

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	MT-2022-003-00
<b>Temporary Application Number</b>	1144-2022-1
<b>Application Name</b>	ARP Section 5311(f) Montana DOT - Intercity Bus Operating Assistance, Administration and Preventive Maintenance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

## Part 1: Recipient Information

**Name: TRANSPORTATION, MONTANA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1144	State Agency	Montana Department of Transportation	809791320

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL, ROOM 204	HELENA	MT	59620
Physical Address	2701 PROSPECT AVENUE	HELENA	MT	59601
Mailing Address	PO BOX 201001	HELENA	MT	59620

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: ARP Section 5311(f) Montana DOT - Intercity Bus Operating Assistance, Administration and Preventive Maintenance</b>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MT-2022-003-00	Final Concurrence / Reservation	Grant	11/15/2021	11/15/2021	No

**Application Executive Summary**

This application is to request FFY21 Section 5311(f) ARP Intercity Bus funds apportioned to the Montana State Department of Transportation in the amount of \$1,723,369 (full apportionment), representing 100% federal share of the total eligible amount.

Purpose: The purpose of this award is to provide the state of Montana and its intercity bus subrecipients operating assistance. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus.

Activities to be Performed: The application scope of work includes intercity operations funding for 5 intercity providers. Activities include: Preventive maintenance and repairs, driver and dispatch wages, administrative oversight, marketing, maintain bus facilities for riders.

Expected outcomes: Continued services in existing intercity corridors in Montana.

Intended beneficiaries: Montana State Intercity Bus providers and rural area citizens.

Subrecipient activities: These funds will be used to assist five intercity providers to maintain current operational levels to meet Montana's intercity needs. Subrecipients include:

- Jefferson Lines
- Big Sky Transportation District
- Salt Lake Express
- CSKT - Flathead Transit
- Toole County - Northern Transit Interlocal

Montana agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project in this application is not required to be programmed in the Statewide Transportation Improvement Program.

MDT uses pre-award authority back to 10/1/2021. We estimate drawing the full amount by the time this grant is executed in January 2022.

Supporting Documents:

- Program of Projects

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	(303) 362-2392
Eric	Romero	Fiscal Transportation Planner	eromero@mt.gov	(406) 444-7645
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,723,369
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,723,369</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MT-2022-003-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$1,723,369.00	\$0.00	\$1,723,369.00	15
MT-2022-003-01-00	11.79.00 PROJECT ADMINISTRATION	\$218,024.00	\$0.00	\$218,024.00	5
MT-2022-003-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$117,840.00	\$0.00	\$117,840.00	5
MT-2022-003-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,387,505.00	\$0.00	\$1,387,505.00	5

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: Intercity funds for operations, administrative and preventive maintenance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MT-2022-003-01-00	1144-2022-1-P1	11/15/2021	10/1/2021	12/31/2021

### Project Description

ARP funds for five (5) intercity providers in Montana. The following subrecipients will be funded, all at 100% Federal, allowed by ARP Act:

- Jefferson Lines
- Big Sky Transportation District
- Salt Lake Express
- CSKT - Flathead Transit
- Toole County - Northern Transit Interlocal

The activities included are eligible for Categorical Exclusions type 4 (operating and administration) and type 7 for preventive maintenance.

### Project Benefits

Maintain current operational levels for all intercity providers. In addition, these funds will help bridge the

gap from current operational levels to pre-pandemic levels.

**Additional Information**

*None provided.*

**Location Description**

Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
300000	Montana

**Congressional District Information**

State	District	Representative
Montana	1	Matthew Rosendale Sr.

**Program Plan Information****STIP/TIP**

Date: Not Provided

Description: This project includes only operations with no substantial functional, location, or capacity change. Therefore, per ARP requirements, no Statewide Transportation Improvement Program (STIP) is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,723,369
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,723,369</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MT-2022-003-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$1,723,369.00	\$0.00	\$1,723,369.00	15
MT-2022-003-01-00	11.79.00 PROJECT ADMINISTRATION	\$218,024.00	\$0.00	\$218,024.00	5
MT-2022-003-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$117,840.00	\$0.00	\$117,840.00	5
MT-2022-003-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,387,505.00	\$0.00	\$1,387,505.00	5

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	5

### Extended Budget Description

Operating funds will cover expenses for existing service incurred beginning 10/01/2021 until 12/31/2021, including driver & dispatch wages, fuel, marketing, purchased transportation and other related operating costs, as allowed by FTA Circular 9040.1G.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,387,505
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,387,505</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Expenditures start to incur
End Date	12/31/2021	Expenditures reported are reimbursed

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	5

**Extended Budget Description**

These funds will support bus preventive maintenance activities as defined in the National Transit Database from 10/01/2021 until 12/31/2021. Expenses include oil changes, tires, transmission services, filters, AC and heating services, alignments, suspension services and general engine services. There will be no items over \$5,000 purchased with these funds.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$117,840
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$117,840</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Expenditures start to incur
End Date	12/31/2021	Expenditures are reported and reimbursed.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	5

#### Extended Budget Description

These funds will be used to support administrative activities pertaining to the immediate accomplishment outlined within this grant.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$218,024
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$218,024</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Expenditures start to incur.
End Date	12/31/2021	Expenditures reported are reimbursed

## Project Environmental Findings

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved
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12/20/2021
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	5	\$1,387,505.00	\$1,387,505.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

<b>Date Description</b>
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<b>Date</b>
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Class IIc CE Approved
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12/20/2021
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.7A.00	PREVENTIVE MAINTENANCE	5	\$117,840.00	\$117,840.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date Description</b>
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<b>Date</b>
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.79.00	PROJECT ADMINISTRATION	5	\$218,024.00	\$218,024.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Emma Belmont

**Comment Type** DOL Review for Information

**Date** 1/3/2022

**Comment** Grantee Contact:  
Eric Romero  
eromero@mt.gov  
(406) 444-7645

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM “FTA AWARD” OR “AWARD” IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, “AWARD” ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, MONTANA DEPARTMENT OF

Recipient ID: 1144

DUNS No: 809791320

#### **Application Information**

Federal Award Identification Number: MT-2022-003-00

Application Name: ARP Section 5311(f) Montana DOT - Intercity Bus Operating Assistance, Administration and Preventive Maintenance

Application Start Date: N/A

Original Award End Date: 3/31/2022

Current Award End Date: N/A

Application Executive Summary: This application is to request FFY21 Section 5311(f) ARP Intercity Bus funds apportioned to the Montana State Department of Transportation in the amount of \$1,723,369 (full apportionment), representing 100% federal share of the total eligible amount.

**Purpose:** The purpose of this award is to provide the state of Montana and its intercity bus subrecipients operating assistance. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus.

**Activities to be Performed:** The application scope of work includes intercity operations funding for 5 intercity providers. Activities include: Preventive maintenance and repairs, driver and dispatch wages, administrative oversight, marketing, maintain bus facilities for riders.

**Expected outcomes:** Continued services in existing intercity corridors in Montana.

**Intended beneficiaries:** Montana State Intercity Bus providers and rural area citizens.

**Subrecipient activities:** These funds will be used to assist five intercity providers to maintain current operational levels to meet Montana's intercity needs. Subrecipients include:

- Jefferson Lines
- Big Sky Transportation District
- Salt Lake Express
- CSKT - Flathead Transit
- Toole County - Northern Transit Interlocal

Montana agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project in this application is not required to be programmed in the Statewide Transportation Improvement Program.

MDT uses pre-award authority back to 10/1/2021. We estimate drawing the full amount by the time this grant is executed in January 2022.

Supporting Documents:  
- Program of Projects

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

## Application Budget

Total Application Budget: \$1,723,369.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,723,369.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,723,369.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

## Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,723,369
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,723,369</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, MONTANA DEPARTMENT OF*