

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	KY-2021-004-00
Temporary Application Number	1003-2021-2
Application Name	KY CRRSAA Section 5311 9A 2021.28.18 CR1 Operating Assistance 1003-2021 UZA:211250
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation Cabinet, Kentucky

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL-700 CAPITOL AVE	FRANKFORT	KY	406013410
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	
City	No Union

State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: KY CRRSAA Section 5311 9A 2021.28.18 CR1 Operating Assistance 1003-2021 UZA:211250

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2021-004-00	Final Concurrence / Reservation	Grant	1/15/2021	1/15/2021	No

Application Executive Summary

This is an FFY 2021 Section 5311 9A CRRSAA application in the amount of \$11,647,464, representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. Apportionment is \$11,647,464 and applying for the entire Federal Apportionment. Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes

- Focus is on payroll and rural transit operations
- 100% FEDERAL OPERATING
- There is No 15% Intercity
- Current Section 5311KY Providers will meet and follow the Section 5311 rules and regulations

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSAA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	+1(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433
Roxanne	Ledesma	Transportation Program Specialist	roxanne.ledesma@dot.gov	404-865-5631

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$11,647,464
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,647,464

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-004-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$11,647,464.00	\$0.00	\$11,647,464.00	0
KY-2021-004-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$11,647,464.00	\$0.00	\$11,647,464.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 9A CRRSA Operating KY Rural Operators

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-004-01-00	1003-2021-2-P1	1/15/2021	1/20/2020	6/30/2023

Project Description

Kentucky application Section 5311 for Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) (Pub. L. 116-260, Dec. 27, 2020). Application for available Federal Share \$11,647,464. Zero Apportionment remaining after this application.

100% Federal Operating:

Operating will include transit payroll/salaries/fuel/insurance purchase of mask/PPE/cleaning disinfecting items along with other traditional operating costs.

It will also include rent space to social distance, and to distance driver and/or transit areas during training etc.

Section 5311 Operators will be encouraged to utilize the CRRSA funds before regular Section 5311 formula funds

This project includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

Project Benefits

Allow Kentucky rural transit operators to maintain services, making payroll, paying for fuel, insurance and to purchase cleaning items.

Additional Information

To maintain current transportation services.

Location Description

Congressional districts 1, 2, 4, 5, 6 , UZA 210000

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information**STIP/TIP**

Date: 1/14/2021

Description: STIP statewide for rural transit operators CRRSA federal funds

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$11,647,464
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$11,647,464
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Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-004-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$11,647,464.00	\$0.00	\$11,647,464.00	0
KY-2021-004-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$11,647,464.00	\$0.00	\$11,647,464.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

5311 CRRSAA KY \$11,647,464 Federal and Total Eligible line item is \$11,647,464

- Focus is on payroll and operations
 - 100% Federal Operating
 - No 15% on Intercity
 - Section 5311 transportation providers will follow Section 5311 rules
- Expenses that occurred on or after January 20, 2020 to projected end date.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$11,647,464
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$11,647,464

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Due to COVID begin date and expenses may be from Jan 20, 2020
End Date	6/30/2023	End date projected June 30, 2023

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$11,647,464.00	\$11,647,464.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Roxanne Ledesma

Comment Type	DOL Review for Information
Date	2/1/2021
Comment	Sent to DOL for information only. Feb 1, 2021.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-27, October 1, 2020)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(27), October 1, 2020, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation Cabinet, Kentucky

Recipient ID: 1003

DUNS No: 927328732

Application Information

Federal Award Identification Number: KY-2021-004-00

Application Name: KY CRRSAA Section 5311 9A 2021.28.18 CR1 Operating Assistance 1003-2021 UZA:211250

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2021 Section 5311 9A CRRSAA application in the amount of \$11,647,464, representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. Apportionment is \$11,647,464 and applying for the entire Federal Apportionment. Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes

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The Operational Expenses requested in this application were determined by KYTC. The KYTC understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$11,647,464.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$11,647,464.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$11,647,464.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$11,647,464
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,647,464

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation Cabinet, Kentucky