

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	VT-2020-004-01
Temporary Application Number	1393-2020-6
Application Name	Vermont Care Act 5311 Recovery Operations
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	5/19/2020	
Original Period of Performance End Date	7/31/2021	
Current Period of Performance End Date	7/31/2021	Revision #: 0

Part 1: Recipient Information

Name: Transportation, Vermont Agency Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1393	State Agency	VERMONT AGENCY OF TRANSPORTATION	809376296

Location Type	Address	City	State	Zip
Headquarters	109 STATE ST STE 5	MONTPELIER	VT	056090003
Physical Address	219 N MAIN ST STE 402	BARRE	VT	05641
Mailing Address	219 N MAIN ST STE 402	BARRE	VT	05641

Union Information

Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
Address 1	25 LOUISIANA AVE., NW
Address 2	
City	WASHINGTON
State	District of Columbia

Zipcode	20001
Contact Name	TOM SEVER
Telephone	8024764159
Fax	
E-mail	
Website	
<hr/>	
Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
Address 1	QUARRY HILL RD
Address 2	PO BOX 424
City	BARRE
State	Vermont
Zipcode	05641
Contact Name	RONALD RABIDEAU
Telephone	800-371-17
Fax	802-476-41
E-mail	
Website	
<hr/>	
Union Name	TEAMSTERS, LOCAL NO. 597
Address 1	149 Quarry Hill Road
Address 2	
City	Barre
State	Vermont
Zipcode	56410
Contact Name	Duane Messier
Telephone	800-371-17
Fax	802-476-41
E-mail	
Website	

Part 2: Application Information

Title: Vermont Care Act 5311 Recovery Operations

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
VT-2020-004-01	Final Concurrence / Reservation	Grant	1/6/2021	1/6/2021	No

Application Executive Summary

AMENDMENT#1

This amendment adds \$6,334,189 5311 CARES Act funds to the grant for the original scope of work and

will cover expenses for both SFY 20 and SFY 21.
The total CARES Act funds is now \$11,796,194. Please see attached revised Program of Projects.

ORIGINAL GRANT:

VTrans requests \$5,462,005 million of FFY2020 Section 5311 CARES Act funds allocated directly to Vermont for expenses related to COVID-19. These funds will cover operating expenses which will:

- * Allow the program to continue normal operations and the system improvements, micro-transit pilot projects, and implementation of the Transit app, already planned for FY '20 and '21.
- * Cover 5311 Operations Costs for a portion of FY20 and all of FY21
- * Cover COVID-19 Direct Costs for the proposed Coverage Period– staff time, PPE, cleaning supplies, equipment, etc.

Activities in this grant began on January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

VTrans agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the RobertT. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Timothy	Bradshaw	Public Transit Coordinator	timothy.bradshaw@vermont.gov	802-828-2758
Leah	Sirmin	Community Planner	leah.sirmin@dot.gov	617-494-2459

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$11,796,194
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,796,194

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
VT-2020-004-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$11,796,194.00	\$0.00	\$11,796,194.00	0
VT-2020-004-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$11,796,194.00	\$0.00	\$11,796,194.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Cares Act Vermont 5311 Recovery

Project Number	Temporary Project Number	Date Created	Start Date	End Date
VT-2020-004-01-01	1393-2020-6-P1	1/6/2021	1/20/2020	7/31/2021

Project Description

In addition to the original project description listed below the amendment adds \$6,334,189 in CARES Act funds through June 30, 2021.

FFY20 CARE funding will be used by VTrans to fund Operating expenses through 2020 and allow our rural providers to address any expenses related to providing service during the COVID-19 Pandemic.

Strategic Objectives for FTA CARES Act Funding: Overall goals are to fully address the costs associated with the COVID response, maximize Federal funds to reduce match pressures from State and municipal resources. Current reserved/set-aside funds may be needed for FY21 capital, projection vs. actual adjustments, etc. These funds will allow the program to continue normal operations and the system improvements, micro-transit pilot projects, and implementation of the Transit app, already planned for FY '20 and '21. More specifically the operating funds will cover:

- 1.) 5311 Operations Costs for a portion of FY20 and all of FY21 o Replaces a portion of FY21 Municipal Revenue costs for local match share – assumes tight local budgets and need for some relief; FY20 costs are already covered. Replaces T Fund match share
- 2.) COVID-19 Direct Operating Costs for the proposed Coverage Period– staff time, PPE, cleaning supplies, equipment, etc.
- 3.) Federal share of 5311 operating costs which will potentially allow for reduced FHWA FLEX Funding

Project Benefits

These funds will go directly to our providers: TVT, AT, SEVT, GMCN, GMT Rural, VPTA, Greyhound, Premier, MVRTD, RCT, VT Translines all of which operate in largely rural areas and are providing the necessary transit to those who do not own a vehicle yet still need to travel to work, grocery stores, or medical appointments. These providers play a pivotal role in moving the community more now than ever.

Additional Information

Below is some additional information not included in the original grant but relevant to the project. The project will help cover operating costs normally funded with 5311 formula funds and FHWA funds flexed over to the 5311 program. Additionally, the project will reduce the local match financial burden on the transit providers at a time when soliciting local match from towns and businesses has become increasingly challenging. Towns and businesses are experience major impacts on their budgets a result of the pandemic. Funding will also provide transit providers the ability to implement necessary safety protocols including deep cleaning of buses, purchasing personal protective equipment, increasing service frequencies as needed to maintain social distancing and hazard pay for drivers.

Location Description

State of Vermont

Project Location (Urbanized Areas)

UZA Code	Area Name
500000	Vermont

Congressional District Information

State	District	Representative
Vermont	1	Peter Welch

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$11,796,194
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,796,194

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
VT-2020-004-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$11,796,194.00	\$0.00	\$11,796,194.00	0
VT-2020-004-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed	\$11,796,194.00	\$0.00	\$11,796,194.00	0

Share

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

AMENDMENT #1: Amendment adds \$6,334,189 in CARES funds to cover operating expenses through June 30, 2021.

ORIGINAL GRANT: Funds will be used for all operating activities (net fare revenues) incurred January 20, 2020 forward for demand response, ADA Paratransit, Fixed Route and Shuttle Services. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker. Further information is reference in the attached PoP for a breakdown of providers and amounts.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$11,796,194
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,796,194

Milestone Name	Est. Completion Date	Description
Start of COVID Emergency Operations	1/20/2020	All sub recipients begin incurring expenses related to COVID-19 and official declaration of emergency.
End Date	7/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Leah Sirmin

Comment Type DOL Review for Information

Date 1/12/2021

Comment Per DOL's guidelines FTA is transmitting this grant application for informational purposes through TrAMS to the Department so the Department can notify the labor organizations representing potentially affected employees of the grants approval and their rights under the Special Warranty Arrangement.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-27, October 1, 2020)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this

FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(27), October 1, 2020, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Vermont Agency Of

Recipient ID: 1393

DUNS No: 809376296

Application Information

Federal Award Identification Number: VT-2020-004-01

Application Name: Vermont Care Act 5311 Recovery Operations

Application Start Date: 5/19/2020

Original Award End Date: 7/31/2021

Current Award End Date: 7/31/2021

Application Executive Summary: AMENDMENT#1

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* Allow the program to continue normal operations and the system improvements, micro-transit pilot projects, and implementation of the Transit app, already planned for FY '20 and '21.

* Cover 5311 Operations Costs for a portion of FY20 and all of FY21

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Activities in this grant began on January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

VTrans agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the RobertT. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$11,796,194.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,334,189.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$11,796,194.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$11,796,194
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$11,796,194
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(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Vermont Agency Of