style-type: none">o Purpose: For example, the purpose of this award is to provide two years of operating assistance to (insert transit agency) and its subrecipients to assist it in recovering from COVID-19 during the period of and insert time frame it covers.o Activities to be performed: Funds will be used to cover all eligible operating expenses and then, List all eligible operating expenses, in order to continue transit operations.o Expected outcomes: How this funding will continue to provide operations which would otherwise had to have been cut due to lack of funding.o Intended beneficiaries: How transit riders rely and benefit on continued transit services (e.g. transportation to and from work, essential services, etc).o Subrecipient Activities: List subrecipient activities or if no subrecipients, then put none.
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Comment By Michael Sherman

Comment Type	Pre-Award Manager Returns Application
Date	4/22/2022
Comment	<p>1) In the EBD, please revise to add the useful life of the facilities and other capital construction items. This is from the CARES Grant Toolkit:</p> <p>a. Useful Life. Please include useful life information for all rolling stock ALIs and capital equipment valued over \$5,000 in the EBD of the applicable ALI. Refer to C 5010.1E for common useful life standards and sources where useful life information of less common assets may be found.</p> <p>i. For vehicle replacement, include a list of vehicles being replaced with vehicle identification numbers (VINs) and mileage (or reference the attached document where information is listed). Include a statement that replacement vehicles have met useful life.</p> <p>ii. For State Department of Transportation recipients: If the State has a different useful life from FTA in their State Management Plan (SMP), please note in EBD.</p>

Application Review Comments

Comment By Doretha Foster

Comment Type	Application Details
Date	4/26/2022
Comment	<p>Civil Rights concurrence with comments:</p> <p>To comply with Title VI requirements as outlined in 49 CFR Section 21.9(b)(3) and as described in FTA Circular 4702.1B, recipient must ensure that a Title VI equity analysis (often called a site equity analysis) is completed during the planning stage to determine whether the site or location of a facility will result in discrimination on the grounds of race, color or national origin.</p> <p>The site equity analysis must compare the equity impacts of various siting alternatives, and the analysis must occur before the selection of the preferred site. If the recipient determines that the location of the project will result in a disparate impact, the recipient may only locate the project in that location if there is a substantial legitimate justification for locating the project there, and where there are no alternative locations that would have a less disparate impact. The recipient must show how both tests are met; it is important to understand that in order to make this showing, the recipient must consider and analyze alternatives to determine whether those alternatives would have less of a disparate impact on the basis of race, color, or national origin, and then implement the least discriminatory alternative.</p>

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-28, February 9, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID: 1003

UEI:

DUNS: 927328732

Application Information

Federal Award Identification Number: KY-2021-011-02

Application Name: CARES FFY20 Section 5311 Construction of add on Administrative Facility

Application Start Date: 3/18/2021

Original Award End Date: 3/30/2028

Current Award End Date: 3/30/2028

Application Executive Summary: Amendment #2 - adds Project KY 2021-011-02
Construction \$5,000,000
A & E \$500,000
Using remaining CARES FY20 Apportionment, zero remaining after this amendment.
Frankfort Transit

Frankfort Intermodal Intercity Garage Useful Life 40 years 11.43.01

11.43.01

Construction of Intercity Garage, five story building, intercity/administrative office, rest rooms, waiting room space

This Grant Amendment#2 includes \$5,500,000 for construction and A & E for ONE Facility in Frankfort, Ky

Which is the Remaining CARES FY20 Apportionment

Frankfort Transit/City of Frankfort

Located: 405 Mero Street, Frankfort, KY 40601

Frankfort Intermodal/intercity Facility

POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell)

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

SEE POP

SEE NEPA Approvals

Kentucky Transportation Cabinet (KYTC) certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

ACTIVITIES TO BE PERFORMED:

To build an intermodal intercity 5 story Transit bus garage.
Construction and A & E

EXPECTED OUTCOMES:

To develop and construct an intercity bus garage allowing bus companies to pick up and drop off continuing meaningful connections. Also allowing space for transit employees out of the elements. Indoor covered Center. employees to have work space distancing preventing the spread of COVID or other related air borne diseases.

INTENDED BENEFITS:

· Facility Improvement- The current transit facility is remotely located and not easily accessible to citizens. The current facility is also no longer adequate to meet the needs of current/future staffing. The current building has met its useful service life and has considerable structural and maintenance issues. The facility is currently listed with a 2.3 rating on the Facility Condition Assessment Form submitted to KYTC on January 12, 2021. The facility was downgraded to this new rating based on a structural engineering study that found the facility to be structurally unsafe in multiple locations due to excessive rust and corrosion to the main support beams located thorough out the building. In addition, there is not adequate parking for both staff and the vehicle fleet. A new downtown center will provide the basic needs

not being met by the current facility and allow for expansion of staffing and services in the future.

· Intermodal Connectivity- The new center will allow Frankfort to provide a hub for intermodal transportation. Bluegrass Community Action Partnership, Inc. dba BGCAP Transit and Miller Transportation provide service to and from Louisville and Lexington using Frankfort as a central location. The new facility would facilitate a large transfer station for all three agencies. This would increase convenience, safety and ridership. The center would also serve as a centralized ride-share location, allowing the public parking spaces to commute and decreasing the number of vehicles on our highways. In addition, other services such as taxis, Uber and Lyft would use the center to provide transportation for that "last mile" of a citizen's trip. Due to its centralized downtown location, there are many residential, retail, office, and commercial units within walking distance; therefore, the opportunity for pedestrian access to transportation options is increased.

Bluegrass Ultra-Transit Services has since changed its name to BGCAP Transit

SUBRECIPIENT BENEFITS:

OMB Subrecipient: KYTC/OTD will contract with the subrecipient, Section 5311 agency City of Frankfort, Frankfort Transit. KYTC/OTD will monitor the subrecipient project. The Frankfort Intermodal Intercity Garage will enable the transit employees to be in one centralized area (part of the employees currently located in both City Hall and another area several miles away). The Offices will be spaced for work distances preventing any future air borne diseases, etc. Intercity buses such as Greyhound, Miller Transportation or Bluegrass Community Bus Transportation will be able to pull off the main road and allow buses to load and unload passengers in a more secure/safe and convenient location. Parking for the buses will be more secure. The community will benefit with the parking spaces and with the anticipated economic opportunities.

This Project completes the 15% Intercity Bus Requirement for this Apportionment.

END OF AMENDMENT#2 APRIL 2022

Amendment #1- adds Project KY-2021-011-01-P2.

The Kentucky Transportation Cabinet (KYTC/OTD) is making an AMENDMENT to the Application KY 2021-011-00 for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:

\$58,808,456 and \$1,764,000 total \$60,572,456,

Under KY 2021-011-00 \$1,500,000 was awarded 3/18//2021.

This pending grant is for \$6,597,628 Federal. Amendment#1

The remaining balance of the FFY20 CARES is \$5,500,000.

This Grant Applications includes \$3,393,750 for construction and A & E for ONE Facility in Richmond, Ky (USEFUL LIFE 40 YEARS)

LOCATED: 6021-6025 Atwood Dr, Richmond, KY 40475

Referred to as Madison County Transit Hub \$3,393,750 FEDERAL SHARE 100%

and for Operating total Federal: \$3,203,878.

(SCOPE(S) 300 & 114)

SEE POP

SEE NEPA Approvals

The proposed project will have three buildings: main facility; bus maintenance garage; and storage building. In addition to conference and training space, it will have 12 offices plus the dispatch center. The facility will comprise 12,000 square footage. The space will be primarily used by Foothills Express transportation staff including support services such as fiscal, IT, HR, and man.

SEE Project Site.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

The Kentucky Transportation Cabinet (KYTC/OTD) is making application for FFY20 CARES Section 5311 Federal 100%

The Total FFY20 CARES Apportionment:

\$58,808,456 and \$1,764,000 total \$60,572,456

Under KY 2020-011 \$46,974,828 has been awarded.

The remaining balance of the FFY20 CARES is \$13,597,628

Pre-Award for expenses that occurred on or after January 20, 2020. Total Federal funds for this

Application is \$1,500,000

The Balance of unobligated CARES funding after this GRANT is \$12,097,628

The GRANT is for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,500,000 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

The application scope of work includes:

\$1,500,000 in Capital Assistance,

ALI CODE Construction Administrative Facility

11.43.01

100% Federal

SEE Preliminary Floor Plan

The address is 222 Saint Elizabeth Street Owensboro, Kentucky.

Construct additional space attached to the current transit facility in Owensboro to include a training room, office space, restrooms, driver's work area, conference room, increased space for technology, larger office workroom, and a larger breakroom, larger spaces for COVID WORK distancing safety.

1) The project will be designed by a local Architectural firm that was selected from 3 firms via a Request for Qualifications process. The award was based on a scoring criteria, and the highest scoring firm was chosen. The Architectural firm will select Engineers for use on this project, the firm chosen has some Engineering disciplines on staff. The Architect will be responsible for complete construction drawings and specifications, as well as routine on-site inspections to insure compliance with plans and specifications. A testing firm will be hired by Audubon Area Community Services (Owner) to insure all necessary testing will be performed by an independent firm, qualified to perform testing services. Once the Architect has completed construction documents that are reviewed and approved by the Owner, and the KYTC Office

of Transportation Delivery, a bid date will be chosen and the project will be placed out for bid to General Contracting firms. The lowest and best bid will be selected from the responding General Contractors and a contract will be signed and construction will be underway.

2) The land that this addition will be constructed on is owned by Audubon Area Community Services, with a deed of restrictions placed on it by the KYTC/FTA. This property was kept vacant when the original Parking Garage was constructed with the intent of allowing for future expansion.

See details in Extended budget and POP.

Floor Plan also included as an attached document and in POP.

No federal funding was previously received for this project or obligated in another grant application.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This project is listed in the current Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC will ensure contractors procured will not be on the FTA Suspension and Debarment list.

The intercity bus requirement has been waived, but intercity bus activities are an eligible expense. There is not any additional RTAP funding.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$13,597,628.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$5,500,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$13,597,628.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$13,597,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,597,628

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 3/15/2021

Review Decision: Special Warranty
Amendment 1 Certification Date: 3/29/2021

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION CABINET, KENTUCKY