

## Application

<b>Federal Award Identification Number (FAIN)</b>	CA-2022-051-00
<b>Temporary Application Number</b>	1622-2022-4
<b>Application Name</b>	Caltrans FFY21 5311-5311(f)-RTAP ARPA (Calif ID # 0022000219)
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2028
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: CALIFORNIA, DEPARTMENT OF TRANSPORTATION**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1622	State Agency	California DOT (Caltrans) Division of Rail and Mass Transportation (DRMT)	135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	958145680
Physical Address	1120 N STREET RM 3300	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Caltrans FFY21 5311-5311(f)-RTAP ARPA (Calif ID # 0022000219)**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
CA-2022-051-00	Final Concurrence / Reservation	Grant	2/14/2022	2/14/2022	No

**Application Executive Summary**

This application is to request FFY 2021 Section 5311 American Rescue Plan Act (ARPA) funds apportioned to the State of California in the amount of \$27,104,027; FFY 2021 5311(f) ARPA funds apportioned to the State of California in the amount of \$4,382,307; and FFY 2021 Rural Transit Assistance Program (RTAP) funds apportioned to the State of California in the amount of \$188,817. A total of \$31,675,151 is requested for obligation. Because ARPA funds are 100% federal share, no Local Match is required. Total project costs is \$31,675,151.

1. Award purpose: The purpose of the award is to assist agencies operating rural or intercity bus service in their continued recovery from the effects of the COVID-19 pandemic and to provide technical assistance through the RTAP to those agencies.
2. Activities to be performed: Operating assistance associated with COVID-19 response and recovery (including employee and rider safety during the pandemic) and technical assistance.
3. Expected outcomes: Continued safe rural and intercity bus operations in California.
4. Intended beneficiaries: Residents of California, particularly those living in rural areas of the state.
5. Subrecipient activities: Operating assistance for the following rural transit service providers: Alpine County Community Development, Amador Transit, Butte CAG/Butte Regional Transit, Calaveras Transit Agency, City of Arcata, City of Arvin, City of Auburn, City of California City, City of Chowchilla, City of Corcoran - Corcoran Area Transit, City of Dinuba, City of Dixon, City of Escalon, City of Guadalupe, City of McFarland, City of Needles, City of Ojai, City of Ridgecrest, City of Rio Vista, City of Shafter, City of Solvang, City of Taft, City of Tehachapi, City of Wasco, Colusa County Transit Agency, County of Los Angeles - Department of Public Works, County of Mariposa, County of Nevada Public Works - Transit Services Division, County of Sacramento Department of Transportation, County of Shasta Department of Public Works, County of Siskiyou, County of Tulare, Eastern Contra Costa County Transit Agency, Eastern Sierra Transit Authority, Eastern Sierra Transit Authority, El Dorado County Transit Authority, Fresno County Rural Transit Agency, Glenn County Transportation Commission, Humboldt Transit Authority, Imperial County Transportation Commission, Kern Regional Transit, Kings County Area Public Transit Agency, Lake Transit Authority, Lassen Transit Service Agency, Madera County, Marin County Transit District, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Morongo Basin Transit Authority, Mountain Area Regional Transit Authority, North County Transit District, Palo Verde Valley Transit Agency, Placer County Public Works (TART & PCT), Plumas County Transportation Commission, Redwood Coast Transit Authority, Riverside Transit Agency, San Benito County Local Transportation Authority, San Diego Metropolitan Transit System, San Joaquin Regional Transit District, San Luis Obispo Regional Transit Authority, San Mateo County Transit District, Santa Barbara County Association of Governments, Santa Clara Valley Transportation Authority, Santa Cruz Metropolitan Transit District, Shasta Regional Transportation Agency, Sierra County Transportation Commission, Sonoma County Transit, Stanislaus County Public Works - Transit Division, Sunline Transit Agency, Tehama County Transit Agency, Town of Truckee, Transit Joint Powers Authority for Merced County, Trinity County Department of Transportation, Tuolumne County Transit Agency (TCTA), Victor Valley Transit Authority, Yolo County Transportation District, and Yuba-Sutter Transit Authority; and the following intercity bus operators: Butte CAG / Butte Regional Transit, City of Santa Maria, City of Visalia, Eastern

Sierra Transit Authority, Fresno Council of Governments, Greyhound Lines Inc., Humboldt Transit Authority, Kern Regional Transit, Lake Transit Authority, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Mountain Area Regional Transit Authority, Napa County, Plumas County Transportation Commission, Redwood Coast Transit Authority, San Diego Metropolitan Transit System, Sunline Transit Agency, Trinity County Department of Transportation, and Yosemite Area Regional Transportation System.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

The applicant, and any subrecipient or contractor that is a provider of public transportation: (a) intends to use ARPA funds to bring back any employees previously furloughed as a direct result of financial difficulties caused by the COVID-19 public health emergency, to the maximum extent possible; (b) intends to use ARPA funds to rehire any positions of employees who were laid off as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) explains how it has spent ARPA funds on payroll, operations, or payroll and expenses of private providers of public transportation "to the maximum extent possible."

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jean	Mazur	Transportation Program Specialist	Jean.Mazur@dot.gov	(415) 734-9456
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$27,104,027
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,382,307
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$188,817
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$31,675,151</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-051-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$27,104,027.00	\$0.00	\$27,104,027.00	78
CA-2022-051-01-00	5311 ARPA 30.09.08 Operating Assist - 30.09.08	\$27,104,027.00	\$0.00	\$27,104,027.00	78
CA-2022-051-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$4,382,307.00	\$0.00	\$4,382,307.00	20

CA-2022-051-02-00		30.09.08	5311(f) ARPA Op Assist - 30.09.08	\$4,382,307.00	\$0.00	\$4,382,307.00	20
CA-2022-051-03-00	435-00 (435-A3)		RURAL TRANSIT ASST PROGRAM	\$188,817.00	\$0.00	\$188,817.00	1
CA-2022-051-03-00		43.50.02	RTAP ARPA Tech Assist - 43.50.02	\$188,817.00	\$0.00	\$188,817.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 ARPA Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-051-01-00	1622-2022-4-P2	2/15/2022	7/1/2022	6/30/2028

#### Project Description

Operating assistance for rural transit providers during recovery from the COVID-19 pandemic.

#### Project Benefits

Continued safe rural transit service throughout California.

#### Additional Information

*None provided.*

#### Location Description

Throughout Alpine, Amador, Butte, Calaveras, Contra Costa, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Los Angeles, Lake, Lassen, Madera, Mendocino, Merced, Modoc, Mono, Monterey, Mariposa, Marin, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, Santa Barbara, San Bernardino, Santa Clara, Santa Cruz, San Diego, Shasta, Sierra, Siskiyou, San Jose, San Luis Obispo, San Mateo, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Ventura, Yolo and Yuba Counties.

## Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

## Congressional District Information

<b>State</b>	<b>District</b>	<b>Representative</b>
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	14	Jackie Speier
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	31	Pete Aguilar
California	35	Norma J Torres
California	36	Raul Ruiz
California	41	Mark Takano
California	42	Ken Calvert
California	50	Darell Issa
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Sara Jacobs
California	20	Jimmy Panetta
California	21	David G. Valdao
California	24	Salud Carbajal
California	46	J Luis Correa
California	49	Mike Levin
California	10	Josh Harder
California	9	Jerry McNerney

## **Program Plan Information**

### **STIP/TIP**

Date: Not Provided

Description: Per ARPA, operating assistance projects are not required to appear in the STIP/TIP.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$27,104,027
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$27,104,027</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-051-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$27,104,027.00	\$0.00	\$27,104,027.00	78
CA-2022-051-01-00	30.09.08 5311 ARPA Operating Assist - 30.09.08	\$27,104,027.00	\$0.00	\$27,104,027.00	78

**Project Budget Activity Line Items**

**Budget Activity Line Item: 30.09.08 - 5311 ARPA Operating Assist - 30.09.08**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 78

**Extended Budget Description**

Operating assistance for the following 77 rural transit service providers: Alpine County Community Development, Amador Transit, Butte CAG/Butte Regional Transit, Calaveras Transit Agency, City of Arcata, City of Arvin, City of Auburn, City of California City, City of Chowchilla, City of Corcoran - Corcoran Area Transit, City of Dinuba, City of Dixon, City of Escalon, City of Guadalupe, City of McFarland, City of Needles, City of Ojai, City of Ridgecrest, City of Rio Vista, City of Shafter, City of Solvang, City of Taft, City of Tehachapi, City of Wasco, Colusa County Transit Agency, County of Los Angeles - Department of Public Works, County of Mariposa, County of Nevada Public Works - Transit Services Division, County of Sacramento Department of Transportation, County of Shasta Department of Public Works, County of Siskiyou, County of Tulare, Eastern Contra Costa County Transit Agency, Eastern Sierra Transit Authority (2 projects), Eastern Sierra Transit Authority, El Dorado County Transit Authority, Fresno County Rural Transit Agency, Glenn County Transportation Commission, Humboldt Transit Authority, Imperial County Transportation Commission, Kern Regional Transit, Kings County Area Public Transit Agency, Lake Transit Authority, Lassen Transit Service Agency, Madera County, Marin County Transit District, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Morongo Basin Transit Authority, Mountain Area Regional Transit Authority, North County Transit District, Palo Verde Valley Transit Agency, Placer County Public Works (TART & PCT), Plumas County Transportation Commission, Redwood Coast Transit Authority, Riverside Transit Agency, San Benito County Local Transportation Authority, San Diego Metropolitan Transit System, San Joaquin Regional Transit District, San Luis Obispo Regional Transit Authority, San Mateo County Transit District, Santa Barbara County Association of Governments, Santa Clara Valley Transportation Authority, Santa Cruz Metropolitan Transit District, Shasta Regional Transportation Agency, Sierra County Transportation Commission, Sonoma County Transit, Stanislaus County Public Works - Transit Division, Sunline Transit Agency, Tehama County Transit Agency, Town of Truckee, Transit Joint Powers Authority for Merced County, Trinity County Department of Transportation, Tuolumne County Transit Agency (TCTA), Victor Valley Transit Authority, Yolo County Transportation District, and Yuba-Sutter Transit Authority. Since ARPA funds are 100% federal share, no Local Match is required. Total project cost is \$27,104,027.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$27,104,027
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$27,104,027</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Performance Period begins



End Date	6/30/2028	Performance Period ends
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## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

<b>Project Title: 5311(f) ARPA Operating Assist</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-051-02-00	1622-2022-4-P3	2/15/2022	7/1/2022	6/30/2028

**Project Description**

Operating assistance for intercity bus service providers during recovery from the COVID-19 pandemic

**Project Benefits**

Continued safe intercity bus service throughout California.

**Additional Information**

*None provided.*

**Location Description**

Portions of Butte, Del Norte, Fresno, Humboldt, Inyo, Kern, Lake, Lassen, Mendocino, Modoc, Mono, Monterey, Napa, Napa, Plumas, Riverside, San Bernardino, San Diego, Shasta, Sonoma, and Trinity Counties.

## Project Location (Urbanized Areas)

UZA Code	Area Name
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060000

California

## Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	8	Jay Obernolte
California	16	Jim Costa
California	22	Devin Nunes
California	36	Raul Ruiz
California	50	Darell Issa
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Sara Jacobs
California	20	Jimmy Panetta
California	21	David G. Valdao
California	49	Mike Levin

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: Per ARPA, operating assistance projects do not need to be programmed in the STIP/TIP.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,382,307
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$4,382,307</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-051-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$4,382,307.00	\$0.00	\$4,382,307.00	20
CA-2022-051-02-00	30.09.08 5311(f) ARPA Op Assist - 30.09.08	\$4,382,307.00	\$0.00	\$4,382,307.00	20

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - 5311(f) ARPA Op Assist - 30.09.08

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	20

### Extended Budget Description

FFY 2021 5311(f) ARPA funds apportioned to the State of California in the amount of \$4,382,307 will fund operating assistance for the following 20 intercity bus operators: Butte CAG / Butte Regional Transit, City of Santa Maria, City of Visalia, Eastern Sierra Transit Authority, Fresno Council of Governments, Greyhound Lines Inc., Humboldt Transit Authority, Kern Regional Transit, Lake Transit Authority, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Mountain Area Regional Transit Authority, Napa County, Plumas County Transportation Commission, Redwood Coast Transit Authority, San Diego Metropolitan Transit System, Sunline Transit Agency, Trinity County Department of Transportation, and Yosemite Area Regional Transportation System. Because ARPA funds are 100% federal share, no Local Match is required. Total project cost is \$4,382,307.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,382,307
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,382,307</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Performance Period begins
End Date	6/30/2028	Performance Period ends

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	5311(f) ARPA Op Assist - 30.09.08	20	\$4,382,307.00	\$4,382,307.00

**Project Title: RTAP ARPA Technical Assist**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-051-03-00	1622-2022-4-P4	2/15/2022	7/1/2022	6/30/2028

**Project Description**

Rural Transit Assistance Program technical assistance

**Project Benefits**

Continued safe transit service in California through technical assistance and support to transit agencies during recovery from the COVID-19 pandemic.

**Additional Information**

*None provided.*

**Location Description**

Portions of Alpine, Amador, Butte, Calaveras, Contra Costa, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Los Angeles, Lake, Lassen, Madera, Mendocino, Merced, Mono, Modoc, Monterey, Mariposa, Marin, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, Santa Barbara, San Bernardino, San Benito, Santa Clara, Santa Cruz, San Diego, Shasta, Sierra, Siskiyou, San Joaquin, San Luis Obispo, San Mateo, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo and Yuba Counties.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
060000	California

**Congressional District Information**

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo

California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$188,817
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$188,817</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-051-03-00	435-00 (435-A3) RURAL TRANSIT ASST PROGRAM	\$188,817.00	\$0.00	\$188,817.00	1
CA-2022-051-03-00	43.50.02 RTAP ARPA Tech Assist - 43.50.02	\$188,817.00	\$0.00	\$188,817.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.02 - RTAP ARPA Tech Assist - 43.50.02

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

### Extended Budget Description

FFY 2021 Rural Transit Assistance Program (RTAP) funds apportioned to the State of California in the amount of \$188,817 will fund technical assistance to rural and intercity bus service providers. Because ARPA funds are 100% federal share, no Local Match is required. Total project cost is \$188,817.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$188,817
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$188,817</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Performance Period begins
End Date	6/30/2028	Performance Period ends

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Jean Mazur

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Comment Type DOL Review for Information

Date 2/24/2022

Comment Please find the 5311 ARPA grant for your information.

### Application Review Comments

**Comment By** Jean Mazur

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Comment Type Pre-Award Manager Returns Application

Date 2/14/2022

Comment Returning per your request.

**Comment By** Jean Mazur

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Comment Type	Pre-Award Manager Returns Application
Date	2/22/2022
Comment	A number of the projects have completion milestones in 2028. The PPED needs to be extended to include the latest project milestone.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: CALIFORNIA, DEPARTMENT OF TRANSPORTATION

Recipient ID: 1622

DUNS No: 135253776

#### Application Information

Federal Award Identification Number: CA-2022-051-00

Application Name: Caltrans FFY21 5311-5311(f)-RTAP ARPA (Calif ID # 0022000219)

Application Start Date: N/A

Original Award End Date: 6/30/2028

Current Award End Date: N/A

Application Executive Summary: This application is to request FFY 2021 Section 5311 American Rescue Plan Act (ARPA) funds apportioned to the State of California in the amount of \$27,104,027; FFY 2021 5311(f) ARPA funds apportioned to the State of California in the amount of \$4,382,307; and FFY 2021 Rural Transit Assistance Program (RTAP) funds apportioned to the State of California in the amount of \$188,817. A total of \$31,675,151 is requested for obligation. Because ARPA funds are 100% federal share, no Local Match is required. Total project costs is \$31,675,151.

1. Award purpose: The purpose of the award is to assist agencies operating rural or intercity bus service in their continued recovery from the effects of the COVID-19 pandemic and to provide technical assistance through the RTAP to those agencies.

2. Activities to be performed: Operating assistance associated with COVID-19 response and recovery (including employee and rider safety during the pandemic) and technical assistance.

3. Expected outcomes: Continued safe rural and intercity bus operations in California.

4. Intended beneficiaries: Residents of California, particularly those living in rural areas of the state.

5. Subrecipient activities: Operating assistance for the following rural transit service providers: Alpine County Community Development, Amador Transit, Butte CAG/Butte Regional Transit, Calaveras Transit Agency, City of Arcata, City of Arvin, City of Auburn, City of California City, City of Chowchilla, City of Corcoran - Corcoran Area Transit, City of Dinuba, City of Dixon, City of Escalon, City of Guadalupe, City of McFarland, City of Needles, City of Ojai, City of Ridgecrest, City of Rio Vista, City of Shafter, City of Solvang, City of Taft, City of Tehachapi, City of Wasco, Colusa County Transit Agency, County of Los Angeles - Department of Public Works, County of Mariposa, County of Nevada Public Works - Transit Services Division, County of Sacramento Department of Transportation, County of Shasta Department of Public Works, County of Siskiyou, County of Tulare, Eastern Contra Costa County Transit Agency, Eastern Sierra Transit Authority, Eastern Sierra Transit Authority, El Dorado County Transit Authority, Fresno County Rural Transit Agency, Glenn County Transportation Commission, Humboldt Transit Authority, Imperial County Transportation Commission, Kern Regional Transit, Kings County Area Public Transit Agency, Lake Transit Authority, Lassen Transit Service Agency, Madera County, Marin County Transit District, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Morongo Basin Transit Authority, Mountain Area Regional Transit Authority, North County Transit District, Palo Verde Valley Transit Agency, Placer County Public Works (TART & PCT), Plumas County Transportation Commission, Redwood Coast Transit Authority, Riverside Transit Agency, San Benito County Local Transportation Authority, San Diego Metropolitan Transit System, San Joaquin Regional Transit District, San Luis Obispo Regional Transit Authority, San Mateo County Transit District, Santa Barbara County Association of Governments, Santa Clara Valley Transportation Authority, Santa Cruz Metropolitan Transit District, Shasta Regional Transportation Agency, Sierra County Transportation Commission, Sonoma County Transit, Stanislaus County Public Works - Transit Division, Sunline Transit Agency, Tehama County Transit Agency, Town of Truckee, Transit Joint Powers Authority for Merced County, Trinity County Department of Transportation, Tuolumne County Transit Agency (TCTA), Victor Valley Transit Authority, Yolo County Transportation District, and Yuba-Sutter Transit Authority; and the following intercity bus operators: Butte CAG / Butte Regional Transit, City of Santa Maria, City of Visalia, Eastern Sierra Transit Authority, Fresno Council of Governments, Greyhound Lines Inc., Humboldt

Transit Authority, Kern Regional Transit, Lake Transit Authority, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Mountain Area Regional Transit Authority, Napa County, Plumas County Transportation Commission, Redwood Coast Transit Authority, San Diego Metropolitan Transit System, Sunline Transit Agency, Trinity County Department of Transportation, and Yosemite Area Regional Transportation System.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

The applicant, and any subrecipient or contractor that is a provider of public transportation: (a) intends to use ARPA funds to bring back any employees previously furloughed as a direct result of financial difficulties caused by the COVID-19 public health emergency, to the maximum extent possible; (b) intends to use ARPA funds to rehire any positions of employees who were laid off as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) explains how it has spent ARPA funds on payroll, operations, or payroll and expenses of private providers of public transportation “to the maximum extent possible.”

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$45,471,922.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$45,471,922.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$45,471,922.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$27,104,027
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,382,307
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$188,817
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$31,675,151</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,

- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*CALIFORNIA, DEPARTMENT OF TRANSPORTATION*