

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	ME-2019-019-01
<b>Temporary Application Number</b>	1346-2019-7
<b>Application Name</b>	5311 FFY 2019 SFY2020 Rural Admin Operating & Capital
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	7/31/2019	
<b>Original Period of Performance End Date</b>	6/30/2022	
<b>Current Period of Performance End Date</b>	6/30/2022	Revision #: 0

### Part 1: Recipient Information

**Name: Transportation, Maine Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1346	State Agency	MAINE DEPARTMENT OF TRANSPORTATION	809045966

Location Type	Address	City	State	Zip
Headquarters	1 STATEHOUSE STN 236	AUGUSTA	ME	043330001
Physical Address	24 CHILD ST	AUGUSTA	ME	04330
Mailing Address	16 STATE HOUSE STATION	AUGUSTA	ME	04333

### Union Information

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	10000 New Hampshire Avenue
Address 2	
City	Silver Spring

State	MD
Zipcode	20903
Contact Name	John A Costa
Telephone	(301) 431-7100
Fax	(301) 431-7117
E-mail	jcostaatu@gmail.com
Website	https://www.atu.org/union/contact
<b>Union Name</b>	<b>LOCAL 481, COUNCIL 93, AMERICAN FEDERATION OF STATE, COUNTY AND MUNICI</b>
Address 1	20 Winter Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	James Mackie
Telephone	(207) 622-6191
Fax	(207) 622-6550
E-mail	jmackey@afscmecouncil93.org
Website	afscmecouncil93.org/maine
<b>Union Name</b>	<b>SEIU LOCAL 1989</b>
Address 1	65 State Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	Alec Maybarduk
Telephone	(207) 622-3151
Fax	(207) 623-4916
E-mail	mseaseiu@mseaseiu.org
Website	http://www.mseaseiu.org/

## Part 2: Application Information

### Title: 5311 FFY 2019 SFY2020 Rural Admin Operating & Capital

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ME-2019-019-01	Final Concurrence / Reservation	Grant	3/18/2021	3/18/2021	No

#### Application Executive Summary

\*\*\*Amendment #1\*\*\*

The MaineDOT is requesting to amend grant ME-2019-019-00 to move \$11,304 in Federal Admin and \$7,413 in Federal Operating funds - at total of \$18,717 - to Federal Capital for the vehicle purchase of a 12X2 cutaway for MaineDOT subrecipient, West's Transportation Inc.

The total cost for the expansion vehicle is \$53,301 (\$42,640 (federal) and \$10,661 (local)). The balance of funds are in grant ME-2020-027-01.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were executed January 25, 2021. All administrative and statutory requirements have been met.

\*\*\*Original Grant\*\*\*

This is a Maine Department of Transportation (MaineDOT) grant application for \$8,063,252 of Section 5311 formula funds from FFY2019 for a program of capital projects, preventive maintenance, administration, operating assistance (some JARC-related) and \$158,913 Section 5311 Rural (b) (3) RTAP funds.

These funds will be used for: (1) \$158,913 to continue MaineDOT's successful Rural Transit Assistance Program (RTAP); (2) \$300,000 state administration; (3) \$1,209,488 Intercity Operating Assistance with a 50% local match; (4) \$133,000 Operating Assistance for former JARC programs with a 50% local match; (5) \$2,269,764 Project Administration with a 20% local match; (6) \$19,000 Preventive Maintenance; and (7) \$4,132,000 statewide operating assistance to fifteen (15) regional transit authorities operating in totally or partially rural areas of Maine. Details of the services funded under this application are provided in the Extended Budget Descriptions.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match - MaineDOT will provide the local match for all activities except where identified in the extended budget details.

Attached - Program of Projects

Attached - STIP

Ridership - Attached is a description of each Provider. The application contains projects which have increased their ridership through improved and expanded scheduling, and connections to new routes. Increases in ridership over the years are due to the type of design and operational changes which are reflected in this year's budget request.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Maine DOT pinned their FY19 Certifications and Assurances on 3/14/2019. This application satisfies the 15% minimum requirement for the State's Section 5311 apportionment to be used to address intercity bus needs. All administrative and statutory requirements have been met.

MaineDOT's 2013-2018 Locally Coordinated Transit Plans was extended :

[http://www.maine.gov/mdot/transit/docs/lcp/LCP\\_Extension\\_Letter\\_2018.pdf](http://www.maine.gov/mdot/transit/docs/lcp/LCP_Extension_Letter_2018.pdf). We are working on finalizing the 2019-2023 Locally Coordinated Transit Plan at this time. Draft:

<https://www.maine.gov/mdot/transit/publications/lcp/>

Period of Performance: July 1, 2019 to June 30, 2022

Award Start Date: July 1, 2019

Award End Date: June 30, 2022

Research and Development: This Application does NOT include funds for Research and/or Development Activities.

Indirect Cost Rates: Indirect Costs Will NOT be applied to this application and its scope of work

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	617-494-2484
Barbie-Jo	Lord	Transportation Planning Analyst	barbie-jo.lord@maine.gov	2076243026

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,222,163
Local			\$4,574,322
Local/In-Kind			\$894,024
State			\$572,775
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,263,284</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2019-019-01-01	111-00 (111-A8) BUS - ROLLING STOCK	\$18,717.00	\$4,680.00	\$23,397.00	1
ME-2019-019-01-01	11.12.04 BUY REPLACEMENT <30 FT BUS	\$18,717.00	\$4,680.00	\$23,397.00	1
ME-2019-019-01-01	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$19,000.00	\$4,750.00	\$23,750.00	0
ME-2019-019-01-01	11.7A.00 PREVENTIVE MAINTENANCE	\$19,000.00	\$4,750.00	\$23,750.00	0
ME-2019-019-01-01	300-00 (300-A2) OPERATING ASSISTANCE	\$4,124,587.00	\$4,124,587.00	\$8,249,174.00	0
ME-2019-019-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$4,124,587.00	\$4,124,587.00	\$8,249,174.00	0
ME-2019-019-01-01	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	0
ME-2019-019-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	0
ME-2019-019-01-01	620-00 (620-A5) PROJECT ADMINISTRATION	\$2,258,458.00	\$564,615.00	\$2,823,073.00	0

ME-2019-019-01-01		11.79.00	PROJECT ADMINISTRATION	\$2,258,458.00	\$564,615.00	\$2,823,073.00	0
ME-2019-019-01-01	634-00 (634-A6)		INTERCITY BUS TRANSPORTATION	\$1,209,488.00	\$1,209,489.00	\$2,418,977.00	0
ME-2019-019-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$1,209,488.00	\$1,209,489.00	\$2,418,977.00	0
ME-2019-019-01-01	635-00 (635-A7)		RURAL TRANSIT ASSISTANCE PROGRAM	\$158,913.00	\$0.00	\$158,913.00	0
ME-2019-019-01-01		43.50.01	TRAINING	\$158,913.00	\$0.00	\$158,913.00	0
ME-2019-019-01-01	646-00 (646-A3)		JARC PROJECTS	\$133,000.00	\$133,000.00	\$266,000.00	0
ME-2019-019-01-01		30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$133,000.00	\$133,000.00	\$266,000.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FFY 2019 SFY 2020 Rural 5311 Admin, Operating, & Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2019-019-01-01	1346-2019-7-P1	3/18/2021	7/1/2019	12/31/2023

#### Project Description

##### \*\*\*Amendment #1 Description\*\*\*

The MaineDOT is adding an additional Activity Line Item for a vehicle procurement for West Transportation Inc. and moving funds from the operating and project administration ALLs to fund the procurement.

##### \*\*Original Project Description\*\*\*

This is a Maine Department of Transportation (MaineDOT) grant application for \$8,063,252 of Section 5311 formula funds from FFY2019 for a program of capital projects, preventive maintenance, administration, operating assistance (some JARC-related) and RTAP.

These funds will be used for: (1) \$158,913 to continue MaineDOT's successful Rural Transit Assistance Program (RTAP); (2) \$300,000 state administration; (3) \$1,209,488 Intercity Operating Assistance with a 50% local match; (4) \$133,000 Operating Assistance for former JARC programs with a 50% local match;

(5) \$2,269,764 Project Administration with a 20% local match; (6) \$19,000 Preventive Maintenance; and (7) \$4,132,000 statewide operating assistance to fifteen (15) regional transit authorities operating in totally or partially rural areas of Maine. Details of the services funded under this application are provided in the Extended Budget Descriptions.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match - MaineDOT will provide the local match for all activities except where identified in the extended budget details.

Designated Subrecipients:

- Aroostook Regional Transportation System, Inc. (ARTS) – Region 1
- Bath CityBus/City of Bath – Region 5
- Biddeford-Saco-OOB Transit Committee (BSOOB) – Region 8
- Casco Bay Island Transit District (CBITD) – Region 6
- Downeast Community Partners (DCP) (formerly WHCA) – Region 2
- Downeast Transportation, Inc. (DTI) – Region 2
- Isle Au Haut Boat Service – Region 2
- Kennebec Valley Community Action Program (KVCAP) – Region 4
- Penquis - The LYNX – Region 3
- Regional Transportation Program (RTP) – Region 6
- Town of Cranberry Isles – Region 2
- Waldo Community Action Partners (WCAP) (DBA Mid-Coast Public Transportation) – Region 5
- West's Transportation, Inc. (West) – Region 2
- Western Maine Transportation Services, Inc. (WMTS) – Region 7
- York County Community Action Corporation (YCCAC) – Region 8

**Project Benefits**

Annual 5311 funding to support fifteen (15) Rural Transit Providers Statewide with administrative, operating, and capital funding.

**Additional Information**

Provider descriptions and services, including ridership numbers, and service areas, are attached to this document.

3/18/2021 - amending grant to convert \$11,304.63 in Federal Admin and \$7,413.32 in Federal Operating funds - at total of \$18,717.95 to Federal Capital to use toward a Vehicle Purchase less than 30ft bus for West's Transportation Inc.

**Location Description**

Annual 5311 Rural Areas funding to support fifteen (15) Rural Transit Providers statewide services.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
230000	Maine

**Congressional District Information**

State	District	Representative
Maine	1	Chellie Pingree
Maine	2	Jared Golden

## Program Plan Information

### STIP/TIP

Date: 5/27/2019

Description: 2019-2022 STIP Final Draft May 28, 2019; 5311 projects can be found on pages 202 - 207

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,222,163
Local			\$4,574,322
Local/In-Kind			\$894,024
State			\$572,775
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,263,284</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2019-019-01-01	111-00 (111-A8) BUS - ROLLING STOCK	\$18,717.00	\$4,680.00	\$23,397.00	1
ME-2019-019-01-01	11.12.04 BUY REPLACEMENT <30 FT BUS	\$18,717.00	\$4,680.00	\$23,397.00	1
MF-	117- OTHER CAPITAL ITEMS	\$19,000.00	\$4,750.00	\$23,750.00	0



2019-019-01-01	00 (117-A1)	(BUS)				
ME-2019-019-01-01		11.7A.00 PREVENTIVE MAINTENANCE	\$19,000.00	\$4,750.00	\$23,750.00	0
ME-2019-019-01-01	300-00 (300-A2)	OPERATING ASSISTANCE	\$4,124,587.00	\$4,124,587.00	\$8,249,174.00	0
ME-2019-019-01-01		30.09.01 UP TO 50% FEDERAL SHARE	\$4,124,587.00	\$4,124,587.00	\$8,249,174.00	0
ME-2019-019-01-01	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	0
ME-2019-019-01-01		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	0
ME-2019-019-01-01	620-00 (620-A5)	PROJECT ADMINISTRATION	\$2,258,458.00	\$564,615.00	\$2,823,073.00	0
ME-2019-019-01-01		11.79.00 PROJECT ADMINISTRATION	\$2,258,458.00	\$564,615.00	\$2,823,073.00	0
ME-2019-019-01-01	634-00 (634-A6)	INTERCITY BUS TRANSPORTATION	\$1,209,488.00	\$1,209,489.00	\$2,418,977.00	0
ME-2019-019-01-01		30.09.01 UP TO 50% FEDERAL SHARE	\$1,209,488.00	\$1,209,489.00	\$2,418,977.00	0
ME-2019-019-01-01	635-00 (635-A7)	RURAL TRANSIT ASSISTANCE PROGRAM	\$158,913.00	\$0.00	\$158,913.00	0
ME-2019-019-01-01		43.50.01 TRAINING	\$158,913.00	\$0.00	\$158,913.00	0
ME-2019-019-01-01	646-00 (646-	JARC PROJECTS	\$133,000.00	\$133,000.00	\$266,000.00	0

01	A3)					
ME-2019-019-01-01		30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$133,000.00	\$133,000.00	\$266,000.00 0

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT &lt;30 FT BUS</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	1

### Extended Budget Description

3/18/2021 - amending grant to convert \$11,304 in Federal Admin and \$7,413 in Federal Operating funds - at total of \$18,718 to be used as Federal Capital toward a Vehicle Purchase for a 12X2 cutaway bus for West's Transportation Inc. ME-2020-027-00 is also supporting the vehicle procurement in the amount of \$23,923 in federal assistance. The total cost for the expansion vehicle will utilize \$42,640 (federal) and \$10,661 (local) for a cumulative cost of \$53,301. The expansion vehicle will have a useful life of 4 years or 100,000 miles.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	< 30 ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,717
Local			\$4,680
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$23,397</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/18/2021	3/18/2021 - amending grant to convert \$11,304.63 in

		Federal Admin and \$7,413.32 in Federal Operating funds - at total of \$18,717.95 to Federal Capital to be used toward a Vehicle Purchase less than 30ft bus for West's Transportation Inc.
Contract Award Date	7/1/2021	contract award date
Initial Delivery Date	9/30/2021	initial delivery date
Final Delivery Date	10/31/2021	final delivery date
Contract Completion Date	12/31/2021	contract completion date

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Capital Funds to be used for Preventive Maintenance for one (1) Rural Transit Providers: Biddeford-Saco-OOB Transit Committee (BSOOB) \$19,000.00. Examples of routine maintenance will include but not limited to oil changes, tire rotation, safety inspections, etc. Transit provider will provide the local match from eligible local matching funds.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,000
Local			\$4,750
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$23,750</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Beginning funding for Preventive Maintenance for one (1) Rural Transit Providers: Biddeford-Saco-OOB Transit Committee (BSOOB) \$19,000.00.
End Date	6/30/2022	Capital Funds to be used for Preventive Maintenance July 1, 2019 to June 30, 2022. Each transit provider will provide the local match from eligible local matching funds.

	funds.
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<b>Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

\*\*\*Amendment #1 Description\*\*\*

3/18/2021 - amending grant to convert \$11,304 in Federal Admin and \$7,413 in Federal Operating funds - at total of \$18,717 to Federal Capital to use toward a Vehicle Purchase less than 30ft bus for West's Transportation Inc.

\*\*\*Original Budget Description\*\*\*

5311 Operating Assistance to support fifteen (15) Rural Transit Providers Statewide for the period of July 1, 2019 through June 30, 2022. Aroostook Regional Transportation Systems \$385,000; Bath CityBus \$65,000; Biddeford-Saco-OOB Transit Committee \$172,000; Casco Bay Island Transit District \$230,000; Downeast Community Partners, Inc. \$30,000; Downeast Transportation, Inc. \$410,000; Kennebec Valley Community Action Program \$192,000; Penquis \$420,000; Regional Transportation Program \$411,000; Waldo Community Action Partners \$150,000; Western Maine Transportation Services, Inc. \$900,000; York County Community Action Corp. \$650,000; West's Transportation, Inc. \$32,000; Isle Au Haut Boat Service \$60,000; and Town of Cranberry Isle \$25,000.

A combination of State Funds (Multimodal Funds), eligible Local Matching Funds from the providers, and/or volunteer in-kind will be used for match. Please refer to the attached Program of Projects for a detailed explanation. Also, MaineDOT has on file the approved methodology for the in-kind match from sub-recipients.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,124,587
Local			\$3,658,980
Local/In-Kind			\$0
State			\$465,607
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,249,174</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	5311 Operating Assistance to support fifteen (15) Rural

		Transit Providers Statewide for the period of July 1, 2018 through June 30, 2022. Aroostook Regional Transportation Systems; Bath CityBus; Biddeford-Saco-OOB Transit Committee; Casco Bay Island Transit District; Downeast Community Partners, Inc.; Downeast Transportation, Inc.; Kennebec Valley Community Action Program; Penquis; Regional Transportation Program; Waldo Community Action Partners; Western Maine Transportation Services, Inc. ; York County Community Action Corp.; West's Transportation, Inc.; Isle Au Haut Boat Service; and Town of Cranberry Isle.
End Date	6/30/2022	5311 Operating Assistance to support fifteen (15) Rural Transit Providers Statewide for the period of July 1, 2018 through June 30, 2022.

**Budget Activity Line Item: 30.09.05 - JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
JARC PROJECTS (646-00)	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Operating Funds to support two (2) former JARC Programs statewide during the period of July 1, 2019 through June 30, 2022. JARC services provided by Downeast Transportation, Inc. \$110,000; and Downeast Community Partners, Inc. \$23,000.

Transit Operators will provide the 50% local match from non-federal funding sources, which may include funding from Local Municipalities; The Jackson Laboratory; Maine Fair Trade Lobster; and Service Revenues.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$133,000
Local			\$133,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$266,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Operating Funds to support two (2) former JARC Programs statewide during the period of July 1, 2019 through June 30, 2022. JARC services provided by Downeast Transportation, Inc. \$110,000; and Downeast Community Partners, Inc. \$23,000.
End Date	6/30/2022	Operating Funds to support two (2) former JARC Programs statewide during the period of July 1, 2019 through June 30, 2022. JARC.

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

State and Program Administration for staff salaries and wages; transit studies; and planning for projects. State administration, planning, and technical assistance may be funded up to 100% federal share. The total requested does not exceed 10% of the annual apportionment.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$300,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	State and Program Administration for staff salaries and wages; transit studies; and planning for projects.
End Date	6/30/2022	State and Program Administration for staff salaries and wages; transit studies; and planning for projects.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

\*\*\*Amendment #1 Description\*\*\*

3/18/2021 - amending grant to move \$11,304 in Federal Admin and \$7,413 in Federal Operating funds - at total of \$18,717 to Federal Capital toward the Vehicle Purchase less than 30ft bus for West's Transportation Inc.

\*\*\*Original Project Description\*\*\*

Project Administration funding to support twelve (12) Rural Transit Providers Statewide Programs and Projects. Project Administration funding supporting twelve (12) Rural Transit Providers Statewide: Aroostook Regional Transportation Systems \$235,000; Bath CityBus \$10,000; Casco Bay Island Transit District \$70,000; Downeast Community Partners, Inc. \$18,000; Downeast Transportation, Inc. \$118,000; Kennebec Valley Community Action Program \$195,000; Penquis \$95,000; Regional Transportation Program \$279,000; Waldo Community Action Partners \$233,764; Western Maine Transportation Services \$500,000; York County Community Action Corp. \$490,000; and West's Transportation, Inc. \$26,000.

A combination of State Funds (Multimodal Funds), eligible Local Matching Funds from the providers, and/or volunteer in-kind will be used for match. Please refer to the attached Program of Projects for a detailed explanation. Also, MaineDOT has on file the approved methodology for the in-kind match from sub-recipients.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,258,458
Local			\$457,447
Local/In-Kind			\$0
State			\$107,168
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,823,073</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Begin Project Administration funding to support twelve (12) Rural Transit Providers Statewide Programs and Projects for Aroostook Regional Transportation Systems, Bath CityBus, Casco Bay Island Transit District, Downeast Community Partners Inc., Downeast Transportation Inc., Kennebec Valley Community

		Action Program, Penquis, Regional Transportation Program, Waldo Community Action Partners, Western Maine Transportation Services, York County Community Action Corp., and West's Transportation, Inc.
End Date	6/30/2022	Project Administration funding to support twelve (12) Rural Transit Providers Statewide Programs and Projects July 1, 2019 through 6/30/2022.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Intercity Bus Service Operating Funds to support four (4) Intercity services statewide during the period of January 1, 2019 through December 31, 2022. Intercity Operating funds continue to support four (4) established intercity bus service routes: BSOOB service from Biddeford to Portland; Cyr Bus service from Bangor to Caribou; West's Transportation service from Calais to Bangor; and Greyhound Lines, Inc. service from Portland to Bangor.

All intercity bus operators will provide the 50% local match from non-federal funding sources, which may include funding from Local Municipalities; Service & Freight Revenues; Marketing/Advertising Revenues; and Interline Partnership Agreement/In-Kind.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,209,488
Local			\$315,465
Local/In-Kind			\$894,024
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,418,977</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Intercity Bus Service Operating Funds to support four (4) Intercity services statewide during the period of January 1, 2019 through December 31, 2022. Intercity Operating funds continue to support four (4)



		established intercity bus service routes: BSOOB service from Biddeford to Portland; Cyr Bus service from Bangor to Caribou; West's Transportation service from Calais to Bangor; and Greyhound Lines, Inc. service from Portland to Bangor.
End Date	12/31/2022	Intercity Bus Service Operating Funds to support four (4) Intercity services statewide during the period of January 1, 2019 through December 31, 2022. Intercity Operating funds continue to support four (4) established intercity bus service routes: BSOOB service from Biddeford to Portland; Cyr Bus service from Bangor to Caribou; West's Transportation service from Calais to Bangor; and Greyhound Lines, Inc. service from Portland to Bangor.

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Rural Transit Assistance Program (RTAP) (5311(b)(2)) to support training for rural providers statewide. MaineDOT continues to use the annual RTAP funding to provide successful support and trainings to the statewide rural programs and projects. Sponsored trainings and conferences: Customer Driven Service; Tri-State Transit Conference; CTAA Conference & Expo; FTA Drug & Alcohol Conference; PASS Training; and Safety trainings.

No match is required for RTAP funds.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$158,913
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$158,913</b>

Milestone Name	Est. Completion Date	Description
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Start Date	7/1/2019	Rural Transit Assistance Program (RTAP) (5311(b)(2)) to support training for rural providers statewide. MaineDOT continues to use the annual RTAP funding to provide successful support and trainings to the statewide rural programs and projects. Sponsored trainings and conferences: Customer Driven Service; Tri-State Transit Conference; CTAA Conference & Expo; FTA Drug & Alcohol Conference; PASS Training; and Safety trainings.
End Date	12/31/2023	Rural Transit Assistance Program (RTAP) (5311(b)(2)) to support training for rural providers statewide. MaineDOT continues to use the annual RTAP funding to provide successful support and trainings to the statewide rural programs and projects. Sponsored trainings and conferences: Customer Driven Service; Tri-State Transit Conference; CTAA Conference & Expo; FTA Drug & Alcohol Conference; PASS Training; and Safety trainings.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$19,000.00	\$23,750.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$4,124,587.00	\$8,249,174.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
JARC PROJECTS (646-00)	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	0	\$133,000.00	\$266,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit

authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$300,000.00	\$300,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,258,458.00	\$2,823,073.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,209,488.00	\$2,418,977.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$158,913.00	\$158,913.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	1	\$18,717.00	\$23,397.00

## Part 4: Fleet Details

**Fleet Type:** Other

### Fleet Comments

Reviewed; no changes needed

5/20/2016 - Fleet State Report updated; transit providers updated data with their FFY 2016 5311 Grant Applications.

5/3/2016 - Fleet data does not appear to have transferred over into TrAMS; updating data. Other = Demand Response & Flex Route data. Copy of FFY 2015 Fleet Status Report added to Project Documents.

Data entered:

Fixed Route for DTI

Paratransit for YCCAC

Waterbourne for CBITD

Other (Demand Response) for ARTS

FFY2013 Fleet Status Data for all Maine Transit Providers is detailed in the attached document.

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	239
	B. Spares	54
	C. Total (A+B)	293
	D. Spare Ratio (B/A)	22.59%
II.	<b>Inactive Fleet</b>	
	A. Other	6
	B. Pending Disposal	24
	C. Total (A+B)	30
III.	<b>Total (I.C and II.C)</b>	323

**Fleet Type:** Paratransit

### Fleet Comments

Reviewed; no changes needed

5/20/2016 - Fleet State Report updated; transit providers updated data with their FFY 2016 5311 Grant Applications. The State of Maine has gone to a non-emergency medical brokerage service which has

drastically reduced the number of Paratransit services needed.

5/3/2016 - fleet details do not appear to have transferred over into TrAMS; updating data. Uploaded copy of FFY 2015 Fleet Status Report.

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	2
	B. Spares	0
	C. Total (A+B)	2
	D. Spare Ratio (B/A)	0%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	2

**Fleet Type:** Waterbourne

**Fleet Comments**

Reviewed; no updates needed

5/20/2016 - Fleet State Report updated; transit providers updated data with their FFY 2016 5311 Grant Applications.

5/3/2016 - Fleet data does not appear to have transferred over into TrAMS; updating data.

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	7
	B. Spares	2
	C. Total (A+B)	9
	D. Spare Ratio (B/A)	28.57%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	1
	C. Total (A+B)	1
III.	<b>Total (I.C and II.C)</b>	10

**Fleet Type:** Fixed Route

**Fleet Comments**

Reviewed; no changes needed

5/20/2016 - Fleet State updated; transit providers updated data with their 5311 Grant Applications.

5/3/2016 - Fleet data does not appear to have transferred over into TrAMS; updating data. Uploaded FFY 2015 Fleet Status Report.

No rolling stock will be purchased with these funds.

The sub-recipients within this application fleets are less than 50 vehicles and are not subject to the guidelines, however they remain committed to adhering as closely as possible to the FTA guidelines.

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	6
	B. Spares	4
	C. Total (A+B)	10
	D. Spare Ratio (B/A)	66.67%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	1
	B. Pending Disposal	1
	C. Total (A+B)	2
<b>III.</b>	<b>Total (I.C and II.C)</b>	12

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Michael Burns

**Comment Type** DOL Review for Information

**Date** 4/13/2021

**Comment** Per DOL's guidelines FTA is transmitting this grant application for informational purposes through TrAMS to the Department so the Department can notify the labor organizations representing potentially affected employees of the grants approval and their rights under the Special Warranty Arrangement.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**



On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Maine Department Of

Recipient ID: 1346

DUNS No: 809045966

#### **Application Information**

Federal Award Identification Number: ME-2019-019-01

Application Name: 5311 FFY 2019 SFY2020 Rural Admin Operating & Capital

Application Start Date: 7/31/2019

Original Award End Date: 6/30/2022

Current Award End Date: 6/30/2022

Application Executive Summary: \*\*\*Amendment #1\*\*\*

The MaineDOT is requesting to amend grant ME-2019-019-00 to move \$11,304 in Federal Admin and \$7,413 in Federal Operating funds - at total of \$18,717 - to Federal Capital for the vehicle purchase of a 12X2 cutaway for MaineDOT subrecipient, West's Transportation Inc.

The total cost for the expansion vehicle is \$53,301 (\$42,640 (federal) and \$10,661 (local)). The balance of funds are in grant ME-2020-027-01.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were executed January 25, 2021. All administrative and statutory requirements have been met.

\*\*\*Original Grant\*\*\*

This is a Maine Department of Transportation (MaineDOT) grant application for \$8,063,252 of Section 5311 formula funds from FFY2019 for a program of capital projects, preventive maintenance, administration, operating assistance (some JARC-related) and \$158,913 Section 5311 Rural (b) (3) RTAP funds.

These funds will be used for: (1) \$158,913 to continue MaineDOT's successful Rural Transit Assistance Program (RTAP); (2) \$300,000 state administration; (3) \$1,209,488 Intercity Operating Assistance with a 50% local match; (4) \$133,000 Operating Assistance for former JARC programs with a 50% local match; (5) \$2,269,764 Project Administration with a 20% local match; (6) \$19,000 Preventive Maintenance; and (7) \$4,132,000 statewide operating assistance to fifteen (15) regional transit authorities operating in totally or partially rural areas of Maine. Details of the services funded under this application are provided in the Extended Budget Descriptions.

MaineDOT is charged with managing all modes of transportation within the State of Maine.

Source of Local Match - MaineDOT will provide the local match for all activities except where identified in the extended budget details.

Attached - Program of Projects

Attached - STIP

Ridership - Attached is a description of each Provider. The application contains projects which have increased their ridership through improved and expanded scheduling, and connections to new routes. Increases in ridership over the years are due to the type of design and operational changes which are reflected in this year's budget request.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Maine DOT pinned their FY19 Certifications and Assurances on 3/14/2019. This application satisfies the 15% minimum requirement for the State's Section 5311 apportionment to be used to address intercity bus needs. All administrative and statutory requirements have been met.

MaineDOT's 2013-2018 Locally Coordinated Transit Plans was extended :  
[http://www.maine.gov/mdot/transit/docs/lcp/LCP\\_Extension\\_Letter\\_2018.pdf](http://www.maine.gov/mdot/transit/docs/lcp/LCP_Extension_Letter_2018.pdf). We are working on finalizing the 2019-2023 Locally Coordinated Transit Plan at this time. Draft:  
<https://www.maine.gov/mdot/transit/publications/lcp/>

Period of Performance: July 1, 2019 to June 30, 2022

Award Start Date: July 1, 2019

Award End Date: June 30, 2022

Research and Development: This Application does NOT include funds for Research and/or Development Activities.

Indirect Cost Rates: Indirect Costs Will NOT be applied to this application and its scope of work

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$14,263,284.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): (\$2.00)

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): (\$5,559.00)

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$8,222,163.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$6,041,121.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$8,222,163
Local			\$4,574,322
Local/In-Kind			\$894,024
State			\$572,775
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,263,284</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Maine Department Of*