

## Application

<b>Federal Award Identification Number (FAIN)</b>	PR-2021-066-00
<b>Temporary Application Number</b>	5489-2021-2
<b>Application Name</b>	Section 5311 FY 2019 Apportionment for Rural Areas, Capital Projects, Operating Assistance, Preventive Maintenance, State Administration and RTAP; PRHTA; PR
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Highway & Transportation Authority, Puerto Rico**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
5489	State Agency	PUERTO RICO HIGHWAY AND TRANSPORTATION AUTHORITY	088541391

Location Type	Address	City	State	Zip
Headquarters	SAN JOSE ST CRN SAN FRNCS	SAN JUAN	PR	00901
Physical Address	CALLE DE DIEGO # C	SAN JUAN	PR	00923
Mailing Address	PO BOX 42007	SAN JUAN	PR	00940

### Union Information

<b>Union Name</b>	<b>HERMANDAD DE EMPLEADOS DE OFICINA, COMERCIO Y RAMAS ANEXAS</b>
Address 1	PO BOX 8599
Address 2	
City	SANTURCE
State	Puerto Rico
Zipcode	00910
Contact Name	ASTRID ROSARIO
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>PROSOL- UTIER CAPÍTULO: AUTORIDAD DE CARRETERAS</b>
Address 1	P.O. Box 13068
Address 2	Calle Cerra #612
City	San Juan
State	Puerto Rico
Zipcode	00908
Contact Name	Juan Jacob
Telephone	7872091966
Fax	
E-mail	cyberianpri@hotmail.com
Website	
<b>Union Name</b>	<b>UNION DE TRONQUISTAS DE PUERTO RICO (UTPR)</b>
Address 1	352 Calle del Parque
Address 2	Parada 23
City	San Juan
State	Puerto Rico
Zipcode	00912
Contact Name	Lcdo. Alexis Rodriguez
Telephone	7877218980
Fax	7877242190
E-mail	unitron@onelinkpr.net
Website	

## Part 2: Application Information

**Title: Section 5311 FY 2019 Apportionment for Rural Areas, Capital Projects, Operating Assistance, Preventive Maintenance, State**

## Administration and RTAP; PRHTA; PR

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
PR-2021-066-00	Final Concurrence / Reservation	Grant	11/16/2020	11/16/2020	No

### Application Executive Summary

This application includes a total of \$1,418,175 (with \$487,366 Transportation Development Credits) in FY 2019 Section 5311 lapsing funds apportioned to Rural Areas for Capital Project, State Administration (FY 2019) and RTAP (FY 2019).

Each of these projects are operated by a sub grantee and supervised by the Puerto Rico Highway and Transportation Authority distributed as follow:

a) Operating Assistance (Coamo - \$281,550) (Comerio - \$213,696) (Jayuya-\$221,772) (Orocovis - \$99,008) (Vieques- \$76,977)

Operating Assistance will allow the municipality to leverage the cost of operating the new vehicles to cover the route expansion. Given the distressed economic conditions in Puerto Rico, this assistance will also allow for new jobs creation.

b) Preventive Maintenance (Comerio - \$61,887) (Orocovis - \$67,936) (Jayuya-\$72,764)

For existing and future vehicle fleet, annual element. This financial assistance will improve the fleet's performance, including less interruption in route service due to damaged vehicles. The users will benefit from this performance, especially older citizens and health patients that rely on public transportation services. The better performing route service will allow for more people, including workers and visitors to rely on this service.

d) Drugs and Alcohol Test (Comerio - \$534)

Effective January 1, 2019, the minimum random drug testing rate for FTA employers will increase to 50 percent of all covered employees. 49 CFR Part 655.45(b) requires the FTA Administrator to raise the minimum annual random drug testing.

e) Project Administration (Jayuya - \$1,200)

The municipalities are specifically working on everything related to the program as monthly reports. To be more efficient in the handling of the data, the equipment is requested for the office.

g) State Administration-Fiscal Year 2019 (\$222,720)

For salaries of section 5311 employees and purchase equipment, as part of the assistance to sub recipient. No know items will exceed \$5,000. If an item is purchased in the future that we find cost more than \$5,000, the grantee will perform a budget revision on this application to add use life of that item.

h) RTAP -Fiscal Year 2019- (\$98,131)

Training

For assistance to develop, establish and operate a public transit service, which include the preparation (training about it) of Drugs and Alcohol program and ADA complementary plan.

Technical Assistance

For assistance, by in the house staff and or site visit for the preparing an application for capital improvement or vehicles acquisition and completing reports annually required (FFY Certification and Assurance, Use certification, NTD, Drugs and Alcohol Testing Data, Biannual Report of Use and Expenses of Vehicles (Trolley, Paratransit). Also, in the preparation of the documents required for reimbursement.

Transit Research

For survey and interview with public operators and municipal official to meet the specific needs of transportation services to develop training and technical assistance resources materials.

1. Overall Start Date June 1, 2022/ End Date March 30, 2026.
2. PRTHA is the designated recipient and direct recipient of the Section 5311 funds apportioned to Puerto Rico.
3. This application includes research funds.
4. No indirect costs will be charged to this application.
5. The Puerto Rico Highway and Transportation Authority will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).
6. The Authority will ensure contractors procured will not be on the FTA Suspension and Debarment list.
7. All sub-recipients will follow useful life standards as defined in the PRHTA State Management Plan.
8. Relevant STIP pages, STIP Approval Letter and TDC approval letter have been uploaded to the application.
9. The Intercity Bus Service needs of the Government of Puerto Rico are being adequately met for this fiscal year. The governor's certification is included in the application documents.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award includes research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Norma	Garcia-Lebron	Executive Officer	nogarcia@dtop.gov.pr	787-729-1586

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,418,175
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$487,366
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,418,175</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2021-066-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$204,321.00	\$0.00	\$204,321.00	3
PR-2021-066-01-00	11.71.11 OTHER 3RD PARTY CONTRACTUAL SERVICES	\$534.00	\$0.00	\$534.00	1
PR-2021-066-01-00	11.79.00 PROJECT ADMINISTRATION	\$1,200.00	\$0.00	\$1,200.00	1
PR-2021-066-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$202,587.00	\$0.00	\$202,587.00	1
PR-2021-066-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$893,003.00	\$0.00	\$893,003.00	1
PR-2021-066-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$893,003.00	\$0.00	\$893,003.00	1
PR-2021-066-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$222,720.00	\$0.00	\$222,720.00	1
PR-2021-066-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$222,720.00	\$0.00	\$222,720.00	1
PR-2021-066-	635- RURAL TRANSIT ASSISTANCE	\$98,131.00	\$0.00	\$98,131.00	3

01-00	00 (635-A4)	PROGRAM					
PR-2021-066-01-00		43.50.01 TRAINING	\$32,710.00	\$0.00	\$32,710.00		1
PR-2021-066-01-00		43.50.02 TECHNICAL ASSISTANCE	\$32,710.00	\$0.00	\$32,710.00		1
PR-2021-066-01-00		43.50.03 TRANSIT RESEARCH	\$32,711.00	\$0.00	\$32,711.00		1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: Section 5311 FY 2019 Apportionment for Rural Areas, Capital Projects, Operating Assistance, Preventive Maintenance, State Administration and RTAP; PRHTA; PR**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PR-2021-066-01-00	5489-2021-2-P1	2/25/2021	6/1/2022	6/30/2023

### Project Description

This application includes a total of \$1,418,175 (with \$487,366 TDCs) in FY 2019 Section 5311 funds apportioned to Rural Areas for Capital Project, State Administration (FY 2019) and RTAP (FY 2019).

Each of these projects are operated by a sub grantee and supervised by the Puerto Rico Highway and Transportation Authority distributed as follow:

a) Operating Assistance (Coamo - \$281,550) (Comerio - \$213,696) (Jayuya-\$221,772) (Orocovis - \$99,008) (Vieques- \$76,977)

Operating Assistance will allow the municipality to leverage the cost of operating the new vehicles to cover the route expansion. Given the distressed economic conditions in Puerto Rico, this assistance will also allow for new jobs creation.

b) Preventive Maintenance (Comerio - \$61,887) (Orocovis - \$67,936) (Jayuya-\$72,764)

For existing and future vehicle fleet, annual element. This financial assistance will improve the fleet's performance, including less interruption in route service due to damaged vehicles. The users will benefit from this performance, especially older citizens and health patients that rely on public transportation services. The better performing route service will allow for more people, including workers and visitors to rely on this service.

d) Drugs and Alcohol Test (Comerio - \$534)

Effective January 1, 2019, the minimum random drug testing rate for FTA employers will increase to 50 percent of all covered employees. 49 CFR Part 655.45(b) requires the FTA Administrator to raise the minimum annual random drug testing.

e) Project Administration (Jayuya - \$1,200)

The municipalities are specifically working on everything related to the program as monthly reports. To be more efficient in the handling of the data, the equipment is requested for the office.

g) State Administration-Fiscal Year 2019 (\$222,720)

For salaries of section 5311 employees and purchase equipment, as part of the assistance to sub recipient. No know items will exceed \$5,000. If an item is purchased in the future that we find cost more than \$5,000, the grantee will perform a budget revision on this application to add use life of that item.

h) RTAP -Fiscal Year 2019- (\$98,131)

Training

For assistance to develop, establish and operate a public transit service, which include the preparation (training about it) of Drugs and Alcohol program and ADA complementary plan.

Technical Assistance

For assistance, by in the house staff and or site visit for the preparing an application for capital improvement or vehicles acquisition and completing reports annually required (FFY Certification and Assurance, Use certification, NTD, Drugs and Alcohol Testing Data, Biannual Report of Use and Expenses of Vehicles (Trolley, Paratransit). Also, in the preparation of the documents required for reimbursement.

Transit Research

For survey and interview with public operators and municipal official to meet the specific needs of transportation services to develop training and technical assistance resources materials.

1. Overall Start Date June 1, 2022/ End Date March 30, 2026.
2. PRHTA is the designated recipient and direct recipient of the Section 5311 funds apportioned to Puerto Rico.
3. This application includes research funds.
4. No indirect costs will be charged to this application.
5. The Puerto Rico Highway and Transportation Authority will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).
6. The Authority will ensure contractors procured will not be on the FTA Suspension and Debarment list.
7. All sub recipients will follow useful life standards as defined in the PRHTA State Management Plan.
8. Relevant STIP pages, STIP Approval Letter and TDC approval letter have been uploaded to the application documents.

**Project Benefits**

Coamo

The project will benefit the overall public transportation system, in terms of providing the required operating vehicles without service interruptions to users. Support the continuation of the expansion for the municipality's public transportation system.

Comerio

The project provides the needed continuation for the municipality to develop its public transportation system, to maintain the buses at optimal conditions and prevent route delays or lack of full service.

Orocovis

With this project we hope to provide effective maintenance to the vehicle, minimizing damage, reducing fuel consumption and preserving it. In addition, it will provide us with saving in the annual expenditure of the Municipality. Being a municipality with a small budget, it is difficult to cover 100% of maintenance and operation expenses.

Jayuya

Expected to increase elderly and handicapped participation in the usage of multimodal transportation. To

achieve our goal, we must keep our vehicle in optimum conditions, for the safety of our passengers. Having technological equipment contributes in the fulfillment of the objective of the program in the delivery of reports at the indicated time, to fill real statistics, to keep informed the citizenship of the available service.

#### Vieques

The project provides the needed continuation for the municipality to develop its public transportation system, to maintain the buses at optimal conditions and prevent route delays or lack of full service.

#### **Additional Information**

*None provided.*

#### **Location Description**

All projects will be development at the municipality of Coamo, Orocovis, Jayuya, Comerio and Vieques.

Municipality of Orocovis  
Calle Luis Munoz Rivera #10  
Orocovis, PR

Municipality of Coamo  
Calle Mario Braschi #3  
Coamo, PR

Municipality of Jayuya  
Carretera 144 Calle Cementerio  
Jayuya, PR

Municipality of Comerio  
13 Calle Jose De Diego  
Comerio, PR

Municipality of Vieques  
Calle Carlos Lebrum #449  
Vieques, PR

#### **Project Location (Urbanized Areas)**

UZA Code	Area Name
720000	Puerto Rico

#### **Congressional District Information**

State	District	Representative
Puerto Rico	1	Jennifer González

#### **Program Plan Information**

**STIP/TIP**



Date: 7/1/2021

Description: These projects are included in the 2019-2022 STIP, Amendment #1, Section 5311 and Rural Transportation Assistance Program (RTAP) as follows: 1. Operating Assistance for the Municipality of Coamo: Page FTA-78, Item #2 for \$281,550 (\$281,550 Federal and \$140,775 TDCs), 2. Operating Assistance for the Municipality of Comerio: Page FTA-78, Item #3 for \$213,696 (\$213,696 Federal and \$106,848 TDCs), 3. Preventive Maintenance for the Municipality of Comerio: Page FTA-78, Item #4 for \$61,887 (\$61,887 Federal and \$12,377 TDCs), 4. Drugs and Alcohol Testing for the Municipality of Comerio: Page FTA-78, Item #5 for \$534 (\$534 Federal and \$107 TDCs), 5. Operating Assistance for the Municipality of Jayuya: Page FTA-78, Item #6 for \$221,772 (\$221,772 Federal and \$110,886 TDCs), 6. Preventive Maintenance for the Municipality of Jayuya: Page FTA-78, Item #7 for \$72,764 (\$72,764 Federal and \$14,553 TDCs), 7. Project Administration for the Municipality of Jayuya: Page FTA-78, Item #8 for \$1,200 (\$1,200 Federal and \$240 TDCs), 8. Operating Assistance for the Municipality of Orocovis: Page FTA-78, Item #9 for \$99,008 (\$99,008 Federal and \$49,504 TDCs), 9. Preventive Maintenance for the Municipality of Orocovis: Page FTA-78, Item #10 for \$67,936 (\$67,936 Federal and \$13,587 TDCs), 10. Operating Assistance for the Municipality of Vieques: Page FTA-79, Item #12 for \$76,977 (\$76,977 Federal and \$38,489 TDCs), 11. Fiscal Year 2018 State Administration: Page FTA-79, Item #13 for \$222,720 Federal, 12. Fiscal Year 2018 Rural Transportation Assistance Program (RTAP): Page FTA-86, Item #1 for \$98,131 Federal.”

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,418,175
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$487,366
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,418,175</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2021-066-01-00	117-00 OTHER CAPITAL ITEMS (BUS) (117-	\$204,321.00	\$0.00	\$204,321.00	3

	A1)						
PR-2021-066-01-00		11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	\$534.00	\$0.00	\$534.00	1
PR-2021-066-01-00		11.79.00	PROJECT ADMINISTRATION	\$1,200.00	\$0.00	\$1,200.00	1
PR-2021-066-01-00		11.7A.00	PREVENTIVE MAINTENANCE	\$202,587.00	\$0.00	\$202,587.00	1
PR-2021-066-01-00	300-00 (300-A2)		OPERATING ASSISTANCE	\$893,003.00	\$0.00	\$893,003.00	1
PR-2021-066-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$893,003.00	\$0.00	\$893,003.00	1
PR-2021-066-01-00	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$222,720.00	\$0.00	\$222,720.00	1
PR-2021-066-01-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$222,720.00	\$0.00	\$222,720.00	1
PR-2021-066-01-00	635-00 (635-A4)		RURAL TRANSIT ASSISTANCE PROGRAM	\$98,131.00	\$0.00	\$98,131.00	3
PR-2021-066-01-00		43.50.01	TRAINING	\$32,710.00	\$0.00	\$32,710.00	1
PR-2021-066-01-00		43.50.02	TECHNICAL ASSISTANCE	\$32,710.00	\$0.00	\$32,710.00	1
PR-2021-066-01-00		43.50.03	TRANSIT RESEARCH	\$32,711.00	\$0.00	\$32,711.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

RTAP (PRHTA\$32,710)

For assistance to develop, establish and operate a public transit service, which include the preparation (training about it) of Drugs and Alcohol program and ADA complementary plan. Renewal each year.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$32,710
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$32,710</b>

Milestone Name	Est. Completion Date	Description
RFB/IFB	6/1/2022	RFB to be issue immediately after expect date of FTA grant award.
Start Date	7/1/2022	Start the training about Drugs and Alcohol program and ADA complementary plan.
End Date	6/30/2023	Complete the training about Drugs and Alcohol program and ADA complementary plan.

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

RTAP (PRHTA \$32,710)

For assistance to develop, establish and operate a public transit service, which include the preparation (training about it) of Drugs and Alcohol program and ADA complementary plan. Renewal each year.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$32,710
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$32,710</b>

Milestone Name	Est. Completion Date	Description
RFB/IFP Issue	6/1/2022	RFB to be issue immediately after expect date of FTA grant award.
Start Date	7/1/2022	Initiate technical assistance including preparing capital improvement or vehicle acquisition requests and conducting annual reports.
End Date	6/30/2023	Complete technical assistance including preparing capital improvement or vehicle acquisition requests and conducting annual reports.

**Budget Activity Line Item: 43.50.03 - TRANSIT RESEARCH**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.03	TRANSIT RESEARCH	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**  
RTAP (PRHTA \$32,711)

For survey and interview with public operators and municipal official to meet the specific needs of transportation services to develop training and technical assistance resources materials. Renewal each year.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$32,711
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$32,711</b>

Milestone Name	Est. Completion Date	Description
RFB/ IFP Issues	6/1/2022	RFB to be issue immediately after expect date of FTA grant award.
Start Date	7/1/2022	Initiate the survey and interview with public operators and municipal official to meet the specific needs of transportation services to develop training and technical assistance resources materials.
End Date	6/30/2023	Complete the survey and interview with public operators and municipal official to meet the specific needs of transportation services to develop training and technical assistance resources materials.

**Budget Activity Line Item: 11.71.11 - OTHER 3RD PARTY CONTRACTUAL SERVICES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	1

**Extended Budget Description**

Drugs and Alcohol Test (Comerio - \$534)

The Municipality of Comerio will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance) and will ensure contractors procured will not be on the FTA Suspension and Debarment list. Effective January 1, 2019, the minimum random drug testing rate for FTA employers will increase to 50 percent of all covered employees. 49 CFR Part 655.45(b) requires the FTA Administrator to raise the minimum annual random drug testing. Renewal each year.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$534
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$107
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$534</b>

Milestone Name	Est. Completion Date	Description
----------------	----------------------	-------------

RFB/IFB	6/1/2022	RFB to be issue immediately after expect date of FTA grant award.
Start Date	7/1/2022	Start the Drugs and Alcohol Test (50% of employees)
End Date	6/30/2023	End the Drugs and Alcohol Test (50% of employees). Renewal each year.

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Preventive Maintenance (Comerío - \$61,887) (Orocovis - \$67,936) (Jayuya-\$72,764)

For existing and future vehicle fleet, annual element. This financial assistance will improve the fleet's performance, including less interruption in route service due to damaged vehicles. The users will benefit from this performance, especially older citizens and health patients that rely on public transportation services. The better performing route service will allow for more people, including workers and visitors to rely on this service. Renewal each year.

If an item is purchased in the future that we find cost more that \$5000 , the grantee will perform a budget revision on this application to add use life of that item.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$202,587
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$40,517
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$202,587</b>

Milestone Name	Est. Completion Date	Description
RFB/FB	6/1/2022	For existing and future vehicle fleet, annual element
Start Date	7/1/2022	The municipalities expect to complete the preventive maintenance project by this date.

End Date	6/30/2023	End the Preventive Maintenance. Renewal each year
----------	-----------	---

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

Operating Assistance (Coamo - \$281,550) (Comerio - \$213,696) (Jayuya-\$221,772) (Orocovis - \$99,008) (Vieques- \$76,977)

Operating Assistance will allow the municipality to leverage the cost of operating the new vehicles to cover the route expansion. Given the distressed economic conditions in Puerto Rico, this assistance will also allow for new jobs creation. Renewal each year.

If an item is purchased in the future that we find cost more that \$5000 , the grantee will perform a budget revision on this application to add use life of that item.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$893,003
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$446,502
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$893,003</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Operating Assistance will allow the municipality to leverage the cost of operating the new vehicles to cover the route expansion
End Date	6/30/2023	Closeout the operational assistance

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------

STATE / PROGRAMS 11.80.00 STATE OR PROGRAM STATE / PROGRAM 1  
 ADMINISTRATION (610-00) ADMINISTRATION ADMINISTRATION

**Extended Budget Description**

For salaries of section 5311 employees (PRHTA).

PRHTA \$222,720 (10%-FY 2019 Section 5311 Rural Area Formula Apportionments)

For salaries of section 5311 employees and purchase equipment, as part of the assistance to sub recipient. No know items will exceed \$5,000. If an item is purchased in the future that we find cost more than \$5,000, the grantee will perform a budget revision on this application to add use life of that item. Renewal each year.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$222,720
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$222,720</b>

Milestone Name	Est. Completion Date	Description
RFB/ IFB	6/1/2022	RFB to be issue immediately after expect date of FTA grant award.
Start Date	7/1/2022	For salaries of section 5311 employees and purchase equipment, as part of the assistance to sub recipient when start the grant.
End Date	6/30/2023	Closeout the grant.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Project Administration (Jayuya \$ 1,200)

Expenses may include, but not limited to support, planning, documentation, time recording, cost



monitoring, billing, reporting, and evaluation of bids. Renewal each year

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,200
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$240
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,200</b>

Milestone Name	Est. Completion Date	Description
RFB/IFB	6/1/2022	RFB to be issue immediately after expect date of FTA grant award.
Start Date	7/1/2022	Start the project administration
End Date	6/30/2023	Concluded project administration

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible
-------------------	------------------	----------------	----------	------------	----------------

					Cost
OTHER CAPITAL ITEMS (BUS) (117- 00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	1	\$534.00	\$534.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$202,587.00	\$202,587.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$893,003.00	\$893,003.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$222,720.00	\$222,720.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.79.00	PROJECT ADMINISTRATION	1	\$1,200.00	\$1,200.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$32,710.00	\$32,710.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$32,710.00	\$32,710.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.03	TRANSIT RESEARCH	1	\$32,711.00	\$32,711.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Bayoan Ortiz-Rios

Comment Type	DOL Review for Information
Date	9/21/2021
Comment	This is a 5311 capital and operating application, per FTA A to Z, is being shared with DOL for information only. Sent to DOL on 09/21/2021.

### Application Review Comments

**Comment By** Bayoan Ortiz-Rios

Comment Type	Pre-Award Manager Returns Application
Date	4/28/2021
Comment	Pending approval of STIP amendment.

**Comment By** Bayoan Ortiz-Rios

Comment Type	Pre-Award Manager Returns Application
Date	6/16/2021

Comment           Comments were emailed to PRHTA and uploaded to TrAMS. The request does not reflect the STIP Amendment 2 changes. The governor's certification letter must be included stating that 15% of the intercity bus program is met.

---

**Comment By   Bayoan Ortiz-Rios**

Comment Type    Pre-Award Manager Returns Application

Date               8/12/2021

Comment           The governor's certification letter must be included stating that 15% of the intercity bus program is met.

---

**Comment By   Bayoan Ortiz-Rios**

Comment Type    Pre-Award Manager Returns Application

Date               8/12/2021

Comment           Missing STIP

---

**Comment By   Bayoan Ortiz-Rios**

Comment Type    Pre-Award Manager Returns Application

Date               8/25/2021

Comment           Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION will use third party contract and need at least 3 milestones. Missing End Date.

---

**Comment By   Bayoan Ortiz-Rios**

Comment Type    Pre-Award Manager Returns Application

Date               9/14/2021

Comment           Please include End Date milestone in Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

---

**Comment By   Bayoan Ortiz-Rios**

Comment Type    Pre-Award Manager Returns Application

Date               9/14/2021

Comment           Please change scope code 435 with 635. Include TDC letter. Apportionment in the POP is for FY 2020 but the application refers to FY 2019, please verify. Eliminate duplicate documents from application documents.

## **Part 6: Agreement**

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: Highway & Transportation Authority, Puerto Rico

Recipient ID: 5489

DUNS No: 088541391

**Application Information**

Federal Award Identification Number: PR-2021-066-00

Application Name: Section 5311 FY 2019 Apportionment for Rural Areas, Capital Projects, Operating Assistance, Preventive Maintenance, State Administration and RTAP; PRHTA; PR

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This application includes a total of \$1,418,175 (with \$487,366 Transportation Development Credits) in FY 2019 Section 5311 lapsing funds apportioned to Rural Areas for Capital Project, State Administration (FY 2019) and RTAP (FY 2019).

Each of these projects are operated by a sub grantee and supervised by the Puerto Rico Highway and Transportation Authority distributed as follow:

a) Operating Assistance (Coamo - \$281,550) (Comerio - \$213,696) (Jayuya-\$221,772) (Orocovis - \$99,008) (Vieques- \$76,977)

Operating Assistance will allow the municipality to leverage the cost of operating the new vehicles to cover the route expansion. Given the distressed economic conditions in Puerto Rico, this assistance will also allow for new jobs creation.

b) Preventive Maintenance (Comerio - \$61,887) (Orocovis - \$67,936) (Jayuya-\$72,764)

For existing and future vehicle fleet, annual element. This financial assistance will improve the fleet's performance, including less interruption in route service due to damaged vehicles. The users will benefit from this performance, especially older citizens and health patients that rely on public transportation services. The better performing route service will allow for more people, including workers and visitors to rely on this service.

d) Drugs and Alcohol Test (Comerio - \$534)

Effective January 1, 2019, the minimum random drug testing rate for FTA employers will increase to 50 percent of all covered employees. 49 CFR Part 655.45(b) requires the FTA Administrator to raise the minimum annual random drug testing.

e) Project Administration (Jayuya - \$1,200)

The municipalities are specifically working on everything related to the program as monthly reports. To be more efficient in the handling of the data, the equipment is requested for the office.

g) State Administration-Fiscal Year 2019 (\$222,720)

For salaries of section 5311 employees and purchase equipment, as part of the assistance to sub recipient. No know items will exceed \$5,000. If an item is purchased in the future that we find cost more than \$5,000, the grantee will perform a budget revision on this application to add use life of that item.

h) RTAP -Fiscal Year 2019- (\$98,131)

Training

For assistance to develop, establish and operate a public transit service, which include the preparation (training about it) of Drugs and Alcohol program and ADA complementary plan.

Technical Assistance

For assistance, by in the house staff and or site visit for the preparing an application for capital improvement or vehicles acquisition and completing reports annually required (FFY Certification and Assurance, Use certification, NTD, Drugs and Alcohol Testing Data, Biannual Report of Use and Expenses of Vehicles (Trolley, Paratransit). Also, in the preparation of the documents required for reimbursement.

Transit Research

For survey and interview with public operators and municipal official to meet the specific needs of transportation services to develop training and technical assistance resources materials.

1. Overall Start Date June 1, 2022/ End Date March 30, 2026.
2. PRTHA is the designated recipient and direct recipient of the Section 5311 funds apportioned to Puerto Rico.
3. This application includes research funds.



4. No indirect costs will be charged to this application.
5. The Puerto Rico Highway and Transportation Authority will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).
6. The Authority will ensure contractors procured will not be on the FTA Suspension and Debarment list.
7. All sub-recipients will follow useful life standards as defined in the PRHTA State Management Plan.
8. Relevant STIP pages, STIP Approval Letter and TDC approval letter have been uploaded to the application.
9. The Intercity Bus Service needs of the Government of Puerto Rico are being adequately met for this fiscal year. The governor's certification is included in the application documents.

Research and Development: This award includes research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$1,418,175.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,418,175.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,418,175.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$1,418,175
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$487,366
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,418,175</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Highway & Transportation Authority, Puerto Rico*