

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	AL-2022-005-00
<b>Temporary Application Number</b>	1000-2021-10
<b>Application Name</b>	Rural Area 5311 American Rescue Plan Act
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2026
<b>Current Period of Performance End Date</b>	N/A      Revision #: N/A

## Part 1: Recipient Information

**Name: TRANSPORTATION, ALABAMA DEPT OF**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>Recipient DUNS</b>
1000	State Agency	ALABAMA DEPARTMENT OF TRANSPORTATION	837892371

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	600 DEXTER AVE STE S105	MONTGOMERY	AL	361303024
Physical Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36110
Mailing Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36130

## Union Information

There are no union contacts for this application

## Part 2: Application Information

## Title: Rural Area 5311 American Rescue Plan Act

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AL-2022-005-00	Final Concurrence / Reservation	Grant	6/15/2021	6/15/2021	No

### Application Executive Summary

#### Purpose:

The purpose of this award is to provide the state of Alabama and its subrecipients operating assistance, eligible capital projects, and for the rural transit assistance program (RTAP) to assist the state and its subrecipients in recovering from COVID-19 during FY2022.

#### Activities to be Performed:

Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one-year, preventive maintenance, etc. in order to continue transit operations. Funds will also be used for training and technical assistance along with Intercity and State Administration activities. RTAP funds will be used for providing rural training, technical assistance and research initiatives. RTAP will also be used to promote the delivery of safe and effective public transportation in non-urbanized areas; to make more effective use of public and private resources in the provision of rural transportation; to support the coordination of public and human service transportation; and to foster the development of state and local capacity for addressing the training and technical assistance needs for the rural transportation community.

#### Project Funding Breakdown FTA Funds Budgeted

300-00 Operating Assistance \$634,342 Activity 30.09.01 Up to 100% Federal Share

610-00 State/Programs Administration 10% of 5311 apportionment \$84,579 Activity 11.80.00 – State Administration

634-00 Intercity Bus Transportation 15% of 5311 apportionment \$126,868 Activity 30.09.01 Up to 100% Federal Share

635-00 Rural Transit Assistance Program \$147,553 Activity 43.50.01 - Training

#### Expected Outcomes:

Continued safe and effective operation of transit services for rural areas of Alabama.

#### Intended Beneficiaries:

Alabama State transit agencies and citizens in the rural and non-urbanized areas of the state.

#### Subrecipient Activities:

The following 28 subrecipients as providers of rural transit service: Autauga County Commission, Baldwin County Commission (BRATS), City of Eufaula, Blount County Commission, East Alabama Regional Planning & Development Commission, Chilton County Commission, Northwest Alabama Council of Local Governments (NACOLG), Alabama Tombigbee Regional Commission, Covington Area Transit System, Cullman County Commission (CARTS), DeKalb County Commission, Escambia Co AL Transit System, Etowah County Commission, West Alabama Health Services, Inc., Southeast Alabama Regional Planning & Development Commission, Jackson County Commission, Birmingham Regional Paratransit Consortium (CLASTRAN), North Central Alabama Regional Council of Governments (NARCOG), Lee-Russell Council of Local Governments, Macon County Commission, Madison County Commission, City of Guntersville, H.E.L.P., Inc., City of Troy, St. Clair County Commission, ARISE, Inc., Walker County Commission and ARC of Southwest Alabama; and two intercity bus operators: Greyhound Lines and West Alabama Health Services, Inc will receive ARP funds for operating assistance.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Courtney	Roberts	Transportation Program Specialist	courtney.roberts@dot.gov	(404) 865-5478
Catrina	Rollins	Engineering Asst. II	rollinscm@dot.state.al.us	(334) 242-6766

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$993,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$993,342</b>

## Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2022-005-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$634,342.00	\$0.00	\$634,342.00	0
AL-2022-005-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$634,342.00	\$0.00	\$634,342.00	0
AL-2022-005-01-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$84,579.00	\$0.00	\$84,579.00	0
AL-2022-005-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$84,579.00	\$0.00	\$84,579.00	0
AL-2022-005-01-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$126,868.00	\$0.00	\$126,868.00	0
AL-2022-005-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$126,868.00	\$0.00	\$126,868.00	0
AL-2022-005-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$147,553.00	\$0.00	\$147,553.00	0
AL-2022-005-01-00		43.50.01 TRAINING	\$147,553.00	\$0.00	\$147,553.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

## Project Title: ARP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AL-2022-005-01-00	1000-2021-10-P1	6/15/2021	1/20/2020	3/30/2024

### Project Description

This is a FFY 2021 Section 5311 American Rescue Plan Act application in the amount of \$993,342 (100% Federal Share). This application utilizes American Rescue Plan Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Operating, Intercity Bus, Rural Transit Assistance Program (RTAP) and State Admin costs. See project budget and attached POP for details. ALDOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review.

#### Project Funding Breakdown FTA Funds Budgeted

300-00 Operating Assistance \$634,342 Activity 30.09.01 Up to 100% Federal Share

610-00 State/Programs Administration 10% of 5311 apportionment \$84,579 Activity 11.80.00 – State Administration

634-00 Intercity Bus Transportation 15% of 5311 apportionment \$126,868 Activity 30.09.01 Up to 100% Federal Share

635-00 Rural Transit Assistance Program \$147,553 Activity 43.50.01 - Training

Total Federal Funds Requested \$993,342 ALDOT calculated Operating costs based on previous operating costs and requests from subs/Intercity providers.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. ALDOT will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

\*No Furloughs

### Project Benefits

The statewide funding will assist with meeting transportation needs of the rural areas of Alabama. Additional operating funds will support the maintenance of vehicles, equipment, and facilities related to the operation of the transit programs. The funds will also support the employee's salaries and provide employee benefits for the members of our sub-recipient's staffs. Statewide federal funding will ensure services can be expanded into various area across the state.

### Additional Information

*None provided.*

### Location Description

State-wide American Rescue Plan Act funds

## Project Location (Urbanized Areas)

<b>UZA Code</b>	<b>Area Name</b>
010000	Alabama

## Congressional District Information

<b>State</b>	<b>District</b>	<b>Representative</b>
Alabama	1	Bradley Byrne
Alabama	2	Martha Roby
Alabama	3	Mike Rogers
Alabama	4	Robert B Aderholt
Alabama	5	Mo Brooks
Alabama	6	Gary J Palmer
Alabama	7	Terri A Sewell

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: no stip/tip required

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$993,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$993,342</b>

## Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2022-005-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$634,342.00	\$0.00	\$634,342.00	0
AL-2022-005-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$634,342.00	\$0.00	\$634,342.00	0
AL-2022-005-01-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$84,579.00	\$0.00	\$84,579.00	0
AL-2022-005-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$84,579.00	\$0.00	\$84,579.00	0
AL-2022-005-01-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$126,868.00	\$0.00	\$126,868.00	0
AL-2022-005-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$126,868.00	\$0.00	\$126,868.00	0
AL-2022-005-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$147,553.00	\$0.00	\$147,553.00	0
AL-2022-005-01-00		43.50.01 TRAINING	\$147,553.00	\$0.00	\$147,553.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

### Extended Budget Description

5/15/2021

American Rescue Plan Act RTAP funds - \$147,553

RTAP funds will be used for providing rural training, technical assistance and research initiatives. RTAP will also be used to promote the delivery of safe and effective public transportation in non-urbanized areas; to make more effective use of public and private resources in the provision of rural transportation; to support the coordination of public and human service transportation; and to foster the development of

state and local capacity for addressing the training and technical assistance needs for the rural transportation community.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$147,553
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$147,553</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	3/30/2024	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

5/15/2021

American Rescue Plan Act Operating funds - \$634,342

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$634,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0



Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$634,342</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	3/30/2024	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

5/15/2021

American Rescue Plan Act Intercity Bus funds - \$126,868

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$126,868
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$126,868</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	3/30/2024	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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STATE / PROGRAMS 11.80.00 STATE OR PROGRAM STATE / PROGRAM  
ADMINISTRATION (610-00) ADMINISTRATION ADMINISTRATION 0

#### Extended Budget Description

5/15/2021

American Rescue Plan Act State Admin funds - \$84,579

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$84,579
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$84,579</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	3/30/2024	

## Project Environmental Findings

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$634,342.00	\$634,342.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$126,868.00	\$126,868.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible
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					Cost
STATE / PROGRAMS		STATE OR PROGRAM			
ADMINISTRATION (610-00)	11.80.00	ADMINISTRATION	0	\$84,579.00	\$84,579.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$147,553.00	\$147,553.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Courtney Roberts

Comment Type DOL Review for Information

Date 1/13/2022

Comment This grant application is for Section 5311. Please review this application for information only. The grantee contact is Catrina Rollins and can be reached at (334) 242-6766 , rollinscm@dot.state.al.us

## Application Review Comments

Comment By Courtney Roberts

Comment Type	Application Details
Date	8/19/2021
Comment	<p>Please include the 5 elements in the application executive summary-</p> <ol style="list-style-type: none"> <li>1. Purpose:</li> <li>2. Activities to be performed:</li> <li>3. Expected outcomes:</li> <li>4. Intended beneficiaries:</li> <li>5. Subrecipient Activities:</li> </ol> <p>Purpose: The purpose of this award is to provide the state of XXX and its subrecipients operating assistance, eligible capital projects, and for the rural transit assistance program (RTAP) to assist the state and its subrecipients in recovering from COVID-19 during FY2022.</p> <p>Activities to be Performed: Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year, preventive maintenance, etc. in order to continue transit operations. Funds will also be used to cover eligible capital expenses such as the purchase of five 24-passenger buses. Funds will also be used for training and technical assistance.</p> <p>Expected Outcomes: Continued safe and effective operation of transit services for rural areas of XXX.</p> <p>Intended Beneficiaries: XXX State transit agencies and citizens in the rural and non-urbanized areas of the state.</p> <p>Subrecipient Activities: The following three subrecipients as providers of rural transit service: ABC Rural Inc.; DEF Transit, Inc.; Easy Commute Inc.; and one intercity bus operator: GHI Coach Lines. will receive ARP funds for operating assistance. The following subrecipients will receive capital funding for the purchase of equipment: JKL rural operator; MNO rural operator.</p>

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**Comment By Courtney Roberts**

Comment Type	Application Details
Date	12/2/2021
Comment	If ALDOT hasn't had any furloughs, please add the "No furloughs" statement.

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**Comment By Courtney Roberts**

Comment Type	Application Details
Date	12/15/2021
Comment	<p>Legal review comments: I can't tell from the description in the application, what exactly is does the training ALI cover?</p> <p>43.50.01 TRAINING</p> <p>Please add the "No furloughs" statement if there weren't any furloughs.</p>

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**Comment By Courtney Roberts**

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Comment Type Application Details

Date 1/6/2022

Comments from legal advisor:

Comment Please have recipient include the applicable "no furloughs" statement for ARP funds; there is only one option = "The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)." If they can't, please remove everything except operating/payroll or PM from the application

## Application Review Comments

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**Comment By Courtney Roberts**

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Comment Type Pre-Award Manager Returns Application

Date 8/19/2021

Comment Please review FTA comments.

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**Comment By Courtney Roberts**

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Comment Type Pre-Award Manager Returns Application

Date 12/2/2021

Comment

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**Comment By Courtney Roberts**

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Comment Type Pre-Award Manager Returns Application

Date 12/15/2021

Comment Please address FTA comments

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**Comment By Courtney Roberts**

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Comment Type Pre-Award Manager Returns Application

Date 1/6/2022

Comment Please address FTA comments

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**Comment By Courtney Roberts**

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Comment Type Pre-Award Manager Returns Application

Date	1/12/2022
Comment	Please update furlough statement.

## Part 6: Agreement

### UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

#### GRANT AGREEMENT (FTA G-28, February 9, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

#### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: TRANSPORTATION, ALABAMA DEPT OF

Recipient ID: 1000

DUNS No: 837892371

#### Application Information

Federal Award Identification Number: AL-2022-005-00

Application Name: Rural Area 5311 American Rescue Plan Act

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: Purpose:

The purpose of this award is to provide the state of Alabama and its subrecipients operating assistance, eligible capital projects, and for the rural transit assistance program (RTAP) to assist the state and its subrecipients in recovering from COVID-19 during FY2022.

Activities to be Performed:

Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one-year, preventive maintenance, etc. in order to continue transit operations. Funds will also be used for training and technical assistance along with Intercity and State Administration activities. RTAP funds will be used for providing rural training, technical assistance and research initiatives. RTAP will also be used to promote the delivery of safe and effective public transportation in non-urbanized areas; to make more effective use of public and private resources in the provision of rural transportation; to support the coordination of public and human service transportation; and to foster the development of state and local capacity for addressing the training and technical assistance needs for the rural transportation community.

Project Funding Breakdown FTA Funds Budgeted

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634-00 Intercity Bus Transportation 15% of 5311 apportionment \$126,868 Activity 30.09.01 Up to 100% Federal Share

635-00 Rural Transit Assistance Program \$147,553 Activity 43.50.01 - Training

Expected Outcomes:

Continued safe and effective operation of transit services for rural areas of Alabama.

Intended Beneficiaries:

Alabama State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities:

The following 28 subrecipients as providers of rural transit service: Autauga County Commission, Baldwin County Commission (BRATS), City of Eufaula, Blount County Commission, East Alabama Regional Planning & Development Commission, Chilton County Commission, Northwest Alabama Council of Local Governments (NACOLG), Alabama Tombigbee Regional Commission, Covington Area Transit System, Cullman County Commission (CARTS), DeKalb County Commission, Escambia Co AL Transit System, Etowah County Commission, West Alabama Health Services, Inc., Southeast Alabama Regional Planning & Development Commission, Jackson County Commission, Birmingham Regional Paratransit Consortium (CLASTRAN), North Central Alabama Regional Council of Governments (NARCOG), Lee-Russell Council of Local Governments, Macon County Commission, Madison County Commission, City of Guntersville, H.E.L.P., Inc., City of Troy, St. Clair County Commission, ARISE, Inc., Walker County Commission and ARC of Southwest Alabama; and two intercity bus operators: Greyhound Lines and West Alabama Health Services, Inc will receive ARP funds for operating assistance.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

Research and Development: This award does not include research and development activities.



Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$993,342.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$993,342.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$993,342.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$993,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$993,342</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

#### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

#### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, ALABAMA DEPT OF*