Application

Federal Award Identification Number (FAIN)  SD-2021-008-00
Temporary Application Number  7044-2021-1
Application Name  FFY20 5311 Tribal Formula - Operating and PM
Application Status  Final Concurrence / Reservation
Application Budget Number  0

Period of Performance Start Date  N/A
Original Period of Performance End Date  6/30/2021
Current Period of Performance End Date  N/A  Revision #: N/A

Part 1: Recipient Information

Name: Yankton Sioux Tribe

<table>
<thead>
<tr>
<th>Recipient ID</th>
<th>Recipient OST Type</th>
<th>Recipient Alias</th>
<th>Recipient DUNS</th>
</tr>
</thead>
<tbody>
<tr>
<td>7044</td>
<td>Indian Tribe</td>
<td>YANKTON SIOUX TRIBE DBA FORT RANDALL CASINO</td>
<td>122118409</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Location Type</th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>Zip</th>
</tr>
</thead>
<tbody>
<tr>
<td>Headquarters</td>
<td>1153 Main SW</td>
<td>Wagner</td>
<td>SD</td>
<td>57380</td>
</tr>
<tr>
<td>Physical Address</td>
<td>1153 Main SW</td>
<td>Wagner</td>
<td>SD</td>
<td>57380</td>
</tr>
<tr>
<td>Mailing Address</td>
<td>800 MAIN SW</td>
<td>WAGNER</td>
<td>SD</td>
<td>57380</td>
</tr>
</tbody>
</table>

Union Information

There are no union contacts for this application

Part 2: Application Information
Title: FFY20 5311 Tribal Formula - Operating and PM

FAIN Application Status Application Date Last Updated From Type Created Date TEAM?
SD-2021-008-00 Final Concurrence / Reservation Grant 1/20/2021 1/20/2021 No

Application Executive Summary
Yankton Sioux Tribe will use FFY20 Section 5311 Formula funds in the amount of $93,431 (partial amount) to fund transit operating expenses and preventive maintenance activities. This grant utilizes pre-award authority to reimburse the tribe for the timeframe of 10/1/2019 through 3/13/2020. The funds will be drawn in full once the grant is executed and closed immediately. All actual expenses are available upon request and will be saved for potential audits. The remaining FFY20 5311 formula funds will be used at a later date.

The Federal share is 100% for all activities.

Frequency of Milestone Progress Reports (MPR)
Annual

Frequency of Federal Financial Reports (FFR)
Annual

Does this application include funds for research and/or development activities?
This award does not include research and development activities.

Pre-Award Authority
This award is using Pre-Award Authority.

Does this application include suballocation funds?
Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?
No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?
This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review
No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt
No, my organization does not have delinquent federal debt.

Application Point of Contact Information
<table>
<thead>
<tr>
<th>First Name</th>
<th>Last Name</th>
<th>Title</th>
<th>E-mail Address</th>
<th>Phone</th>
</tr>
</thead>
</table>


Application Budget Control Totals

<table>
<thead>
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<th>Section of Statute</th>
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Application Budget

<table>
<thead>
<tr>
<th>Project Number</th>
<th>Budget Item</th>
<th>FTA Amount</th>
<th>Non-FTA Amount</th>
<th>Total Eligible Amount</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>SD-2021-008-01-00</td>
<td>600-00 (600-A1)</td>
<td>OTHER PROGRAM COSTS</td>
<td>$93,431.00</td>
<td>$0.00</td>
<td>$93,431.00</td>
</tr>
<tr>
<td>SD-2021-008-01-00</td>
<td>11.7A.00</td>
<td>PREVENTIVE MAINTENANCE</td>
<td>$25,000.00</td>
<td>$0.00</td>
<td>$25,000.00</td>
</tr>
<tr>
<td>SD-2021-008-01-00</td>
<td>30.09.01</td>
<td>UP TO 100% Federal Share</td>
<td>$68,431.00</td>
<td>$0.00</td>
<td>$68,431.00</td>
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</table>

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating and PM

<table>
<thead>
<tr>
<th>Project Number</th>
<th>Temporary Project Number</th>
<th>Date Created</th>
<th>Start Date</th>
<th>End Date</th>
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<tbody>
<tr>
<td>SD-2021-008-01-00</td>
<td>7044-2021-1-P1</td>
<td>1/20/2021</td>
<td>10/1/2019</td>
<td>3/13/2020</td>
</tr>
</tbody>
</table>

Project Description

**Project Benefits**
Maintain transit service

**Additional Information**
*None provided.*

**Location Description**
Yankton Sioux Tribe Wagner, Lake Andes, Marty, Ravinia Southeastern South Dakota

### Project Location (Urbanized Areas)

<table>
<thead>
<tr>
<th>UZA Code</th>
<th>Area Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>460000</td>
<td>South Dakota</td>
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</table>

### Congressional District Information

<table>
<thead>
<tr>
<th>State</th>
<th>District</th>
<th>Representative</th>
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</thead>
<tbody>
<tr>
<td>South Dakota</td>
<td>1</td>
<td>Kristi L. Noem</td>
</tr>
</tbody>
</table>

### Program Plan Information

**STIP/TIP**
Date: N/A  
Description: N/A

**UPWP**
Date: N/A  
Description: N/A

**Long Range Plan**
Date: N/A  
Description: N/A

### Project Control Totals

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<td>OTHER PROGRAM COSTS</td>
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<tr>
<td>SD-2021-008-01-00 11.7A.00</td>
<td>PREVENTIVE MAINTENANCE</td>
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<tr>
<td>SD-2021-008-01-00 30.09.01</td>
<td>UP TO 100% Federal Share</td>
<td>$68,431.00</td>
<td>$0.00</td>
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</table>

**Project Budget Activity Line Items**

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

<table>
<thead>
<tr>
<th>Scope Name / Code</th>
<th>Line Item #</th>
<th>Line Item Name</th>
<th>Activity</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>OTHER PROGRAM COSTS (600-00)</td>
<td>11.7A.00</td>
<td>PREVENTIVE MAINTENANCE</td>
<td>OTHER CAPITAL ITEMS (BUS)</td>
<td>1</td>
</tr>
</tbody>
</table>

**Extended Budget Description**

Preventive Maintenance for vehicle fleet. The majority of minor services are provided by Tribal DOT Employees. For specialized maintenance work, vehicles are sent to a mechanic or body shop. All documentation; invoices, quotes etc, are filed for reporting purposes and are for specific preventive maintenance services from 10/1/2019 through 3/13/2020. After this date, the tribe began Administrative Leave due to the COVID-19 pandemic, which is covered in a CARES grant.

YST did not purchase any assets over 5,000 as part of this activity.

Federal share is 100%.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

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<td>$0</td>
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</table>
### Milestone Table

<table>
<thead>
<tr>
<th>Milestone Name</th>
<th>Est. Completion Date</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>Start Date</td>
<td>10/1/2019</td>
<td>Begin incurring expenses</td>
</tr>
<tr>
<td>End Date</td>
<td>3/13/2020</td>
<td>End incurring expenses.</td>
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</table>

### Budget Activity Line Item: 30.09.01 - UP TO 100% Federal Share

<table>
<thead>
<tr>
<th>Scope Name / Code</th>
<th>Line Item #</th>
<th>Line Item Name</th>
<th>Activity</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>OTHER PROGRAM COSTS (600-00)</td>
<td>30.09.01</td>
<td>UP TO 50% FEDERAL SHARE</td>
<td>OPERATING ASSISTANCE</td>
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</tr>
</tbody>
</table>

#### Extended Budget Description

This activity includes fuel, oil, driver's salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses, less revenues (fare revenue, advertising revenue). This line item also includes Program Administrative activities, which includes the salary of the transit manager, fringe benefits, facility costs, office supplies and insurance, which are categorized with general operating expenses in the Tribe's budget format. The timeframe covered by the grant had reduced services as compared to typical levels, approximately at half capacity, because YST had reorganized the Transit program.

This is for the timeframe of 10/1/2010-3/13/2020.

Federal share is 100%.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

### Funding Source Table

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<tr>
<td>Adjustment</td>
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<td></td>
<td>$0</td>
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</tbody>
</table>
Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description
Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description
Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description
Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description
Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.
Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

<table>
<thead>
<tr>
<th>Comment By</th>
<th>Emma Belmont</th>
</tr>
</thead>
<tbody>
<tr>
<td>Comment Type</td>
<td>DOL Review for Information</td>
</tr>
<tr>
<td>Date</td>
<td>3/25/2021</td>
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<tr>
<td>Comment</td>
<td>Georgiana Abdo</td>
</tr>
<tr>
<td></td>
<td>Tribal Transit Program Manager</td>
</tr>
<tr>
<td></td>
<td>(605) 384-5050</td>
</tr>
<tr>
<td></td>
<td><a href="mailto:georgianaabdo2019@gmail.com">georgianaabdo2019@gmail.com</a></td>
</tr>
</tbody>
</table>

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-28, February 9, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:
(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR
THE APPLICABLE MASTER AGREEMENT, “AWARD” ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA’s AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Yankton Sioux Tribe

Recipient ID: 7044

DUNS No: 122118409

Application Information

Federal Award Identification Number: SD-2021-008-00

Application Name: FFY20 5311 Tribal Formula - Operating and PM

Application Start Date: N/A

Original Award End Date: 6/30/2021

Current Award End Date: N/A

Application Executive Summary: Yankton Sioux Tribe will use FFY20 Section 5311 Formula funds in the amount of $93,431 (partial amount) to fund transit operating expenses and preventive maintenance activities. This grant utilizes pre-award authority to reimburse the tribe for the timeframe of 10/1/2019 through 3/13/2020. The funds will be drawn in full once the grant is executed and closed immediately. All actual expenses are available upon request and will be saved for potential audits. The remaining FFY20 5311 formula funds will be used at a later date.

The Federal share is 100% for all activities.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget
Total Application Budget: $93,431.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): $93,431.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): $0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): $93,431.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): $0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

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<td><strong>$93,431</strong></td>
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</table>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:
EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

(1) Affirms this FTA Award,
(2) Adopts and ratifies all of the following information it has submitted to FTA:
   (a) Statements,
   (b) Representations,
   (c) Warranties,
   (d) Covenants, and
   (e) Materials,
(3) Consents to comply with the requirements of this FTA Award, and
(4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Yankton Sioux Tribe