

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	KY-2021-033-01
<b>Temporary Application Number</b>	1003-2021-8
<b>Application Name</b>	FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

Period of Performance Start Date	7/21/2021	
Original Period of Performance End Date	3/30/2026	
Current Period of Performance End Date	3/30/2026	Revision #: 0

## Part 1: Recipient Information

**Name: TRANSPORTATION CABINET, KENTUCKY**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL-700 CAPITOL AVE	FRANKFORT	KY	406013410
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

## Union Information

<b>Union Name</b>	NONE No Union
<b>Address 1</b>	0000 No Union
<b>Address 2</b>	

City	No Union
State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

## Part 2: Application Information

### Title: FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2021-033-01	Final Concurrence / Reservation	Grant	12/16/2021	12/16/2021	No

#### Application Executive Summary

##### AMENDMENT#1

##### DECEMBER 2021

This is formula funds that need to supplement CARES Act (KY 2021-011-01) funding due to increase in construction/materials.

Due to COVID-19 and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match, Total Eligible \$500,000 (40 yrs useful life); The address of facility is 222 Saint Elizabeth Street Owensboro, Kentucky.

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match, Total Eligible \$1,000,000 (40 yrs useful life) The address of facility is 6021-6025 Atwood Dr, Richmond, KY 40475

Total Federal Requested \$1,200,000, Local Match \$300,000

The Amendment is to cover the over run of two facilities awarded under CARES KY 2021-011.

See updated POP

See Documents relating to the two Facilities, NEPA etc.

State Adm was awarded under original grant with less than 10%.

Intercity Bus was awarded under original grant with 16% (more than 15% required)

#### PURPOSE:

The Purpose of the FY 20 Section 5311 Apportionment

Amendment#1 is to fund the overrun of expenses

for AACS/Owensboro Adm Facility, and KRF/Richmond, KY

Adm/Main Facility, both programmed, awarded in CARES

KY 2021-011.

#### ACTIVITIES TO BE PERFORMED:

To finish/complete the construction of two facilities

#### EXPECTED OUTCOMES

Both facilities will afford workspace distancing, allow for employees to have room for training and overall better efficiencies.

#### INTENDED BENEFICIARIES

Two transit operators which include transit employees, the customers riders they serve.

#### SUBRECIPIENT ACTIVITIES

Allow for continued operations in a safer environment  
Allow for inhouse maintenance with a cost savings.

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#### ORIGINAL

MAY 31, 2021

SECTION 5311 FFY20 APPORTIONMENT

TOTAL APPORTIONMENT IS \$19,637,208

RTAP APPORTIONMENT IS \$317,172

APPALACHIAN APPORTIONMENT IS \$1,764,000

KYTC is applying for State Administration in the amount of FEDERAL \$1,500,000; and FEDERAL \$3,204,090 Intercity Bus (which is 16%) of the 5311 nonurbanized Apportionment which will leave \$14,933,118

KYTC is applying for FEDERAL \$317,172 RTAP (after original grant zero \$0 will remain in RTAP Apportionment)

KYTC is apply for \$1,764,000 Appalachian Operating (after original grant zero \$0 will remain in Appalachian Apportionment.)

In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels. Transit rural operators are still experiencing operating deficits due to COVID-19.

KYTC is the Direct Recipient is applying on behalf of rural transit statewide.

This is not a research grant.

No indirect will be used.

100% State Administrative dollars and limited to 10% of 5311 Allocation

100% RTAP

50% FEDERAL APPALACHIAN

50% OPERATING INTERCITY BUS

Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes • Focus is on payroll and rural transit operations in the Kentucky Appalachian Counties • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

END DATE 3/30/2026

#### **Frequency of Milestone Progress Reports (MPR)**

Quarterly

#### **Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,985,262
Local			\$1,914,000
Local/In-Kind			\$3,204,090
State			\$150,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$13,253,352</b>

**Application Budget**

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-01-01	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-01-01		43.50.01 TRAINING	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-02-01	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-02-01		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-03-01	648-00 (648-A3)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-03-01		30.09.01 50% Federal Share	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-04-01	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-04-01		30.09.01 UP TO 50% FEDERAL SHARE	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-05-01	114-00 (114-A5)	BUS: SUPPORT EQUIP AND FACILITIES	\$1,200,000.00	\$300,000.00	\$1,500,000.00	2
KY-2021-033-05-01		11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$400,000.00	\$100,000.00	\$500,000.00	1
KY-2021-033-05-01		11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$800,000.00	\$200,000.00	\$1,000,000.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: RTAP 5311 FFY20**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-01-01	1003-2021-8-P1	12/16/2021	10/1/2021	7/30/2023

**Project Description**

This is a training project. Statewide rural operators will utilize RTAP to train their drivers and transit staff

43.50.01 RTAP 635

Total Apportionment \$317,172 will be utilized

zero balance after this amount is awarded

100% Federal

**Project Benefits**

Keep drivers aware of latest COVID and safety training.

Saver transports.

**Additional Information**

*None provided.*

**Location Description**

statewide rural training

**Project Location (Urbanized Areas)**

UZA Code	Area Name
210000	Kentucky

**Congressional District Information**

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$317,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$317,172</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-01-01	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-01-01	43.50.01 TRAINING	\$317,172.00	\$0.00	\$317,172.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

### Extended Budget Description

RTAP training for transit employees and drivers  
 Federal Shar: \$317,172  
 100% Federal

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$317,172

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$317,172</b>

Milestone Name	Est. Completion Date	Description
RTAP Start Date	10/1/2021	Training projected to begin Oct 1, 2021
RTAP award	7/1/2022	RTAP contract for training
RTAP End Date	7/30/2023	RTAP training scheduled to be completed July 30, 2023

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$317,172.00	\$317,172.00

### Project Title: FFY20 5311 State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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KY-2021-033-02-01

1003-2021-8-P3

12/16/2021

10/1/2021

7/1/2022

**Project Description**

FFY20 State Administration

state project managers, managers, administrative staff salaries and benefits and supplies.

to oversee and manage subrecipient public transit grants

Requesting 100% Federal \$1,500,000

This leaves \$18,137,209 for nonurbanized FFY20 Formula Apportionment.

State Admin will be limited to 10% total

**Project Benefits**

To maintain compliance and oversight of transit operators

State Admin will be limited to 10% total

**Additional Information**

State Admin will be limited to 10% total of overall Section 5311 Allocation

**Location Description**

statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
210000	Kentucky

**Congressional District Information**

State	District	Representative
Kentucky	6	Andy Barr

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-02-01	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-02-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

### Extended Budget Description

Original Application

May 31, 2021

State Adm FFY20 5311 Federal 100% \$1,500,000

Salaries, benefits, supplies for Office of Transportation staff

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

Milestone Name	Est. Completion Date	Description
State Adm FFY20 5311 Start Date	10/1/2021	Oct 1, 2021 is projected start date on State Adm expenses
State Adm FFY20 5311 End Date	7/1/2022	Section 5311 FFY20 State Adm end date projected July 1, 2022

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,500,000.00	\$1,500,000.00

**Project Title: APPALACHIAN OPERATING 50%**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-03-01	1003-2021-8-P4	12/16/2021	8/1/2021	1/2/2023

#### Project Description

Original Grant applying for the full FFY20 Appalachian Apportionment of \$1,764,000

Appalachian Operating 50% FEDERAL

The Appalachian Kentucky mountainous areas have high poverty levels. The request for 50% formula funds will enable rural transit operators to maintain operations during increased gas prices.

In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels.

#### Project Benefits

Maintain rural transit operations within the Appalachian Kentucky Counties

#### Additional Information

*None provided.*

#### Location Description

Kentucky Statewide

### Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

### Congressional District Information

State	District	Representative
Kentucky	5	Harold Rogers

### Program Plan Information

#### STIP/TIP

Date: N/A

Description: N/A

#### UPWP

Date: N/A

Description: N/A

#### Long Range Plan

Date: N/A

Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,528,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-03-01	648-00 (648-A3) APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-03-01	50% 30.09.01 Federal Share	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - 50% Federal Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

### Extended Budget Description

Original Application

Federal Share 100% \$1,764,000 (the entire FFY20 Appalachian Apportionment)

Rural transit operators located in the 54 Kentucky Appalachian counties are seeking to maintain their public transit operations.

50% Operating Assistance

Operating includes drivers salaries and benefits, fuel, insurance etc.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,528,000</b>

Milestone Name	Est. Completion Date	Description
Appalachian Start Date	8/1/2021	Appalachian Start date Aug 1 2021
Appalachian End Date	1/2/2023	Appalachian End Date Jan 2, 2023

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	50% Federal Share	0	\$1,764,000.00	\$3,528,000.00

### Project Title: INTERCITY BUS OPERATING 50% FEDERAL

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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KY-2021-033-04-01

1003-2021-8-P5

12/16/2021

7/16/2021

6/30/2024

**Project Description**

Despite the COVID-19 pandemic, Greyhound has continued to operate scheduled intercity bus service in Kentucky but at a reduced level of service. Nationwide, Greyhound is operating roughly 45% of the 2019 operating year miles at approximately 40% of prior year revenue. In Kentucky, Greyhound has been able to retain about 53% of the 2019 operating year miles. Greyhound has reduced costs significantly, and has been able to continue to provide critical, essential intercity bus transportation services and connections for the residents of Kentucky. These funds are important in aiding Greyhound get on a path to provide essential intercity bus services.

KYTC/OTD is applying for 16% (more than required 15%) of the non-urbanized FY20 apportionment for Intercity Bus

As in accordance with our SMP, a rural Section 5311 agency Paducah Transit Authority will contract with Miller Transportation and Greyhound Transportation for Kentucky Intercity Bus Services.

Due to COVID, Intercity Bus Transportation is in need of assistance. Operating Expenses incurred from January 2020 and after will be allowed.

Operating expenses will include drivers salaries, driver benefits, fuel, insurance and other operating costs. See Attachment for Kentucky Intercity Bus Services descriptions.

SEE POP

See Attachments on Intercity Bus

End Date scheduled by 1/30/2024

**Project Benefits**

The Intercity Bus funds will allow the Intercity companies to maintain services during this critical time of the pandemic.

**Additional Information**

*None provided.*

**Location Description**

Kentucky Miller Transportation/Greyhound Transportation services

**Project Location (Urbanized Areas)**

UZA Code	Area Name
210000	Kentucky

**Congressional District Information**

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie

Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,204,090
Local			\$0
Local/In-Kind			\$3,204,090
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,408,180</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-04-01	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-04-01	30.09.01 UP TO 50% FEDERAL SHARE	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0



## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

ORIGINAL GRANT

The FY2020-5311 Non-Urbanized Area Formula is \$19,637,208. This does not include RTAP/Appalachian Formula FY20 Apportionments.

This grant is requesting \$3,204,090 (which is 16%) Intercity Bus Federal Operating FEDERAL 50%; LOCAL/INKIND 50%.

Intercity Bus companies Miller Transportation and Greyhound via the Section 5311 transit agency:

Paducah Transit Authority.

See Attached POP

See Attached Intercity Service Information

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,204,090
Local			\$0
Local/In-Kind			\$3,204,090
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,408,180</b>

Milestone Name	Est. Completion Date	Description
Intercity Bus Start Date	7/16/2021	Intercity Bus Transportation
Intercity Bus End Date	6/30/2024	End Date of Intercity Bus end date

## Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$3,204,090.00	\$6,408,180.00

<b>Project Title: Add KRF and AACS Funding for Construction of two Facilities</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-05-01	KY-2021-033-01-P6	12/16/2021	1/14/2022	6/28/2024

**Project Description**

Amendment#1

December 2021

This is formula funds that need to supplement CARES Act (KY 2021-011-01) funding due to increase in construction/materials.

Due to COVID-19 and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match, Total Eligible \$500,000 (40 yrs useful life); The address of facility is 222 Saint Elizabeth Street Owensboro, Kentucky.

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match, Total Eligible \$1,000,000 (40 yrs useful life) The address of facility is 6021-6025 Atwood Dr, Richmond, KY 40475

Due to CVOID and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match

Total Federal Requested \$1,200,000, Local Match \$300,000

The Amendment is to cover the over run of two facilities awarded under CARES KY 2021-011.

See updated POP

See Documents relating to the two Facilities, NEPA etc.

**Project Benefits**

Allow for the completion of two facilities one in Richmond, KY the other in Owensboro, KY

Both facilities will allow additional needed space for employees to have safer work distances and also offer

safer distancing for passengers

**Additional Information**

*None provided.*

**Location Description**

Richmond and Owensboro areas

**Project Location (Urbanized Areas)**

UZA Code	Area Name
211250	Lexington-Fayette, KY
212750	Owensboro, KY

**Congressional District Information**

State	District	Representative
Kentucky	2	Brett Guthrie
Kentucky	6	Andy Barr

**Program Plan Information**

**STIP/TIP**

Date: 6/8/2021

Description: STIP for FY20 Section 5311 Apportionment

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,200,000
Local			\$150,000
Local/In-Kind			\$0
State			\$150,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,500,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-05-01	114-00 BUS: SUPPORT EQUIP AND FACILITIES (114-A5)	\$1,200,000.00	\$300,000.00	\$1,500,000.00	2
KY-2021-033-05-01	11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$400,000.00	\$100,000.00	\$500,000.00	1
KY-2021-033-05-01	11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$800,000.00	\$200,000.00	\$1,000,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.43.01 - CONSTRUCT - ADMINISTRATIVE BUILDING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

#### Extended Budget Description

Audubon Area Community Services Private Non-Profit Daviess, Hancock, Henderson, McLean, Ohio, Union, & Webster Counties

Add Federal \$400,000

Add Local \$100,000 Match

Total Eligible \$500,000

Due to CVOID and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$50,000
Local/In-Kind			\$0
State			\$50,000

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$500,000</b>

Milestone Name	Est. Completion Date	Description
Bid Approvals AACS	1/14/2022	AACS Facility bid award
Start Date for AACS Adm Facility	3/15/2022	Start date estimated 03/15/2022 anticipated weather, and material delays
End Date AACS Adm Facility	2/1/2024	end date projected out due to delays in material and the pandemic

**Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

**Extended Budget Description**

Amendment#1

December 2021

Increase Federal Share by \$800,000

Increase Local Share by \$200,000 (State \$100,000, Local \$100,000)

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match

Total Federal Requested \$1,200,000, Local Match \$300,000

The Amendment is to cover the over run of the KRF facility awarded under CARES KY 2021-011.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$800,000
Local			\$100,000
Local/In-Kind			\$0
State			\$100,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$1,000,000</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date KRF	3/1/2022	Estimated date March 2022
Bid award approval	8/16/2022	Bid award approval
End Date KRF	6/28/2024	Estimated completion due to material delays and COVID

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

<b>Date</b>	<b>Description</b>
	Class IIc CE Approved

<b>Scope Name / Code</b>	<b>Line Item Number</b>	<b>Line Item Name</b>	<b>Quantity</b>	<b>FTA Amount</b>	<b>Total Eligible Cost</b>
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	1	\$400,000.00	\$500,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use,

such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	1	\$800,000.00	\$1,000,000.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Michael Sherman

Comment Type	DOL Review for Information
Date	1/18/2022
Comment	This is a 5311 capital and operating application, per FTA A to Z, is being shared with DOL for information only. Sent to DOL on 01/19/2022

### Application Review Comments

**Comment By** Michael Sherman

Comment Type	Pre-Award Manager Returns Application
Date	12/27/2021
Comment	

## Part 6: Agreement

UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID: 1003

DUNS No: 927328732

**Application Information**

Federal Award Identification Number: KY-2021-033-01

Application Name: FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC

Application Start Date: 7/21/2021

Original Award End Date: 3/30/2026

Current Award End Date: 3/30/2026

Application Executive Summary: AMENDMENT#1  
DECEMBER 2021

This is formula funds that need to supplement CARES Act (KY 2021-011-01) funding due to increase in



construction/materials.

Due to COVID-19 and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match, Total Eligible \$500,000 (40 yrs useful life);

The address of facility is 222 Saint Elizabeth Street Owensboro, Kentucky.

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match, Total Eligible \$1,000,000 (40 yrs useful life) The address of facility is 6021-6025 Atwood Dr, Richmond, KY 40475

Total Federal Requested \$1,200,000, Local Match \$300,000

The Amendment is to cover the over run of two facilities awarded under CARES KY 2021-011.

See updated POP

See Documents relating to the two Facilities, NEPA etc.

State Adm was awarded under original grant with less than 10%.

Intercity Bus was awarded under original grant with 16% (more than 15% required)

PURPOSE:

The Purpose of the FY 20 Section 5311 Apportionment

Amendment#1 is to fund the overrun of expenses

for AACS/Owensboro Adm Facility, and KRF/Richmond, KY

Adm/Main Facility, both programmed, awarded in CARES

KY 2021-011.

ACTIVITIES TO BE PERFORMED:

To finish/complete the construction of two facilities

EXPECTED OUTCOMES

Both facilities will afford workspace distancing, allow for employees to have room for training and overall better efficiencies.

INTENDED BENEFICIARIES

Two transit operators which include transit employees, the customers riders they serve.

SUBRECIPIENT ACTIVITIES

Allow for continued operations in a safer environment

Allow for inhouse maintenance with a cost savings.

\*\*\*\*\*

ORIGINAL

MAY 31, 2021

SECTION 5311 FFY20 APPORTIONMENT

TOTAL APPORTIONMENT IS \$19,637,208

RTAP APPORTIONMENT IS \$317,172

APPALACHIAN APPORTIONMENT IS \$1,764,000

KYTC is applying for State Administration in the amount of FEDERAL \$1,500,000; and FEDERAL \$3,204,090 Intercity Bus (which is 16%) of the 5311 nonurbanized Apportionment which will leave \$14,933,118

KYTC is applying for FEDERAL \$317,172 RTAP (after original grant zero \$0 will remain in RTAP Apportionment)

KYTC is apply for \$1,764,000 Appalachian Operating (after original grant zero \$0 will remain in Appalachian Apportionment.)

In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels. Transit rural operators are still experiencing operating deficits due to COVID-19.

KYTC is the Direct Recipient is applying on behalf of rural transit statewide.

This is not a research grant.

No indirect will be used.

100% State Administrative dollars and limited to 10% of 5311 Allocation

100% RTAP

50% FEDERAL APPALACHIAN

50% OPERATING INTERCITY BUS

Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes • Focus is on payroll and rural transit operations in the Kentucky Appalachian Counties • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.  
END DATE 3/30/2026

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$13,253,352.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,200,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$300,000.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$7,985,262.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$5,268,090.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$7,985,262
Local			\$1,914,000
Local/In-Kind			\$3,204,090
State			\$150,000

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$13,253,352</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION CABINET, KENTUCKY*