

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AL-2021-008-01
Temporary Application Number	1000-2021-2
Application Name	Section 5311 Non-urbanized Transportation FY 2019 Lapsing Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	3/30/2021	
Original Period of Performance End Date	3/30/2023	
Current Period of Performance End Date	3/30/2023	Revision #: 0

Part 1: Recipient Information

Name: Transportation, Alabama Dept Of

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1000	State Agency	ALABAMA DEPARTMENT OF TRANSPORTATION		837892371

Location Type	Address	City	State	Zip
Headquarters	600 DEXTER AVE STE S105	MONTGOMERY	AL	361303024
Physical Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36110
Mailing Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36130

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Section 5311 Non-urbanized Transportation FY 2019 Lapsing Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AL-2021-008-01	Final Concurrence / Reservation	Grant	2/4/2022	2/4/2022	No

Application Executive Summary

Purpose:

This amendment 1 will be created to add lapsing funds from the FY2020 apportionment of the Section 5311 (Non-Urbanized Formula), \$18,064,552 federal funds, and FY2020 RTAP funds \$306,694. The total amount of federal funds to be added to the grant is \$18,371,246. Activities in the project will consist of: Operating Assistance, Program Administration, Intercity Bus Operating Assistance, State Administration and RTAP/Training to assist the state and its subrecipients in FY2023. It is programmed in the Alabama 2019-2023 STIP. See attached POP for details per sub-recipient.

Activities to be Performed:

Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one-year, preventive maintenance, etc. in order to continue transit operations. Funds will also be used for training and technical assistance along with Intercity and State Administration activities. RTAP funds will be used for providing rural training, technical assistance and research initiatives. RTAP will also be used to promote the delivery of safe and effective public transportation in non-urbanized areas; to make more effective use of public and private resources in the provision of rural transportation; to support the coordination of public and human service transportation; and to foster the development of state and local capacity for addressing the training and technical assistance needs for the rural transportation community. Project Funding Breakdown FTA Funds Budgeted:

300-00 Operating Assistance \$6,774,207 Activity 30.09.01
 610-00 State/Programs Administration \$1,806,455 Activity 11.80.00
 620-00 Project Administration \$6,774,207 Activity 11.79.00
 634-00 Intercity Bus Transportation \$2,709,683 Activity 30.09.01
 635-00 Rural Transit Assistance Program \$306,694 Activity 43.50.01

Expected Outcomes:

Continued safe and effective operation of transit services for rural areas of Alabama.

Intended Beneficiaries:

Alabama State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities:

The following 28 subrecipients as providers of rural transit service: Autauga County Commission, Baldwin County Commission (BRATS), City of Eufaula, Blount County Commission, East Alabama Regional Planning & Development Commission, Chilton County Commission, Northwest Alabama Council of Local Governments (NACOLG), Alabama Tombigbee Regional Commission, Covington Area Transit System, Cullman County Commission (CARTS), DeKalb County Commission, Escambia Co AL Transit System, Etowah County Commission, West Alabama Health Services, Inc., Southeast Alabama Regional Planning & Development Commission, Jackson County Commission, Birmingham Regional Paratransit Consortium (CLASTRAN), North Central Alabama Regional Council of Governments (NARCOG), Lee-Russell Council of Local Governments, Macon County Commission, Madison County Commission, City of Guntersville, H.E.L.P., Inc., City of Troy, St. Clair County Commission, ARISE, Inc., Walker County Commission and ARC of Southwest Alabama; and two intercity bus operators: Greyhound Lines and West Alabama Health Services, Inc.

*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section

5311 Non-Urbanized Transportation allocation.

*No, the Alabama Department of Transportation does not charge Indirect Cost.

*The Start Date is the award date of this application and the End Date is 03/30/2024.

*No funds are request for Research and Development.

*No Union involvement for the State of Alabama.

*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP).

Lapsing Funds, this is an application for a new award to use lapsing funds from the FY2019 apportionment of the Section 5311(Non-Urban Formula), \$17,799,272 funds, and FY2019 apportionment Section 5311 (RTAP) funds \$300,153 to a new award. The total amount of federal funds to be added to the grant is \$18,099,425 and Local Match of \$11,013,300, totaling \$29,112,725. It is programmed in the Alabama 2019-2023 STIP.

1. Operating:

Scope-300-00 – (\$6,674,727) Federal (Operating Assistance- Sec. 5311)

ALI-30.09.01 - \$6,674,727 Federal (Operating Assistance)

Scope- 634-00 – (\$2,669,891) Federal (Operating Assistance- Intercity Bus)

ALI-30.09.01 - \$2,569,891 Federal (Operating Assistance Intercity Bus)

ALI-11.12.02 - \$100,000 Federal (Buy Replacement 35-FT bus - Intercity Bus)

2. Administration:

Scope-610-00 – (\$1,779,927) Federal (State/Programs Administration-Sec. 5311)

ALI- 11.80.00 – Cost \$1,779,927 Federal (State Administration - Sec. 5311)

Scope-620-00 – (\$6,674,727) Federal (Project Administration- Sec. 5311)

ALI- 11.79.00 – Cost \$6,674,727 Federal (Project Administration -Sec. 5311)

3. Other:

Scope- 635-00 – (\$300,153) Federal Rural Transit Assistance Program (RTAP)

ALI- 43.50.01 – Cost \$300,153 Federal (RTAP Training)

*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

*No, the Alabama Department of Transportation does not charge Indirect Cost.

*The Start Date is the award date of this application and the End Date is 03/30/2023. *No funds are request for Research and Development.

*No Union involvement for the State of Alabama.

*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP).

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Courtney	Roberts	Transportation Program Specialist	courtney.roberts@dot.gov	(404) 865-5478
Catrina	Rollins	Engineering Asst. II	rollinscm@dot.state.al.us	(334) 242-6766

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$36,470,671
Local			\$22,115,742
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$58,586,413

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2021-008-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$6,674,727.00	\$6,674,727.00	\$13,349,454.00	0
AL-2021-008-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$6,674,727.00	\$6,674,727.00	\$13,349,454.00	0
AL-2021-008-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$1,779,927.00	\$0.00	\$1,779,927.00	0
AL-2021-008-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,779,927.00	\$0.00	\$1,779,927.00	0
AL-2021-008-01-01	620-00 PROJECT ADMINISTRATION	\$6,674,727.00	\$1,668,682.00	\$8,343,409.00	0

01	(620-A3)						
AL-2021-008-01-01		11.79.00 PROJECT ADMINISTRATION	\$6,674,727.00	\$1,668,682.00	\$8,343,409.00		0
AL-2021-008-01-01	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$2,669,891.00	\$2,594,891.00	\$5,264,782.00		1
AL-2021-008-01-01		11.12.02 BUY REPLACEMENT 35-FT BUS	\$100,000.00	\$25,000.00	\$125,000.00		1
AL-2021-008-01-01		30.09.01 UP TO 50% FEDERAL SHARE	\$2,569,891.00	\$2,569,891.00	\$5,139,782.00		0
AL-2021-008-01-01	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$300,153.00	\$0.00	\$300,153.00		0
AL-2021-008-01-01		43.50.01 TRAINING	\$300,153.00	\$0.00	\$300,153.00		0
AL-2021-008-02-01	300-00 (300-A6)	OPERATING ASSISTANCE	\$6,774,207.00	\$6,774,207.00	\$13,548,414.00		0
AL-2021-008-02-01		30.09.01 UP TO 50% FEDERAL SHARE	\$6,774,207.00	\$6,774,207.00	\$13,548,414.00		0
AL-2021-008-02-01	610-00 (610-A9)	STATE / PROGRAMS ADMINISTRATION	\$1,806,455.00	\$0.00	\$1,806,455.00		0
AL-2021-008-02-01		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,806,455.00	\$0.00	\$1,806,455.00		0
AL-2021-008-02-01	620-00 (620-A8)	PROJECT ADMINISTRATION	\$6,774,207.00	\$1,693,552.00	\$8,467,759.00		0
AL-2021-008-02-01		11.79.00 PROJECT ADMINISTRATION	\$6,774,207.00	\$1,693,552.00	\$8,467,759.00		0
AL-2021-008-02-01	634-00 (634-A7)	INTERCITY BUS TRANSPORTATION	\$2,709,683.00	\$2,709,683.00	\$5,419,366.00		0
AL-2021-008-02-01		30.09.01 UP TO 50% FEDERAL SHARE	\$2,709,683.00	\$2,709,683.00	\$5,419,366.00		0

AL-2021-008-02-01	635-00 (635-B1)	RURAL TRANSIT ASSISTANCE PROGRAM	\$306,694.00	\$0.00	\$306,694.00	0
AL-2021-008-02-01	43.50.01	TRAINING	\$306,694.00	\$0.00	\$306,694.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 Statewide Non-Urbanized Area Formula and RTAP, FY 2019 Lapsing Apportionments

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AL-2021-008-01-01	1000-2021-2-P1	2/4/2022	7/25/2018	9/30/2023

Project Description

Lapsing Funds, this is an application for a new award to use lapsing funds from the FY2019 apportionment of the Section 5311(Non-Urban Formula), \$17,799,272 funds, and FY2019 apportionment Section 5311 (RTAP) funds \$300,153 to a new award. The total amount of federal funds to be added to the grant is \$18,099,425 and Local Match of \$11,013,300, totaling \$29,112,725. Activities in the project will consist of: Operating Assistance, Program Assistance, Intercity Bus Operating Assistance/Rolling Stock, State Administration and RTAP/Training. Provide support during FY 2022 for Section 5311 non-urbanized transportation activities: Operating and Program Assistance to rural sub-recipients; Operating Assistance/Rolling Stock to intercity bus sub-recipients; and other activities funded with State Administration and RTAP funds. See attached POP for details per sub-recipient. It is programmed in the Alabama 2019-2023 STIP.

1. Operating:

Scope-300-00 – (\$6,674,727) Federal (Operating Assistance- Sec. 5311)

ALI-30.09.01 - \$6,674,727 Federal (Operating Assistance)

Scope- 634-00 – (\$2,669,891) Federal (Operating Assistance- Intercity Bus)

ALI-30.09.01 - \$2,569,891 Federal (Operating Assistance Intercity Bus)

ALI-11.12.02 - 100,000 Federal (Bus Replacement)

2. Administration:

Scope-610-00 – (\$1,779,927) Federal (State/Programs Administration-Sec. 5311)

ALI- 11.80.00 – Cost \$1,779,927 Federal (State Administration - Sec. 5311)

Scope-620-00 – (\$6,674,727) Federal (Project Administration- Sec. 5311)

ALI- 11.79.00 – Cost \$6,674,727 Federal (Project Administration -Sec. 5311)

3. Other:

Scope- 635-00 – (\$300,153) Federal Rural Transit Assistance Program (RTAP)

ALI- 43.50.01 – Cost \$300,153 Federal (RTAP Training)

*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

*No, the Alabama Department of Transportation does not charge Indirect Cost.

*The Start Date is the award date of this application and the End Date is 03/30/2023. *No funds are request for Research and Development.

*No Union involvement for the State of Alabama.

*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP).

Project Benefits

The statewide funding will assist with meeting transportation needs of the rural areas of Alabama. Additional operating and project administration funds will support the maintenance of vehicles, equipment, and facilities related to the operation of the transit programs. The funds will also support the employee's salaries and provide employee benefits for the members of our sub-recipient's staffs. Statewide federal funding will ensure services can be expanded into various area across the state.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
010000	Alabama

Congressional District Information

State	District	Representative
Alabama	1	Bradley Byrne
Alabama	2	Martha Roby
Alabama	3	Mike Rogers
Alabama	4	Robert B Aderholt
Alabama	5	Mo Brooks
Alabama	6	Gary J Palmer
Alabama	7	Terri A Sewell

Program Plan Information

STIP/TIP

Date: 2/3/2021

Description: State of Alabama Transportation Plan 2019-2023 on file.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$18,099,425
Local			\$10,938,300
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,037,725

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2021-008-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$6,674,727.00	\$6,674,727.00	\$13,349,454.00	0
AL-2021-008-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$6,674,727.00	\$6,674,727.00	\$13,349,454.00	0
AL-2021-008-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$1,779,927.00	\$0.00	\$1,779,927.00	0
AL-2021-008-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,779,927.00	\$0.00	\$1,779,927.00	0
AL-2021-008-01-01	620-00 (620-A3) PROJECT ADMINISTRATION	\$6,674,727.00	\$1,668,682.00	\$8,343,409.00	0
AL-2021-008-01-01	11.79.00 PROJECT ADMINISTRATION	\$6,674,727.00	\$1,668,682.00	\$8,343,409.00	0
AL-2021-008-01-01	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$2,669,891.00	\$2,594,891.00	\$5,264,782.00	1
AL-2021-008-01-01	11.12.02 BUY REPLACEMENT 35-FT BUS	\$100,000.00	\$25,000.00	\$125,000.00	1

AL-2021-008-01-01	30.09.01	UP TO 50% FEDERAL SHARE	\$2,569,891.00	\$2,569,891.00	\$5,139,782.00	0
AL-2021-008-01-01	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$300,153.00	\$0.00	\$300,153.00	0
AL-2021-008-01-01	43.50.01	TRAINING	\$300,153.00	\$0.00	\$300,153.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

2/9/2021

Apportionment FY2019

\$6,674,727 Section 5311 Federal funds for Operating assistance.

For sub-recipients: Autauga Co Comm Baldwin Co Comm City of Eufaula Blount Co Comm E AL Reg Plan & Dev Comm Chilton Co NW AL COG AL Tombigbee Reg Comm Covington Area Transit System Cullman Co Comm (CARTS) DeKalb Co Comm Escambia Co AL Transit System Etowah Co Comm W AL Health Svcs, Inc. SE AL Reg Plan & Dev Comm Jackson Co Comm Bham Reg Paratrans Cons (CLASTRAN) NC AL Reg COG (NARCOG) Lee-Russell Macon Co. Comm Madison Co Comm City of Guntersville H.E.L.P., Inc. City of Troy St. Clair Co Comm ARISE, Inc. Walker Co Comm ARC of Southwest Alabama

Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,674,727
Local			\$6,674,727
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,349,454

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2023	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

2/9/2021

\$1,779,927 in Federal Funds for State Administration assistance.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,779,927
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,779,927

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2023	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

2/9/2021

Apportionment FY2019

\$6,674,727 Federal Sec. 5311 project administration funds.

For sub-recipients: Autauga Co Baldwin Co Comm City of Eufaula Blount Co Comm E AL Reg Plan & Dev Comm Chilton Co Transit NW AL Council of Local Govs (NACOLG) AL Tombigbee Reg Comm Covington Area Transit System Cullman Co Comm (CARTS) DeKalb Co Comm Escambia Co AL Transit System (ECATS) Etowah Co Comm W AL Health Svcs (WAPT) SE AL Reg Plan & Dev Comm Jackson Co Comm (COA) Comm (COA) Bham Reg Paratrans Cons (CLASTRAN) NC AL Reg COG (NARCOG) Lee-Russell Macon Co Comm Madison Co Comm City of Guntersville H.E.L.P., Inc City of Troy (PATS) St. Clair Co Comm ARISE, Inc. Walker Co Comm ARC of Southwest Alabama

Project administrative funds are used to provide assistance to the Alabama Department of Transportation's sub-recipients with their eligible administrative expenses which include general administrative expenses (salaries of the project director, secretary, and bookkeeper) plus marketing expenses; insurance premiums or self-insurance reserve; office supplies, facilities and equipment rental; standard overhead expenses; costs of administrative expenses relating to drug and alcohol testing; and other administration expenses deemed eligible by FTA.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,674,727
Local			\$1,668,682
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,343,409

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2023	

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

2/9/2021

\$300,153 in Federal RTAP Training funds to the grant.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,153
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$300,153

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2023	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

2/9/2021

\$2,569,891 in Federal funds for Intercity Bus operating assistance: W. Ala. Public Transportation and Greyhound Lines, Inc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,569,891
Local			\$2,569,891
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,139,782

Milestone Name	Est. Completion	Description
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Date		
Start Date	10/1/2021	
End Date	9/30/2023	

Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

2/9/2021

\$100,000 in Federal funds for Intercity Bus replacement

W. Ala. Public Transportation

*ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements with inclusion of all required Federal clauses in FTA-funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates, vendors, or third-party contractors are suspended, debarred or ineligible from participation in Federally assisted procurement.

Useful Life of Vehicles: Rolling stock useful life begins on the date the vehicle is placed into revenue service and continues as long as it is in service. Incidental service mileage does not count toward the minimum useful life. ALDOT allows vehicles to be replaced once a vehicle has met the mileage standard minimum.

Type of Vehicles, Age, & Mileage:

Full Size Bus (28+ passenger) - Transit Coaches; 10 years; 300,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$100,000
Local			\$25,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$125,000

Milestone Name	Est. Completion Date	Description
RFB Date	7/25/2018	
Contract Award Date	8/28/2018	

Initial Delivery Date	9/17/2019	
Complete	8/28/2021	
Final Delivery	3/30/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$6,674,727.00	\$13,349,454.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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Number		Cost	
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0 \$1,779,927.00 \$1,779,927.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$6,674,727.00	\$8,343,409.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT	43.50.01	TRAINING	0	\$300,153.00	\$300,153.00

ASSISTANCE PROGRAM
(635-00)

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$2,569,891.00	\$5,139,782.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-	11.12.02	BUY REPLACEMENT 35-	1	\$100,000.00	\$125,000.00

Project Title: Section 5311 Statewide Non-Urbanized Area Formula and RTAP, FY 2020 Lapsing Apportionments

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AL-2021-008-02-01	AL-2021-008-01-P2	2/4/2022	10/1/2022	9/30/2023

Project Description

This amendment 1 will be created to add lapsing funds from the FY2020 apportionment of the Section 5311 (Non-Urbanized Formula), \$18,064,552 federal funds, and FY2020 RTAP funds \$306,694. The total amount of federal funds to be added to the grant is \$18,371,246. Activities in the project will consist of: Operating Assistance, Program Administration, Intercity Bus Operating Assistance, State Administration and RTAP/Training to assist the state and its subrecipients in FY2023. It is programmed in the Alabama 2019-2023 STIP. See attached POP for details per sub-recipient.

Project Funding Breakdown FTA Funds Budgeted:

300-00 Operating Assistance \$6,774,207 Activity 30.09.01
 610-00 State/Programs Administration \$1,806,455 Activity 11.80.00
 620-00 Project Administration \$6,774,207 Activity 11.79.00
 634-00 Intercity Bus Transportation \$2,709,683 Activity 30.09.01
 635-00 Rural Transit Assistance Program \$306,694 Activity 43.50.01

*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

*No, the Alabama Department of Transportation does not charge Indirect Cost.

*The Start Date is the award date of this application and the End Date is 03/30/2024.

*No funds are request for Research and Development.

*No Union involvement for the State of Alabama.

*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP).

Project Benefits

The statewide funding will assist with meeting transportation needs of the rural areas of Alabama. Additional operating and project administration funds will support the maintenance of vehicles, equipment, and facilities related to the operation of the transit programs. The funds will also support the employee's salaries and provide employee benefits for the members of our sub-recipient's staffs. Statewide federal funding will ensure services can be expanded into various area across the state.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
010000	Alabama

Congressional District Information

State	District	Representative
Alabama	1	Bradley Byrne
Alabama	2	Martha Roby
Alabama	3	Mike Rogers
Alabama	4	Robert B Aderholt
Alabama	5	Mo Brooks
Alabama	6	Gary J Palmer
Alabama	7	Terri A Sewell

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,371,246
Local			\$11,177,442
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,548,688

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2021-008-02-300-00 (300-	OPERATING ASSISTANCE	\$6,774,207.00	\$6,774,207.00	\$13,548,414.00	0

01	A6)					
AL-2021-008-02-01	30.09.01	UP TO 50% FEDERAL SHARE	\$6,774,207.00	\$6,774,207.00	\$13,548,414.00	0
AL-2021-008-02-01	610-00 (610-A9)	STATE / PROGRAMS ADMINISTRATION	\$1,806,455.00	\$0.00	\$1,806,455.00	0
AL-2021-008-02-01	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,806,455.00	\$0.00	\$1,806,455.00	0
AL-2021-008-02-01	620-00 (620-A8)	PROJECT ADMINISTRATION	\$6,774,207.00	\$1,693,552.00	\$8,467,759.00	0
AL-2021-008-02-01	11.79.00	PROJECT ADMINISTRATION	\$6,774,207.00	\$1,693,552.00	\$8,467,759.00	0
AL-2021-008-02-01	634-00 (634-A7)	INTERCITY BUS TRANSPORTATION	\$2,709,683.00	\$2,709,683.00	\$5,419,366.00	0
AL-2021-008-02-01	30.09.01	UP TO 50% FEDERAL SHARE	\$2,709,683.00	\$2,709,683.00	\$5,419,366.00	0
AL-2021-008-02-01	635-00 (635-B1)	RURAL TRANSIT ASSISTANCE PROGRAM	\$306,694.00	\$0.00	\$306,694.00	0
AL-2021-008-02-01	43.50.01	TRAINING	\$306,694.00	\$0.00	\$306,694.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

2/4/2022

Apportionment FY2020

\$6,774,207 Section 5311 Federal funds for Operating assistance.

For sub-recipients: Autauga Co Comm Baldwin Co Comm City of Eufaula Blount Co Comm E AL Reg Plan & Dev Comm Chilton Co NW AL COG AL Tombigbee Reg Comm Covington Area Transit System Cullman Co Comm (CARTS) DeKalb Co Comm Escambia Co AL Transit System Etowah Co Comm W AL Health Svcs, Inc. SE AL Reg Plan & Dev Comm Jackson Co Comm Bham Reg Paratrans Cons (CLASTRAN) NC AL Reg COG (NARCOG) Lee-Russell Macon Co. Comm Madison Co Comm City of Guntersville H.E.L.P., Inc. City of Troy St. Clair Co Comm ARISE, Inc. Walker Co Comm ARC of Southwest Alabama

Procurement: ALDOT and its sub-recipients comply with Federal statutory and regulatory procurement requirements above the micro-purchase threshold (\$3,000) with inclusion of all required Federal clauses in FTA funded third-party procurement solicitation, purchase orders or contracts. ALDOT and sub-recipients ensure none of its principals, affiliates vendors, or third party contractors are suspended, debarred or ineligible from participation in Federal assisted procurement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,774,207
Local			\$6,774,207
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,548,414

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	9/30/2023	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

2/4-2022

\$2,709,6831 in Federal funds for Intercity Bus operating assistance: W. Ala. Public Transportation and Greyhound Lines, Inc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,709,683
Local			\$2,709,683
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,419,366

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	9/30/2023	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

2/4/2022

Apportionment FY2020

\$6,774,207 Federal Sec. 5311 project administration funds.

For sub-recipients: Autauga Co Baldwin Co Comm City of Eufaula Blount Co Comm E AL Reg Plan & Dev Comm Chilton Co Transit NW AL Council of Local Govs (NACOLG) AL Tombigbee Reg Comm Covington Area Transit System Cullman Co Comm (CARTS) DeKalb Co Comm Escambia Co AL Transit System (ECATS) Etowah Co Comm W AL Health Svcs (WAPT) SE AL Reg Plan & Dev Comm Jackson Co Comm (COA) Comm (COA) Bham Reg Paratrans Cons (CLASTRAN) NC AL Reg COG (NARCOG) Lee-Russell Macon Co Comm Madison Co Comm City of Guntersville H.E.L.P., Inc City of Troy (PATS) St. Clair Co Comm ARISE, Inc. Walker Co Comm ARC of Southwest Alabama

Project administrative funds are used to provide assistance to the Alabama Department of Transportation's sub-recipients with their eligible administrative expenses which include general administrative expenses (salaries of the project director, secretary, and bookkeeper) plus marketing expenses; insurance premiums or self-insurance reserve; office supplies, facilities and equipment rental; standard overhead expenses; costs of administrative expenses relating to drug and alcohol testing; and other administration expenses deemed eligible by FTA.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,774,207

Local			\$1,693,552
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,467,759

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	9/30/2023	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

2/4/2022

\$1,806,455 in Federal Funds for State Administration assistance.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,806,455
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,806,455

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	9/30/2023	

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

2/4/2022

\$306,694 in Federal RTAP Training funds to the grant.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$306,694
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$306,694

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	9/30/2023	

Project Environmental Findings**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$6,774,207.00	\$13,548,414.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$2,709,683.00	\$5,419,366.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$6,774,207.00	\$8,467,759.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,806,455.00	\$1,806,455.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$306,694.00	\$306,694.00
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Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Courtney Roberts

Comment Type	DOL Review for Information
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Date	4/28/2022
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Comment	Sent to DOL on 4/28/2022
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Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Alabama Dept Of

Recipient ID: 1000

UEI:

DUNS: 837892371

Application Information

Federal Award Identification Number: AL-2021-008-01

Application Name: Section 5311 Non-urbanized Transportation FY 2019 Lapsing Funds

Application Start Date: 3/30/2021

Original Award End Date: 3/30/2023

Current Award End Date: 3/30/2023

Application Executive Summary: Purpose:

This amendment 1 will be created to add lapsing funds from the FY2020 apportionment of the Section 5311 (Non-Urbanized Formula), \$18,064,552 federal funds, and FY2020 RTAP funds \$306,694. The total amount of federal funds to be added to the grant is \$18,371,246. Activities in the project will consist of: Operating Assistance, Program Administration, Intercity Bus Operating Assistance, State Administration and RTAP/Training to assist the state and its subrecipients in FY2023. It is programmed in the Alabama 2019-2023 STIP. See attached POP for details per sub-recipient.

Activities to be Performed:

Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one-year, preventive maintenance, etc. in order to continue transit operations. Funds will also be used for training and technical assistance along with Intercity and State Administration activities. RTAP funds will be used for providing rural training, technical assistance and research initiatives. RTAP will also be used to promote the delivery of safe and effective public transportation in non-urbanized areas; to make more effective use of public and private resources in the provision of rural transportation; to support the coordination of public and human service transportation; and to foster the development of state and local capacity for addressing the training and technical assistance needs for the rural transportation community. Project Funding Breakdown FTA Funds Budgeted:

300-00 Operating Assistance \$6,774,207 Activity 30.09.01
610-00 State/Programs Administration \$1,806,455 Activity 11.80.00
620-00 Project Administration \$6,774,207 Activity 11.79.00
634-00 Intercity Bus Transportation \$2,709,683 Activity 30.09.01
635-00 Rural Transit Assistance Program \$306,694 Activity 43.50.01

Expected Outcomes:

Continued safe and effective operation of transit services for rural areas of Alabama.

Intended Beneficiaries:

Alabama State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities:

The following 28 subrecipients as providers of rural transit service: Autauga County Commission, Baldwin County Commission (BRATS), City of Eufaula, Blount County Commission, East Alabama Regional Planning & Development Commission, Chilton County Commission, Northwest Alabama Council of Local Governments (NACOLG), Alabama Tombigbee Regional Commission, Covington Area Transit System, Cullman County Commission (CARTS), DeKalb County Commission, Escambia Co AL Transit System, Etowah County Commission, West Alabama Health Services, Inc., Southeast Alabama Regional Planning & Development Commission, Jackson County Commission, Birmingham Regional Paratransit Consortium (CLASTRAN), North Central Alabama Regional Council of Governments (NARCOG), Lee-Russell Council of Local Governments, Macon County Commission, Madison County Commission, City of Guntersville, H.E.L.P., Inc., City of Troy, St. Clair County Commission, ARISE, Inc., Walker County Commission and ARC of Southwest Alabama; and two intercity bus operators: Greyhound Lines and West Alabama Health Services, Inc.

*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

*No, the Alabama Department of Transportation does not charge Indirect Cost.

*The Start Date is the award date of this application and the End Date is 03/30/2024.

*No funds are request for Research and Development.

*No Union involvement for the State of Alabama.

*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP).

Lapsing Funds, this is an application for a new award to use lapsing funds from the FY2019 apportionment of the Section 5311(Non-Urban Formula), \$17,799,272 funds, and FY2019 apportionment Section 5311 (RTAP) funds \$300,153 to a new award. The total amount of federal funds to be added to the grant is \$18,099,425 and Local Match of \$11,013,300, totaling \$29,112,725. It is programmed in the Alabama 2019-2023 STIP.

1. Operating:

Scope-300-00 – (\$6,674,727) Federal (Operating Assistance- Sec. 5311)

ALI-30.09.01 - \$6,674,727 Federal (Operating Assistance)

Scope- 634-00 – (\$2,669,891) Federal (Operating Assistance- Intercity Bus)

ALI-30.09.01 - \$2,569,891 Federal (Operating Assistance Intercity Bus)

ALI-11.12.02 - \$100,000 Federal (Buy Replacement 35-FT bus - Intercity Bus)

2. Administration:

Scope-610-00 – (\$1,779,927) Federal (State/Programs Administration-Sec. 5311)

ALI- 11.80.00 – Cost \$1,779,927 Federal (State Administration - Sec. 5311)

Scope-620-00 – (\$6,674,727) Federal (Project Administration- Sec. 5311)

ALI- 11.79.00 – Cost \$6,674,727 Federal (Project Administration -Sec. 5311)

3. Other:

Scope- 635-00 – (\$300,153) Federal Rural Transit Assistance Program (RTAP)

ALI- 43.50.01 – Cost \$300,153 Federal (RTAP Training)

*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

*No, the Alabama Department of Transportation does not charge Indirect Cost.

*The Start Date is the award date of this application and the End Date is 03/30/2023. *No funds are request for Research and Development.

*No Union involvement for the State of Alabama.

*Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP).

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$58,586,413.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$18,371,246.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$11,177,442.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$36,470,671.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$22,115,742.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$36,470,671
Local			\$22,115,742
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$58,586,413

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 3/29/2021

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Alabama Dept Of