

Application

Federal Award Identification Number (FAIN)	UT-2021-008-00
Temporary Application Number	7309-2021-2
Application Name	Ute Indian Tribe 5311 CRRSAA Project Admin, Preventive Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Ute Indian Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7309	Indian Tribe	UTE INDIAN TRIBE	073124562

Location Type	Address	City	State	Zip
Headquarters	6964 E 1000 S	Fort Duchesne	UT	84026
Physical Address	6964 E 1000 S	Fort Duchesne	UT	84026
Mailing Address	PO BOX 190	FORT DUCHESNE	UT	84026

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Ute Indian Tribe 5311 CRRSAA Project Admin, Preventive Maintenance
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
UT-2021-008-00	Final Concurrence / Reservation	Grant	3/15/2021	3/15/2021	No

Application Executive Summary

This is an FY21 Section 5311 CRRSAA application in the amount of \$140,743 (full apportionment) representing up to 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. Per the CRRSAA toolkit "Preventive maintenance may be categorized as an operating expense for CARES Act and CRRSAA grants." The application scope of work includes activities to support the continued operations of the Tribal Transit Program including the following activities:

- 1. Operations:
 - Preventive maintenance
 - Project administration

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSAA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeromy	Groves	Transit Coordinator	jeromyg@utetribes.com	435-725-4924
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$140,743
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$140,743

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$140,743.00	\$0.00	\$140,743.00	2
UT-2021-008-01-00	11.79.00 PROJECT ADMINISTRATION	\$81,000.00	\$0.00	\$81,000.00	1

UT-2021-008-01-00	11.7A.00	PREVENTIVE MAINTENANCE - Vehicles	\$59,743.00	\$0.00	\$59,743.00	1
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Tribal Transit Operations: PM and Project Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2021-008-01-00	7309-2021-2-P2	3/15/2021	1/1/2022	12/31/2022

Project Description

FY21 CRRSAA 5311 TTP funds will include the following activities:

1. Preventive Maintenance for the Tribal Transit Program's vehicles and vehicle-related equipment.
2. Project Administration

Federal share is 100%.

Project Benefits

Funds will keep vehicles in a state of good repair and will be used to clean vehicles.

Additional Information

None provided.

Location Description

The Ute Indian Tribe is located in Fort Duchesne UT on the Uintah and Ouray Indian Reservation which is in the northeast corner of Utah, 150 east of Salt lake City UT and 40 miles west of the Colorado Border.

Project Location (Urbanized Areas)

UZA Code	Area Name
490000	Utah

Congressional District Information

State	District	Representative
Utah	1	Blake D. Moore

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$140,743
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$140,743

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$140,743.00	\$0.00	\$140,743.00	2
UT-2021-008-01-00	11.79.00 PROJECT ADMINISTRATION	\$81,000.00	\$0.00	\$81,000.00	1
UT-2021-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Vehicles	\$59,743.00	\$0.00	\$59,743.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

These funds will be used to support administrative activities pertaining to the immediate accomplishment or oversight of activities of the Tribal Transit Program including activities outlined within this grant from 1/1/2022 to 12/31/2022. The expenses will include the transit director's salary.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$81,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$81,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Start of project admin expenses
End Date	12/31/2022	End of project admin expenses

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - Vehicles

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

This funding will be used for ongoing preventive maintenance expenses from 1/1/2022 to 12/31/2022. Preventive maintenance expenses will include maintenance costs related to vehicles and vehicle-related equipment.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$59,743
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$59,743

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Start of Preventive Maintenance Expenses.
End Date	12/31/2022	End of Preventive Maintenance Expenses.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE - Vehicles	1	\$59,743.00	\$59,743.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$81,000.00	\$81,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Eboni Younger-Riehl

Comment Type	DOL Review for Information
Date	4/26/2021
Comment	Jeromy B. Groves Ute Tribe Transit Coordinator /Acting Motor Pool Director Transit Office: 435-725-4924 Cell Number: 435-823-8880 Fax: 435-725-2346 email: jeromyg@utetribe.com

Part 6: Agreement

UNITED STATES OF AMERICA
 DEPARTMENT OF TRANSPORTATION
 FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Ute Indian Tribe

Recipient ID: 7309

DUNS No: 073124562

Application Information

Federal Award Identification Number: UT-2021-008-00

Application Name: Ute Indian Tribe 5311 CRRSAA Project Admin, Preventive Maintenance

Application Start Date: N/A

Original Award End Date: 3/31/2023

Current Award End Date: N/A

Application Executive Summary: This is an FY21 Section 5311 CRRSAA application in the amount of \$140,743 (full apportionment) representing up to 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. Per the CRRSAA toolkit "Preventive maintenance may be categorized as an operating expense for CARES Act

and CRRSAA grants." The application scope of work includes activities to support the continued operations of the Tribal Transit Program including the following activities:

1. Operations:

- Preventive maintenance
- Project administration

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSAA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$140,743.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$140,743.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$140,743.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$140,743
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$140,743

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Ute Indian Tribe