

# DOT

U.S. Department of Transportation

# FTA

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	WY-2020-004-01
<b>Temporary Application Number</b>	1168-2020-3
<b>Application Name</b>	Wyoming DOT FY 2020 Sec 5311 CARES Act - Operations, Project Administration, Preventive Maintenance, ICB and State/Program Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	5/20/2020	
<b>Original Period of Performance End Date</b>	1/2/2023	
<b>Current Period of Performance End Date</b>	1/2/2023	Revision #: 0

### Part 1: Recipient Information

**Name: Transportation, Wyoming Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1168	State Agency	Wyoming Department of Transportation	809916000

Location Type	Address	City	State	Zip
Headquarters	200 W 24TH ST RM 124	CHEYENNE	WY	820013642
Physical Address	5300 BISHOP BLVD	CHEYENNE	WY	82009

Mailing Address

5300 BISHOP BLVD

CHEYENNE

WY

82009

### Union Information

<b>Union Name</b>	<b>NO UNIONS</b>
Address 1	wyoming is a right to work sta
Address 2	
City	
State	
Zipcode	
Contact Name	no unions
Telephone	
Fax	
E-mail	
Website	

### Part 2: Application Information

**Title: Wyoming DOT FY 2020 Sec 5311 CARES Act - Operations, Project Administration, Preventive Maintenance, ICB and State/Program Administration**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
WY-2020-004-01	Final Concurrence / Reservation	Grant	11/21/2020	11/21/2020	No

#### Application Executive Summary

Amendment #1 - This amendment is to add the remaining portion of CARES Act funding awarded to WYDOT - \$9,871,857 to operating and preventive maintenance activities. With this amendment, the full apportionment (\$22,197,466) will be obligated. Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for and respond to COVID-19.

Project 1 is revised as follows:

a) operating assistance – additional subrecipients are added and subaward contracts are extended to cover expenses through September 30, 2022.

b) project administration – no change. And,

c) preventive maintenance – additional subrecipients are added and subaward contracts are extended to cover expenses through September 30, 20212.

This amendment does not change ICB or State Admin projects.

The total being added is \$9,871,857.

The overall period of performance for this award is not impacted by this amendment.

Details are included in the program of projects in the application documents.

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Original Application - This is WYDOT's FFY 2020 Section 5311 CARES Act grant in the amount of \$12,325,609, which is a partial portion of the full apportionment (\$22,197,466). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for and respond to COVID-19.

The grant scope of work includes the following Projects:

- 1) operating assistance, project administration, preventive maintenance for 30 rural transit agencies in the amount of \$6,776,242;
- 2) operating assistance for five ICB providers in the amount of \$3,329,620 (15% of the full \$22,197,466 apportionment); and
- 3) state/program administration for WYDOT to administer the 5311 program in the amount of \$2,219,747 (10% of the full \$22,197,466 apportionment).

Details are included in the program of projects in the application documents.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Joni	Kithas-Harlan	Fiscal Consultant	joni.kithas@wyo.gov	(307) 738-2445
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	303-362-2414

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$22,197,466

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$22,197,466</b>

### Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WY-2020-004-01-01	600-00 (600-A1)	OTHER PROGRAM COSTS	\$15,441,181.00	\$0.00	\$15,441,181.00	66
WY-2020-004-01-01		11.7A.00 PREVENTIVE MAINTENANCE	\$2,405,011.00	\$0.00	\$2,405,011.00	33
WY-2020-004-01-01		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$13,036,170.00	\$0.00	\$13,036,170.00	33
WY-2020-004-01-01	620-00 (620-A2)	PROJECT ADMINISTRATION	\$1,206,918.00	\$0.00	\$1,206,918.00	29
WY-2020-004-01-01		11.79.00 PROJECT ADMINISTRATION	\$1,206,918.00	\$0.00	\$1,206,918.00	29
WY-2020-004-02-01	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$3,329,620.00	\$0.00	\$3,329,620.00	1
WY-2020-004-02-01		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,329,620.00	\$0.00	\$3,329,620.00	1
WY-2020-004-03-01	610-00	STATE / PROGRAMS	\$2,219,747.00	\$0.00	\$2,219,747.00	1

	(610-A4)	ADMINISTRATION				
WY-2020-004-03-01	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$2,219,747.00	\$0.00	\$2,219,747.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Rural Transit Agencies - Operations, Project Admin, PM

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WY-2020-004-01-01	1168-2020-3-P1	11/21/2020	2/1/2020	4/1/2021

#### Project Description

Amendment #1 - A total of \$9,871,857 in FFY2020 CARES Act Sect 5311 funds is being added to the grant and will be used for operating and preventive maintenance for a total of 33 transit agencies to provide service throughout the state of Wyoming. Federal share is 100%. A Program of Projects is attached in the application documents.

All transit agencies (30) from the original amendment will have operating and PM subawards extended. Three additional subrecipients (Eppson Center for Seniors, Hot Springs County Senior Citizens, and Casper Area Transit Coalition) will receive new subawards for operating and five additional subrecipients will receive new subawards for PM.:

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Original Application - A total of \$6,776,242 in FFY20 CARES Act Sec 5311 funds will be used for operating, project administration and preventive maintenance for 28 to 30 transit agencies that provide service throughout the state of Wyoming. Federal share is 100%. A Program of Projects is attached in the application documents.

The transit agencies are the following:

University of Wyoming, North Big Horn Senior Center, South Big Horn Senior Center, Campbell County Senior Center, Carbon County Senior Center, Converse County Seniors, High Country Senior Citizens, Lander Senior Citizens, Riverton Senior Center, Wind River Transportation Authority, Goshen County Senior Friendship Center, Buffalo Senior Citizens, Star Valley Senior Citizens, Kemmerer Senior Citizens, Thayne Senior Center, Niobrara Senior Center, Cody Council on Aging, Meeteetse Recreation District, Services for Seniors, Goose Creek Transit, Southwest Sublette County Pioneers, Rendezvous Pointe, Sweetwater County Transit Authority, Senior Center of Jackson Hole, Southern Teton Area Rapid Transit, Ten Sleep Senior Center, Washakie County Senior Citizens, Powell Senior Citizens, Town of Mills and Pine Bluffs Senior Center.

**Project Benefits**

The funds are essential for transit agencies in rural communities to continue to provide service during the Covid-19 pandemic. These rural communities provide transportation for the general public, elderly and individuals with disabilities to shopping, employment, medical, work and social appointments.

**Additional Information**

*None provided.*

**Location Description**

Public transit providers are located in all 23 rural counties of the State of Wyoming. Detailed locations are listed in the program of projects.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
560000	Wyoming

**Congressional District Information**

State	District	Representative
Wyoming	1	Cynthia M Lummis

**Program Plan Information****STIP/TIP**

Date: 9/30/2019

Description: This project includes only operations, project admin and preventive maintenance with no substantial functional, location or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) documentation is needed.

**UPWP**

Date: Not Provided

Description: NA

**Long Range Plan**

Date: Not Provided  
 Description: NA

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$16,648,099
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$16,648,099</b>

### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WY-2020-004-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$15,441,181.00	\$0.00	\$15,441,181.00	66
WY-2020-004-01-01	11.7A.00 PREVENTIVE MAINTENANCE	\$2,405,011.00	\$0.00	\$2,405,011.00	33
WY-2020-004-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$13,036,170.00	\$0.00	\$13,036,170.00	33
WY-2020-004-01-01	620-00 (620-A2) PROJECT ADMINISTRATION	\$1,206,918.00	\$0.00	\$1,206,918.00	29
WY-2020-004-01-01	11.79.00 PROJECT ADMINISTRATION	\$1,206,918.00	\$0.00	\$1,206,918.00	29



## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	33

### Extended Budget Description

#### Extended Budget Description

FFY20 CARES Act funds will be used to provide operating assistance to Black Hills Stage Lines, Alltrans Inc, Jefferson Lines, Salt Lake Express and Greyhound at 100% Federal share. Unlike other sub-awards, WYDOT traditionally writes its ICB sub-awards on a calendar year period. Operating funds will cover expenses from February 1, 2020 to December 31, 2021, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits and licenses. This also includes paying administrative leave for operations personnel due to reductions/suspensions of service. Operating expenses are based on previous years awards as well as Operating funds requested in our FY2020 applications.

Original Application - FFY20 CARES Act funds will be used to provide operating assistance to 30 existing rural transit agencies who provide transportation in their communities. Federal share is 100%. Operating funds will cover expenses from February 1, 2020 through April 1, 2021, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits and licenses. See attached Program of Projects for a list of rural transit agencies. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service. Operating expenses are based on previous years awards as well as Operating funds requested in FY2020 applications.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$13,036,170
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$13,036,170</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	Beginning of operating period.
End Date	4/1/2021	End of operating period. This is an approximation of when the funding will be expended.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	29

**Extended Budget Description**

Amendment 1  
No change

**Original Application**

FFY20 CARES Act funds will be used for Project Administration for 29 existing rural transit agencies to provide transportation service in their communities See POP. Federal share is 100%. Project Admin expenses are from February 1, 2020 to September 30, 2020 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies facilities and equipment rental, standard overhead rates and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,206,918
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,206,918</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	Start period for project admin expenses.
End Date	9/30/2020	End of period for project admin expenses. This is an approximation of when the funding will be expended.

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	33

**Extended Budget Description**

Amendment #1 – WYDOT selected FY21 applicants to receive additional CARES funding. Anticipated PM expenses are based on previous year’s awards as well as PM funds requested in FY21 applications. Sub awards are traditionally written on a fiscal year period. The start date of February coincides with the first month following emergency declaration. With additional CARES funds, it is anticipated that funds may remain beyond FY21; therefore, sub awards will be written to allow expenses through FY22.

Additional CARES Act funds will be used to provide PM for FY21 and FY22 to 33 rural transit agencies who provide transportation in their communities, see POP. In this amendment, 5 additional agencies will receive new PM sub awards, the others will receive additional funds, and the period of performance for sub awards will be extended to cover the period February 1, 2020 through September 30, 2022. Milestone dates will be updated in the next milestone report.

Original Applications - FFY20 CARES Act funds will be used for PM expenses by 28 existing rural transit agencies, including small capital purchases for preventive maintenance and repairs to rolling stock, equipment and facilities. This also includes the purchase of personal protective equipment and other supplies to modify and clean rolling stock, equipment and facilities expressly due to the Covid-19 pandemic. Any equipment exceeding \$5000 per unit and useful life greater than 1 year and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award. Federal share is 100%. Timeframe for PM expenses is from February 1, 2020 to September 30, 2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,405,011
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,405,011</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	Start of period for PM expenses.
End Date	9/30/2020	End of period for PM expenses. This is an approximation of when the funding will be expended.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant

environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

**Project Title: Intercity Bus Operations**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WY-2020-004-02-01	1168-2020-3-P2	11/21/2020	2/1/2020	12/31/2021

**Project Description**

A total of \$3,329,620 in FFY20 CARES Act 5311 funds will be used for operating by five Intercity Bus (ICB) providers for six routes. Federal Share is 100%.

This amount is 15% of Wyoming's total FFY 20 CARES Act apportionment (\$22,197,466) for ICB service, thereby meeting the minimum 15% requirement.

**Project Benefits**

The CARES Act funds will be used by Black Hills Stage Lines (two routes), Alltrans Inc, Jefferson Lines, Salt Lake Express and Greyhound to sustain operations across Wyoming.

**Additional Information**

Support and maintain continued ICB operations.

**Location Description**

Rural areas across Wyoming receiving ICB service.

## Project Location (Urbanized Areas)

UZA Code	Area Name
560000	Wyoming

## Congressional District Information

State	District	Representative
Wyoming	1	Cynthia M Lummis

## Program Plan Information

### STIP/TIP

Date: 9/30/2019

Description: This project includes only operations with no substantial functional, location and capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) documentation is needed.

### UPWP

Date: Not Provided

Description: NA

### Long Range Plan

Date: Not Provided

Description: NA

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,329,620
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$3,329,620</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WY-2020-004-02-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$3,329,620.00	\$0.00	\$3,329,620.00	1
WY-2020-004-02-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,329,620.00	\$0.00	\$3,329,620.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

FFY20 CARES Act funds will be used to provide operating assistance to Black Hills Stage Lines, Alltrans Inc, Jefferson Lines, Salt Lake Express and Greyhound at 100% Federal share. Operating funds will cover expenses from February 1, 2020 to December 31, 2021, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits and licenses. This also includes paying administrative leave for operations personnel due to reductions/suspensions of service. Operating expenses are based on previous years awards as well as Operating funds requested in our FY2020 applications.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,329,620
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,329,620</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	2/1/2020	Begin operating assistance for ICB providers.
End Date	12/31/2021	End of operating assistance for ICB providers. This is an approximation of when the funding will be expended.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.



Date	Description	Date
	Class IIc CE Approved	

### Project Title: WYDOT State/Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WY-2020-004-03-01	1168-2020-3-P3	11/21/2020	2/1/2020	9/30/2022

#### Project Description

In this FFY20 CARES Act 5311 application, an amount of \$2,219,747 will be used for state/program admin of the 5311 program. Federal share is 100%. This amount is 10% of Wyoming's total FFY20 CARES Act apportionment (\$22,197,466), which is the maximum amount allowable.

#### Project Benefits

The funds will be used by WYDOT to administer and manage the 5311 program.

#### Additional Information

*None provided.*

#### Location Description

WYDOT Transit program.

### Project Location (Urbanized Areas)

UZA Code	Area Name
560000	Wyoming

### Congressional District Information

State	District	Representative
Wyoming	1	Cynthia M Lummis

## Program Plan Information

### STIP/TIP

Date: 9/30/2019

Description: This project includes only state admin with no substantial functional, location or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) documentation is needed.

### UPWP

Date: Not Provided

Description: NA

### Long Range Plan

Date: Not Provided

Description: NA

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,219,747
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,219,747</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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WY-2020-004-03-01	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$2,219,747.00	\$0.00	\$2,219,747.00	1
WY-2020-004-03-01		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,219,747.00	\$0.00	\$2,219,747.00	1

### Project Budget Activity Line Items

#### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

#### Extended Budget Description

Ten percent of FFY20 CARES Act funds will be used by WYDOT for state/program admin at 100% Federal share, meeting the 10% maximum requirement (of the total \$22,197,466 apportionment) for state administrative costs. State/Program Admin funds will cover expenses from February 1, 2020 to September 30, 2022 (estimated end date), including salaries, overhead expenses, supplies and office equipment used to administer the 5311 program. This also includes paying administrative leave for staff and purchase of personal protective equipment and other supplies due to the Covid-19 pandemic. Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,219,747
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,219,747</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	State date of state/program admin.
End Date	9/30/2022	End of state/program admin. This is an approximation of when the funding will be expended.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

## DOL Certification

**Comment By** Karen Torre

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Comment Type DOL Review for Information

Date 12/14/2020

Comment

## FTA Comments for DOL

**Comment By** Callion Maddox

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Comment Type DOL Review for Information

Date 12/14/2020

Comment Wyoming has submitted this amendment is to add the remaining portion of CARES Act funding - \$9,871,857. With this amendment, the full apportionment will be obligated. Per the CARES Act

**Comment By** Callion Maddox

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Comment Type DOL Review for Certification

Date 2/19/2021

Comment This amendment is to add the remaining balance of CARES Act funding of \$9,871,857. The amendment includes \$8,267,309 for Operating and \$1,604,548 for Maintenance. With this amendment, the full apportionment awarded to Wyoming DOT will be obligated.

## Application Review Comments

**Comment By** Callion Maddox

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Comment Type Pre-Award Manager Returns Application

Date 12/31/2020

Comment For the amendment to operating funds, the timeframe of 10/1/20 - 4/1/22 overlaps with the original grant timeframe for operating (2/1/20 - 4/1/21). Are the 33 agencies being funded with the amendment different than the 30 originally funded?

Also please update milestone dates.

## Application Review Comments

<b>Comment By</b>	<b>Joni Kithas-Harlan</b>
Comment Type	Line Item Review
Date	1/10/2021
Project Title	Rural Transit Agencies - Operations, Project Admin, PM
Project Number	WY-2020-004-01-01
Comment	Milestone dates will be updated when the annual reporting is completed - there is no place to update or change when submitting an amendment.

## Application Review Comments

<b>Comment By</b>	<b>Callion Maddox</b>
Comment Type	Application Details
Date	2/11/2021
Comment	Changes to milestones will done at reporting.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Wyoming Department Of

Recipient ID: 1168

DUNS No: 809916000

#### **Application Information**

Federal Award Identification Number: WY-2020-004-01

Application Name: Wyoming DOT FY 2020 Sec 5311 CARES Act - Operations, Project Administration, Preventive Maintenance, ICB and State/Program Administration

Application Start Date: 5/20/2020

Original Award End Date: 1/2/2023

Current Award End Date: 1/2/2023

Application Executive Summary: Amendment #1 - This amendment is to add the remaining portion of CARES Act funding awarded to WYDOT - \$9,871,857 to operating and preventive maintenance activities. With this amendment, the full apportionment (\$22,197,466) will be obligated. Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for and respond to COVID-19.

Project 1 is revised as follows:

- a) operating assistance – additional subrecipients are added and subaward contracts are extended to cover expenses through September 30, 2022.
- b) project administration – no change. And,
- c) preventive maintenance – additional subrecipients are added and subaward contracts are extended to cover expenses through September 30, 20212.

This amendment does not change ICB or State Admin projects.

The total being added is \$9,871,857.

The overall period of performance for this award is not impacted by this amendment.

Details are included in the program of projects in the application documents.

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Original Application - This is WYDOT's FFY 2020 Section 5311 CARES Act grant in the amount of \$12,325,609, which is a partial portion of the full apportionment (\$22,197,466). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for and respond to COVID-19.

The grant scope of work includes the following Projects:

- 1) operating assistance, project administration, preventive maintenance for 30 rural transit agencies in the amount of \$6,776,242;
- 2) operating assistance for five ICB providers in the amount of \$3,329,620 (15% of the full \$22,197,466 apportionment); and
- 3) state/program administration for WYDOT to administer the 5311 program in the amount of \$2,219,747 (10% of the full \$22,197,466 apportionment).

Details are included in the program of projects in the application documents.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.



Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$22,197,466.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$9,871,857.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$22,197,466.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$22,197,466
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$22,197,466</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: Special Warranty  
Original Certification Date: 12/14/2020

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Wyoming Department Of*