

Application

Federal Award Identification Number (FAIN)	NM-2021-011-00
Temporary Application Number	7303-2021-1
Application Name	Pueblo of Zuni A:Shiwi Transit FY2020 CARES Act Operating Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	4/1/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Zuni, Pueblo Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7303	Indian Tribe	PUEBLO OF ZUNI	069421410

Location Type	Address	City	State	Zip
Headquarters	1203 B STATE HWY 53	ZUNI	NM	873270000
Physical Address	1203 B STATE HWY 53	ZUNI	NM	87327
Mailing Address	PO BOX 339	ZUNI	NM	87327

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Pueblo of Zuni A:Shiwi Transit FY2020 CARES Act Operating Funds</p>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2021-011-00	Final Concurrence / Reservation	Grant	11/19/2020	11/19/2020	No

Application Executive Summary

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$191,845. Per the CARES Act, the grant requests 100% (up to 100%) federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes: The Pueblo of Zuni is applying for allocated FY2020 CARES ACT funds to prevent, prepare, and respond to the coronavirus pandemic. The total amount allocated is \$191,845.00. Allocated funds will be used for the purchase of personal protective equipment, increase workforce, employee awareness training, and retrofitting our fleet vehicles and staff facilities to comply with CDC regulations

The Pueblo of Zuni will continue to provide transportation service for the community members of Zuni, NM, and surrounding rural areas so they may have access to essential services such as health care appointments, essential shopping, public service, and employment during the COVID-19 Pandemic. The Pueblo of Zuni will utilize \$108,000.00 for operating assistance. Expenses are those costs directly related to system operations, at a minimum, this includes salaries and fringe benefits for drivers, dispatchers and transit supervisors, fuel, oil, licenses, and vehicle maintenance. \$83,845.00 will be utilized to purchase PPE, employee training, and equipment to retrofit our fleet vehicles and staff facilities which also includes the acquisition and improvement of public transit equipment and facility's needs.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The indirect cost rate containing herein (uploaded to users profile) is for use on grants, contracts, and other agreements with the Federal Government. Effective Periods: 01/01/2020 – 12/31/2020 Rate 15.12% 01/01/2021 – 12/31/2021 Rate; 19.86%

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
	abel.ayala@dot.gov	Program Management Specialist		
	todd.naktewa@ashiwi.org	Transit Manager		

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$191,845
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$191,845

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$191,845.00	\$0.00	\$191,845.00	0
NM-2021-011-01-00	30.09.08 EMER RELIEF - OPERATING	\$191,845.00	\$0.00	\$191,845.00	0

ASSIST -
100% Fed
Share

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Prevent, Prepare and Respond to Covid-19 Pandemic

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-011-01-00	7303-2021-1-P1	12/8/2020	12/31/2023	12/31/2023

Project Description

The Pueblo of Zuni is applying for FY2020 allocated CARES ACT funds to prevent, prepare, and respond to the coronavirus pandemic. The total amount allocated is \$191,845.00. Allocated funds will be used for the purchase of personal protective equipment, increase workforce, employee awareness training, and retrofitting our fleet vehicles and staff facilities to comply with CDC regulations.

The Pueblo of Zuni will continue to provide transportation service for the community members of Zuni, NM, and surrounding rural areas so they may have access to essential services such as health care appointments, essential shopping, public service, and employment during the COVID-19 Pandemic. The Pueblo of Zuni will utilize \$108,000.00 for operating assistance. Expenses are those costs directly related to system operations, at a minimum, this includes salaries and fringe benefits for drivers, dispatchers and transit supervisors, fuel, oil, licenses, and vehicle maintenance. \$83,845.00 will be utilized to purchase PPE, employee training, and equipment to retrofit our fleet vehicles and staff facilities which also includes the acquisition and improvement of public transit equipment and the facility's needs

Project Benefits

To ensure that our transit program operates a safe service all employees will use PPE and operate our fleet vehicles with barriers to help combat the Covid-19 virus. Employee training will ensure our department employees are known to prevent, prepare, and respond to the virus.

Additional Information

None provided.

Location Description

All services will occur in the State of New Mexico

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben Ray Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$191,845
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$191,845

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$191,845.00	\$0.00	\$191,845.00	0
NM-2021-011-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$191,845.00	\$0.00	\$191,845.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

The Pueblo of Zuni is applying for allocated FY2020 CARES ACT funds to prevent, prepare, and respond to the coronavirus pandemic. The total amount allocated is \$191,845.00. Allocated funds will be used for the purchase of personal protective equipment, increase workforce, employee awareness training, and retrofitting our fleet vehicles and staff facilities to comply with CDC regulations

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Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$191,845
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$191,845

Milestone Name	Est. Completion Date	Description
Start Date	12/31/2023	FY2020 Cares Act allocated funds will be used for the purchase of personal protective equipment, increase workforce, employee awareness training, and retrofitting our fleet vehicles and staff facilities to comply with CDC regulations The Pueblo of Zuni will continue to provide transportation service for the

		community members of Zuni, NM, and surrounding rural areas so they may have access to essential services such as health care appointments, essential shopping, public service, and employment during the COVID-19 Pandemic \$108,000.00 for operating assistance. Expenses are those costs directly related to system operations, at a minimum, this includes salaries and fringe benefits for drivers, dispatchers and transit supervisors, fuel, oil, licenses, and vehicle maintenance. \$83,845.00 will be utilized to purchase PPE, employee training, and equipment to retrofit our fleet vehicles and staff facilities which also includes the acquisition and improvement of public transit equipment and the facility's needs
End Date	12/31/2023	FY2020 CARES ACT Funds will be used to operate The Pueblo of Zuni A:Shiwi Transit System for the community members of Zuni and surrounding areas.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Abel Ayala

Comment Type	DOL Review for Information
Date	4/13/2021
Comment	FY20 5311 CARES Act Tribal Funding for info only.

Application Review Comments

Comment By Abel Ayala

Comment Type	Pre-Award Manager Returns Application
Date	1/12/2021

Comment Please change the Section of Statute to: 5311-7 5311 Tribal formula (CARES Act). Please indicate these are FY2020 funds in the Application name and Executive Summary. Please indicate Operating in the Application name. For the Operating expenses/project: the Categorical Exclusions should be Class II(c); Type 04

Comment By Abel Ayala

Comment Type	Pre-Award Manager Returns Application
Date	1/21/2021

Comment For the Operating expenses/project: the Categorical Exclusions should be Class II(c); Type 04

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Zuni, Pueblo Of

Recipient ID: 7303

DUNS No: 069421410

Application Information

Federal Award Identification Number: NM-2021-011-00

Application Name: Pueblo of Zuni A:Shiwi Transit FY2020 CARES Act Operating Funds

Application Start Date: N/A

Original Award End Date: 4/1/2024

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 CARES Act application in the amount of \$191,845. Per the CARES Act, the grant requests 100% (up to 100%) federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes: The Pueblo of Zuni is applying for allocated FY2020 CARES ACT funds to prevent, prepare, and respond to the coronavirus pandemic. The total amount allocated is \$191,845.00. Allocated funds will be used for the purchase of personal protective equipment, increase workforce, employee awareness training, and retrofitting our fleet vehicles and staff facilities to comply with CDC regulations

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Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range

Transportation Plan or Statewide Transportation Improvement Program.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$191,845.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$191,845.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$191,845.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$191,845
Local			\$0
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$191,845

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Zuni, Pueblo Of