

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AR-2021-007-01
Temporary Application Number	1561-2021-3
Application Name	LAPSING: Arkansas DOT; FY16 5307 transferred to FY16 5311 Funds and FY21 5307; Operating Expenses and Bus Purchases
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	8/4/2021	
Original Period of Performance End Date	6/1/2024	
Current Period of Performance End Date	6/1/2024	Revision #: 0

Part 1: Recipient Information

Name: TRANSPORTATION, ARKANSAS DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1561	State Agency	ARKANSAS STATE HIGHWAY AND TRANSPORTATION DEPARTMENT	U9GQWG2L9ZQ3	809873235

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL STE 250	LITTLE ROCK	AR	72201
Physical Address	10324 INTERSTATE 30 (EXIT 130)	LITTLE ROCK	AR	72209
Mailing Address	P. O. BOX 2261	LITTLE ROCK	AR	72203

Union Information

Union Name	AMALGAMATED TRANSIT UNION(STATEWIDE)
Address 1	5025 WISCONSIN AVE

Address 2	
City	WASHINGTON
State	District of Columbia
Zipcode	20016
Contact Name	MR. LEO WETZEL
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

Part 2: Application Information

Title: LAPSING: Arkansas DOT; FY16 5307 transferred to FY16 5311 Funds and FY21 5307; Operating Expenses and Bus Purchases

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AR-2021-007-01	Final Concurrence / Reservation	Grant	3/14/2022	3/14/2022	No

Application Executive Summary

Amendment 1:

This application is to request the remaining FY21 Section 5311 Federal Funds apportioned to Arkansas State in the amount of \$13,384,778.

Purpose: The purpose of this award is to provide the state of Arkansas and its subrecipients with project administration, preventive maintenance, operating rural and intercity, and RTAP funds.

Activities to be performed: Funds will be used for state and project administration, preventive maintenance, operating rural and intercity, and RTAP.

Expected Outcomes: These funds will help to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These projects will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education in the state of Arkansas.

Intended Beneficiaries: Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation in the state of Arkansas.

Subrecipient Activities: These funds will cover project administration and preventive maintenance for nine subrecipients, operating for eight subrecipients; operating for three intercity agencies, and capital for two intercity agencies.

Total local match of \$8,609,095 will be provided by agencies (cash, rental car tax, and Medicaid funds). Total local In-Kind Match will be from Greyhound in the amount of \$450,000 for unsubsidized expenses for the Little Rock to West Memphis project .

Grant AR-2020-018-01 has \$1,345,507 of in-kind match and this grant will have \$450,000 of in-kind match

for a grand total of \$1,795,507 across both grants. The two in-kind letters attached have \$1,831,935 of in-kind match.

All projects are currently listed in category B until agreements and certifications are signed. The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program. A certification letter of exemption is not needed for FY21 as the 15% requirement will be obligated. In this award, ARDOT applied for a partial amount of this requirement \$1,143,440 with a remaining balance of \$1,000,000 left to be requested in this Amendment 1. Cost and price estimates have been submitted.

Arkansas DOT (ARDOT) is applying for FY21(\$1,143,440) and FY16 (\$889,948) 5311 federal funds in the federal amount of \$2,033,388.

Purpose of the Award, Expected Outcomes, Intended Beneficiaries: This award will go towards bus purchases and operating expenses. These funds will help to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These projects will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

The following is a breakdown of federal funds in this application:

\$1,143,440 (FY21 5311 Partial Apportionment), (Remaining funds are to be added to this grant as an amendment later)

\$889,948 (FY16 5307 Small Urban Conway Funds transferred to FY16 5311)

\$2,033,388 Federal Amount Total

Subrecipient Activities and Activities to be performed: These grant funds will cover operating for South Central AR Transit (SCAT); Operating and capital expenses for Jefferson Lines, an intercity agency; and capital expenses for Greyhound, an intercity agency.

All projects are currently listed in category B until agreements and certifications are signed. The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program. A certification letter of exemption is not needed for FY21 as the 15% requirement (\$2,143,440) will be obligated. In this award, ARDOT is applying for a partial amount of this requirement \$1,143,440 with a remaining balance of \$1,000,000 left to be requested in an Amendment. Cost and price estimates have been submitted.

Local match (\$1,421,388) will be provided by agencies (cash, rental car tax, and Medicaid funds).

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ronisha	Hodge	Community Planner	ronisha.hodge@dot.gov	(817) 978-0576
Patricia	Slater	FTA Grants Officer	patricia.slater@ardot.gov	501-569-2475

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,418,166
Local			\$8,609,095
Local/In-Kind			\$450,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$24,477,261

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2021-007-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$889,948.00	\$889,948.00	\$1,779,896.00	0
AR-2021-007-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$889,948.00	\$889,948.00	\$1,779,896.00	0
AR-2021-007-02-01	117-00 (117-A5) OTHER CAPITAL ITEMS (BUS)	\$314,000.00	\$78,500.00	\$392,500.00	0

AR-2021-007-02-01		11.7A.00	amend 1: PREVENTIVE MAINTENANCE	\$314,000.00	\$78,500.00	\$392,500.00	0
AR-2021-007-02-01	300-00 (300-A4)		OPERATING ASSISTANCE	\$5,113,697.00	\$5,113,697.00	\$10,227,394.00	0
AR-2021-007-02-01		30.09.01	amend 1: UP TO 50% FEDERAL SHARE	\$5,113,697.00	\$5,113,697.00	\$10,227,394.00	0
AR-2021-007-02-01	610-00 (610-A6)		STATE / PROGRAMS ADMINISTRATION	\$936,429.00	\$0.00	\$936,429.00	0
AR-2021-007-02-01		11.80.00	amend 1: STATE OR PROGRAM ADMINISTRATION	\$936,429.00	\$0.00	\$936,429.00	0
AR-2021-007-02-01	620-00 (620-A7)		PROJECT ADMINISTRATION	\$5,782,037.00	\$1,445,510.00	\$7,227,547.00	0
AR-2021-007-02-01		11.79.00	Amend 1: PROJECT ADMINISTRATION	\$5,782,037.00	\$1,445,510.00	\$7,227,547.00	0
AR-2021-007-02-01	634-00 (634-A2)		INTERCITY BUS TRANSPORTATION	\$2,143,440.00	\$1,531,440.00	\$3,674,880.00	2
AR-2021-007-02-01		11.12.08	BUY REPLACEMENT INTERCITY BUS	\$400,000.00	\$100,000.00	\$500,000.00	1
AR-2021-007-02-01		11.13.08	BUY INTERCITY BUS-EXPANSION	\$416,000.00	\$104,000.00	\$520,000.00	1
AR-2021-007-02-01		30.09.01	amend 1: UP TO 50% FEDERAL SHARE	\$1,327,440.00	\$1,327,440.00	\$2,654,880.00	0
AR-2021-007-03-01	635-00 (635-A3)		RURAL TRANSIT ASSISTANCE PROGRAM	\$238,615.00	\$0.00	\$238,615.00	0
AR-2021-007-03-01		43.50.01	amend 1: TRAINING	\$238,615.00	\$0.00	\$238,615.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Conway Small Urban 5307 FY 2016 Lapsing Funds transferred to 5311

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2021-007-01-01	1561-2021-3-P1	3/14/2022	1/3/2022	4/4/2022

Project Description

Conway Small Urban Section 5307 funds (\$889,948) that have been approved to be transferred to Section 5311 will assist in supporting the operation of essential duties for the SCAT transit system. Some of the costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses, etc.

Project Benefits

These funds will help to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These projects will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
050000	Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A Crawford
Arkansas	2	J F Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 6/30/2021

Description: Conway Small Urban 5307 FY 2016 Lapsing Funds transferred to 5311 STIP amendment

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$889,948
Local			\$889,948
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,779,896

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2021-007-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$889,948.00	\$889,948.00	\$1,779,896.00	0
AR-2021-007-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$889,948.00	\$889,948.00	\$1,779,896.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Funding will be used to support the operation of essential duties for SCAT. Costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses, etc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$889,948
Local			\$889,948
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,779,896

Milestone Name	Est. Completion Date	Description
Start Date	1/3/2022	Estimated date the operating funds will start being utilized by SCAT.
End Date	4/4/2022	Estimated date the operating funds will be expended, and ECHO drawdowns reimbursed to ARDOT.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$889,948.00	\$1,779,896.00

Project Title: Amend 1: FY 2021 5311 Projects

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2021-007-02-01	1561-2021-3-P3	3/14/2022	11/1/2021	5/19/2025

Project Description

Amend 1: This project includes the remaining FY 2021 5311 Federal funds for State and Project Administration, Preventive Maintenance, and Operating for Rural and Intercity.

This project includes a portion of FY 2021 (\$1,143,440) funds in the amount of \$327,440 for Jefferson Lines Operating and \$816,000 for Jefferson Lines and Greyhound Capital.

Project Benefits

These funds will help to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These projects will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation for the state of Arkansas.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
050000	Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A Crawford
Arkansas	2	J F Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 4/29/2021

Description: 2021 - 2024 STIP Final Transit page 4, Approved on 4/29/21

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,289,603
Local			\$7,719,147
Local/In-Kind			\$450,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,458,750

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2021-007-02-01	117-00 (117-A5) OTHER CAPITAL ITEMS (BUS)	\$314,000.00	\$78,500.00	\$392,500.00	0
AR-2021-007-02-01	11.7A.00 amend 1: PREVENTIVE MAINTENANCE	\$314,000.00	\$78,500.00	\$392,500.00	0
AR-2021-007-02-01	300-00 (300-A4) OPERATING ASSISTANCE	\$5,113,697.00	\$5,113,697.00	\$10,227,394.00	0
AR-2021-007-02-01	30.09.01 amend 1: UP TO 50% FEDERAL SHARE	\$5,113,697.00	\$5,113,697.00	\$10,227,394.00	0
AR-2021-007-02-01	610-00 (610-A6) STATE / PROGRAMS ADMINISTRATION	\$936,429.00	\$0.00	\$936,429.00	0
AR-2021-007-02-01	11.80.00 amend 1: STATE OR PROGRAM ADMINISTRATION	\$936,429.00	\$0.00	\$936,429.00	0
AR-2021-007-02-01	620-00 (620-A7) PROJECT ADMINISTRATION	\$5,782,037.00	\$1,445,510.00	\$7,227,547.00	0

AR-2021-007-02-01		Amend 1: 11.79.00 PROJECT ADMINISTRATION	\$5,782,037.00	\$1,445,510.00	\$7,227,547.00	0
AR-2021-007-02-01	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$2,143,440.00	\$1,531,440.00	\$3,674,880.00	2
AR-2021-007-02-01		BUY 11.12.08 REPLACEMENT INTERCITY BUS	\$400,000.00	\$100,000.00	\$500,000.00	1
AR-2021-007-02-01		BUY INTERCITY 11.13.08 BUS-EXPANSION	\$416,000.00	\$104,000.00	\$520,000.00	1
AR-2021-007-02-01		amend 1: UP TO 30.09.01 50% FEDERAL SHARE	\$1,327,440.00	\$1,327,440.00	\$2,654,880.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - amend 1: STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

amend 1: State Administrative provides technical assistance for the operation of nine rural transit systems (SCAT also provides IC service), two IC systems (Greyhound and Jefferson Lines), and one transit association (ATA). The federal amount utilized this year is less than the allowable amount of 10%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$936,429
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$936,429

Milestone Name	Est. Completion Date	Description
Start Date	7/3/2023	The estimated date the state administration funds should start being utilized.
End Date	5/19/2025	The estimated date all the state admin funds should be expended and reimbursed by ECHO draw.

Budget Activity Line Item: 11.79.00 - Amend 1: PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Amendment 1: This funding supports operation of administrative essential duties for nine rural transit systems statewide. See attached POP for subrecipients.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,782,037
Local			\$1,445,510
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,227,547

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2022	Estimated date funds may be utilized for Project Administration.
End Date	5/19/2025	The estimated date the funds should be expended and reimbursed by ECHO draw for Project Administration.

Budget Activity Line Item: 30.09.01 - amend 1: UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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#				
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

amend 1: This funding supports the operation of essential duties for eight rural transit systems statewide. By providing rural public transportation these agencies allow individuals basic mobility needs to be met. Which includes, but is not limited to: providing access to health care, shopping, employment, education, maintaining and improving the use of public transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,113,697
Local			\$5,113,697
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,227,394

Milestone Name	Est. Completion Date	Description
Start Date	7/3/2023	Estimated date operating funds may start being utilized by subrecipients.
End Date	5/19/2025	Estimated date all operating funds should be expended and reimbursed by ECHO draw.

Budget Activity Line Item: 11.7A.00 - amend 1: PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Amendment 1- Preventive maintenance funds will be used by each subrecipient for vehicle maintenance or non-vehicle maintenance.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$314,000
Local			\$78,500

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$392,500

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2022	Estimated date subrecipients will start utilizing PM funds.
End Date	5/19/2025	Estimated date all funds should be expended and reimbursed by ECHO draw.

Budget Activity Line Item: 30.09.01 - amend 1: UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Amend 1: Increased funds by \$1,000,000 to continue the operation support for Jefferson Lines, Greyhound and South Central AR Transit (SCAT) IC transit systems.

This funding will support the operation of Jefferson Lines Intercity transit systems. These funds will go towards operating expenses such as: fuel, oil, drivers' salaries, fringe benefits, licenses, etc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,327,440
Local			\$877,440
Local/In-Kind			\$450,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,654,880

Milestone Name	Est. Completion Date	Description
Start Date	1/3/2022	Estimated date the operating funds will start being utilized.
End Date	12/31/2024	The estimated date the operating funds will be expended, and ECHO drawdowns reimbursed to ARDOT.

Budget Activity Line Item: 11.12.08 - BUY REPLACEMENT INTERCITY BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.12.08	BUY REPLACEMENT INTERCITY BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Jefferson Lines is requesting a 45' Intercity bus to replace a bus that has met its useful life. Useful Life of the new bus is 12 years or 500,000 miles. The VIN number of the replacement vehicle is in the POP. All replacements will have met useful life prior to disposition.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$100,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/1/2021	Estimated date IFB issued for IC replacement bus.
Contract Award Date	1/3/2022	Estimated date IFB awarded.
Initial Delivery Date	9/30/2022	Estimated date IC bus should be delivered.
Final Delivery Date	9/30/2022	Estimated date the IC bus should be delivered.
Contract Completion Date	11/11/2022	Estimated date all funds should be expended and ECHO drawdown reimbursed to ARDOT.

Budget Activity Line Item: 11.13.08 - BUY INTERCITY BUS-EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.08	BUY INTERCITY BUS- EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Greyhound is requesting a 45' IC Expansion Bus. Useful Life of the new bus is 12 years or 500,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$416,000
Local			\$104,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$520,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/1/2021	Estimated date the IFB issued.
Contract Award Date	1/30/2022	Estimated date IFB awarded.
Initial Delivery Date	9/30/2022	Estimated date the expansion bus will be delivered.
Final Delivery Date	9/30/2022	Estimated date the expansion bus will be delivered.
Contract Completion Date	11/11/2022	Estimated date all funds should be expended and ECHO drawdown reimbursed to ARDOT.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	amend 1: UP TO 50% FEDERAL SHARE	0	\$1,327,440.00	\$2,654,880.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.12.08	BUY REPLACEMENT INTERCITY BUS	1	\$400,000.00	\$500,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.13.08	BUY INTERCITY BUS-EXPANSION	1	\$416,000.00	\$520,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	amend 1: UP TO 50% FEDERAL SHARE	0	\$5,113,697.00	\$10,227,394.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	amend 1: PREVENTIVE MAINTENANCE	0	\$314,000.00	\$392,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	amend 1: STATE OR PROGRAM ADMINISTRATION	0	\$936,429.00	\$936,429.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	Amend 1: PROJECT ADMINISTRATION	0	\$5,782,037.00	\$7,227,547.00

Project Title: amend 1: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2021-007-03-01	AR-2021-007-01-P7	3/14/2022	1/2/2023	12/31/2024

Project Description

amend 1: This project will utilize FY21 Section 5311 funds for RTAP, which will be utilized for training. The Arkansas Transit Association (ATA) provides training to Arkansas' public transit systems.

Project Benefits

Transit systems will receive a wide variety of training to support and enhance their day-to-day operation for their consumers and within their community

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
050000	Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A "Rick" Crawford
Arkansas	2	J French Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 4/29/2021

Description: FY 2021 - 2024 STIP approved on 4/29/21.

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$238,615
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$238,615

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2021-007-03-01	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$238,615.00	\$0.00	\$238,615.00	0
AR-2021-007-03-01	43.50.01 amend 1: TRAINING	\$238,615.00	\$0.00	\$238,615.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - amend 1: TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

amend 1: This ALI will utilize FY21 Section 5311 funds for RTAP, which will be utilized for training. The Arkansas Transit Association (ATA) provides training to Arkansas' public transit systems.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$238,615
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$238,615

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	Estimated date the RTAP funds will start being utilized.
End Date	12/31/2024	Estimated date all funds should be expended for RTAP.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	amend 1: TRAINING	0	\$238,615.00	\$238,615.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ronisha Hodge

Comment Type	DOL Review for Information
Date	4/8/2022
	DOL Section 5333(b) Certification Per FTA program requirements, this grant application is being sent to DOL for information purposes only.
Comment	Person to notify at FTA: Ronisha Hodge Community Planner Office: 817.978.0576 ronisha.hodge@dot.gov

Application Review Comments

Comment By Ronisha Hodge

Comment Type	Pre-Award Manager Returns Application
Date	3/16/2022
Comment	See email for comments

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR

THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, ARKANSAS DEPARTMENT OF

Recipient ID: 1561

UEI: U9GQWG2L9ZQ3

DUNS: 809873235

Application Information

Federal Award Identification Number: AR-2021-007-01

Application Name: LAPSING: Arkansas DOT; FY16 5307 transferred to FY16 5311 Funds and FY21 5307; Operating Expenses and Bus Purchases

Application Start Date: 8/4/2021

Original Award End Date: 6/1/2024

Current Award End Date: 6/1/2024

Application Executive Summary: Amendment 1:

This application is to request the remaining FY21 Section 5311 Federal Funds apportioned to Arkansas State in the amount of \$13,384,778.

Purpose: The purpose of this award is to provide the state of Arkansas and its subrecipients with project administration, preventive maintenance, operating rural and intercity, and RTAP funds.

Activities to be performed: Funds will be used for state and project administration, preventive maintenance, operating rural and intercity, and RTAP.

Expected Outcomes: These funds will help to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These projects will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education in the state of Arkansas.

Intended Beneficiaries: Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation in the state of Arkansas.

Subrecipient Activities: These funds will cover project administration and preventive maintenance for nine subrecipients, operating for eight subrecipients; operating for three intercity agencies, and capital for two intercity agencies.

Total local match of \$8,609,095 will be provided by agencies (cash, rental car tax, and Medicaid funds). Total local In-Kind Match will be from Greyhound in the amount of \$450,000 for unsubsidized expenses for the Little Rock to West Memphis project .

Grant AR-2020-018-01 has \$1,345,507 of in-kind match and this grant will have \$450,000 of in-kind match for a grand total of \$1,795,507 across both grants. The two in-kind letters attached have \$1,831,935 of in-kind match.

All projects are currently listed in category B until agreements and certifications are signed. The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program. A certification letter of exemption is not needed for FY21 as the 15% requirement will be obligated. In this award, ARDOT applied for a partial amount of this requirement \$1,143,440 with a remaining balance of \$1,000,000 left to be requested in this Amendment 1. Cost and price estimates have been submitted.

Arkansas DOT (ARDOT) is applying for FY21(\$1,143,440) and FY16 (\$889,948) 5311 federal funds in the federal amount of \$2,033,388.

Purpose of the Award, Expected Outcomes, Intended Beneficiaries: This award will go towards bus purchases and operating expenses. These funds will help to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These projects will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

The following is a breakdown of federal funds in this application:

\$1,143,440 (FY21 5311 Partial Apportionment), (Remaining funds are to be added to this grant as an amendment later)

\$889,948 (FY16 5307 Small Urban Conway Funds transferred to FY16 5311)

\$2,033,388 Federal Amount Total

Subrecipient Activities and Activities to be performed: These grant funds will cover operating for South Central AR Transit (SCAT); Operating and capital expenses for Jefferson Lines, an intercity agency; and capital expenses for Greyhound, an intercity agency.

All projects are currently listed in category B until agreements and certifications are signed. The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program. A certification letter of exemption is not needed for FY21 as the 15% requirement (\$2,143,440) will be obligated. In this award, ARDOT is applying for a partial amount of this requirement \$1,143,440 with a remaining balance of \$1,000,000 left to be requested in an Amendment. Cost and price estimates have been submitted.

Local match (\$1,421,388) will be provided by agencies (cash, rental car tax, and Medicaid funds).

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$24,477,261.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$13,384,778.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$7,637,707.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$15,418,166.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$9,059,095.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,418,166
Local			\$8,609,095
Local/In-Kind			\$450,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$24,477,261

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 7/15/2021

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by

federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, ARKANSAS DEPARTMENT OF