

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	TN-2020-012-01
Temporary Application Number	1007-2020-6
Application Name	CARES Act 5311 Rural
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	5/12/2020	
Original Period of Performance End Date	3/30/2026	
Current Period of Performance End Date	3/30/2026	Revision #: 0

Part 1: Recipient Information

Name: Transportation, Tennessee Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	878297712

Location Type	Address	City	State	Zip
Headquarters	600 CHARLOTTE AVE	NASHVILLE	TN	372439034
Physical Address	505 DEADRICK ST STE 700	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: CARES Act 5311 Rural

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
TN-2020-012-01	Final Concurrence / Reservation	Grant	12/8/2020	12/8/2020	No

Application Executive Summary

Amendment One: This amendment represents a partial obligation of the remaining FY 2020 CARES Act Apportionment. Of the \$65,523,351 apportioned to Tennessee's Section 5311 Program, the 00 Grant obligated \$32,816,676, Amendment 01 will obligate \$23,138,172, and the remaining \$9,678,503 is being reserved for Intercity Bus. The amendment utilizes CARES Act funding to help public transit providers respond to and recover from the COVID-19 pandemic.

The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The CARES Act 5311 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, and Operating. Pursuant to CRRSAA, TDOT certifies that no transit employees are on mandatory furlough by subrecipients at the time of this amendment, nor do any subrecipients expect budgetary challenges that will require mandatory furloughs in the future.

This project only includes operating expenses and/or capital expenses that do not include substantial functional, location, or capacity changes to the asset or system. Therefore, no additional TIP/STIP documentation is needed.

Original Application:

This is a FFY2020 Section 5311 application in the amount of \$32,816,676 (100% Federal Share), this

application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Section 5311 and Appalachian Development Public Transportation Assistance Program funds. This grant is a partial obligation of the CARES Act Apportionment, which totals a federal amount of \$65,523,351. The remainder of the Tennessee CARES apportionment will be obligated after TDOT can better assess the long-lasting impact of the COVID-19 pandemic on our communities across the state. Of the \$32,816,676 obligated in this grant, Tennessee included the full Appalachian apportionment amount of \$1,100,000.

The CARES Act emergency assistance for the 5311 Program will be allocated to Tennessee's existing 5311 Rural subrecipients for operating activities that keep public transit available and safe in all 95 counties of the state. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT used the existing performance-based formula for the 5311 CARES Act allocation. Factors for the formula include the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not obligate funds in this application for Intercity Bus due to ongoing consideration of the impact of COVID-19 on the existing intercity bus service in the state. Pursuant to the outcome of that consideration, TDOT will obligate 5311(f) funds at a later date.

The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The CARES Act 5311 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, and Operating.

This project only includes operating expenses and/or capital expenses that do not include substantial functional, location, or capacity changes to the asset or system. Therefore, no additional TIP/STIP documentation is needed.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	+1(404) 865-5616
Kaitlyn	McClanahan	Transit Manager	kaitlyn.mcclanahan@tn.gov	615-532-5835
Holly	Liles	Community Planner	holly.liles@dot.gov	404-865-5637

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,110,000
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$54,844,848
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$55,954,848

Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2020-012-01-01	111-00 (111-A5)	BUS - ROLLING STOCK	\$1,985,240.00	\$0.00	\$1,985,240.00	16
TN-2020-012-01-01		11.12.04 BUY REPLACEMENT <30 FT BUS	\$1,222,977.00	\$0.00	\$1,222,977.00	3
TN-2020-012-01-01		11.12.15 BUY REPLACEMENT VAN	\$727,263.00	\$0.00	\$727,263.00	12
TN-2020-012-01-01		11.13.04 BUY <30-FT BUS FOR EXPANSION	\$35,000.00	\$0.00	\$35,000.00	1
TN-2020-012-01-01	114-00 (114-A2)	BUS: SUPPORT EQUIP AND FACILITIES	\$221,137.00	\$0.00	\$221,137.00	202
TN-2020-012-01-01		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$176,137.00	\$0.00	\$176,137.00	202
TN-2020-012-01-01		11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$45,000.00	\$0.00	\$45,000.00	0
TN-2020-012-01-01	116-00 (116-A3)	SIGNAL & COMM EQUIPMENT (BUS)	\$108,095.00	\$0.00	\$108,095.00	0
TN-2020-012-01-01		11.62.20 PURCHASE MISC COMMUNICATIONS EQUIP	\$108,095.00	\$0.00	\$108,095.00	0
TN-2020-012-01-01	117-00 (117-A4)	OTHER CAPITAL ITEMS (BUS)	\$950,752.00	\$0.00	\$950,752.00	0
TN-2020-012-01-01		11.7A.00 PREVENTIVE MAINTENANCE FY2020	\$262,391.00	\$0.00	\$262,391.00	0
TN-2020-012-01-01		11.7A.00 PREVENTIVE MAINTENANCE FY2021	\$688,361.00	\$0.00	\$688,361.00	0

TN-2020-012-01-01	119-00 (119-A8)	Bus Associated Transit Improvements	\$32,000.00	\$0.00	\$32,000.00	0
TN-2020-012-01-01	11.92.08	PURCHASE SIGNAGE	\$32,000.00	\$0.00	\$32,000.00	0
TN-2020-012-01-01	300-00 (300-A6)	OPERATING ASSISTANCE	\$44,117,466.00	\$0.00	\$44,117,466.00	0
TN-2020-012-01-01	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2020	\$10,332,370.00	\$0.00	\$10,332,370.00	0
TN-2020-012-01-01	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2021	\$33,785,096.00	\$0.00	\$33,785,096.00	0
TN-2020-012-01-01	620-00 (620-A7)	PROJECT ADMINISTRATION	\$7,430,158.00	\$0.00	\$7,430,158.00	0
TN-2020-012-01-01	11.79.00	PROJECT ADMINISTRATION	\$7,430,158.00	\$0.00	\$7,430,158.00	0
TN-2020-012-01-01	648-00 (648-A1)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,110,000.00	\$0.00	\$1,110,000.00	0
TN-2020-012-01-01	30.09.01	UP TO 50% FEDERAL SHARE	\$1,110,000.00	\$0.00	\$1,110,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act 5311 Rural

Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2020-012-01-01	1007-2020-6-P1	12/8/2020	4/2/2018	6/30/2022

Project Description

Amendment One: This amendment represents a partial obligation of the remaining CARES Act Apportionment. Of the \$65,523,351 apportioned to Tennessee's Section 5311 Program, the 00 Grant obligated \$32,816,676, Amendment 01 will obligate \$23,138,172, and the remaining \$9,678,503 is being reserved for Intercity Bus. The amendment utilizes CARES Act funding to help public transit providers respond to and recover from the COVID-19 pandemic.

This project will include federal and state funding in support of public transportation Project Administration, Operating, and Capital.

Project Benefits

The project will benefit a total of eleven (11) rural public transit agencies across the state of Tennessee

Additional Information

None provided.

Location Description

The eleven (11) rural transit agencies supported by this project provide public transportation in all 95 counties of the state.

Project Location (Urbanized Areas)

UZA Code	Area Name
470000	Tennessee

Congressional District Information

State	District	Representative
Tennessee	1	David P Roe
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Jim Cooper
Tennessee	9	Steve Cohen
Tennessee	2	Tim Burchett
Tennessee	6	John Rose
Tennessee	7	Mark Green
Tennessee	8	David Kustoff

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,110,000
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$54,844,848
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$55,954,848

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2020-012-01-01	111-00 (111-A5) BUS - ROLLING STOCK	\$1,985,240.00	\$0.00	\$1,985,240.00	16
TN-2020-012-01-01	11.12.04 BUY REPLACEMENT <30 FT BUS	\$1,222,977.00	\$0.00	\$1,222,977.00	3
TN-2020-012-01-01	11.12.15 BUY REPLACEMENT VAN	\$727,263.00	\$0.00	\$727,263.00	12
TN-2020-012-01-01	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$35,000.00	\$0.00	\$35,000.00	1
TN-2020-012-01-01	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$221,137.00	\$0.00	\$221,137.00	202
TN-2020-012-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$176,137.00	\$0.00	\$176,137.00	202
TN-2020-012-01-01	11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$45,000.00	\$0.00	\$45,000.00	0
TN-2020-012-01-01	116-00 (116-A3) SIGNAL & COMM EQUIPMENT (BUS)	\$108,095.00	\$0.00	\$108,095.00	0

TN-2020-012-01-01		11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	\$108,095.00	\$0.00	\$108,095.00	0
TN-2020-012-01-01	117-00 (117-A4)		OTHER CAPITAL ITEMS (BUS)	\$950,752.00	\$0.00	\$950,752.00	0
TN-2020-012-01-01		11.7A.00	PREVENTIVE MAINTENANCE FY2020	\$262,391.00	\$0.00	\$262,391.00	0
TN-2020-012-01-01		11.7A.00	PREVENTIVE MAINTENANCE FY2021	\$688,361.00	\$0.00	\$688,361.00	0
TN-2020-012-01-01	119-00 (119-A8)		Bus Associated Transit Improvements	\$32,000.00	\$0.00	\$32,000.00	0
TN-2020-012-01-01		11.92.08	PURCHASE SIGNAGE	\$32,000.00	\$0.00	\$32,000.00	0
TN-2020-012-01-01	300-00 (300-A6)		OPERATING ASSISTANCE	\$44,117,466.00	\$0.00	\$44,117,466.00	0
TN-2020-012-01-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2020	\$10,332,370.00	\$0.00	\$10,332,370.00	0
TN-2020-012-01-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2021	\$33,785,096.00	\$0.00	\$33,785,096.00	0
TN-2020-012-01-01	620-00 (620-A7)		PROJECT ADMINISTRATION	\$7,430,158.00	\$0.00	\$7,430,158.00	0
TN-2020-012-01-01		11.79.00	PROJECT ADMINISTRATION	\$7,430,158.00	\$0.00	\$7,430,158.00	0
TN-2020-012-01-01	648-00 (648-A1)		APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,110,000.00	\$0.00	\$1,110,000.00	0
TN-2020-012-01-01		30.09.01	UP TO 50% FEDERAL SHARE	\$1,110,000.00	\$0.00	\$1,110,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	3

Extended Budget Description

Amendment one: NEW ALI:

Pigeon Forge Mass Transit will purchase 3 replacement rubber wheel replica trolley vehicles. Replacement Vehicle details attached to this project in a separate document. Useful life will be 7 years or 150,000 miles.

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,222,977
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,222,977

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	1/1/2021	Exercise options on existing FTA-compliant Invitation to Bid procurement with TVM-approved vendor.
Contract Award Date	3/30/2021	Issue Purchase Order
Initial Delivery Date	12/31/2021	First vehicle delivered.
Final Delivery Date	6/30/2022	Final vehicle delivered

Contract Completion Date	6/30/2022	Contract with grantee complete.
--------------------------	-----------	---------------------------------

Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Amendment One: NEW ALI:

SETHRA will purchase one expansion vehicle to meet increased demand in McMinn County demand response service. The additional vehicle will also allow SETHRA to maintain COVID-19 safety protocols with less passengers per vehicle to reduce passenger exposures. Useful life will be 4 years or 100,000 miles.

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$35,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$35,000

Milestone Name	Est. Completion	Description
----------------	-----------------	-------------

Date		
RFP/IFB Issue Date	4/2/2018	Invitation to Bid for Statewide Contract for vehicles posted. Contract awarded to manufacturers on 6/13/2018.
Initial Delivery Date	1/1/2021	Vehicle delivered.
Final Delivery Date	1/1/2021	Vehicle delivered.
Contract Award Date	7/1/2021	Issue Purchase Order to Statewide Contract vendor
Contract Completion Date	6/30/2022	Contract with grantee expires.

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	0

Extended Budget Description

Amendment One: NEW ALI:

SWHRA will use CARES funds to update its locally-funded administrative facility for better sanitation and adherence to COVID-19 protocols, including replacing flooring and replacing open cubicles with cubicle style walled offices (floor to ceiling). All FTA procurement requirements will be met. The facility is not federally-funded and the renovations have a 5 year useful life.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$45,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$45,000

Milestone Name	Est. Completion Date	Description
Contract Awarded	3/30/2021	Procurement of the contractor and award

Start Date	7/1/2021	Renovation activities begin
End Date	6/30/2022	Renovation activities and grantee contract complete.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

Operating funds to be used by six (6) Appalachian area grantees from 1/20/2020 through 6/30/2020. Funds will be used for salary, wages, administrative leave, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,110,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,110,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible FY2020 operating activities begin.
End Date	6/30/2020	Eligible FY2020 operating activities complete.

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114- 00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	202

Extended Budget Description

Amendment 1: Increase the federal funds by \$130,000. UCHRA will also utilize these funds to purchase wheelchair lift guards for its fleet. TDOT will ensure that FTA procurement steps and policies are followed, as required in the State Management Plan. The guards do not meet the trackable asset threshold and will be absorbed into the useful life of the vehicles in which they are installed.

FTHRA will utilize these funds to purchase wheelchair lift guards for its fleet. TDOT will ensure that FTA procurement steps and policies are followed, as required in the State Management Plan. The guards do not meet the trackable asset threshold and will be absorbed into the useful life of the vehicles in which they are installed.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$176,137
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$176,137

Milestone Name	Est. Completion Date	Description
Start Date	1/31/2021	Purchase initiated
End Date	8/31/2021	Purchase & Installation Complete

Budget Activity Line Item: 11.62.20 - PURCHASE MISC COMMUNICATIONS EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	AQUISITION	0

Extended Budget Description

Amendment 1: Increase the federal amount by \$20,095. FTHRA will also utilize these funds to purchase miscellaneous communications equipment, including portable digital radios, laptops, and tablets. TDOT will ensure that FTA procurement steps and policies are followed, as required in the State Management Plan. None of the purchases are expected to exceed the \$5,000 or useful life over one-year threshold.

UCHRA will utilize these funds to purchase miscellaneous communications equipment, including portable digital radios, laptops, and tablets. TDOT will ensure that FTA procurement steps and policies are followed, as required in the State Management Plan. None of the purchases are expected to exceed the \$5,000 or useful life over one-year threshold.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$108,095
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$108,095

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2020	Purchases initiated.
End Date	8/31/2021	Contract Complete.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE FY2020

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Funds will be used by grantees from 1/20/2020 - 6/30/2020 for eligible Preventive Maintenance activities. Grantees do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$262,391
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$262,391

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible FY2020 PM Activities begin
End Date	6/30/2020	Eligible FY2020 PM Activities complete.

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	12

Extended Budget Description

Amendment 1: Increase Federal funds by \$127,263. NWTHRA will purchase an additional 3 replacement vans. Replacement Vehicle details document will be updated.

NWTHRA will purchase 12 replacement vans. Replacement Vehicle details attached to this project in a separate document. Useful life will be 4 years or 100,000 miles.

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$727,263
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$727,263

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/2/2018	Invitation to Bid for Statewide Contract for vehicles posted. Contract awarded to manufacturers on 6/13/2018
Contract Award Date	9/30/2020	Purchase Order issued to Statewide Contract Vendor.
Initial Delivery Date	8/31/2021	Initial vehicles delivered
Final Delivery Date	12/31/2021	Final vehicles delivered.
Contract Completion Date	12/31/2021	Contract Complete.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2020

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Operating funds for 11 rural public agencies for the period of January 20, 2020 through June 30, 2020. Funds will be used by grantees for salary, wages, administrative leave, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,332,370
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,332,370

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible FY2020 operating activities begin.
End Date	6/30/2020	Eligible FY2020 operating activities complete.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Amendment 1: Increasing the Federal amount by \$1,818,236. Grantees will use the funds for the same activities as below.

Funds will be used by grantees from 1/20/2020 - 8/31/2021 for salary, wages, benefits, and payroll taxes for administrative staff, administrative leave for administrative staff, travel and training, professional fees, licenses and insurance, administrative expenses, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, advertising, other direct costs, indirect costs, and administrative expenses including incidental costs associated with operating and maintaining administrative premises.

Grantees with sales tax exemptions will attempt to remove all sales tax from reimbursement requests; however, the reimbursement of sales tax when exempted is allowed.

Grantees will attempt to remove all late fees from reimbursement requests; however, the reimbursement of late fees is allowed.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$7,430,158
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,430,158

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project Administration activities begin.

End Date	8/31/2021	Project Administration activities complete.
----------	-----------	---

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE FY2021

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Amendment 1: Increase the federal funds by \$400,000.

Funds will be used by grantees from 7/1/2020 - 6/30/2021 for eligible Preventive Maintenance activities. Grantees do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$688,361
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$688,361

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Eligible FY2021 Preventive Maintenance activities begin.
End Date	6/30/2021	Eligible FY2021 Preventive Maintenance activities complete.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2021

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment 1: Increase federal funds by \$19,339,601. Grantees will use the funds for the same activities listed below.

Operating funds for 11 rural public agencies for the period of July 1, 2020 through June 30, 2021. Funds will be used by grantees for salary, wages, administrative leave, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$33,785,096
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$33,785,096

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible FY2021 operating activities begin.
End Date	6/30/2021	Eligible FY2021 operating activities complete.

Budget Activity Line Item: 11.92.08 - PURCHASE SIGNAGE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
Bus Associated Transit Improvements (119-00)	11.92.08	PURCHASE SIGNAGE	ACQUISITION	0

Extended Budget Description

UCHRA will utilize these funds to purchase signage for vehicles. TDOT does not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$32,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$32,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Signage acquisition activities begin.
End Date	8/31/2021	Signage installation activities complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,110,000.00	\$1,110,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	202	\$176,137.00	\$176,137.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	0	\$108,095.00	\$108,095.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE FY2020	0	\$262,391.00	\$262,391.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	12	\$727,263.00	\$727,263.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2020	0	\$10,332,370.00	\$10,332,370.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$7,430,158.00	\$7,430,158.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE FY2021	0	\$688,361.00	\$688,361.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - FY2021	0	\$33,785,096.00	\$33,785,096.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
------------------	------

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
Bus Associated Transit Improvements (119-00)	11.92.08	PURCHASE SIGNAGE	0	\$32,000.00	\$32,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	3	\$1,222,977.00	\$1,222,977.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	1	\$35,000.00	\$35,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	0	\$45,000.00	\$45,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Holly Liles

Comment Type DOL Review for Information

Date 2/8/2021

Comment Per A to Z, 5311 goes to DOL for information only.

Application Review Comments

Comment By Holly Liles

Comment Type	Application Details
Date	1/22/2021
Comment	For 11.44.03 renovation, please add useful life of facility and a third milestone for contracts. please change 3rd party to yes. Also, please consider the furlough language once we have clarification on it.
	DBE appears expired; TDOT is working with FTA CR on this issue.
	Thank you.

Application Review Comments

Comment By Holly Liles

Comment Type	Pre-Award Manager Returns Application
Date	1/22/2021
Comment	Please address comments

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-27, October 1, 2020)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(27), October 1, 2020, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Tennessee Department Of

Recipient ID: 1007

DUNS No: 878297712

Application Information

Federal Award Identification Number: TN-2020-012-01

Application Name: CARES Act 5311 Rural

Application Start Date: 5/12/2020

Original Award End Date: 3/30/2026

Current Award End Date: 3/30/2026

Application Executive Summary: Amendment One: This amendment represents a partial obligation of the remaining FY 2020 CARES Act Apportionment. Of the \$65,523,351 apportioned to Tennessee's Section 5311 Program, the 00 Grant obligated \$32,816,676, Amendment 01 will obligate \$23,138,172, and the remaining \$9,678,503 is being reserved for Intercity Bus. The amendment utilizes CARES Act funding to help public transit providers respond to and recover from the COVID-19 pandemic. The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The CARES Act 5311 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, and Operating. Pursuant to CRRSAA, TDOT certifies that no transit employees are on mandatory furlough by subrecipients at the time of this amendment, nor do any subrecipients expect budgetary challenges that will require mandatory furloughs in the future.

This project only includes operating expenses and/or capital expenses that do not include substantial functional, location, or capacity changes to the asset or system. Therefore, no additional TIP/STIP documentation is needed.

Original Application:

This is a FFY2020 Section 5311 application in the amount of \$32,816,676 (100% Federal Share), this application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Section 5311 and Appalachian Development Public Transportation Assistance Program funds. This grant is a partial obligation of the CARES Act Apportionment, which totals a federal amount of \$65,523,351. The remainder of the Tennessee CARES apportionment will be obligated after TDOT can better assess the long-lasting impact of the COVID-19 pandemic on our communities across the state. Of the \$32,816,676 obligated in this grant, Tennessee included the full Appalachian apportionment amount of \$1,100,000.

The CARES Act emergency assistance for the 5311 Program will be allocated to Tennessee's existing 5311 Rural subrecipients for operating activities that keep public transit available and safe in all 95 counties of the state. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT used the existing performance-based formula for the 5311 CARES Act allocation. Factors for the formula include the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not obligate funds in this application for Intercity Bus due to ongoing consideration of the impact of COVID-19 on the existing intercity bus service in the state. Pursuant to the outcome of that consideration, TDOT will obligate 5311(f) funds at a later date.

The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting

Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The CARES Act 5311 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, and Operating.

This project only includes operating expenses and/or capital expenses that do not include substantial functional, location, or capacity changes to the asset or system. Therefore, no additional TIP/STIP documentation is needed.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$55,954,848.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$23,138,172.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$55,954,848.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,110,000
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$54,844,848
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$55,954,848

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Tennessee Department Of