

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2021-013-00
Temporary Application Number	1564-2020-8
Application Name	NMDOT FY20 5311 Award
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/30/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, New Mexico Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875051842
Physical Address	1120 CERRILLOS RD	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	
City	

State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: NMDOT FY20 5311 Award

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2021-013-00	Final Concurrence / Reservation	Grant	8/28/2020	8/28/2020	No

Application Executive Summary

1/11/2020 NMDOT is applying for FY20 Section 5311 \$2,943,876 (\$1,212,929 general fund, \$158,791 RTAP fund, \$1,172,156 Capital funding, and \$400,000 intercity bus funding. NMDOT has also requested to program \$300,000 for COVID-19 ER Response for Park & Ride Program to provide emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently, with an immediate start date. These funds, which require a transfer, will be applied for in a separate application. Our FFY2021 5311 apportionment totals \$12,129,293: 5311 and 5311(b)(3) to the State of New Mexico posted in Federal Register Vol. 85, No.128/Wednesday, July 3, 2020 for our 5311 Public Rural Transportation program.

The amount of funding remaining for future use is \$9,044,208 (see attached POP & Budget) to be distributed among 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

The funding request for this application are as follows:

RTAP Section 5311(B): (435-00) \$158,791 - UZA 35000

State Administration: (610-00) \$1,212,929 - UZA 35000

Sub-grantees: (634 (ICB over required 15%) \$400,000, and 600 \$1,472,156) - UZA 35000

See attached Program of Projects and Budget workbook.

There are 2 total projects are in Category A, for 2 subrecipients in 10 counties: Rio Arriba, Los Alamos, Taos, Sandoval, Bernalillo, Otero, Dona Ana, Sierra, Bernalillo & Santa Fe counties.

Rural Category A: \$1,472,156 - NMDOT has awarded \$1,472,156 of FY 2020 5311 apportionment to 2 subrecipients: for a Rural Capital project and \$400,000 for Intercity Bus service 5311(f) for our Park & Ride Program in the FY 2021 program year .

State Administration: \$1,212,929 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$158,791 - NMDOT has awarded RTAP \$158,791 for FY 2021. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance for rural projects, to be programmed for FFY 2021, based on our award recommendations which are released in late May of each year.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

Yes, this application requires E.O. 12372 Review

State Application ID: N/A

Date Received by State:

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	817-978-0563

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,943,876
Local			\$393,039
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,336,915

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-013-01-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$158,791.00	\$0.00	\$158,791.00	0
NM-2021-013-01-00	43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2021-013-01-00	43.50.04 RELATED SUPPORT SERVICES	\$108,791.00	\$0.00	\$108,791.00	0
NM-2021-013-02-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,212,929.00	\$0.00	\$1,212,929.00	0
NM-2021-013-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,212,929.00	\$0.00	\$1,212,929.00	0
NM-2021-013-03-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$400,000.00	\$100,000.00	\$500,000.00	0
NM-2021-	11.71.12 CAPITAL COST	\$400,000.00	\$100,000.00	\$500,000.00	0

013-03-00		OF 3RD PARTY CONTRACTING				
NM-2021-013-04-00	114-00 (114-A4)	BUS: SUPPORT EQUIP AND FACILITIES	\$1,172,156.00	\$293,039.00	\$1,465,195.00	0
NM-2021-013-04-00		CONSTRUCT - 11.43.03 ADMIN/MAINT FACILITY	\$1,172,156.00	\$293,039.00	\$1,465,195.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: NMDOT 5311 Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-013-01-00	1564-2020-8-P1	8/28/2020	10/1/2020	12/31/2023

Project Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

RTAP Section 5311(b): (Scope 435-00): \$158,791

\$108,791 for related support services

See attached Program of Projects and Budget workbook.

Project Benefits

Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

Additional Information

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$158,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$158,791

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-013-01-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$158,791.00	\$0.00	\$158,791.00	0
NM-2021-013-01-00	43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2021-013-01-00	43.50.04 RELATED SUPPORT SERVICES	\$108,791.00	\$0.00	\$108,791.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

\$108,791 for related support services to include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry (NM Transit conference and road-eo);
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$108,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$108,791

Milestone Name	Est. Completion	Description
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Date		
Start Date	10/1/2020	\$108,791 for related support services awarded to New Mexico Transit Association (NMTA) to include: - NM Transit annual conference and road-eo (road-eo winners are sent to CTAA to compete nationally) - 5 NMTA sponsored trainings per year);
End Date	12/31/2022	\$108,791 for related support services awarded to New Mexico Transit Association (NMTA) to include: - NM Transit annual conference and road-eo (road-eo winners are sent to CTAA to compete nationally) - 5 NMTA sponsored trainings per year);

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

\$50,000 for training related activities

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Training budget will be used for Conference Scholarships for 5311 subrecipients, specialized training requested by 5311 subrecipients, and

		mandatory driver training.
End Date	12/31/2023	Training budget will be used for Conference Scholarships for 5311 subrecipients, specialized training requested by 5311 subrecipients, and mandatory driver training for 5311 subrecipients.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$50,000.00	\$50,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$108,791.00	\$108,791.00

Project Title: NMDOT 5311 Rural Transit State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-013-02-00	1564-2020-8-P2	8/28/2020	10/1/2020	6/30/2025

Project Description

Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State of New Mexico.

State Administration: (610-00) \$1,212,929 - UZA 35000

See attached Program of Projects and Budget workbook.

Project Benefits

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

Additional Information

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,212,929
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,212,929

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-013-02-00	610-00 STATE / PROGRAMS ADMINISTRATION (610-A1)	\$1,212,929.00	\$0.00	\$1,212,929.00	0
NM-2021-013-	11.80.00 STATE OR	\$1,212,929.00	\$0.00	\$1,212,929.00	0

02-00	PROGRAM ADMINISTRATION
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Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

State provided program administration to sub grantees in the amount of \$1,212,929 is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,212,929
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,212,929

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Project Start Date
End Date	6/30/2025	All projects will be completed at this time.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,212,929.00	\$1,212,929.00

Project Title: NMDOT 5311 Rural Transit Programs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-013-03-00	1564-2020-8-P3	8/31/2020	10/31/2013	12/31/2024

Project Description

Rural Category A: \$400,000 - NMDOT has awarded \$400,000 of FY 2020 5311 Intercity Bus service 5311(f). We have programmed \$400,000 Capital Cost of Contracting for our Park & Ride Program. NMDOT has also requested to program \$300,000 for COVID-19 ER Response for Park & Ride Program to provide emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently, with an immediate start date. These funds, which require a transfer, will be applied for in a separate application.

To summarize, the breakdown of the awards are as follows:

Capital Cost of Contract Intercity: \$400,000 (Scope 634-00)

See attached Program of Projects and Budget workbook.

Project Benefits

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;

- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$100,000
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$500,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-013-03-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$400,000.00	\$100,000.00	\$500,000.00	0
NM-2021-013-03-00	CAPITAL COST 11.71.12 OF 3RD PARTY CONTRACTING	\$400,000.00	\$100,000.00	\$500,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

\$400,000 to New Mexico Park and Ride to provide intercity bus service. Current program, total cost of \$7,646,777, provides service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service are impressive. Some of the benefits in fiscal year 2019 included the following:

- Reduced traffic congestion by removing 7.7 million vehicle miles of travel from our busiest highways during the busiest commute hours
- Reduced carbon dioxide emissions (a Greenhouse Gas) by 3,598 tons
- Reduced gasoline consumption by 372,484 gallons (assumes 20 mpg average)
- Served an Average Daily Ridership of 926 passengers
- Provides a safe means of travel: In FY 2019, Park and Ride buses served over 4 million passenger rides without a fatality or serious injury.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$100,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
RFP Issued	10/31/2013	RFP issued to public for bids on October 31, 2013.
Contract Award Date	7/1/2014	Contract awarded to All Aboard America.
Contract Start Date	10/1/2020	
Contract End Date	3/17/2022	Contract end date is March 17, 2022.
End Date	12/31/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$400,000.00	\$500,000.00

Project Title: NMDOT 5311 Rural Transit Programs Capital Project NCRTD - Espanola Maintenance Facility

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-013-04-00	1564-2020-8-P4	8/31/2020	10/1/2020	12/31/2024

Project Description

Rural Category A: \$1,172,516 - NMDOT has awarded \$1,172,156 of FY 2020 5311 apportionment to the 1 subrecipient for 1 projects (UZA 35000) in the upcoming FY 2021 program year for Capital expenditures. NCRTD will be completing a rehab/renovation of an administration/maintenance facility at the cost of \$1,172,156 federal.

To summarize, the breakdown of the award is as follows:

Capital Projects: \$1,172,156 (Scope 114-00)

See attached Program of Projects and Budget workbook.

Project Benefits

The final design and construction of the Maintenance Facility Project is the next step in an overall plan by the NCRTD to further streamline Operations and Maintenance and continue to provide safe and timely transit service to the region. In 2009, the NCRTD was awarded ARRA funding to acquire land and remodel and do some new construction on what is now the Jim West Regional Transit Center located at 1327 N. Riverside Dr Espanola NM 87532; since its completion, it has been the home of NCRTD Operations and Administration. As ridership has grown, it has become apparent that the increased maintenance needs of the growing fleet could be better handled at an on-site maintenance facility, vehicle wash bay, and fueling station. Furthermore, the incorporation of alternative fuels into our fleet, such as Liquefied Petroleum Gas (LPG), would allow NCRTD to mitigate its carbon footprint and be even more environmentally conscious.

Currently, NCRTD maintains approximately 64 revenue and commuter vehicles within its fleet. NCRTD is able to handle light duty repairs in one of its two light-duty maintenance bays (one in Espanola, one in Taos) such as headlight changes, bus washing by hand, light cosmetic work and repairs to electronics and Intelligent Transportation Systems (ITS). Current fleet maintenance options for the majority of preventative and maintenance repair are offsite and often require extensive travel. The travel necessary often requires two employees to transport the vehicle and can result in extensive revenue vehicle down time. NCRTD is nearly located in a 'maintenance desert'- the vast majority of maintenance services for transit vehicles are not readily available in Espanola or Taos (operational offices); instead, most service options are located in Albuquerque and Santa Fe, both requiring significant travel, labor and time.

NCRTD's Long-Term Fleet Management Plan outlines a maintenance and replacement schedule for all NCRTD vehicles. A Maintenance Facility, Vehicle Wash Bay and Fueling Station would allow NCRTD to improve its Plan by mitigating maintenance costs- labor, vehicle down time, et cetera- while improving NCRTD's ability to be proactive in ensuring vehicles meet their useful lives and are more readily in a state of good repair.

NCRTD's Maintenance Facility Project completion is anticipated to result in many savings: a reduction in energy consumption, a reduction in harmful emissions and a reduction in direct carbon emissions. Not only will vehicle transport related to maintenance be significantly reduced (and the corresponding emissions and carbon footprint), but NCRTD's ability to be more proactive in maintenance will result in less downtime for vehicles, effectively mitigating the 'maintenance desert' issues the agency currently experiences. The overall State of Good Repair of the NCRTD system will improve by bringing maintenance inhouse. An on-site maintenance facility would greatly improve response and/or turnaround time while allowing NCRTD to be more reliable in its service delivery. Additionally, the long-term inclusion of alternative fuels such as LPG (to the extent feasible), provide opportunities to further minimize the carbon footprint of the NCRTD.

Additional Information

None provided.

Location Description

The service area covers Rio Arriba, Los Alamos, Taos, & Santa Fe counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,172,156
Local			\$293,039
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$1,465,195

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-013-04-00	114-00 (114-A4) BUS: SUPPORT EQUIP AND FACILITIES	\$1,172,156.00	\$293,039.00	\$1,465,195.00	0
NM-2021-013-04-00	CONSTRUCT 11.43.03 - ADMIN/MAINT FACILITY	\$1,172,156.00	\$293,039.00	\$1,465,195.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	0

Extended Budget Description

FY 2021:

NCRTD to rehab/renovate and new construction to the the Administration and Maintenance Facility located at 1327 N. Riverside Dr Espanola, NM 87532, including fencing, security, concrete work, construction of maintenance bays, bus wash, and purchase of miscellaneous equipment - \$1,172,156 Federal. Source of local match: GRT

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,172,156
Local			\$293,039
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$1,465,195

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	FY21 Project start date.
IFB Espanola Maintenance Facility Construction	11/30/2020	Release date of IFB for Espanola Maintenance Facility Construction.
Construction Bid Construction Awarded	4/30/2021	Construction contract awarded, estimated date.
End Date	12/31/2024	Estimated project completion date.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	0	\$1,172,156.00	\$1,465,195.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Daniel Peschell

Comment Type DOL Review for Information

Date 4/30/2021

Comment Section 5311 being sent for information only.

Application Review Comments

Comment By Daniel Peschell

Comment Type Pre-Award Manager Returns Application

Date 1/14/2021

Comment

Comment By Daniel Peschell

Comment Type Pre-Award Manager Returns Application

Date 2/22/2021

Comment ER funds must be applied for in a separate application. Email sent 2/22/21 by Daniel Peschell

Comment By Daniel Peschell

Comment Type Pre-Award Manager Returns Application

Date 3/31/2021

Comment Returned for revisions, see 3/31/21 email.

Comment By Daniel Peschell

Comment Type Pre-Award Manager Returns Application

Date 4/22/2021

Comment Please revise scope and ALI per email sent 4/22/21 by Dan Peschell.

Comment By Daniel Peschell

Comment Type Pre-Award Manager Returns Application

Date	4/26/2021
Comment	

Application Review Comments

Comment By Marisa Appleton

Comment Type Application Details

Date	4/7/2021
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The New Mexico Department of Transportation (NMDOT) must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Specifically, NMDOT must comply with the ADA Standards for Transportation Facilities when overseeing subrecipient (NCRTD's) rehabilitation/renovation of its Administration and Maintenance Facility. The following is a non-exhaustive list of ADA standards that NMDOT must comply with and document compliance of:

Alterations or Additions to Facilities

- As required under DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1), NMDOT must ensure that altered or added portions of the facility must be made accessible.
- As required by DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3, NMDOT must ensure that the path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1), if the path of travel cannot be made accessible, NMDOT must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area.
- As required by DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b), if the path of travel cannot be made accessible, NMDOT must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps.

If the work does not rise to the level of an addition or alteration, please be mindful to maintain an accessible path of travel to, from, and within all facilities while the work is being completed.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

Part 6: Agreement

FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

Application Information

Federal Award Identification Number: NM-2021-013-00

Application Name: NMDOT FY20 5311 Award

Application Start Date: N/A

Original Award End Date: 12/30/2025

Current Award End Date: N/A

Application Executive Summary: 1/11/2020 NMDOT is applying for FY20 Section 5311 \$2,943,876 (\$1,212,929 general fund, \$158,791 RTAP fund, \$1,172,156 Capital funding, and \$400,000 intercity bus

funding. NMDOT has also requested to program \$300,000 for COVID-19 ER Response for Park & Ride Program to provide emergency operations for the transport of COVID-19 patients and high-risk persons to medical care and/or isolation centers throughout the State of New Mexico. Service is needed urgently, with an immediate start date. These funds, which require a transfer, will be applied for in a separate application. Our FFY2021 5311 apportionment totals \$12,129,293: 5311 and 5311(b)(3) to the State of New Mexico posted in Federal Register Vol. 85, No.128/Wednesday, July 3, 2020 for our 5311 Public Rural Transportation program.

The amount of funding remaining for future use is \$9,044,208 (see attached POP & Budget) to be distributed among 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

The funding request for this application are as follows:
RTAP Section 5311(B): (435-00) \$158,791 - UZA 35000
State Administration: (610-00) \$1,212,929 - UZA 35000
Sub-grantees: (634 (ICB over required 15%) \$400,000, and 600 \$1,472,156) - UZA 35000

See attached Program of Projects and Budget workbook.

There are 2 total projects are in Category A, for 2 subrecipients in 10 counties: Rio Arriba, Los Alamos, Taos, Sandoval, Bernalillo, Otero, Dona Ana, Sierra, Bernalillo & Santa Fe counties.
Rural Category A: \$1,472,156 - NMDOT has awarded \$1,472,156 of FY 2020 5311 apportionment to 2 subrecipients: for a Rural Capital project and \$400,000 for Intercity Bus service 5311(f) for our Park & Ride Program in the FY 2021 program year .

State Administration: \$1,212,929 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$158,791 - NMDOT has awarded RTAP \$158,791 for FY 2021. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance for rural projects, to be programmed for FFY 2021, based on our award recommendations which are released in late May of each year.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$3,336,915.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,943,876.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$393,039.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,943,876.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$393,039.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,943,876
Local			\$393,039
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,336,915

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, New Mexico Department Of