

Application

Federal Award Identification Number (FAIN)	NE-2021-002-00
Temporary Application Number	6776-2021-2
Application Name	Winnebago Tribe Sec. 5311 FY21 CRRSAA for Operating Assistance and Vehicles
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/22/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: WINNEBAGO TRIBE OF NEBRASKA

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	041643834

Location Type	Address	City	State	Zip
Headquarters	100 BLUFF ST	WINNEBAGO	NE	680719787
Physical Address	100 BLUFF ST	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Winnebago Tribe Sec. 5311 FY21 CRRSAA for Operating Assistance and Vehicles</p>
--

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2021-002-00	Final Concurrence / Reservation	Grant	3/22/2021	3/22/2021	No

Application Executive Summary

3/22/2021:

This is a FFY 2021 Section 5311 CRRSAA application in the amount of \$316,930 representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes:

Tribal Transit CRRSAA Act funds to be used for tribal operating assistance, (1) one - 15 passenger van, and (1) one pickup truck with a snowplow attachment.

FFY 2021 Tribal Transit CRRSSA Act Funding \$316,930; there is no local match required.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

“The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.”

Per the CRRSAA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The approved IDC rate is 11.74%. See attached approved rate.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Joy	Johnson	Planning and Development Director	joy.johnson@winnebagotribe.com	(402) 878-4120

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$316,930
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$316,930

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-002-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$316,930.00	\$0.00	\$316,930.00	2
NE-2021-002-01-00	BUY 11.12.15 REPLACEMENT VAN	\$39,600.00	\$0.00	\$39,600.00	1

NE-2021-002-01-00	11.42.11	ACQUIRE - SUPPORT VEHICLES: Pick-up Truck with Snowplow	\$50,500.00	\$0.00	\$50,500.00	1
NE-2021-002-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$226,830.00	\$0.00	\$226,830.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Sec. 5311 FY21 CRRSAA TTP Operating Assistance, Van and Truck w/Snowplow

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-002-01-00	6776-2021-2-P1	3/22/2021	1/1/2021	5/21/2021

Project Description

This project will provide necessary operating costs to the Winnebago Tribal Transit program during the COVID pandemic. This application utilizes CRRSSA Act funding to help with the Operating costs to respond to and recover from the COVID-19 Pandemic.

FFY 2021 CRRSA Act Funding \$316,930; there is no local match required.

Project Benefits

The Winnebago Tribe will be able to maintain transit services during the COVID-19 Pandemic emergency.

Additional Information

Winnebago Tribal Members, residents of the Winnebago Tribe of Nebraska Reservation shall benefit from the ability of the Winnebago Tribal Transit to continue to provide safe transportation to work, for educational, and health access.

Location Description

Winnebago Tribe, Winnebago, Thurston County, Nebraska

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry

Program Plan Information

STIP/TIP

Date: Not Provided

Description: N/A

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$316,930
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$316,930

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-002-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$316,930.00	\$0.00	\$316,930.00	2
NE-2021-002-01-00	11.12.15 BUY REPLACEMENT VAN	\$39,600.00	\$0.00	\$39,600.00	1
NE-2021-002-01-00	11.42.11 ACQUIRE - SUPPORT	\$50,500.00	\$0.00	\$50,500.00	1

		VEHICLES: Pick-up Truck with Snowplow				
NE-2021-002- 01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$226,830.00	\$0.00	\$226,830.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES: Pick-up Truck with Snowplow

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

Funds will be used to purchase a 2020 Chevy 2500 Pick-up truck with a snowplow attachment with a useful life of (4) four years or an accumulation of at least 100,000 miles .

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$50,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,500

Milestone Name	Est. Completion Date	Description
RFP date	1/18/2021	Procure by sending out an RFP using Buy America guidelines
Contract Award	3/1/2021	
Vehicle delivery date	4/5/2021	
Contract Complete	5/3/2021	

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

2/22/2021:

The Tribe is using the CARES Act funds to purchase a replacement van it will be used on the demand response route. This will replace the 2010 Cutaway 11 passenger van with a useful life of 7 years or an accumulation of at least 100,000 miles. The new van will have a useful life of 7 years as well.

OLD VEHICLE INFORMATION:

Original Grant Number: NE-18-X029-01

Bus/Vehicle Number: No number

Vehicle Year: 2011

Make: Ford

Model: F350 1 Ton

VIN: 1FDWE3FL4BDA63303

Current Mileage: 175,763

Placed In Service Date: 7/2011

Estimated Date Removed from Service: 2/2021

Passenger Size: 11

Useful Life: 7 years

Condition: Fair

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$39,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$39,600

Milestone Name	Est. Completion Date	Description
RFP	1/1/2021	Procure, including sending out rfp for 15 passenger van.
Contract Award Date	4/30/2021	
Vehicle Delivery Date	5/7/2021	

Contract Completed	5/21/2021
--------------------	-----------

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

These funds will cover salary, fringe benefits, repairs and maintenance, fuel, PPE, and all other operating costs including but not limited to insurance, legal fees, etc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$226,830
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$226,830

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Start use of Operating funds that will cover expenses for existing service incurred beginning 01/01/2021 until 12/31/2021. These project window may overlap with existing CARES Act grant #NE-2020-004-02 funding to allow for purchasing two new vehicles.
End Date	12/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	3/31/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$226,830.00	\$226,830.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/31/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES: Pick-up Truck with Snowplow	1	\$50,500.00	\$50,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	3/31/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	1	\$39,600.00	\$39,600.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type	DOL Review for Information
Date	4/6/2021
Comment	The Winnebago Tribe is coming in for their Sec. 5311 FY21 (\$316,930) CRRSSA funds for Operating Assistance and Vehicles

Application Review Comments

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	3/31/2021
Project Title	Sec. 5311 FY21 CRRSAA TTP Operating Assistance, Van and Truck w/Snowplow
Project Number	NE-2021-002-01-00
Comment	This application utilizes CRRSAA funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	3/31/2021
Project Title	Sec. 5311 FY21 CRRSAA TTP Operating Assistance, Van and Truck w/Snowplow
Project Number	NE-2021-002-01-00
Comment	The proposed acquisition activity of a support vehicle is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	3/31/2021
Project Title	Sec. 5311 FY21 CRRSAA TTP Operating Assistance, Van and Truck w/Snowplow
Project Number	NE-2021-002-01-00
Comment	The proposed acquisition activity of a replacement transit van is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: WINNEBAGO TRIBE OF NEBRASKA

Recipient ID: 6776

DUNS No: 041643834

Application Information

Federal Award Identification Number: NE-2021-002-00

Application Name: Winnebago Tribe Sec. 5311 FY21 CRRSAA for Operating Assistance and Vehicles

Application Start Date: N/A

Original Award End Date: 12/22/2021

Current Award End Date: N/A

Application Executive Summary: 3/22/2021:

This is a FFY 2021 Section 5311 CRRSAA application in the amount of \$316,930 representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes:

Tribal Transit CRRSAA Act funds to be used for tribal operating assistance, (1) one - 15 passenger van, and (1) one pickup truck with a snowplow attachment.

FFY 2021 Tribal Transit CRRSSA Act Funding \$316,930; there is no local match required.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

“The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.”

Per the CRRSAA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$316,930.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$316,930.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$316,930.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$316,930
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$316,930

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

WINNEBAGO TRIBE OF NEBRASKA