

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	WI-2020-010-01
Temporary Application Number	6818-2020-1
Application Name	5311 Tribal Transit Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	3/31/2020	
Original Period of Performance End Date	3/30/2022	
Current Period of Performance End Date	3/30/2022	Revision #: 0

Part 1: Recipient Information

Name: Lac Courte Oreilles Band Of Lake Superior Chippewa Indians Of Wi

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6818	Indian Tribe	LAC COURTE OREILLES TRIBAL GOVERNMENT	063616700

Location Type	Address	City	State	Zip
Headquarters	13394 W Trepania Rd	Hayward	WI	54843
Physical Address	13394 W TREPANIA RD BLD 1	HAYWARD	WI	54843
Mailing Address	13394W TREPANIA ROAD	HAYWARD	WI	54843

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 Tribal Transit Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WI-2020-010-01	Final Concurrence / Reservation	Grant	3/2/2021	3/2/2021	No

Application Executive Summary

Amendment#1: Adding \$3,668.00 in FY 2020 5311 Tribal Formula Funds due to FTA Apportionment error. Being used towards operating expenses as outlined below.

Original: This Grant is for \$259,463.00 using 5311c Tribal Transit Program formula funds: FY18 remaining partial allocation (\$41,932) - LAPSING, FY19 (\$87,876), and FY20 (\$129,655). Funds will be used to cover Lac Courte Oreilles weekend service transit operating expenses incurred in FY19 and FY20, operated by Namekagon Transit Service, on Lac Courte Oreilles Tribal Reservation. No local share is required for Tribal Transit Program formula funds. Attached to this application is the IDC rate approval letter and apportionment tables.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: 21.39%

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
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Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	312-353-3853
Louis	Taylor	Chairman	louis.taylor@lco-nsn.gov	(715) 634-8934

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$263,131
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$263,131

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-010-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$263,131.00	\$0.00	\$263,131.00	1
WI-2020-010-01-01	UP TO 50% 30.09.01 FEDERAL SHARE	\$263,131.00	\$0.00	\$263,131.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 Tribal Transit Operating Assistance FY 19-20

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2020-010-01-01	6818-2020-1-P1	3/2/2021	10/1/2018	9/30/2020

Project Description

Amendment#1: Adding \$3,668.00 in FY 2020 5311 Tribal Formula Funds due to FTA Apportionment error. Being used towards operating expenses as outlined below.

Original: This project is to fund weekend public transit services to the Lac Courte Oreilles (LCO)

community throughout the LCO reservation and the Hayward, Wisconsin area enabling access to public transit 7 days per week.

Project Benefits

The project benefit is to provide weekend public transportation services for: employment, medical, food, shopping, social and other human service needs. This is a collaborative effort with local public transit through Namekagon Transit, which provides services Monday – Friday. This project will provide weekend services to the LCO tribal community and the Hayward WI area providing access to public transportation services 7 days per week.

Additional Information

This project is part of an ongoing coordinated effort with the Lac Courte Oreilles tribe and Sawyer County, together forming the Sawyer County/Lac Courte Oreilles Transit Commission. The Transit Commission, dba Namekagon Transit, provides public transportation services to meet the needs of our community.

Location Description

The weekend public transit services will provide services throughout the LCO reservation in Hayward, Wisconsin and surrounding area. LCO tribal statistics are currently 7,275 tribal members with approximately 2,306 tribal members living on the reservation along with 708 non-tribal members.

Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

Congressional District Information

State	District	Representative
Wisconsin	7	Sean P Duffy

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Tribal Transit Program Formula	5311-1B	20509	\$263,131
Local			\$0
Local/In-Kind			\$0
State			\$0
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Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2020-010-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$263,131.00	\$0.00	\$263,131.00	1
WI-2020-010-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$263,131.00	\$0.00	\$263,131.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Amendment#1: Adding \$3,668.00 in FY 2020 5311 Tribal Formula Funds due to FTA Apportionment error. Being used towards operating expenses as outlined below.

Original Operating assistance at 100% federal share. Funds used to reimburse service provided in FY19, and forward through FY20. Period of Performance: 10/1/18 - 9/30/20. Operations expenses are in accordance with eligible activities under FTA Circular 9040.1G pgs III-11 and X-1 - X3.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Tribal Transit Program Formula	5311-1B	20509	\$263,131
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$263,131

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	3/26/2020

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Colin Korst

Comment Type DOL Review for Information

Date 3/4/2021

Comment 5311 Special Waiver for Tribal Transit Funds. No DOL Certification Required.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Lac Courte Oreilles Band Of Lake Superior Chippewa Indians Of Wi

Recipient ID: 6818

DUNS No: 063616700

Application Information

Federal Award Identification Number: WI-2020-010-01

Application Name: 5311 Tribal Transit Operating Assistance

Application Start Date: 3/31/2020

Original Award End Date: 3/30/2022

Current Award End Date: 3/30/2022

Application Executive Summary: Amendment#1: Adding \$3,668.00 in FY 2020 5311 Tribal Formula Funds due to FTA Apportionment error. Being used towards operating expenses as outlined below.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$263,131.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$3,668.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$263,131.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$263,131
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$263,131

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Lac Courte Oreilles Band Of Lake Superior Chippewa Indians Of Wi