

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	OH-2020-024-01
Temporary Application Number	1225-2020-4
Application Name	OHIO DOT Section 5311 CARES Act Grant for Operating and Mobility Management
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	5/1/2020	
Original Period of Performance End Date	3/30/2025	
Current Period of Performance End Date	3/30/2025	Revision #: 0

Part 1: Recipient Information

Name: Transportation, Ohio Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1225	State Agency	OHIO DEPT. OF TRANSPORTATION	809174402

Location Type	Address	City	State	Zip
Headquarters	30 E BROAD ST	COLUMBUS	OH	432153414
Physical Address	1980 W BROAD ST	COLUMBUS	OH	43223
Mailing Address	1980 WEST BROAD STREET	COLUMBUS	OH	43223

Union Information

Union Name	AMALGAMATED TRANSIT UNION
Address 1	5025 WISCONSIN AVE
Address 2	LOCAL 268, CLEVELAND OHIO
City	WASHINGTON

State	District of Columbia
Zipcode	20016
Contact Name	MR. JIM LA SALA
Telephone	
Fax	
E-mail	
Website	

Union Name	INTERNATIONAL ASSOC. OF MACHINISTS & AEROSPACE WORKERS
Address 1	9000 MACHINISTS PLACE
Address 2	
City	UPPER MARLBORO
State	Maryland
Zipcode	20772
Contact Name	GEORGE KOURPIAS
Telephone	
Fax	
E-mail	
Website	

Union Name	TRANSPORT WORKERS UNION
Address 1	80 WEST END AVENUE
Address 2	
City	NEW YORK,
State	New York
Zipcode	10023
Contact Name	FRANK MCCANN
Telephone	
Fax	
E-mail	
Website	

Union Name	TRANSPORT WORKERS UNION OF AMERICA LOCAL 208
Address 1	914 CLEVELAND AVENUE
Address 2	
City	COLUMBUS
State	Ohio
Zipcode	43201
Contact Name	MR. HUBERT SNEAD
Telephone	
Fax	

E-mail	
Website	
Union Name	UNITED AUTOMOBILE, AEROSPACE AND AGRICULTURAL IMPLEMENT WORKERS
Address 1	8000 EAST JEFFERSON AVENUE
Address 2	
City	DETROIT
State	Michigan
Zipcode	48214
Contact Name	STAN MARSHALL
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: OHIO DOT Section 5311 CARES Act Grant for Operating and Mobility Management

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OH-2020-024-01	Final Concurrence / Reservation	Grant	1/4/2022	1/4/2022	No

Application Executive Summary

AMENDMENT 1: This amendment will add the remaining un-obligated FFY2020 Section 5311 CARES Act funds of \$989,524 to Operations Project 1. The additional funding will be awarded to existing sub-recipients in the grant. The period of performance for the grant will remain the same. The attached POP provides an updated list of individual projects that make up FTA Operations Project 1. With this amendment the total funding for this award is now \$61,176,560.

Purpose of the award: Support the COVID-19 emergency response and recover and day to day operations of transit systems that provide critical service to the rural communities in the state of Ohio.

Activities to be performed: In this award there are 37 rural public transit systems being awarded funds for operating and maintenance expenses and 25 organizations who provide mobility management services

Expected outcomes: Transit operations will continue to operate through the COVID-19 pandemic. Coordination efforts have never been so important, Mobility Management coordination will continue to happen across Ohio's Rural areas.

Intended beneficiaries: 37 rural public transit systems and 25 organizations who provide mobility management

Subrecipient activities: All the work performed under this grant will be by sub-recipients, ODOT will not offer service or perform mobility management activities ourselves.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Supporting documents attached to the Application Documents section of this grant application include:
Updated Program of Projects
Department of Labor Assurance letter.

Per the CARES Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program as they do not lead to a functional, location, or capacity change.

ORIGINAL:

This application is for FFY2020 Section 5311 CARES Act funds in the amount of \$60,187,036 that ODOT will award to existing Ohio Rural Public Transportation Providers currently funded through Section 5311 Formula funds. These projects will support COVID-19 emergency response and recovery and day to day operations of transit systems that provide critical service to the rural communities in the state of Ohio.

In this award there are 37 rural public transit systems being awarded funds for operating and maintenance expenses and 25 organizations who provide mobility management services.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

ODOT is apportioned \$81,932,267 in FFY2020 CARES Act funding

\$60,187,036 will be obligated through this application. The funding will be awarded as follows:

Operating Assistance - \$55,819,034

Appalachian Operating Assistance - \$964,000

Mobility Management - \$3,404,002

There is a remaining balance of \$21,745,231 that ODOT will be awarding for intercity and capital projects in a future grant.

CARES Act funding is 100% Federal and no local match is necessary to be provided by the sub-recipients.

Supporting documents attached to the Application Documents section of this grant application include:
Initial Program of Projects
Department of Labor Assurance letter

Per the CARES Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program as they do not lead to a functional, location, or capacity change.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Charles	Dyer	Administrator	chuck.dyer@dot.state.oh.us	(614) 466-3718
Deidre	Wesley	Transportation Program Specialist	deidre.wesley@dot.gov	(312) 353-3879

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$61,176,560
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$61,176,560

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-024-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$56,808,558.00	\$0.00	\$56,808,558.00	74
OH-2020-024-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$56,808,558.00	\$0.00	\$56,808,558.00	74
OH-2020-024-02-01	600-00 (600-A2) OTHER PROGRAM COSTS	\$964,000.00	\$0.00	\$964,000.00	1
OH-2020-024-02-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$964,000.00	\$0.00	\$964,000.00	1
OH-2020-024-03-01	600-00 (600-A3) OTHER PROGRAM COSTS	\$3,404,002.00	\$0.00	\$3,404,002.00	50
OH-2020-024-03-01	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$3,404,002.00	\$0.00	\$3,404,002.00	50

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act Funding for Rural Transit System Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OH-2020-024-01-01	1225-2020-4-P1	1/4/2022	1/20/2020	6/30/2022

Project Description

100% Federal funding to provide operating and maintenance assistance to Ohio's 37 rural transit systems to respond to and recover from the COVID-19 pandemic. Provided funding will sustain critical rural transit service during the current pandemic response as well as in the wake of COVID-19 as the economy recovers. Recipients and funding amounts are listed in the attached program of projects.

Project Benefits

The COVID-19 pandemic has caused hardships to the public transit systems related to staffing, increased costs to protect staff and the public coupled with decreased ridership and revenue. This funding will be vital in order to sustain transit systems during their immediate response efforts to the pandemic but also long term as they continue to suffer the effects of the long-lasting impacts to the economy. Without these services, the riding public in the rural communities would not have access to critical services such as healthcare, employment and training/education.

Additional Information

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Location Description

Projects are located in 43 counties: Adams, Ashland, Ashtabula, Athens, Brown, Carroll, Champaign, Clinton, Columbiana, Coshocton, Crawford, Darke, Erie, Fairfield, Fayette, Geauga, Guernsey, Hancock, Harrison, Henry, Highland, Hocking, Huron, Knox, Logan, Marion, Monroe, Morgan, Morrow, Muskingum, Noble, Perry, Pickaway, Pike, Richland, Ross, Sandusky, Scioto, Seneca, Shelby, Washington, Wood, Wyandot

Project Location (Urbanized Areas)

UZA Code	Area Name
390000	Ohio

Congressional District Information

State	District	Representative
Ohio	2	Brad R Wenstrup
Ohio	4	Jim Jordan
Ohio	5	Robert E Latta
Ohio	6	Bill Johnson
Ohio	7	Bob Gibbs
Ohio	9	Marcy Kaptur
Ohio	10	Michael R Turner
Ohio	14	David P Joyce
Ohio	15	Steve Stivers
Ohio	12	Troy Balderson
Ohio	8	Warren Davidson

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$56,808,558
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$56,808,558

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-024-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$56,808,558.00	\$0.00	\$56,808,558.00	74
OH-2020-024-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$56,808,558.00	\$0.00	\$56,808,558.00	74

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	74

Extended Budget Description

AMENDMENT 1:

This amendment will add the remaining un-obligated CARES apportionment of \$989,524 to this Operations Project 1. The additional funding will be awarded to existing sub-recipients in the grant. The period of performance for the grant will remain the same. The attached POP provides an updated list of individual projects that make up FTA Project 1. No TDC or local will be used for this amendment, the funds 100% federal. All projects are in category A.

ORIGINAL:

This award will provide funding to Ohio Rural Public Transportation Providers to support the emergency response and recovery to COVID-19 and day to day operations of transit systems. In this award there are 37 systems being awarded funds for operating expenses. Funds will be awarded from the 2020 Federal CARES Act.

CARES Act funding is 100% Federal and no local match is necessary to be provided by the sub-recipients.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$56,808,558
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$56,808,558

Milestone Name	Est. Completion Date	Description
Project Performance Start Date	1/20/2020	Project performance period start date
Subrecipient Contract signed	6/1/2020	Receive signed contract from subrecipient.
End of subrecipient performance period	12/31/2021	All work completed and end of subrecipient performance period
Grant Close out	6/30/2022	Draw down of funds complete and close out grant award

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description**Date**

Class IIc CE Approved

**Project Title: CARES Act Funding for Appalachian Development
Public Transit Operating Assistance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OH-2020-024-02-01	1225-2020-4-P2	1/4/2022	1/20/2020	6/30/2022

Project Description

Section 5311 funding for operating and maintenance assistance to South East Area Transit within Ohio's Appalachian region to respond to and recover from COVID-19 at 100% federal share.

Project Benefits

These funds are vital in providing transportation to individuals in Ohio's Appalachian areas during the COVID-19 pandemic event which has caused hardships to staffing, increased costs to protect staff and the public, and assist public transportation systems with decreased ridership and revenue.

Additional Information

None provided.

Location Description

Counties within the Appalachian region of Ohio: Muskingum, Guernsey and Noble.

Project Location (Urbanized Areas)

UZA Code	Area Name
390000	Ohio

Congressional District Information

State	District	Representative
Ohio	6	Bill Johnson
Ohio	12	Troy Balderson

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$964,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$964,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-024-02-01	600-00 (600-A2) OTHER PROGRAM COSTS	\$964,000.00	\$0.00	\$964,000.00	1
OH-2020-024-02-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed	\$964,000.00	\$0.00	\$964,000.00	1

Share

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
--

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Provides operating assistance to transit systems within Ohio's Appalachian region. Funding will provide assistance to South East Area Transit to provide public transportation in Muskingum, Guernsey and Noble Counties which are all designated within the Appalachian Region of Ohio. The funding will be 100% federal share.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$964,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$964,000

Milestone Name	Est. Completion Date	Description
Project Performance Start Date	1/20/2020	Project performance period start date
subrecipient contracts signed	6/1/2020	Receive signed contract from subrecipient.
End of subrecipient performance period	12/31/2021	All work completed and end of subrecipient performance period
Grant Close out	6/30/2022	Draw down of funds complete and close out grant award

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description**Date**

Class IIc CE Approved

Project Title: Section 5311 CARES Act Funding for Mobility Management

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OH-2020-024-03-01	1225-2020-4-P3	1/4/2022	1/20/2020	6/30/2022

Project Description

Section 5311 CARES Act Funding will be utilized to support Mobility Management Projects to coordinate and share transportation resources across the state of Ohio to assist in the response and recovery of the COVID-19 pandemic at 100% federal share. Recipients and amounts are listed in the attached program of projects.

The mobility managers have become essential in Ohio's statewide response to the COVID-19 crisis. A transportation working group made up of state agencies including the Ohio Department of Health, Ohio Department of Medicaid, Emergency Management Agency, Department of Public Safety and more have identified ODOT's mobility managers as the point of contact in their regions to gather important information for available transportation resources. The state then uses this information to coordinate services such as meal delivery, prescription delivery, transportation to critical services, and potentially transportation of COVID-19 patients if the healthcare system becomes overwhelmed. Therefore, Ohio's mobility managers are crucial in Ohio's immediate response to the pandemic. However, long-term, mobility managers will be crucial in the success of local economies as transit and health services begin to restore and employers re-open. People need to understand where they can go, how they can travel, new restrictions, etc. and mobility managers will be a very important community resource in the wake of COVID-19.

Project Benefits

Supporting the mobility management network through the FFY2020 CARES Act funding will mean that the state and local agencies, service providers and the general public will be able to efficiently and effectively receive information related to available services and up to date information on the most relevant health guidance on safely navigating the use of public transportation during and after the pandemic. The mobility managers will connect the public to healthcare, employment and training and education which will be critical during the projected economic downturn. Mobility Managers are being asked to cover additional rural communities that previously have not been covered by mobility management services. This has

created a local match areas as local communities are not able to pay their share towards the mobility management project that will benefit their region. By funding these projects through the CARES Act at 100% federal funding ODOT is able to relieve the financial burden from struggling local economies and more effectively leverage our robust network to meet the need of all Ohioans.

Additional Information

None provided.

Location Description

Adams, Brown, Washington, Shelby, Darke, Champaign, Clinton, Columbiana, Wayne, Coshocton, Fayette, Highland, Sandusky, Hancock, Athens, Knox, Morrow, Perry, Pickaway, Pike, Preble, Hardin, Auglaize, Van Wert, Mercer, Ross, Logan, Muskingum, Guernsey, Noble, Defiance, Henry, Tuscarawas, and Union Counties

Project Location (Urbanized Areas)

UZA Code	Area Name
390000	Ohio

Congressional District Information

State	District	Representative
Ohio	2	Brad R Wenstrup
Ohio	4	Jim Jordan
Ohio	5	Robert E Latta
Ohio	6	Bill Johnson
Ohio	7	Bob Gibbs
Ohio	9	Marcy Kaptur
Ohio	10	Michael R Turner
Ohio	15	Steve Stivers
Ohio	12	Troy Balderson
Ohio	16	Anthony Gonzalez
Ohio	8	Warren Davidson

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,404,002
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,404,002

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-024-03-01	600-00 (600-A3) OTHER PROGRAM COSTS	\$3,404,002.00	\$0.00	\$3,404,002.00	50
OH-2020-024-03-01	MOBILITY 11.7L.00 MANAGEMENT (5302(A)(1)(L))	\$3,404,002.00	\$0.00	\$3,404,002.00	50

Project Budget Activity Line Items

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	50

Extended Budget Description

CARES Act Funding will be utilized to support Mobility Management Projects to coordinate and share transportation resources across the state of Ohio to assist in the response and recovery of the COVID-19 pandemic at 100% federal share. Recipients and amounts are listed in the attached program of projects.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,404,002
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,404,002

Milestone Name	Est. Completion Date	Description
Project Performance Start Date	1/20/2020	Project performance period start date
Subrecipient contracts signed	6/1/2020	Receive signed contract from subrecipient.
End of subrecipient performance period	12/31/2021	All work completed and end of subrecipient performance period
Grant Close out	6/30/2022	Draw down of funds complete and close out grant award

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Deidre Wesley

Comment Type DOL Review for Information

Date 1/13/2022

Comment NO MATERIAL CHANGE – Grant amendment adds funding for operating assistance which was previously certified by DOL under the original grant OH-2020-024-00 on 4/30/2020.

Application Review Comments

Comment By Deidre Wesley

Comment Type Pre-Award Manager Returns Application

Date 1/6/2022

Comment A budget revision is required before or after an amendment to deobligate funding.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Ohio Department Of

Recipient ID: 1225

DUNS No: 809174402

Application Information

Federal Award Identification Number: OH-2020-024-01

Application Name: OHIO DOT Section 5311 CARES Act Grant for Operating and Mobility Management

Application Start Date: 5/1/2020

Original Award End Date: 3/30/2025

Current Award End Date: 3/30/2025

Application Executive Summary: AMENDMENT 1: This amendment will add the remaining un-obligated FFY2020 Section 5311 CARES Act funds of \$989,524 to Operations Project 1. The additional funding will be awarded to existing sub-recipients in the grant. The period of performance for the grant will remain the same. The attached POP provides an updated list of individual projects that make up FTA Operations Project 1. With this amendment the total funding for this award is now \$61,176,560.

Purpose of the award: Support the COVID-19 emergency response and recover and day to day operations of transit systems that provide critical service to the rural communities in the state of Ohio.

Activities to be performed: In this award there are 37 rural public transit systems being awarded funds for operating and maintenance expenses and 25 organizations who provide mobility management services

Expected outcomes: Transit operations will continue to operate through the COVID-19 pandemic. Coordination efforts have never been so important, Mobility Management coordination will continue to happen across Ohio's Rural areas.

Intended beneficiaries: 37 rural public transit systems and 25 organizations who provide mobility management

Subrecipient activities: All the work performed under this grant will be by sub-recipients, ODOT will not offer service or perform mobility management activities ourselves.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and

Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Supporting documents attached to the Application Documents section of this grant application include:
Updated Program of Projects
Department of Labor Assurance letter.

Per the CARES Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program as they do not lead to a functional, location, or capacity change.

ORIGINAL:

This application is for FFY2020 Section 5311 CARES Act funds in the amount of \$60,187,036 that ODOT will award to existing Ohio Rural Public Transportation Providers currently funded through Section 5311 Formula funds. These projects will support COVID-19 emergency response and recovery and day to day operations of transit systems that provide critical service to the rural communities in the state of Ohio.

In this award there are 37 rural public transit systems being awarded funds for operating and maintenance expenses and 25 organizations who provide mobility management services.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

ODOT is apportioned \$81,932,267 in FFY2020 CARES Act funding

\$60,187,036 will be obligated through this application. The funding will be awarded as follows:

Operating Assistance - \$55,819,034

Appalachian Operating Assistance - \$964,000

Mobility Management - \$3,404,002

There is a remaining balance of \$21,745,231 that ODOT will be awarding for intercity and capital projects in a future grant.

CARES Act funding is 100% Federal and no local match is necessary to be provided by the sub-recipients.

Supporting documents attached to the Application Documents section of this grant application include:
Initial Program of Projects
Department of Labor Assurance letter

Per the CARES Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program as they do not lead to a functional, location, or capacity change.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$61,176,560.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$989,524.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$61,176,560.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$61,176,560
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$61,176,560

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Ohio Department Of