

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2021-009-00
<b>Temporary Application Number</b>	6932-2021-2
<b>Application Name</b>	Ohkay Owingeh FY2021 5311 TTP Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Ohkay Owingeh Tribal Council**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6932	Indian Tribe	OHKAY OWINGEH PUEBLO	929442408

Location Type	Address	City	State	Zip
Headquarters	State Road 74	San Juan Pueblo	NM	87566
Physical Address	State Road 74	San Juan Pueblo	NM	87566
Mailing Address	P. O. BOX 1119	OHKAY OWINGEH	NM	87566

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Ohkay Owingeh FY2021 5311 TTP Operating**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2021-009-00	Final Concurrence / Reservation	Grant	3/1/2021	3/1/2021	No

**Application Executive Summary**

FY 21 TTP Apportionment 5311 Operating \$101,674. No local match required. Operating expenses consist of salaries for dispatcher and four drivers; fuel, vehicle maintenance. Total application amount \$101,674 Ohkay Owingeh, located in Rio Arriba County, New Mexico operates a transit system (the Po 'Pay Messenger). The tribe provides a demand Response transit system to its membership consisting of more than 3000 enrolled tribal members and 11,500 non- Indian Community Members living within the confines of Ohkay Owingeh. The four counties served in the program covers 10,079 miles; the primary service area is 4000 square miles.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Ohkay Owingeh will cap the indirect cost rate at 10%.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Christy	Van Buren	Planning Manager	christy.vanburen@ohkay.org	505-852-4400 111
Sharon	Coats	Transportation Program	sharon.coats@dot.gov	817-978-0552

Specialist

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$101,674
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$101,674</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$101,674.00	\$0.00	\$101,674.00	0
NM-2021-009-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$101,674.00	\$0.00	\$101,674.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Ohkay Owingeh Po Pay Messenger Operating Support

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-009-01-00	6932-2021-2-P1	3/22/2021	3/31/2021	9/30/2022

#### Project Description

Operating expenses which consists of salaries, for dispatcher and four transit drivers; fuel & vehicle maintenance.

#### Project Benefits

Ohkay Owingeh is located in Rio Arriba County, New Mexico operates a transit system (the Po Pay

Messenger). The Tribe provides a Demand response transit system to its membership consisting of more than 3,000 enrolled Tribal Members and 11,500 non-Indian Community Members living within the confines of Ohkay Owingeh. The four counties served in the program covers 10,079 miles; the primary service area is 4,000 square miles.

**Additional Information**

Although operations are slow, we will continue to advertise the program to encourage ridership for FY2FY22

**Location Description**

Ohkay Owingeh is located 30 miles north of Santa Fe, New Mexico and 5 miles north of Espanola, New Mexico. Ohkay Admin - 220 Po'Pay Ave, Ohkay Owingeh, NM 87566.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
350000	New Mexico

**Congressional District Information**

State	District	Representative
New Mexico	3	Ben R Lujan

**Program Plan Information**

**STIP/TIP**

Date: 3/23/2021

Description: Not Provided

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 6/20/2020

Description: Not Provided

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$101,674
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$101,674</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$101,674.00	\$0.00	\$101,674.00	0
NM-2021-009-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$101,674.00	\$0.00	\$101,674.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

### Extended Budget Description

Operating support for the Ohkay Oiwnggeh PoPay Messenger Program to include salaries/benefits, fuel, & vehicle maintenance.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$101,674
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$101,674</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	3/31/2021	Begin program operating
End Date	9/30/2022	Successfully complete operating services for PoPay Messenger Program

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date</b>	<b>Description</b>	<b>Date</b>
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Sharon Coats

Comment Type DOL Review for Information

Date 4/7/2021

Comment Section 5311 tribal funds information only.

## Application Review Comments

**Comment By Sharon Coats**

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Comment Type Pre-Award Manager Returns Application

Date 3/31/2021

Please make the following revisions:

Application Details: Revise the period of performance date; it should be at least 6 months after the latest milestone end date which would be 03/30/2022.

Comment Application Details- Indirect Cost: Ohkay Owingeh cannot use the de minimus rate because the tribe has had an approved indirect cost plan before. If your approved indirect cost is greater than 10%, the tribe can utilize a lesser rate which can be 10% if preferred or the tribe can utilize the actual approved rate. Remove the de minimus language. Attach the approved indirect cost plan in Application Documents.

Budget Activity Line Items: Incorrect Scope; delete Scope 300-00 & add 600-00 for Operating Assistance.

Budget Activity Line Items: Delete Quantity: 1; no number is needed here.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### Recipient Information

Recipient Name: Ohkay Owingeh Tribal Council

Recipient ID: 6932

DUNS No: 929442408

### Application Information

Federal Award Identification Number: NM-2021-009-00

Application Name: Ohkay Owingeh FY2021 5311 TTP Operating

Application Start Date: N/A

Original Award End Date: 3/30/2023

Current Award End Date: N/A

Application Executive Summary: FY 21 TTP Apportionment 5311 Operating \$101,674. No local match required. Operating expenses consist of salaries for dispatcher and four drivers; fuel, vehicle maintenance. Total application amount \$101,674 Ohkay Owingeh, located in Rio Arriba County, New Mexico operates a transit system (the Po 'Pay Messenger). The tribe provides a demand Response transit system to its membership consisting of more than 3000 enrolled tribal members and 11,500 non-Indian Community Members living within the confines of Ohkay Owingeh. The four counties served in the program covers 10,079 miles; the primary service area is 4000 square miles.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### Application Budget

Total Application Budget: \$101,674.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$101,674.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$101,674.00



Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$101,674
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Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$101,674</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Ohkay Owingeh Tribal Council*