

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	OR-2021-008-00
<b>Temporary Application Number</b>	1723-2019-6
<b>Application Name</b>	FY 2019 STBG Transferred to 5311 for the Purchase of Replacement Buses and Expansion Van for Yamhill County
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2021
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, Oregon Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1723	State Agency	OREGON DEPARTMENT OF TRANSPORTATION	195234364

Location Type	Address	City	State	Zip
Headquarters	355 CAPITOL ST NE MS21	SALEM	OR	973013935
Physical Address	555 13TH ST NE STE 3	SALEM	OR	97301
Mailing Address	555 13TH ST NE STE 3	SALEM	OR	97301

### Union Information

<b>Union Name</b>	NONE
Address 1	

Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

## Part 2: Application Information

### Title: FY 2019 STBG Transferred to 5311 for the Purchase of Replacement Buses and Expansion Van for Yamhill County

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2021-008-00	Final Concurrence / Reservation	Grant	5/14/2019	5/14/2019	No

#### Application Executive Summary

This project includes \$707,072 in FY 2019 STBG funds transferred to Section 5311 for the purchase of approximately two replacement heavy duty buses and one expansion van for Yamhill County.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### Pre-Award Authority

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

#### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

#### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Kristine	Ziglar	Transportation Program Specialist	Kristine.Ziglar@dot.gov	206-220-7963
Maile	Boals	Analyst	maile.boals@odot.state.or.us	503-986-3372
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$707,072
Local			\$80,928
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$788,000</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-008-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$707,072.00	\$80,928.00	\$788,000.00	3
OR-2021-008-01-00	11.12.01 BUY APPROX 2 REPLACEMENT 40-FT BUSES (FY2019 STBG TO 5311)	\$662,207.00	\$75,793.00	\$738,000.00	2

OR-2021-008-01-00	11.13.15	89.73:10.27)(00) BUY APPROX 1 EXPANSION VAN FOR SVC EXPANSION (FY2019 STBG TO 5311 89.73:10.27)(00)	\$44,865.00	\$5,135.00	\$50,000.00	1
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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY 2019 STBG Transferred to 5311 for the Purchase of Replacement Buses and Expansion Van for Yamhill County

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2021-008-01-00	1723-2019-6-P1	8/19/2019	3/1/2020	6/30/2021

#### Project Description

This project includes \$707,072 in FY 2019 STBG funds transferred to Section 5311 for the purchase of approximately two replacement heavy duty buses and one expansion van for Yamhill County.

#### Project Benefits

This project provides for replacement of two aging transit vehicles which have reached the end of their useful life and the purchase of a van to be use to provide passenger transportation to the general public.

#### Additional Information

*None provided.*

#### Location Description

This project will take place in Yamhill County, Oregon.

## Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon

## Congressional District Information

State	District	Representative
Oregon	1	Suzanne Bonamici

## Program Plan Information

### STIP/TIP

Date: 11/29/2020

Description: This activity was approved in the 2018-2021 STIP: Amendment #18-21-4078 on 8/12/2020; STIP Key #20233; federal approval date 10/01/2017 and carried forward into the 2021-2024 STIP, Federally approved on 9/30/2020.. NOTE: STIP documentation indicates subrecipient is Yamhill Transit District; however, the subrecipient agreement is being written to Yamhill County.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$707,072
Local			\$80,928
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$788,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-008-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$707,072.00	\$80,928.00	\$788,000.00	3
OR-2021-008-01-00	11.12.01 BUY APPROX 2 REPLACEMENT 40-FT BUSES (FY2019 STBG TO 5311 89.73:10.27)(00)	\$662,207.00	\$75,793.00	\$738,000.00	2
OR-2021-008-	11.13.15 BUY APPROX 1	\$44,865.00	\$5,135.00	\$50,000.00	1

01-00	EXPANSION VAN FOR SVC EXPANSION (FY2019 STBG TO 5311 89.73:10.27)(00)
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### Project Budget Activity Line Items

**Budget Activity Line Item: 11.12.01 - BUY APPROX 2 REPLACEMENT 40-FT BUSES (FY2019 STBG TO 5311 89.73:10.27)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2

**Extended Budget Description**

This project includes \$662,207 in FY 19 STBG to 5311 funding at the 89.73:10.27 match ratio for the purchase of approximately 2 large heavy-duty 40 foot diesel-powered transit vehicles.

The estimated useful life of the vehicles is 12 years or 500,000 miles.

This activity was approved in the 2018-2021 STIP: Amendment #18-21-4078 on 8/12/2020; STIP Key #20233; federal approval date 10/01/2017 and carried forward into the 2021-2024 STIP, Federally approved on 9/30/2020..

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	40 Feet

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$662,207
Local			\$75,793
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$738,000</b>

Milestone Name	Est. Completion	Description
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Date		
Review and Select Vehicles off the State Price Agreement	3/1/2020	RFP/State Price Agreement
Vehicles Ordered	3/1/2020	
First Vehicle Received and Put Into Service	3/31/2021	
Final Delivery Date - All Vehicles Received and in Service	6/30/2021	
Contract Complete	6/30/2021	

**Budget Activity Line Item: 11.13.15 - BUY APPROX 1 EXPANSION VAN FOR SVC EXPANSION (FY2019 STBG TO 5311 89.73:10.27)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

This project includes \$44,865 in FY2019 STBG to Section 5311 funding at the 89.73:10.27 match ratio for the purchase of approximately 1 less than 20 foot gasoline-powered transit minivan.

The estimated useful life of this vehicle is 4 years or 100,000 miles.

This activity was approved in the 2018-2021 STIP: Amendment #18-21-4078 on 8/12/2020; STIP Key #20233; federal approval date 10/01/2017 and carried forward into the 2021-2024 STIP, Federally approved on 9/30/2020..

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Less than 20 feet.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$44,865
Local			\$5,135
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$50,000</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Review and Select Vehicle Off State Price Agreement	3/1/2020	RFP/State Price Agreement
Vehicle Ordered	3/1/2020	
Vehicle Delivered	2/1/2021	
Vehicle in Service	2/1/2021	
Contract Completion Date	6/30/2021	

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

<b>Date Description</b>	<b>Date</b>
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Kristine Ziglar

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Comment Type      DOL Review for Information



Date	4/13/2021
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Application Review Comments

**Comment By** Kristine Ziglar

Comment Type	Pre-Award Manager Returns Application
Date	3/14/2021
Comment	Returning to correct funding source from 5311 to FHWA Transfer to 5311. I will send an email to explain the update and any other FTA comments.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

## Recipient Information

Recipient Name: Transportation, Oregon Department Of

Recipient ID: 1723

DUNS No: 195234364

## Application Information

Federal Award Identification Number: OR-2021-008-00

Application Name: FY 2019 STBG Transferred to 5311 for the Purchase of Replacement Buses and Expansion Van for Yamhill County

Application Start Date: N/A

Original Award End Date: 9/30/2021

Current Award End Date: N/A

Application Executive Summary: This project includes \$707,072 in FY 2019 STBG funds transferred to Section 5311 for the purchase of approximately two replacement heavy duty buses and one expansion van for Yamhill County.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

## Application Budget

Total Application Budget: \$788,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$707,072.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$80,928.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$707,072.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$80,928.00

## Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$707,072
Local			\$80,928
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$788,000</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,

- (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Oregon Department Of*