

Application

Federal Award Identification Number (FAIN)	AL-2020-007-02
Temporary Application Number	1000-2020-3
Application Name	Rural Area 5311 CARES Act
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	4/28/2020	
Original Period of Performance End Date	3/30/2023	
Current Period of Performance End Date	3/30/2024	Revision #: 1

Part 1: Recipient Information

Name: TRANSPORTATION, ALABAMA DEPT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1000	State Agency	ALABAMA DEPARTMENT OF TRANSPORTATION	837892371

Location Type	Address	City	State	Zip
Headquarters	600 DEXTER AVE STE S105	MONTGOMERY	AL	361303024
Physical Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36110
Mailing Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36130

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Rural Area 5311 CARES Act					
---	--	--	--	--	--

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AL-2020-007-02	Final Concurrence / Reservation	Grant	11/4/2021	11/4/2021	No

Application Executive Summary

Amendment 2

This Zero Dollar Amendment will create a new scope and new ALI for State Planning. We will move \$250,000 from scope 620-00 Project Admin and create a new scope 441-00 State Planning & Research. The new scope will be used for hiring Sain & Associates (Consultant) to conduct ALDOT Statewide Rural Transit Study.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act);

The purpose of the Statewide Rural Public Transportation Study

The Statewide Rural Public Transportation study will be a first-time review and analysis of the current and future rural public transportation program in Alabama. The study will include a transportation needs assessment of the citizens residing in the 16 counties that currently have no rural public transportation. The study will also assess additional transportation needs of the citizens in the 51 counties that currently offer rural public transportation. The study will also include ROI (return on investment) analysis for the funds expended at the local level. The study is a tool for use by this office and local officials to use in our next steps to establish a safe and robust rural public transportation program for all of the citizens in Alabama regardless of the financial capacity of local government to provide matching funds.

Activities to be performed:

Sain Associates proposes to complete the following scope of services:

Task 1 – Initial Data Collection and Outreach

- We will reach out to rural transit service providers to discuss the passenger survey results from the Intercity Bus Study recently completed by Sain and to obtain additional feedback on current challenges and potential opportunities. Demographic analysis (population, vehicles per household, income, household size, age) of rural populations from the Intercity Bus Study will be summarized.
- We will conduct a rural transit survey to obtain and summarize additional feedback from rural transit users. An online survey will be developed and supplemented with hard copy surveys which will be distributed to rural transit service providers.
- We will summarize the existing rural transit vehicle inventory including number and age of vehicles. We will utilize the existing Transit Asset Management Plan (TAMP) to gather this information.
- We will review and summarize the findings from the Human Services Coordinated Transportation Plans for each RPO in Alabama.
- We will use GIS to map the existing rural transit service areas in Alabama.
- We will review and summarize existing ridership, typical trip purposes, funding sources, and operating expenditures (cost to provide service) for each rural transit system in Alabama using a five year rolling average, discounting the pandemic period. This information will be used to help inform the future needs of transit riders in the state.
- Existing connections between rural transit service and intercity bus/urban transit service will be reviewed and summarized.

Task 2 – Evaluation and Recommendations Development

- Using the data from Task 1 and previously collected for the Intercity Bus Study, we will evaluate the existing rural transit service areas, identify potential barriers, and develop recommendations to improve service statewide with an emphasis on addressing counties with no existing transit or limited transit service. Some recommendations will be localized.
- We will identify potential route and service area improvements which will be used to determine feasible

bus stop/shelter locations in the state.

- Based on the funding review in Task 1, we will identify existing and potential future gaps in funding and develop strategies to overcome funding gaps. We will evaluate the feasibility of private partnerships to improve connections between rural communities and job and training opportunities. We will also reach out to regional health care providers to determine the level of interest in partnering with rural transit and intercity bus providers. The funding analysis will include an evaluation of the cost to provide service for each rural transit agency.
- We will evaluate existing rural transit policies and develop recommendations for improving scheduling, reliability, and the overall integration of rural transit with urban transit and intercity bus service in the state.
- We will determine the appropriate framework required to integrate rural transit into the proposed community college-based intercity bus network.
- An analysis of the potential reduction in pedestrian related crashes will be conducted by estimating the number of pedestrians that would be removed from the roadway network based on improved rural transit service and the associated mode shift from pedestrian to transit. This analysis is dependent on the availability of information related to rural mode shifts based on improving and/or introducing transit service.
- A comparison of Alabama bus driver salaries and benefits to peer (Region IV) states and national averages will be conducted to determine if driver salaries are a barrier to attracting and retaining qualified staff in Alabama. This evaluation will be used to inform recommendations to address the current rural transit driver shortage.

Task 3 – Economic Impact Analysis

- We will conduct an Economic Impact Analysis (EIA) of the recommended investments in rural transit in Alabama on the lives of individual riders, their communities, and the economies they support. The geographic focus of this analysis will be the state of Alabama. The EIA will provide a Return on Investment (ROI) estimate, a list of monetized social impacts, and an inventory of potential efficiency benefits for each local system. The results will be aggregated to the state level to demonstrate the overall economic impact of the increased investment in rural transit.
- We will perform the following steps to measure the predicted impacts the recommended improvements will have on local economic activity.
 - o Conduct a literature review of performance measures applied or in development nationally to quantify how a rural transit system impacts local economies.
 - o Assess available statewide data, matching the data required to apply the economic-specific measures identified within the literature review, to tailor the analysis to reflect local values and state priorities.
 - o Develop an analytical toolkit addressing key issues and themes affecting local economies, including prospects for growth, inflation, energy and the environment, inequality, labor issues, and the impact of new technologies.
 - o Document preliminary findings from implementing proposed metrics at the local level.
- We will perform the following steps to measure the expected impacts the recommended improvements will have on the citizenry that relies on transit and the communities in which they live.
 - o Conduct a literature review of performance measures applied or in development nationally to quantify how well a rural transit system serves its community.
 - o Assess available statewide data, matching the data required to apply the citizen and community-specific measures identified within the literature review, to tailor the analysis to reflect local values and state priorities.
 - o Develop an analytical toolkit addressing critical social issues and themes affecting citizens of each rural transit system, including access to jobs and educational opportunities; poverty and homelessness; health care and childhood obesity; and climate change and resiliency.
 - o Document preliminary findings from implementing proposed metrics at the local level.
- We will use the information on the ridership, typical cost per ride, employment, and public transit agency expenditure estimates, as well as results from the toolkits, to calculate the economic impact of recommended improvements in rural transit services statewide. We will also identify opportunities to generate private, PPP, and public funding (i.e., one-time funding and annual revenue streams), including alternative and complementary uses, federal grants, economic development grants, etc.

Task 4 – Documentation

We will prepare a summary report to summarize the study's inventory, analysis and recommendations.

We will provide a draft report for review and comment by ALDOT, a revised draft for final comment, and a final report. Each report will be delivered in an indexed .pdf format.

Expected Outcomes:

The outcome of this study will be to provide guidance in developing a program to establish rural transportation in all 67 counties.

Intended Beneficiaries:

Citizens of rural counties in Alabama

Subrecipient Activities:

none at this time

2/25/2021

Budget Revision

This budget revision will create new ALIs under the Intercity Bus scope for construction of a new facility for West Alabama Public Transportation.

Federal Cares Act funds are being requested to purchase and renovate an existing building to be use as West Alabama Public Transportation (WAPT) Central Office, House A Full-Service Greyhound Bus Terminal, House A Transportation Bus Maintenance Shop, A Senior Citizens Nutrition Site and A WAPT Bus Parking Shed Area located at 1700 U.S. Highway 80 East, Demopolis, Alabama. The proposed project will involve (10) lots, (6) of which front Highway 80 at a project cost estimate of \$480,777.00. In the event that 100% Federal Cares Act Funds are not approved, we have estimated that WAPT equity in the newly purchased building will be \$190,724.00 to be used as WAPT's Match. The site is located in a business zone and has easy ingress and egress for both WAPT Buses and Greyhound Buses. The proposed project will be owned and operated by WAPT. WAPT has provided a diagram/maps that defines how the building will be utilized with cost projections in conformance with the uses noted above. The project will be funded totally with federal pass-through funds provided by the Alabama Department of Transportation pursuant to an approved FTA Grant and will be managed for the purpose of said grant, in accordance with applicable Federal and State requirements. The property may not be disposed of in any manner or used for purposes inconsistent with the program for which it was acquired. Satisfactory Continuing Control measures including, at a minimum, documented annual inspections and certifications, in addition to annual inventory records updates reflecting the federal share of the project expenses will be implemented. As a result of a review of the WAPT current building/site, some key design objective components were: Accessibility, Access to a major highway, Secure Parking for buses, Usability Function, Safety and Wayfinding and Signage. From these objectives, WAPT selected the 1700 Highway 80 East, Demopolis, Alabama building/site and secured the estimated project costs. This project will be contracted out to a private entity through a competitive bid process. A private Design Firm will be contracted to perform the construction management, architecture and inspection of the project. In addition, the Design Firm will oversee the Federal Procurement requirements; no in-house work will be performed. ALDOT will make available bid ready documents for review and as appropriate prior to renovation construction. This project will have minimal to no demolition work. As noted above an independent cost estimate has been conducted. ALDOT certifies an independent cost estimate will be prepared and available on file along with corresponding cost/price analysis for the scope of the work of the project. ALDOT will establish the estimated useful life of this project. Typical lifespans for the project components are: Manufactured Shelter: 25 years, fencing as 20 years, asphalt paving as 20 years, concrete paving 35 years, brick/stone pavers 35 years, concrete sidewalks 30 years, Area lighting 15 years and Camera systems 5 years. 1. Technical service life: is the time in service until a defined unacceptable state is reached, safety level below acceptable, or failure of elements; 2. Functional service life: is the time in service until the structure no longer fulfills the functional requirements or becomes out of date due to change in functional requirements; 3. Economic service life: is the time in service until replacement of the structure (or part of it) is economically more expensive than keeping it in service. Per ACI 365. 1R-00, "Service-Life Prediction - State-of-the-Art Report" - Medium Life (Include Most Industrial Buildings and Parking Structures) is 25-49 years. ALDOT will select the most conservative. ALDOT will facilitate project oversight to ensure compliance with federal requirements. The Dozier Building offers a safer working environment, not just for

employees, but for customers and visitors as well. The new construction will allow for clean work areas, plenty of parking for staff, visitors, busses, in addition to ADA accessibility and compliance in all these aspects. The current location (500 East Washington Street) is not sufficient in any of these areas. Not only will moving our operations to the Dozier Building provide for a safer and more efficient working environment, but it will also boost our local economy by creating new job opportunities in our community. A local engineering firm, and construction/renovation crew's services will be required to get this building ready for our specific needs. Long term, maintenance /shop and housekeeping jobs will be required for the additional services that this location will allow. This will require (3) full-time and (2) part-time long term and under the construction around (12) full-time and 10 part-time position.

Amendment 1

The amendment 1 is to add remaining funds from the FY2020 CARES Act apportionment of the Section 5311 (Non-Urbanized Formula), \$12,972,622 funds, and FY2020 Appalachian funds \$5,000,000. The total amount of federal funds to be added to the grant is \$17,972,622 for a total of \$59,126,485 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Capital, Operating, Intercity Bus, Provider Admin and State Admin costs. The Capital items include: mini vans, modified vans, transit vans, and cut-a-way chassis buses, computer hardware, software, security equipment, and shop equipment. See project budget and attached POP for details. ALDOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review. Project Funding Breakdown FTA Funds Budgeted

111-00 Capital – Bus Rolling Stock \$7,000,000 (New Line Item)

Activity

11.12.04 – Buy Replacement <30ft Bus \$1,500,000

11.12.15 – Buy Replacement Van \$5,293,000

11.13.15 – Buy Expansion Van \$207,000

114-00 Capital - Support Equipment \$559,000

Activity

11.42.06 - Acquire Shop Equipment \$100,000

11.42.07 - Acquire ADP Hardware \$74,000

11.42.08 - Acquire ADP Software \$80,000

11.42.09 - Acquire Surveillance/Security \$45,000

11.42.20 - Acquire Misc. Support Equipment \$260,000

300-00 Operating Assistance \$24,416,893 (Increase Line Item)

Activity

30.09.01 Up to 100% Federal Share

610-00 State/Programs Administration 10% of 5311 apportionment \$5,412,648

Activity

11.79.00 - Program Administration

620-00 Project Administration \$8,618,971 (Increase Line Item)

Activity

11.79.00 - Program Administration

634-00 Intercity Bus Transportation 15% of 5311 apportionment \$8,118,973

Activity

30.09.01 Up to 100% Federal Share

648-00 Appalachian Dev Pub Transit Assist \$5,000,000 (New Line Item)

Activity

11.79.00 – Project Administration \$1,400,000

30.09.01 – Operating Assistance \$3,100,000
11.80.00 – State Administration \$500,000

Total Federal Funds Requested \$59,126,485

ALDOT calculated Operating costs based on previous operating costs and requests from subs/Intercity providers.

The Alabama Department of Transportation is the Governor of Alabama’s designated recipient of Section 5311 Non-Urbanized Transportation allocation.

No, the Alabama Department of Transportation does not charge Indirect Cost.

No funds are request for Research and Development.

No Union involvement for the State of Alabama.

Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP) and Fleet Replacement List.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

ALDOT will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

This is a FFY 2020 Section CARES Act 5311 application in the amount of \$ \$41,153,863 (100% Federal Share) .00. from the available Federal CARES Act apportionment of \$59,126,485. This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Capital, Operating, Intercity Bus, Provider Admin and State Admin costs. The Capital items include: computer hardware, software, security equipment, and shop equipment. The balance of funds totaling \$17,972,622 (including \$5,000,000 in Appalachian funds) will be requested through the TrAMS award amendment process upon completion of the FY21 grant application cycle. See project budget and attached POP for details. ALDOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency’s next Triennial/State Management Review.

Project Funding Breakdown

FTA Funds Budgeted

114-00 Capitol-Support Equipment \$559,000

Activity

11.42.06 - Acquire Shop Equipment \$100,000

11.42.07 - Acquire ADP Hardware \$74,000

11.42.08 - Acquire ADP Software \$80,000

11.42.09 - Acquire Surveillance/Security \$45,000

11.42.20 - Acquire Misc. Support Equipment \$260,000

300-00 Operating Assistance \$18,944,271

Activity

30.09.01 Up to 100% Federal Share

610-00 State/Programs Administration 10% of 5311 apportionment \$5,412,648

Activity

11.79.00 - Program Administration

620-00 Project Administration \$8,118,971

Activity

11.79.00 - Program Administration

634-00 Intercity Bus Transportation 15% of 5311 apportionment \$8,118,973

Activity

30.09.01 Up to 100% Federal Share

Total Federal Funds Requested \$41,153,863

ALDOT calculated Operating costs based on previous operating costs and requests from subs/Intercity providers.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

ALDOT will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
------------	-----------	-------	----------------	-------

Courtney Roberts	Transportation Program Specialist	courtney.roberts@dot.gov	(404) 865-5478
Catrina Rollins	Engineering Asst. II	rollinscm@dot.state.al.us	(334) 242-6766

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$59,126,485
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$59,126,485

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2020-007-01-02	111-00 (111-A6) BUS - ROLLING STOCK	\$7,000,000.00	\$0.00	\$7,000,000.00	68
AL-2020-007-01-02	11.12.04 BUY REPLACEMENT <30 FT BUS	\$1,500,000.00	\$0.00	\$1,500,000.00	13
AL-2020-007-01-02	11.12.15 BUY REPLACEMENT VAN	\$5,293,000.00	\$0.00	\$5,293,000.00	51
AL-2020-007-01-02	11.13.15 BUY VAN FOR SVC EXPANSION	\$207,000.00	\$0.00	\$207,000.00	4
AL-2020-007-01-02	114-00 (114-A4) BUS: SUPPORT EQUIP AND FACILITIES	\$559,000.00	\$0.00	\$559,000.00	0
AL-2020-007-01-02	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$0.00	\$0.00	\$0.00	0
AL-2020-007-01-02	11.42.07 ACQUIRE - ADP HARDWARE	\$174,000.00	\$0.00	\$174,000.00	0
AL-2020-007-01-02	11.42.08 ACQUIRE - ADP SOFTWARE	\$0.00	\$0.00	\$0.00	0
AL-2020-007-	11.42.09 ACQUIRE -	\$125,000.00	\$0.00	\$125,000.00	0

01-02			MOBILE SURV/ SECURITY EQUIP				
AL-2020-007-01-02		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$260,000.00	\$0.00	\$260,000.00	0
AL-2020-007-01-02	300-00 (300-A1)		OPERATING ASSISTANCE	\$24,416,893.00	\$0.00	\$24,416,893.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,138,964.00	\$0.00	\$8,138,964.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,138,965.00	\$0.00	\$8,138,965.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,138,964.00	\$0.00	\$8,138,964.00	0
AL-2020-007-01-02	441-00 (441-A9)		STATE PLANNING & RESEARCH	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02		44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02	600-00 (600-A7)		OTHER PROGRAM COSTS	\$3,100,000.00	\$0.00	\$3,100,000.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,100,000.00	\$0.00	\$3,100,000.00	0
AL-2020-007-01-02	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$5,412,648.00	\$0.00	\$5,412,648.00	0
AL-2020-007-01-02		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$5,412,648.00	\$0.00	\$5,412,648.00	0
AL-2020-007-01-02	620-00 (620-A2)		PROJECT ADMINISTRATION	\$8,368,971.00	\$0.00	\$8,368,971.00	0
AL-2020-007-01-02		11.79.00	PROJECT ADMINISTRATION	\$8,368,971.00	\$0.00	\$8,368,971.00	0

AL-2020-007-01-02	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$8,118,973.00	\$0.00	\$8,118,973.00	0
AL-2020-007-01-02		11.31.03 TERMINAL, INTERMODAL (TRANSIT)	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02		11.32.08 ACQUIRE - FURN/ GRAPHICS	\$117,114.00	\$0.00	\$117,114.00	0
AL-2020-007-01-02		11.33.11 TERMINAL, INTERMODAL (INTERCITY BUS)	\$1,002,886.00	\$0.00	\$1,002,886.00	0
AL-2020-007-01-02		11.42.06 ACQUIRE - SHOP EQUIPMENT	\$150,000.00	\$0.00	\$150,000.00	0
AL-2020-007-01-02		11.42.07 ACQUIRE - ADP HARDWARE	\$50,000.00	\$0.00	\$50,000.00	0
AL-2020-007-01-02		11.42.08 ACQUIRE - ADP SOFTWARE	\$150,000.00	\$0.00	\$150,000.00	0
AL-2020-007-01-02		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$100,000.00	\$0.00	\$100,000.00	0
AL-2020-007-01-02		11.73.00 CONTINGENCIES/ PROGRAM RESERVE	\$142,156.00	\$0.00	\$142,156.00	0
AL-2020-007-01-02		11.76.91 REAL ESTATE ACQUISITION	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02		11.76.94 REAL ESTATE APPRAISALS	\$30,000.00	\$0.00	\$30,000.00	0
AL-2020-007-01-02		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,706,324.00	\$0.00	\$2,706,324.00	0
AL-2020-007-01-02		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,706,324.00	\$0.00	\$2,706,324.00	0
AL-2020-007-01-02		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$464,169.00	\$0.00	\$464,169.00	0
AL-2020-007-01-02	648-00 (648-A8)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,900,000.00	\$0.00	\$1,900,000.00	0
AL-2020-007-01-02		11.79.00 PROJECT ADMINISTRATION	\$1,400,000.00	\$0.00	\$1,400,000.00	0
AL-2020-007-01-02		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$500,000.00	\$0.00	\$500,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 CARES Act

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AL-2020-007-01-02	1000-2020-3-P1	11/4/2021	1/20/2020	3/30/2024

Project Description

11/4/21

Amendment 2

This Zero Dollar Amendment will create a new scope and new ALI for State Planning. We will move \$250,000 from scope 620-00 Project Admin and create a new scope 441-00 State Planning & Research. The new scope will be used for hiring Sain & Associates (Consultant) to conduct ALDOT Statewide Rural Transit Study.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

The purpose of the Statewide Rural Public Transportation Study

The Statewide Rural Public Transportation study will be a first-time review and analysis of the current and future rural public transportation program in Alabama. The study will include a transportation needs assessment of the citizens residing in the 16 counties that currently have no rural public transportation. The study will also assess additional transportation needs of the citizens in the 51 counties that currently offer rural public transportation. The study will also include ROI (return on investment) analysis for the funds expended at the local level. The study is a tool for use by this office and local officials to use in our next steps to establish a safe and robust rural public transportation program for all of the citizens in Alabama regardless of the financial capacity of local government to provide matching funds.

2/25/2021

Budget Revision

This budget revision will create new ALIs under the Intercity Bus scope for construction of a new facility for West Alabama Public Transportation.

Federal funds in support of an appraisal of property located at 17 U.S. Highway 80 East, Demopolis, Alabama the site of the property to be purchased and renovated. The appraisal will be conducted on (10) lots (6) of which front U.S. Highway 80 East in Demopolis, Alabama to be used by WAPT as WAPT Central Office, House a Full-Service Greyhound Bus Terminal, House a Transportation Bus Maintenance Shop, A Senior Citizens Nutrition Site and A WAPT Bus Parking Shed Area. The appraisal will meet all federal requirements, the results will be incorporated into the documentation of the project. WAPT equity in the newly purchased building will be used as a match if required. Engineering and Architectural work will be performed by the contracted private Design Firm. Landscape Architecture and any Surveying will be outsourced through a competitive process. The enclosed building and shop area consist of 6,900 sf. The bus shop shed area consists of 6,000 sf.

The amendment 1 is to add remaining funds from the FY2020 CARES Act apportionment of the Section 5311 (Non-Urbanized Formula), \$12,972,622 funds, and FY2020 Appalachian funds \$5,000,000. The total amount of federal funds to be added to the grant is \$17,972,622. It is programmed in the Alabama 2020-2023 STIP.

FY 2020 CARES Act funds for Section 5311(Non-Urban Formula) funds-\$41,153,863. Funds are 100%. Activities in the project will consist of: Operating Assistance, Program Assistance, Intercity Bus Operating Assistance, Capital Support Equipment, and State Administration. See attached POP for details per sub-recipient.

This project only includes operating expenses and/or capital expenses that do not include substantial functional, location, or capacity changes to the asset or system. Therefore, no TIP/STIP documentation is needed.

Project Benefits

2/25/2021

After a review of WAPT current administrative office building, key objective components were: Accessibility, Access to a Major highway, Secure Parking for Buses, Function, Safety and Wayfinding and Signage. The project will provide administrative offices that are more conducive for the work of the agency, a more effective process of maintaining a sanitized work environment during COVID-19 type pandemics, a dedicated full service Greyhound Bus Terminal, an in house bus maintenance shop to reduce maintenance cost, handicapped accessible restrooms for both staff, visitors and Greyhound riders; utility cost will be reduced tremendously and adequate parking for bus parking; easy ingress and egress for buses. In addition, WAPT will own the property.

The statewide funding will assist with meeting transportation needs of the rural areas of Alabama. Additional operating and project administration funds will support the maintenance of vehicles, equipment, and facilities related to the operation of the transit programs. The funds will also support the employee’s salaries and provide employee benefits for the members of our sub-recipient’s staffs. Statewide federal funding will ensure services can be expanded into various area across the state.

Additional Information

None provided.

Location Description

State-wide CARES Act funds

Project Location (Urbanized Areas)

UZA Code	Area Name
010000	Alabama

Congressional District Information

State	District	Representative
Alabama	1	Bradley Byrne
Alabama	2	Martha Roby
Alabama	3	Mike Rogers
Alabama	4	Robert B Aderholt
Alabama	5	Mo Brooks
Alabama	6	Gary J Palmer

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$59,126,485
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$59,126,485

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2020-007-01-02	111-00 (111-A6) BUS - ROLLING STOCK	\$7,000,000.00	\$0.00	\$7,000,000.00	68
AL-2020-007-01-02	11.12.04 BUY REPLACEMENT <30 FT BUS	\$1,500,000.00	\$0.00	\$1,500,000.00	13
AL-2020-007-01-02	11.12.15 BUY REPLACEMENT VAN	\$5,293,000.00	\$0.00	\$5,293,000.00	51

AL-2020-007-01-02		11.13.15	BUY VAN FOR SVC EXPANSION	\$207,000.00	\$0.00	\$207,000.00	4
AL-2020-007-01-02	114-00 (114-A4)		BUS: SUPPORT EQUIP AND FACILITIES	\$559,000.00	\$0.00	\$559,000.00	0
AL-2020-007-01-02		11.42.06	ACQUIRE - SHOP EQUIPMENT	\$0.00	\$0.00	\$0.00	0
AL-2020-007-01-02		11.42.07	ACQUIRE - ADP HARDWARE	\$174,000.00	\$0.00	\$174,000.00	0
AL-2020-007-01-02		11.42.08	ACQUIRE - ADP SOFTWARE	\$0.00	\$0.00	\$0.00	0
AL-2020-007-01-02		11.42.09	ACQUIRE - MOBILE SURV/ SECURITY EQUIP	\$125,000.00	\$0.00	\$125,000.00	0
AL-2020-007-01-02		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$260,000.00	\$0.00	\$260,000.00	0
AL-2020-007-01-02	300-00 (300-A1)		OPERATING ASSISTANCE	\$24,416,893.00	\$0.00	\$24,416,893.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,138,964.00	\$0.00	\$8,138,964.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,138,965.00	\$0.00	\$8,138,965.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,138,964.00	\$0.00	\$8,138,964.00	0
AL-2020-007-01-02	441-00 (441-A9)		STATE PLANNING & RESEARCH	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02		44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02	600-00 (600-A7)		OTHER PROGRAM COSTS	\$3,100,000.00	\$0.00	\$3,100,000.00	0
AL-2020-007-01-02		30.09.08	EMER RELIEF - OPERATING ASSIST - 100%	\$3,100,000.00	\$0.00	\$3,100,000.00	0

Fed Share						
AL-2020-007-01-02	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$5,412,648.00	\$0.00	\$5,412,648.00	0
AL-2020-007-01-02		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$5,412,648.00	\$0.00	\$5,412,648.00	0
AL-2020-007-01-02	620-00 (620-A2)	PROJECT ADMINISTRATION	\$8,368,971.00	\$0.00	\$8,368,971.00	0
AL-2020-007-01-02		11.79.00 PROJECT ADMINISTRATION	\$8,368,971.00	\$0.00	\$8,368,971.00	0
AL-2020-007-01-02	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$8,118,973.00	\$0.00	\$8,118,973.00	0
AL-2020-007-01-02		11.31.03 TERMINAL, INTERMODAL (TRANSIT)	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02		11.32.08 ACQUIRE - FURN/ GRAPHICS	\$117,114.00	\$0.00	\$117,114.00	0
AL-2020-007-01-02		11.33.11 TERMINAL, INTERMODAL (INTERCITY BUS)	\$1,002,886.00	\$0.00	\$1,002,886.00	0
AL-2020-007-01-02		11.42.06 ACQUIRE - SHOP EQUIPMENT	\$150,000.00	\$0.00	\$150,000.00	0
AL-2020-007-01-02		11.42.07 ACQUIRE - ADP HARDWARE	\$50,000.00	\$0.00	\$50,000.00	0
AL-2020-007-01-02		11.42.08 ACQUIRE - ADP SOFTWARE	\$150,000.00	\$0.00	\$150,000.00	0
AL-2020-007-01-02		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$100,000.00	\$0.00	\$100,000.00	0
AL-2020-007-01-02		11.73.00 CONTINGENCIES/ PROGRAM RESERVE	\$142,156.00	\$0.00	\$142,156.00	0
AL-2020-007-01-02		11.76.91 REAL ESTATE ACQUISITION	\$250,000.00	\$0.00	\$250,000.00	0
AL-2020-007-01-02		11.76.94 REAL ESTATE APPRAISALS	\$30,000.00	\$0.00	\$30,000.00	0
AL-2020-007-01-02		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,706,324.00	\$0.00	\$2,706,324.00	0
AL-2020-007-01-02		30.09.08 EMER RELIEF - OPERATING	\$2,706,324.00	\$0.00	\$2,706,324.00	0

AL-2020-007-01-02		30.09.08	ASSIST - 100% Fed Share EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$464,169.00	\$0.00	\$464,169.00	0
AL-2020-007-01-02	648-00 (648-A8)		APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,900,000.00	\$0.00	\$1,900,000.00	0
AL-2020-007-01-02		11.79.00	PROJECT ADMINISTRATION	\$1,400,000.00	\$0.00	\$1,400,000.00	0
AL-2020-007-01-02		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$500,000.00	\$0.00	\$500,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE PLANNING & RESEARCH (441-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	STATE PLANNING & RESEARCH	0

Extended Budget Description

11/4/21

Federal funds \$250,000

The new scope will be used for hiring Sain & Associates (Consultant) to conduct ALDOT Statewide Rural Transit Study.

Purpose

Conduct a study to evaluate rural public transit service in Alabama.

Detailed Scope of Services

Sain Associates proposes to complete the following scope of services:

Task 1 – Initial Data Collection and Outreach

- We will reach out to rural transit service providers to discuss the passenger survey results from the Intercity Bus Study recently completed by Sain and to obtain additional feedback on current challenges and potential opportunities. Demographic analysis (population, vehicles per household, income, household size, age) of rural populations from the Intercity Bus Study will be summarized.
- We will conduct a rural transit survey to obtain and summarize additional feedback from rural transit users. An online survey will be developed and supplemented with hard copy surveys which will be distributed to rural transit service providers.
- We will summarize the existing rural transit vehicle inventory including number and age of vehicles. We will utilize the existing Transit Asset Management Plan (TAMP) to gather this information.
- We will review and summarize the findings from the Human Services Coordinated Transportation Plans for each RPO in Alabama.
- We will use GIS to map the existing rural transit service areas in Alabama.
- We will review and summarize existing ridership, typical trip purposes, funding sources, and operating expenditures (cost to provide service) for each rural transit system in Alabama using a five year rolling average, discounting the pandemic period. This information will be used to help inform the future needs of

transit riders in the state.

- Existing connections between rural transit service and intercity bus/urban transit service will be reviewed and summarized.

Task 2 – Evaluation and Recommendations Development

- Using the data from Task 1 and previously collected for the Intercity Bus Study, we will evaluate the existing rural transit service areas, identify potential barriers, and develop recommendations to improve service statewide with an emphasis on addressing counties with no existing transit or limited transit service. Some recommendations will be localized.
- We will identify potential route and service area improvements which will be used to determine feasible bus stop/shelter locations in the state.
- Based on the funding review in Task 1, we will identify existing and potential future gaps in funding and develop strategies to overcome funding gaps. We will evaluate the feasibility of private partnerships to improve connections between rural communities and job and training opportunities. We will also reach out to regional health care providers to determine the level of interest in partnering with rural transit and intercity bus providers. The funding analysis will include an evaluation of the cost to provide service for each rural transit agency.
- We will evaluate existing rural transit policies and develop recommendations for improving scheduling, reliability, and the overall integration of rural transit with urban transit and intercity bus service in the state.
- We will determine the appropriate framework required to integrate rural transit into the proposed community college-based intercity bus network.
- An analysis of the potential reduction in pedestrian related crashes will be conducted by estimating the number of pedestrians that would be removed from the roadway network based on improved rural transit service and the associated mode shift from pedestrian to transit. This analysis is dependent on the availability of information related to rural mode shifts based on improving and/or introducing transit service.
- A comparison of Alabama bus driver salaries and benefits to peer (Region IV) states and national averages will be conducted to determine if driver salaries are a barrier to attracting and retaining qualified staff in Alabama. This evaluation will be used to inform recommendations to address the current rural transit driver shortage.

Task 3 – Economic Impact Analysis

- We will conduct an Economic Impact Analysis (EIA) of the recommended investments in rural transit in Alabama on the lives of individual riders, their communities, and the economies they support. The geographic focus of this analysis will be the state of Alabama. The EIA will provide a Return on Investment (ROI) estimate, a list of monetized social impacts, and an inventory of potential efficiency benefits for each local system. The results will be aggregated to the state level to demonstrate the overall economic impact of the increased investment in rural transit.
- We will perform the following steps to measure the predicted impacts the recommended improvements will have on local economic activity.
 - o Conduct a literature review of performance measures applied or in development nationally to quantify how a rural transit system impacts local economies.
 - o Assess available statewide data, matching the data required to apply the economic-specific measures identified within the literature review, to tailor the analysis to reflect local values and state priorities.
 - o Develop an analytical toolkit addressing key issues and themes affecting local economies, including prospects for growth, inflation, energy and the environment, inequality, labor issues, and the impact of new technologies.
 - o Document preliminary findings from implementing proposed metrics at the local level.
- We will perform the following steps to measure the expected impacts the recommended improvements will have on the citizenry that relies on transit and the communities in which they live.
 - o Conduct a literature review of performance measures applied or in development nationally to quantify how well a rural transit system serves its community.
 - o Assess available statewide data, matching the data required to apply the citizen and community-specific measures identified within the literature review, to tailor the analysis to reflect local values and state priorities.
 - o Develop an analytical toolkit addressing critical social issues and themes affecting citizens of each rural transit system, including access to jobs and educational opportunities; poverty and homelessness; health care and childhood obesity; and climate change and resiliency.

- o Document preliminary findings from implementing proposed metrics at the local level.
- We will use the information on the ridership, typical cost per ride, employment, and public transit agency expenditure estimates, as well as results from the toolkits, to calculate the economic impact of recommended improvements in rural transit services statewide. We will also identify opportunities to generate private, PPP, and public funding (i.e., one-time funding and annual revenue streams), including alternative and complementary uses, federal grants, economic development grants, etc.

Task 4 – Documentation

We will prepare a summary report to summarize the study’s inventory, analysis and recommendations. We will provide a draft report for review and comment by ALDOT, a revised draft for final comment, and a final report. Each report will be delivered in an indexed .pdf format.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$250,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$250,000

Milestone Name	Est. Completion Date	Description
Start Date	11/4/2021	State Planning
End Date	3/30/2023	State Planning

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment One:

12/10/2020

10/1/2020-9/30/2021

CARES Act Operations funds – Adds \$1,824,207

Total \$8,138,964

FY 2021

Operational funds were determined by FY 2021 applications totaled together and then calculated by percentage.

4/15/2020

CARES Act Operations funds - \$6,314,757

FY 2020

Operational funds were determined by FY 2020 applications totaled together and then calculated by percentage.

1/1/2020-09/30/2020

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,138,964
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,138,964

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act FY 2020
End Date	9/30/2020	CARES Act FY 2020

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Budget Revision

We will move \$250,000 from scope 620-00 Project Admin and create a new scope 441-00 State Planning & Research.

Amendment one:

12/10/2020

CARES Act FY 2021 Project Administration funds – Adds \$500,000

Total \$8,618,971

Funds will be used for drivers salaries, fringes, Insurance, maintenance, and varies office supplies.

4/15/2020

CARES Act Project Administration funds - \$8,118,971

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,368,971
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,368,971

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act FY 2020
End Date	3/30/2023	CARES Act FY 2020

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

4/15/2020

CARES Act State Admin funds - \$5,412,648

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,412,648
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$5,412,648

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act FY 2020
End Date	3/30/2023	CARES Act FY 2020

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

2/25/2021

This budget revision will move \$100,000 from ALI 11.42.06 Acquire Shop Equipment under Scope 114-00 to ALI 11.42.07 ADP Hardware \$74,000, making it a total of \$174,000 for Hardware.

4/17/2020

CARES Act FY 2020

Adds \$100,000 to purchase fencing around property, office flooring, property sign, and office painting Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows: ADP Software: 3 years. ADP Hardware: 5 years Shop Equipment: 5 years Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$0
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$0

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act FY 2020
End Date	3/30/2020	CARES Act FY 2020

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

2/25/2021

This budget revision will add \$100,000 from ALI 11.42.06 Acquire Shop Equipment under Scope 114-00 to ALI 11.42.07 ADP Hardware \$74,000, making it a total of \$174,000 for Hardware.

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows: ADP Software: 3 years. ADP Hardware: 5 years Shop Equipment: 5 years Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

4/17/2020

CARES Act FY 2020

Adds \$74,000 to purchase office computers

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows: ADP Software: 3 years. ADP Hardware: 5 years Shop Equipment: 5 years Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$174,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$174,000

Milestone Name	Est. Completion Date	Description
----------------	----------------------	-------------

Start Date	1/20/2020	CARES Act FY 2020
End Date	3/30/2020	CARES Act FY 2020

Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

2/25/2021

This budget revision will move \$80,000 from ALI 11.42.08 ADP Software under scope 114-00 to ALI 11.42.09 Acquire Surveillance/Security \$45,000, making it a total of \$125,000 for Surveillance/Security.

4/17/2020

CARES Act FY 2020

Adds \$80,000 to purchase route match software

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows: ADP Software: 3 years. ADP Hardware: 5 years Shop Equipment: 5 years Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$0
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$0

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act FY 2020
End Date	3/30/2020	CARES Act FY 2020

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line	Line Item Name	Activity	Quantity
-------------------	------	----------------	----------	----------

Item #

BUS: SUPPORT EQUIP AND FACILITIES (114- 00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0
---	----------	--	--	---

Extended Budget Description

2/25/2021

This budget revision will add \$80,000 from ALI 11.42.08 ADP Software under scope 114-00 to ALI 11.42.09 Acquire Surveillance/Security \$45,000, making it a total of \$125,000 for Surveillance/Security.

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows: ADP Software: 3 years. ADP Hardware: 5 years Shop Equipment: 5 years Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

4/17/2020

CARES Act FY 2020

Adds \$45,000 to purchase security surveillance

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows: ADP Software: 3 years. ADP Hardware: 5 years Shop Equipment: 5 years Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$125,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$125,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act FY 2020
End Date	3/30/2023	CARES Act FY 2020

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------

BUS: SUPPORT EQUIP AND FACILITIES (114-00) 11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES 0

Extended Budget Description

4/17/2020
 CARES Act FY 2020
 Adds \$260,000 to purchase office headsets and printers
 Support Equipment Useful Life:
 Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:
 ADP Software: 3 years.
 ADP Hardware: 5 years
 Shop Equipment: 5 years
 Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$260,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$260,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act FY 2020
End Date	3/30/2023	CARES Act FY 2020

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment one:
 12/10/2020
 CARES Act Operations funds - Adds \$1,824,208
 Total \$8,138,965

10/1/2021-9/30/2022

FY 2021

Operational funds were determined by FY 2021 applications totaled together and then calculated by percentage.

4/15/2020

CARES Act Operations funds - \$6,314,757

FY 2021

Operational funds were determined by FY 2020 applications totaled together and then calculated by percentage.

10/1/2020-09/30/2021

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,138,965
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,138,965

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	CARES Act
End Date	9/30/2021	CARES Act

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment One:

12/10/2020

9/30/2022-3/30/2023

CARES Act Operations funds – Adds \$1,824,207

Total \$8,138,964

FY 2021

Operational funds were determined by FY 2021 applications totaled together and then calculated by

percentage.

4/15/2020
 CARES Act Operations funds - \$6,314,757
 FY 2020

Operational funds were determined by FY 2020 applications totaled together and then calculated by percentage.

10/01/2021-09/30/2022

Will 3rd Party contractors be used to fulfill this activity line item?
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,138,964
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,138,964

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	CARES Act
End Date	9/30/2022	CARES Act

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

4/15/2020
 CARES Act Intercity Operations funds - \$2,706,324
 FY 2020

Intercity Operational funds were determined by FY 2020 applications totaled together and then calculated by percentage.

1/1/2020-09/30/2020

Will 3rd Party contractors be used to fulfill this activity line item?
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,706,324
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,706,324

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	CARES Act
End Date	9/30/2020	CARES Act

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

4/15/2020

CARES Act Intercity Operations funds - \$2,706,324

FY 2021

Intercity Operational funds were determined by FY 2020 applications totaled together and then calculated by percentage.

10/1/2020-09/30/2021

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,706,324
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$2,706,324

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	CARES Act
End Date	9/30/2021	CARES Act

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

2/25/2021

Budget Revision

This budget revision will create new ALIs under the Intercity Bus scope for construction of a new facility for West Alabama Public Transportation. It will move \$2,242,156 from this ALI to several construction ALIs

4/15/2020

CARES Act Intercity Operations funds - \$2,706,325

FY 2022

Intercity Operational funds were determined by FY 2020 applications totaled together and then calculated by percentage.

10/1/2021-09/30/2022

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$464,169
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$464,169

Milestone Name	Est. Completion	Description
----------------	-----------------	-------------

Date		
Start Date	10/1/2021	CARES Act
End Date	9/30/2022	CARES Act

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	13

Extended Budget Description

Amendment one;
12/10/2020

CARES Act FY 2021 Rolling Stock \$1,500,000

3 Cut-a-way Chassis Bus-17 passenger -Gasoline- Replacement

1 Cut-a-way Chassis Bus-21 passenger -Gasoline- Replacement

9 Cut-a-way Chassis Bus-25 passenger -Gasoline- Replacement

This amendment adds federal capital assistance for rolling stock procurement in the amount of \$1,000,000 for 13 replacement Cut-a-way Chassis by four sub-recipients for FY 2021 CARES Act program activities (non-Appalachian). For details view the supporting POP attached under the project details tab.

Useful Life of Rolling Stock: Useful life begins on the date the vehicle is placed into revenue service and continues as long as it is in service. Incidental service mileage does not count toward the minimum useful life. ALDOT allows vehicles to be replaced once a vehicle has met the mileage standard minimum.

Type of Vehicles, Age, & Mileage:

Van (5-15) passenger - Mini, Commuter, Modified, Transit; 4 years; 100,000 miles

Small Bus (16-21 passenger) - Cutaway Chassis; 5 years; 150,000 miles

Small Bus (24-27 passenger) - Body in Chassis; 7 years; 200,000 miles

Full Size Bus (28+ passenger) - Transit Coaches; 10 years; 300,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$1,500,000
----------------------------	--------------------

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2020	CARES Act FY 2021
Contract Award Date	10/1/2020	CARES Act FY 2021
Contract Completion Date	10/1/2020	CARES Act FY 2021
Initial Delivery Date	10/1/2022	CARES Act FY 2021
Final Delivery Date	10/1/2023	CARES Act FY 2021

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	51

Extended Budget Description

Amendment one:

12/10/2020

CARES Act FY 2021 Rolling Stock \$5,293,000

6 Mini Van -Gasoline- Replacement

36 Modified Vans -Gasoline- Replacement

9 Transit Vans -Gasoline- Replacement

This amendment adds federal capital assistance for rolling stock procurement in the amount of \$5,293,000 for 51 replacement vans by 24 sub-recipients for FY 2021 CARES Act program activities (non-Appalachian). For details view the supporting POP attached under the project details tab.

Useful Life of Rolling Stock: Useful life begins on the date the vehicle is placed into revenue service and continues as long as it is in service. Incidental service mileage does not count toward the minimum useful life. ALDOT allows vehicles to be replaced once a vehicle has met the mileage standard minimum.

Type of Vehicles, Age, & Mileage:

Van (5-15) passenger - Mini, Commuter, Modified, Transit; 4 years; 100,000 miles

Small Bus (16-21 passenger) - Cutaway Chassis; 5 years; 150,000 miles

Small Bus (24-27 passenger) - Body in Chassis; 7 years; 200,000 miles

Full Size Bus (28+ passenger) - Transit Coaches; 10 years; 300,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,293,000
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$5,293,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2020	CARES Act FY 2021
Contract Award Date	10/1/2020	CARES Act FY 2021
Contract Completion Date	10/1/2020	CARES Act FY 2021
Initial Delivery Date	10/1/2022	CARES Act FY 2021
Final Delivery Date	10/1/2023	CARES Act FY 2021

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	4

Extended Budget Description

Amendment one:
12/10/2020
CARES Act FY 2021 Rolling Stock \$207,000
2 Mini Van - Gasoline- Expansion
2 Modified Vans -Gasoline - Expansion
This amendment adds federal capital assistance for rolling stock procurement in the amount of \$207,000 for 4 expansion vans by one sub-recipient for FY 2021 CARES Act program activities (non-Appalachian). Additional vehicles are needed in response to Covid procedures. For details view the supporting POP attached under the project details tab.
Useful Life of Rolling Stock: Useful life begins on the date the vehicle is placed into revenue service and continues as long as it is in service. Incidental service mileage does not count toward the minimum useful life. ALDOT allows vehicles to be replaced once a vehicle has met the mileage standard minimum.
Type of Vehicles, Age, & Mileage:
Van (5-15) passenger - Mini, Commuter, Modified, Transit; 4 years; 100,000 miles
Small Bus (16-21 passenger) - Cutaway Chassis; 5 years; 150,000 miles
Small Bus (24-27 passenger) - Body in Chassis; 7 years; 200,000 miles
Full Size Bus (28+ passenger) - Transit Coaches; 10 years; 300,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$207,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$207,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2020	CARES Act FY 2021
Contract Award Date	10/1/2020	CARES Act FY 2021
Contract Completion Date	10/1/2020	CARES Act FY 2021
Initial Delivery Date	10/1/2022	CARES Act FY 2021
Final Delivery Date	10/1/2023	CARES Act FY 2021

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment one:

12/10/2020

CARES Act FY 2021 Appalachian Operations funds – \$3,100,000

10/1/2020-3/31/2022

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$3,100,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	CARES Act FY 2021
End Date	9/30/2022	Amendment one: 12/10/2020 CARES Act FY 2021 Appalachian Operations funds – \$3,100,000 10/1/2020-3/31/2022

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.79.00	PROJECT ADMINISTRATION	BUS OTHER CAPITAL ITEMS APPALACHIAN	0

Extended Budget Description

Amendment one:

12/10/2020

CARES Act FY 2021 Appalachian Project Administration funds – \$1,400,000

Funds will be used for drivers' salaries, fringes, Insurance, maintenance, and varies office supplies.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,400,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,400,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	CARES Act FY 2021
End Date	9/30/2022	CARES Act FY 2021

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMIN - BUS APPALACHIAN	0

Extended Budget Description

12/10/2020

Amendment One:

CARES Act Appalachian State Administration funds – Adds \$500,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	CARES Act FY 2021
End Date	9/30/2023	CARES Act FY 2021

Budget Activity Line Item: 11.31.03 - TERMINAL, INTERMODAL (TRANSIT)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.31.03	TERMINAL, INTERMODAL (TRANSIT)	ENGINEERING & DESIGN - BUS STATION STOPS/TERMINALS	0

Extended Budget Description

2/25/2021

This budget revision will add \$250,000 for Engineering Design for the construction of a new facility for West Alabama Public Transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$250,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$250,000

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.32.08 - ACQUIRE - FURN/GRAPHICS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.32.08	ACQUIRE - FURN/ GRAPHICS	ACQUISITION - BUS STATIONS/TERMINALS	0

Extended Budget Description

2/25/2021

This budget revision will add \$117,114 for Acquire Furn/Graphics for the construction of a new facility for West Alabama Public Transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$117,114
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$117,114
----------------------------	------------------

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.33.11 - TERMINAL, INTERMODAL (INTERCITY BUS)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.33.11	TERMINAL, INTERMODAL (INTERCITY BUS)	CONSTRUCTION OF BUS STATIONS/TERMINALS	0

Extended Budget Description

2/25/2021

This budget revision will add \$1,002,886 for the construction of a new facility for West Alabama Public Transportation.

Federal funds in support of an appraisal of property located at 17 U.S. Highway 80 East, Demopolis, Alabama the site of the property to be purchased and renovated. The appraisal will be conducted on (10) lots (6) of which front U.S. Highway 80 East in Demopolis, Alabama to be used by WAPT as WAPT Central Office, House a Full-Service Greyhound Bus Terminal, House a Transportation Bus Maintenance Shop, A Senior Citizens Nutrition Site and A WAPT Bus Parking Shed Area. The appraisal will meet all federal requirements, the results will be incorporated into the documentation of the project. WAPT equity in the newly purchased building will be used as a match if required. Engineering and Architectural work will be performed by the contracted private Design Firm. Landscape Architecture and any Surveying will be outsourced through a competitive process. The enclosed building and shop area consist of 6,900 sf. The bus shop shed area consists of 6,000 sf.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,002,886
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,002,886

Milestone Name	Est. Completion Date	Description
----------------	----------------------	-------------

Start Date	2/25/2021
End Date	3/30/2024

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

2/25/2021

This budget revision will add \$150,000 for Shop Equipment for the construction of a new facility for West Alabama Public Transportation.

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:

Shop Equipment: 5 years

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$150,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$150,000

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------

INTERCITY BUS 11.42.07 ACQUIRE - ADP ACQUISITION OF BUS
 TRANSPORTATION (634-00) HARDWARE SUPPORT EQUIP/FACILITIES 0

Extended Budget Description

2/25/2021

This budget revision will add \$50,000 for Hardware (Computers and printers) for the construction of a new facility for West Alabama Public Transportation.

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:

ADP Hardware: 5 years

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

2/25/2021

This budget revision will add \$150,000 for Software for the construction of a new facility for West Alabama Public Transportation.

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items

has been established by ALDOT as follows:

ADP Software: 3 years.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$150,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$150,000

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

2/25/2021

This budget revision will add \$100,000 for Miscellaneous support equipment for the construction of a new facility for West Alabama Public Transportation.

Support Equipment Useful Life: Non-vehicle capital items will be procured locally. ALDOT will facilitate project oversight to ensure federal compliance. Minimum useful life standards for non-vehicle capital items has been established by ALDOT as follows:

Miscellaneous: 5 to 10 years as related to capital item unless otherwise specified

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$100,000

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.73.00 - CONTINGENCIES/PROGRAM RESERVE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.73.00	CONTINGENCIES/ PROGRAM RESERVE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

2/25/2021

This budget revision will add \$142,156 for Contingencies/Program Reserve for the construction of a new facility for West Alabama Public Transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$142,156
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$142,156

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.76.91 - REAL ESTATE ACQUISITION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.76.91	REAL ESTATE ACQUISITION	REAL ESTATE (OTHER)	0

Extended Budget Description

2/25/2021

This budget revision will add \$250,000 for Real Estate Acquisition for the construction of a new facility for West Alabama Public Transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$250,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$250,000

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Budget Activity Line Item: 11.76.94 - REAL ESTATE APPRAISALS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.76.94	REAL ESTATE APPRAISALS	REAL ESTATE (OTHER)	0

Extended Budget Description

2/25/2021

This budget revision will add \$30,000 for Real Estate Appraisal for the construction of a new facility for West Alabama Public Transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$30,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$30,000

Milestone Name	Est. Completion Date	Description
Start Date	2/25/2021	
End Date	3/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-	30.09.08	EMER RELIEF - OPERATING ASSIST -	0	\$8,138,964.00	\$8,138,964.00

00)

100% Fed Share

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$8,368,971.00	\$8,368,971.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$5,412,648.00	\$5,412,648.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	0	\$0.00	\$0.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	0	\$174,000.00	\$174,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	0	\$0.00	\$0.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	0	\$125,000.00	\$125,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or

equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$260,000.00	\$260,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$8,138,965.00	\$8,138,965.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$8,138,964.00	\$8,138,964.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$2,706,324.00	\$2,706,324.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$2,706,324.00	\$2,706,324.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$464,169.00	\$464,169.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
-------------------	------------------	----------------	----------	------------	---------------------

BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	13	\$1,500,000.00	\$1,500,000.00
------------------------------	----------	----------------------------	----	----------------	----------------

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	51	\$5,293,000.00	\$5,293,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	4	\$207,000.00	\$207,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$3,100,000.00	\$3,100,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.79.00	PROJECT ADMINISTRATION	0	\$1,400,000.00	\$1,400,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$500,000.00	\$500,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.31.03	TERMINAL, INTERMODAL (TRANSIT)	0	\$250,000.00	\$250,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or

equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.32.08	ACQUIRE - FURN/ GRAPHICS	0	\$117,114.00	\$117,114.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.33.11	TERMINAL, INTERMODAL (INTERCITY BUS)	0	\$1,002,886.00	\$1,002,886.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or

equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	0	\$150,000.00	\$150,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.42.07	ACQUIRE - ADP HARDWARE	0	\$50,000.00	\$50,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional

use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.42.08	ACQUIRE - ADP SOFTWARE	0	\$150,000.00	\$150,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$100,000.00	\$100,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit

authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.73.00	CONTINGENCIES/ PROGRAM RESERVE	0	\$142,156.00	\$142,156.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.76.91	REAL ESTATE ACQUISITION	0	\$250,000.00	\$250,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.76.94	REAL ESTATE APPRAISALS	0	\$30,000.00	\$30,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE PLANNING & RESEARCH (441-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	0	\$250,000.00	\$250,000.00

Part 4: Fleet Details

Fleet Type: Light Rail

Fleet Comments

None provided.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1
	B. Spares	0
	C. Total (A+B)	1
	D. Spare Ratio (B/A)	0%

II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	1

Fleet Type: Other

Fleet Comments

5/21/2020

This application is being submitted to meet the increased demand for public transportation, by expanding the service hours and by increasing the number of vehicles in the urbanized area.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1
	B. Spares	0
	C. Total (A+B)	1
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	1

Fleet Type: Fixed Route

Fleet Comments

Urbanized Baldwin County area services in it second year currently one vehicle purchased with 5307 funds.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1
	B. Spares	0
	C. Total (A+B)	1
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0

III.	Total (I.C and II.C)	1
------	-----------------------------	---

Fleet Type: Paratransit

Fleet Comments

As indicated in the project description, vehicles in this project will be utilized to meet the demand for public transportation in the Baldwin County, Lee-Russell Gov Authority and Southeast Alabama Regional Planning and Development Commission.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1
	B. Spares	0
	C. Total (A+B)	1
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	1

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Courtney Roberts

Comment Type	DOL Review for Information
Date	2/3/2022
Comment	Sent to DOL on 02/03/2022

Application Review Comments

Comment By Courtney Roberts

Comment Type	Application Details
Date	12/14/2021
Comment	Application Executive Summary and Project Description- Because there isn't any money being added to this application this would be considered a Zero Dollar Amendment (ZDA). Instead of the wording "This will create..." use the statement "This Zero Dollar Amendment will create..." at the beginning of the Application Executive Summary and Project Description. Also please give a more detailed description of the activities that will

be performed with this ZDA.

Comment By Courtney Roberts

Comment Type Application Details

Date 12/16/2021

Comment I forgot to add a comment-

Please add the 5 OMB requirements to the application executive summary.

Comment By Courtney Roberts

Comment Type Application Details

Date 12/30/2021

Comment Please see email sent earlier- due to moving dollars between FPC Codes. We will need to deobligate the funds then reobligate the funds through a budget revision.

Comment By Courtney Roberts

Comment Type Application Details

Date 1/26/2022

Comment Legal review comments:
Since this amendment is moving previously awarded funds to a new 441-00 State Planning & Research ALI, please have recipient confirm the funds will be used for payroll/ salaries under that ALI in the application executive summary/extended budget revision.

Comment By Courtney Roberts

Comment Type Application Details

Date 2/3/2022

Comment Legal review comments:

The furlough statement appears to be from an old toolkit, please ensure they are using the furlough statement from the most recent CARES/CRRSAA toolkit (4/23/2021):

"The Recipient certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) have rehired, or posted to rehire, any positions of employees who were laid off as a result of financial challenges caused by the COVID-19 public health emergency."

Application Review Comments

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 12/14/2021

Comment Please address FTA's comments

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 12/16/2021

Comment

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 12/30/2021

Comment Please see FTA comments

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 12/30/2021

Comment This needs to be a budget revision. See previous comments.

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 1/26/2022

Comment

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 2/1/2022

Comment Please add furlough statement

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 2/3/2022

Comment

Comment By Courtney Roberts

Comment Type Pre-Award Manager Returns Application

Date 2/3/2022

Comment

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, ALABAMA DEPT OF

Recipient ID: 1000

DUNS No: 837892371

Application Information

Federal Award Identification Number: AL-2020-007-02

Application Name: Rural Area 5311 CARES Act

Application Start Date: 4/28/2020

Original Award End Date: 3/30/2023

Current Award End Date: 3/30/2024

Application Executive Summary: Amendment 2

This Zero Dollar Amendment will create a new scope and new ALI for State Planning. We will move \$250,000 from scope 620-00 Project Admin and create a new scope 441-00 State Planning & Research. The new scope will be used for hiring Sain & Associates (Consultant) to conduct ALDOT Statewide Rural Transit Study.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act);

The purpose of the Statewide Rural Public Transportation Study

The Statewide Rural Public Transportation study will be a first-time review and analysis of the current and future rural public transportation program in Alabama. The study will include a transportation needs assessment of the citizens residing in the 16 counties that currently have no rural public transportation. The study will also assess additional transportation needs of the citizens in the 51 counties that currently offer rural public transportation. The study will also include ROI (return on investment) analysis for the funds expended at the local level. The study is a tool for use by this office and local officials to use in our next steps to establish a safe and robust rural public transportation program for all of the citizens in Alabama regardless of the financial capacity of local government to provide matching funds.

Activities to be performed:

Sain Associates proposes to complete the following scope of services:

Task 1 – Initial Data Collection and Outreach

- We will reach out to rural transit service providers to discuss the passenger survey results from the Intercity Bus Study recently completed by Sain and to obtain additional feedback on current challenges and potential opportunities. Demographic analysis (population, vehicles per household, income, household size, age) of rural populations from the Intercity Bus Study will be summarized.
- We will conduct a rural transit survey to obtain and summarize additional feedback from rural transit users. An online survey will be developed and supplemented with hard copy surveys which will be distributed to rural transit service providers.
- We will summarize the existing rural transit vehicle inventory including number and age of vehicles. We will utilize the existing Transit Asset Management Plan (TAMP) to gather this information.
- We will review and summarize the findings from the Human Services Coordinated Transportation Plans for each RPO in Alabama.
- We will use GIS to map the existing rural transit service areas in Alabama.

- We will review and summarize existing ridership, typical trip purposes, funding sources, and operating expenditures (cost to provide service) for each rural transit system in Alabama using a five year rolling average, discounting the pandemic period. This information will be used to help inform the future needs of transit riders in the state.
- Existing connections between rural transit service and intercity bus/urban transit service will be reviewed and summarized.

Task 2 – Evaluation and Recommendations Development

- Using the data from Task 1 and previously collected for the Intercity Bus Study, we will evaluate the existing rural transit service areas, identify potential barriers, and develop recommendations to improve service statewide with an emphasis on addressing counties with no existing transit or limited transit service. Some recommendations will be localized.
- We will identify potential route and service area improvements which will be used to determine feasible bus stop/shelter locations in the state.
- Based on the funding review in Task 1, we will identify existing and potential future gaps in funding and develop strategies to overcome funding gaps. We will evaluate the feasibility of private partnerships to improve connections between rural communities and job and training opportunities. We will also reach out to regional health care providers to determine the level of interest in partnering with rural transit and intercity bus providers. The funding analysis will include an evaluation of the cost to provide service for each rural transit agency.
- We will evaluate existing rural transit policies and develop recommendations for improving scheduling, reliability, and the overall integration of rural transit with urban transit and intercity bus service in the state.
- We will determine the appropriate framework required to integrate rural transit into the proposed community college-based intercity bus network.
- An analysis of the potential reduction in pedestrian related crashes will be conducted by estimating the number of pedestrians that would be removed from the roadway network based on improved rural transit service and the associated mode shift from pedestrian to transit. This analysis is dependent on the availability of information related to rural mode shifts based on improving and/or introducing transit service.
- A comparison of Alabama bus driver salaries and benefits to peer (Region IV) states and national averages will be conducted to determine if driver salaries are a barrier to attracting and retaining qualified staff in Alabama. This evaluation will be used to inform recommendations to address the current rural transit driver shortage.

Task 3 – Economic Impact Analysis

- We will conduct an Economic Impact Analysis (EIA) of the recommended investments in rural transit in Alabama on the lives of individual riders, their communities, and the economies they support. The geographic focus of this analysis will be the state of Alabama. The EIA will provide a Return on Investment (ROI) estimate, a list of monetized social impacts, and an inventory of potential efficiency benefits for each local system. The results will be aggregated to the state level to demonstrate the overall economic impact of the increased investment in rural transit.
- We will perform the following steps to measure the predicted impacts the recommended improvements will have on local economic activity.
 - o Conduct a literature review of performance measures applied or in development nationally to quantify how a rural transit system impacts local economies.
 - o Assess available statewide data, matching the data required to apply the economic-specific measures identified within the literature review, to tailor the analysis to reflect local values and state priorities.
 - o Develop an analytical toolkit addressing key issues and themes affecting local economies, including prospects for growth, inflation, energy and the environment, inequality, labor issues, and the impact of new technologies.
 - o Document preliminary findings from implementing proposed metrics at the local level.
- We will perform the following steps to measure the expected impacts the recommended improvements will have on the citizenry that relies on transit and the communities in which they live.
 - o Conduct a literature review of performance measures applied or in development nationally to quantify how well a rural transit system serves its community.
 - o Assess available statewide data, matching the data required to apply the citizen and community-specific measures identified within the literature review, to tailor the analysis to reflect local values and state priorities.

- o Develop an analytical toolkit addressing critical social issues and themes affecting citizens of each rural transit system, including access to jobs and educational opportunities; poverty and homelessness; health care and childhood obesity; and climate change and resiliency.
- o Document preliminary findings from implementing proposed metrics at the local level.
 - We will use the information on the ridership, typical cost per ride, employment, and public transit agency expenditure estimates, as well as results from the toolkits, to calculate the economic impact of recommended improvements in rural transit services statewide. We will also identify opportunities to generate private, PPP, and public funding (i.e., one-time funding and annual revenue streams), including alternative and complementary uses, federal grants, economic development grants, etc.

Task 4 – Documentation

We will prepare a summary report to summarize the study’s inventory, analysis and recommendations. We will provide a draft report for review and comment by ALDOT, a revised draft for final comment, and a final report. Each report will be delivered in an indexed .pdf format.

Expected Outcomes:

The outcome of this study will be to provide guidance in developing a program to establish rural transportation in all 67 counties.

Intended Beneficiaries:

Citizens of rural counties in Alabama

Subrecipient Activities:

none at this time

2/25/2021

Budget Revision

This budget revision will create new ALIs under the Intercity Bus scope for construction of a new facility for West Alabama Public Transportation.

Federal Cares Act funds are being requested to purchase and renovate an existing building to be use as West Alabama Public Transportation (WAPT) Central Office, House A Full-Service Greyhound Bus Terminal, House A Transportation Bus Maintenance Shop, A Senior Citizens Nutrition Site and A WAPT Bus Parking Shed Area located at 1700 U.S. Highway 80 East, Demopolis, Alabama. The proposed project will involve (10) lots, (6) of which front Highway 80 at a project cost estimate of \$480,777.00. In the event that 100% Federal Cares Act Funds are not approved, we have estimated that WAPT equity in the newly purchased building will be \$190,724.00 to be used as WAPT’s Match. The site is located in a business zone and has easy ingress and egress for both WAPT Buses and Greyhound Buses. The proposed project will be owned and operated by WAPT. WAPT has provided a diagram/maps that defines how the building will be utilized with cost projections in conformance with the uses noted above. The project will be funded totally with federal pass-through funds provided by the Alabama Department of Transportation pursuant to an approved FTA Grant and will be managed for the purpose of said grant, in accordance with applicable Federal and State requirements. The property may not be disposed of in any manner or used for purposes inconsistent with the program for which it was acquired. Satisfactory Continuing Control measures including, at a minimum, documented annual inspections and certifications, in addition to annual inventory records updates reflecting the federal share of the project expenses will be implemented. As a result of a review of the WAPT current building/site, some key design objective components were: Accessibility, Access to a major highway, Secure Parking for buses, Usability Function, Safety and Wayfinding and Signage. From these objectives, WAPT selected the 1700 Highway 80 East, Demopolis, Alabama building/site and secured the estimated project costs. This project will be contracted out to a private entity through a competitive bid process. A private Design Firm will be contracted to perform the construction management, architecture and inspection of the project. In addition, the Design Firm will oversee the Federal Procurement requirements; no in-house work will be performed. ALDOT will make available bid ready documents for review and as appropriate prior to renovation construction. This project will have minimal to no demolition work. As noted above an independent cost estimate has been conducted. ALDOT certifies an independent cost estimate will be prepared and available on file along with corresponding cost/price analysis for the scope of the work of the project. ALDOT will establish the estimated useful life of this project. Typical lifespans for the project

components are: Manufactured Shelter: 25 years, fencing as 20 years, asphalt paving as 20 years, concrete paving 35 years, brick/stone pavers 35 years, concrete sidewalks 30 years, Area lighting 15 years and Camera systems 5 years. 1. Technical service life: is the time in service until a defined unacceptable state is reached, safety level below acceptable, or failure of elements; 2. Functional service life: is the time in service until the structure no longer fulfills the functional requirements or becomes out of date due to change in functional requirements; 3. Economic service life: is the time in service until replacement of the structure (or part of it) is economically more expensive than keeping it in service. Per ACI 365. 1R-00, "Service-Life Prediction - State-of-the-Art Report" - Medium Life (Include Most Industrial Buildings and Parking Structures) is 25-49 years. ALDOT will select the most conservative. ALDOT will facilitate project oversight to ensure compliance with federal requirements. The Dozier Building offers a safer working environment, not just for employees, but for customers and visitors as well. The new construction will allow for clean work areas, plenty of parking for staff, visitors, busses, in addition to ADA accessibility and compliance in all these aspects. The current location (500 East Washington Street) is not sufficient in any of these areas. Not only will moving our operations to the Dozier Building provide for a safer and more efficient working environment, but it will also boost our local economy by creating new job opportunities in our community. A local engineering firm, and construction/renovation crew's services will be required to get this building ready for our specific needs. Long term, maintenance /shop and housekeeping jobs will be required for the additional services that this location will allow. This will require (3) full-time and (2) part-time long term and under the construction around (12) full-time and 10 part-time position.

Amendment 1

The amendment 1 is to add remaining funds from the FY2020 CARES Act apportionment of the Section 5311 (Non-Urbanized Formula), \$12,972,622 funds, and FY2020 Appalachian funds \$5,000,000. The total amount of federal funds to be added to the grant is \$17,972,622 for a total of \$59,126,485 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Capital, Operating, Intercity Bus, Provider Admin and State Admin costs. The Capital items include: mini vans, modified vans, transit vans, and cut-a-way chassis buses, computer hardware, software, security equipment, and shop equipment. See project budget and attached POP for details. ALDOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review. Project Funding Breakdown FTA Funds Budgeted

111-00 Capital – Bus Rolling Stock \$7,000,000 (New Line Item)

Activity

11.12.04 – Buy Replacement <30ft Bus \$1,500,000

11.12.15 – Buy Replacement Van \$5,293,000

11.13.15 – Buy Expansion Van \$207,000

114-00 Capital - Support Equipment \$559,000

Activity

11.42.06 - Acquire Shop Equipment \$100,000

11.42.07 - Acquire ADP Hardware \$74,000

11.42.08 - Acquire ADP Software \$80,000

11.42.09 - Acquire Surveillance/Security \$45,000

11.42.20 - Acquire Misc. Support Equipment \$260,000

300-00 Operating Assistance \$24,416,893 (Increase Line Item)

Activity

30.09.01 Up to 100% Federal Share

610-00 State/Programs Administration 10% of 5311 apportionment \$5,412,648

Activity

11.79.00 - Program Administration

620-00 Project Administration \$8,618,971 (Increase Line Item)

Activity

11.79.00 - Program Administration

634-00 Intercity Bus Transportation 15% of 5311 apportionment \$8,118,973

Activity

30.09.01 Up to 100% Federal Share

648-00 Appalachian Dev Pub Transit Assist \$5,000,000 (New Line Item)

Activity

11.79.00 – Project Administration \$1,400,000

30.09.01 – Operating Assistance \$3,100,000

11.80.00 – State Administration \$500,000

Total Federal Funds Requested \$59,126,485

ALDOT calculated Operating costs based on previous operating costs and requests from subs/Intercity providers.

The Alabama Department of Transportation is the Governor of Alabama’s designated recipient of Section 5311 Non-Urbanized Transportation allocation.

No, the Alabama Department of Transportation does not charge Indirect Cost.

No funds are request for Research and Development.

No Union involvement for the State of Alabama.

Attached documents are as follows: STIP (10/1/2019 thru 9/30/2023), Program of Projects (POP) and Fleet Replacement List.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

ALDOT will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

This is a FFY 2020 Section CARES Act 5311 application in the amount of \$ \$41,153,863 (100% Federal Share) .00. from the available Federal CARES Act apportionment of \$59,126,485. This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Capital, Operating, Intercity Bus, Provider Admin and State Admin costs. The Capital items include: computer hardware, software, security equipment, and shop equipment. The balance of funds totaling \$17,972,622 (including \$5,000,000 in Appalachian funds) will be requested through the TrAMS award amendment process upon completion of the FY21 grant application cycle. See project budget and attached POP for details. ALDOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency’s next Triennial/State Management Review.

Project Funding Breakdown

FTA Funds Budgeted

114-00 Capitol-Support Equipment \$559,000

Activity

11.42.06 - Acquire Shop Equipment \$100,000

11.42.07 - Acquire ADP Hardware \$74,000

11.42.08 - Acquire ADP Software \$80,000

11.42.09 - Acquire Surveillance/Security \$45,000

11.42.20 - Acquire Misc. Support Equipment \$260,000

300-00 Operating Assistance \$18,944,271

Activity

30.09.01 Up to 100% Federal Share

610-00 State/Programs Administration 10% of 5311 apportionment \$5,412,648

Activity

11.79.00 - Program Administration
 620-00 Project Administration \$8,118,971
 Activity
 11.79.00 - Program Administration
 634-00 Intercity Bus Transportation 15% of 5311 apportionment \$8,118,973
 Activity
 30.09.01 Up to 100% Federal Share
 Total Federal Funds Requested \$41,153,863

ALDOT calculated Operating costs based on previous operating costs and requests from subs/Intercity providers.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

ALDOT will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$59,126,485.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$0.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$59,126,485.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$59,126,485
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$59,126,485

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 1/8/2021

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,

- (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, ALABAMA DEPT OF