

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	OK-2019-025-02
Temporary Application Number	1565-2019-3
Application Name	OKDOT FY2019 SEC 5311 Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	8/23/2019	
Original Period of Performance End Date	9/30/2025	
Current Period of Performance End Date	9/30/2025	Revision #: 0

Part 1: Recipient Information

Name: Transportation, Oklahoma Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1565	State Agency	OKLAHOMA DEPARTMENT OF TRANSPORTATION	824700074

Location Type	Address	City	State	Zip
Headquarters	2300 N LINCOLN BLVD # 212	OKLAHOMA CITY	OK	731054805
Physical Address	200 NE 21ST	OKLAHOMA CITY	OK	73105
Mailing Address	COMPTROLLER DIVISION	OKLAHOMA CITY	OK	73105

Union Information

Union Name	(Not applicable)
Address 1	-
Address 2	
City	-

State	Oklahoma
Zipcode	-
Contact Name	- -
Telephone	-
Fax	
E-mail	-
Website	
Union Name (Not applicable)	
Address 1	-
Address 2	
City	-
State	Oklahoma
Zipcode	-
Contact Name	- -
Telephone	-
Fax	
E-mail	-
Website	

Part 2: Application Information

Title: OKDOT FY2019 SEC 5311 Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OK-2019-025-02	Final Concurrence / Reservation	Grant	9/14/2020	9/14/2020	No

Application Executive Summary

Amendment 02: 09/14/2020

This amendment is adding \$500,000 of previously unobligated funds and changing the scope of work in original award moving \$1,597,463. The total amount of \$2,097,463 being obligated or moved in FY2019 Section 5311 funds as follows:

Obligate \$500,000 of previously unobligate FY2019 5311 as follows:

Oklahoma State University: \$400,000 (Scope 600) - Preventative Maintenance
Oklahoma State University: \$100,000 (Scope 300) Operating.

Move \$262,638 from Capital (Scope 600) - Preventative Maintenance:

Ki Bois Area Transit System: \$169,688 from Scope 600 to Scope 111 - Capital Rolling Stock for Service Expansion

Pelivan Transit: \$26,477 from Scope 600 to Scope 620 - Project Administration

Southern Oklahoma Rural Transportation System: \$66,473 from Scope 600 to Scope 620 Project Administration

Move \$1,274,530 from Operational (Scope 300):

Ki Bois Area Transit System: \$1,174,530 from Scope 300 to Scope 111 Capital Rolling Stock for Service Expansion
Southern Oklahoma Rural Transportation System: \$100,000 from Scope 300 to Scope 620 Project Administration

Move \$60,295 from Scope 620 Project Administration:
Ki Bois Area Transit System: \$60,295 from Scope 620 to Scope 111 Capital Rolling Stock for Service Expansion

Amendment 01: 05/13/2020

Adding \$800,000 in Section FY19 5311 funds and \$200,000 in local matching funds from OSU for Construction of a Transit Operations/Maintenance Facility to replace their current 52 year old maintenance facility. The project site is located at the southeast quadrant of West Lakeview Avenue and North Western Road in Stillwater, Payne County, Oklahoma at Oklahoma State University. NEPA Clearance Date of June 25, 2019. Budget, site map and schedule have been attached to application documents.

SUMMARY OF FTA FUNDS AVAILABLE

\$16,898,264 - FY-2019 Apportionment for Section 5311

\$ 259,852 - FY-2019 Apportionment for RTAP

\$17,158,116 - TOTAL FUNDS AVAILABLE

\$ 88,500 - Capital Funds

\$13,819,937 - Section 5311 Project Administration and Operating

\$1,689,827- State Administration and Technical Assistance

\$225,758 - RTAP

\$15,824,022 TOTAL FTA FUNDS REQUESTED

\$17,158,116- Total funds available (including RTAP)

\$15,824,022 - Total funds requested under this application

\$ 34,094 - Unobligated RTAP funds

\$500,000 - Unobligated Program Reserve

\$800,000 - Unobligated OSU Capital - Transit Operations & Maintenance Facility

\$1,334,094 - Total Unobligated funds to be applied for in a future amendment.

The funds programmed under this grant will be used to help finance the operating and non-operating costs for 20 subrecipients to maintain reliable transit services within 76 counties. No subrecipient is a Tribal government.

All projects are in category A and zero projects are in category B.

Net costs may exceed required local match minimums; subrecipients will overmatch the Federal Funds as necessary.

Local Match may be provided through the following: cash, service agreements, State Transit Revolving Fund and in-kind contributions. In-Kind Contributions are as follows:

Enid Public Transit - \$33,889 operational, \$97,787 administrative for a total of \$131,676

Call-A-Ride Public Transit - \$10,000 operational, \$600 administrative for a total of \$10,600

Cherokee Strip Transit - \$5,640 operational for a total of \$5,640

Project Administration, Operating Assistance and Preventive Maintenance to be used for administrative and operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

RTAP Training funds to be used for the following: travel, lodging and per diem for transit managers and employees to attend workshops, seminars, conferences, development/presentation of training programs, and the purchase of audiovisual equipment and training materials to complement the training program. RTAP Technical Assistance funds to be used for maintenance of computerized

record keeping and reporting system. This element could include the purchasing of computers and associated equipment and software. Contract for outside services to provide technical assistance to subrecipients (this will include, but is not limited to, on-site technical assistance).

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance. ODOT is the designated recipient and may apply for these funds directly.

NOTE: See Program of Projects for additional information and subrecipient allocation breakout data.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jason	Huff	Project Manager	jhuff@odot.org	(405) 521-2531
Chevonne	Madison	Transportation Program Specialist	chevonne.madison@dot.gov	817-978-0501

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$17,124,022
Local			\$9,757,386
Local/In-Kind			\$147,916
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$27,029,324

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OK-2019-025-01-02	111-00 (111-A5) BUS - ROLLING STOCK	\$1,485,513.00	\$262,149.00	\$1,747,662.00	33
OK-2019-025-01-02	11.13.15 BUY VAN FOR SVC EXPANSION	\$1,485,513.00	\$262,149.00	\$1,747,662.00	33
OK-2019-025-01-02	114-00 (114-A7) BUS: SUPPORT EQUIP AND FACILITIES	\$807,000.00	\$201,750.00	\$1,008,750.00	0
OK-2019-025-01-02	11.42.43 ACQUIRE - ADA VEHICLE EQUIPMENT	\$7,000.00	\$1,750.00	\$8,750.00	0
OK-2019-025-01-02	11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$800,000.00	\$200,000.00	\$1,000,000.00	0
OK-2019-025-01-02	116-00 (116-A6) SIGNAL & COMM EQUIPMENT (BUS)	\$500.00	\$125.00	\$625.00	0
OK-2019-025-01-02	11.62.03 PURCHASE RADIOS	\$500.00	\$125.00	\$625.00	0
OK-2019-025-01-02	300-00 (300-A1) OPERATING ASSISTANCE	\$8,283,229.00	\$8,283,229.00	\$16,566,458.00	0
OK-2019-025-01-02	30.09.01 UP TO 50% FEDERAL SHARE	\$8,283,229.00	\$8,283,229.00	\$16,566,458.00	0
OK-2019-025-01-02	435-00 (435-A4) RURAL TRANSIT ASST PROGRAM	\$225,758.00	\$0.00	\$225,758.00	0

OK-2019-025-01-02		43.50.01	TRAINING	\$105,000.00	\$0.00	\$105,000.00	0
OK-2019-025-01-02		43.50.02	TECHNICAL ASSISTANCE	\$120,758.00	\$0.00	\$120,758.00	0
OK-2019-025-01-02	600-00 (600-A8)		OTHER PROGRAM COSTS	\$2,257,211.00	\$564,303.00	\$2,821,514.00	0
OK-2019-025-01-02		11.7A.00	PREVENTIVE MAINTENANCE	\$2,257,211.00	\$564,303.00	\$2,821,514.00	0
OK-2019-025-01-02	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$1,689,827.00	\$0.00	\$1,689,827.00	0
OK-2019-025-01-02		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,689,827.00	\$0.00	\$1,689,827.00	0
OK-2019-025-01-02	620-00 (620-A2)		PROJECT ADMINISTRATION	\$2,374,984.00	\$593,746.00	\$2,968,730.00	0
OK-2019-025-01-02		11.79.00	PROJECT ADMINISTRATION	\$2,374,984.00	\$593,746.00	\$2,968,730.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: OKDOT FY2019 SEC 5311 Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OK-2019-025-01-02	1565-2019-3-P1	9/14/2020	9/13/2019	9/30/2025

Project Description

The funds programmed under this grant will be used to help finance the operating and non-operating costs for 20 subrecipients to maintain reliable transit services within 76 counties. No subrecipient is a Tribal government.

RTAP Training funds to be used for the following: travel, lodging and per diem for transit managers and employees to attend workshops, seminars, conferences, development/presentation of training programs, and the purchase of audiovisual equipment and training materials to complement the training program. RTAP Technical Assistance funds to be used for maintenance of computerized record keeping and reporting system. This element could include the purchasing of computers and associated equipment and software. Contract for outside services to provide technical assistance to subrecipients (this will include, but is not limited to, on-site technical assistance).

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance. ODOT is the

designated recipient and may apply for these funds directly.

Project Benefits

The 20 subrecipients will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

Additional Information

None provided.

Location Description

Oklahoma Statewide: 76 of 77 Oklahoma Counties

Project Location (Urbanized Areas)

UZA Code	Area Name
400000	Oklahoma

Congressional District Information

State	District	Representative
Oklahoma	2	Markwayne Mullin
Oklahoma	3	Frank D Lucas
Oklahoma	4	Tom Cole
Oklahoma	5	Kendra Horn
Oklahoma	1	Kevin Hern

Program Plan Information

STIP/TIP

Date: 6/30/2019

Description: Not Provided

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,124,022

Local		\$9,757,386
Local/In-Kind		\$147,916
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$27,029,324

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OK-2019-025-01-02	111-00 (111-A5) BUS - ROLLING STOCK	\$1,485,513.00	\$262,149.00	\$1,747,662.00	33
OK-2019-025-01-02	11.13.15 BUY VAN FOR SVC EXPANSION	\$1,485,513.00	\$262,149.00	\$1,747,662.00	33
OK-2019-025-01-02	114-00 (114-A7) BUS: SUPPORT EQUIP AND FACILITIES	\$807,000.00	\$201,750.00	\$1,008,750.00	0
OK-2019-025-01-02	11.42.43 ACQUIRE - ADA VEHICLE EQUIPMENT	\$7,000.00	\$1,750.00	\$8,750.00	0
OK-2019-025-01-02	11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$800,000.00	\$200,000.00	\$1,000,000.00	0
OK-2019-025-01-02	116-00 (116-A6) SIGNAL & COMM EQUIPMENT (BUS)	\$500.00	\$125.00	\$625.00	0
OK-2019-025-01-02	11.62.03 PURCHASE RADIOS	\$500.00	\$125.00	\$625.00	0
OK-2019-025-01-02	300-00 (300-A1) OPERATING ASSISTANCE	\$8,283,229.00	\$8,283,229.00	\$16,566,458.00	0
OK-2019-025-01-02	30.09.01 UP TO 50% FEDERAL SHARE	\$8,283,229.00	\$8,283,229.00	\$16,566,458.00	0
OK-2019-025-01-02	435-00 (435-A4) RURAL TRANSIT ASST PROGRAM	\$225,758.00	\$0.00	\$225,758.00	0

OK-2019-025-01-02		43.50.01	TRAINING	\$105,000.00	\$0.00	\$105,000.00	0
OK-2019-025-01-02		43.50.02	TECHNICAL ASSISTANCE	\$120,758.00	\$0.00	\$120,758.00	0
OK-2019-025-01-02	600-00 (600-A8)		OTHER PROGRAM COSTS	\$2,257,211.00	\$564,303.00	\$2,821,514.00	0
OK-2019-025-01-02		11.7A.00	PREVENTIVE MAINTENANCE	\$2,257,211.00	\$564,303.00	\$2,821,514.00	0
OK-2019-025-01-02	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$1,689,827.00	\$0.00	\$1,689,827.00	0
OK-2019-025-01-02		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,689,827.00	\$0.00	\$1,689,827.00	0
OK-2019-025-01-02	620-00 (620-A2)		PROJECT ADMINISTRATION	\$2,374,984.00	\$593,746.00	\$2,968,730.00	0
OK-2019-025-01-02		11.79.00	PROJECT ADMINISTRATION	\$2,374,984.00	\$593,746.00	\$2,968,730.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Training funds to be used for the following: travel, lodging and per diem for transit managers and employees to attend workshops, seminars, conferences, development/presentation of training programs, and the purchase of audiovisual equipment and training materials to complement the training program.

\$5,000 for all 20 subrecipients and for 1 tribe for a total of \$105,000. The participating subrecipients are as follows:

Beaver City Transit, Call-A-Ride Public Transit, Central Oklahoma Transit System, Cimarron Public Transit System, Cherokee Strip Transit, DELTA Public Transit, Enid Public Transit, First Capital Trolley, Guymon - The Ride, JAMM Transit, Ki Bois Area Transit System, Little Dixie Transit, MAGB, Muskogee County Transit, OSU-Stillwater Community Transit, Pelivan Transit, Red River Transportation Service, Southern Oklahoma Rural Transportation System, Southwest Transit and Washita Valley Transit.

The Tribal Participants are as follows: Ponca Tribe of Oklahoma

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$105,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$105,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2025	

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Technical Assistance funds to be used for maintenance of computerized record keeping and reporting system. This element could include the purchasing of computers and associated equipment and software. Contract for outside services to provide technical assistance to subrecipients (this will include, but is not limited to, on-site technical assistance).

\$120,758 for the University of Oklahoma

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$120,758
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$120,758

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2025	

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	33

Extended Budget Description

Amendment 02: Increasing \$1,404,513 as follows:
 Ki Bois Area Transit System: \$1,404,513 for the purchase of 8 ADA Compliant Vans and 23 ADA Compliant Mini Vans for Service Expansion, Gasoline Powered, 4 years and/or 100,000 miles Useful Life.

 MAGB Transportation Inc. \$81,000 for the purchase of 2 ADA compliant Vans for expansion, Gasoline Powered, 4 years and/or 100,000 miles useful life.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,485,513
Local			\$262,149
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,747,662

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2019	
Contract Award Date	4/1/2020	
Initial Delivery Date	9/1/2022	
Final Delivery Date	9/1/2023	
Contract Completion Date	9/30/2025	

Budget Activity Line Item: 11.62.03 - PURCHASE RADIOS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	AQUISITION	0

Extended Budget Description

Guymon-The Ride: \$500 to purchase two-way radios.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$500
Local			\$125
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$625

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2025	

Budget Activity Line Item: 11.42.43 - ACQUIRE - ADA VEHICLE EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.43	ACQUIRE - ADA VEHICLE EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

Guymon-The Ride: \$7,000 to purchase ADA Equipment

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,000
Local			\$1,750
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,750

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2025	

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Amendment 02: Adding \$400,000 of previously unobligated funds and reducing by \$262,638 for a total adjustment Increase of \$137,362.

Preventive Maintenance activities may include but are not limited to the following: Building maintenance repairs, equipment maintenance and repairs, equipment service agreements, operational support equipment, vehicle maintenance and repairs and vehicle service agreements. Preventive Maintenance to be used for operational maintenance services and activities, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,257,211
Local			\$564,303
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$2,821,514

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2024	

Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	0

Extended Budget Description

Amendment 01: Adding \$800,000 of previously unobligated funds:
 Construction of a Transit Operations/Maintenance Facility: Oklahoma State University
 NEPA Clearance Date of June 25, 2019

The Oklahoma State University (OSU) is constructing a Transit Operations and Maintenance Facility (TOMF) to replace their current 52 year old maintenance facility. The project site is located at the southeast quadrant of West Lakeview Avenue and North Western Road in Stillwater, Payne County, Oklahoma. The site is a 20-acre tract of land and consists of two primary areas: the existing transit station on the west side and a larger undeveloped portion on the east side. This phased project, which is due to commence on phase 1, will allow Oklahoma State University to consolidate their transportation services support personnel, equipment and all related assists and functions to the new facility upon completion. This project will include constructing an approximate 75,000 sq. /ft. operations and maintenance facility, which will have approximately 10-16 bays and parking for 100+ vehicles.

The total budget for Phase 1 of this project is projected to be \$5,000,000. The Transit Operations and Maintenance Facility is currently being funded in multiple grants which are as follows: OK-2019-003: FY2017 Funds Section 5339(b): \$2,400,000; OK-2018-023-01; FY2018 Section 5311: \$800,000; FY2019 Section 5311: \$800,000. Combined, these projects have a total local match of \$1,000,000. For more information and milestones please see the uploaded attachments related to the Transit Operations and Maintenance Facility.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$800,000
Local			\$200,000
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,000,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/13/2019	
Contract Award Date	12/12/2019	
Contract completion Date	2/1/2021	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment 02: Reducing by \$1,274,530

Operating activities may include but are not limited to the following: Clerk salary, dispatcher salary, driver salary, fees and licensing, fringe (operational) fuel, insurance (operational), interest expense, mechanic salary, office and garage space, postage, printing, supervisor salary, supplies, telephone, transportation service agreements, travel (operational), utilities, vehicle insurance. Operating Assistance to be used for operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.
 Local In-Kind Contributions are as follows: Call-a-Ride Public Transit: \$10,000, Cherokee Strip Transit: \$5,640, Enid Pubic Transit: \$33,889 for a Grand Total of \$49,529

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,283,229
Local			\$8,233,700
Local/In-Kind			\$49,529
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$16,566,458
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Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2025	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description
 Amendment 02: Increasing by \$232,655

Project Administration activities may include but are not limited to the following: Administrative support equipment, advertising, audits, bookkeeper salary, director salary, fringe (admin), indirect (admin), legal services, office furnishings, secretary salary, subscriptions and membership fees, training programs, travel (admin). Project Administration to be used for administrative services and activities, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

Local In-Kind Contributions are as follows: Call-a-Ride Transit: \$600; Enid Public Transit: \$97,787 for a Grand Total of \$98,387

Will 3rd Party contractors be used to fulfill this activity line item?
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,374,984
Local			\$495,359
Local/In-Kind			\$98,387
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,968,730

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2025	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,689,827
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,689,827

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$8,283,229.00	\$16,566,458.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,374,984.00	\$2,968,730.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,689,827.00	\$1,689,827.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	0	\$105,000.00	\$105,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$120,758.00	\$120,758.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	33	\$1,485,513.00	\$1,747,662.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 05: Activities, including repairs, replacements, and rehabilitations, designed to promote transportation safety, security, accessibility and effective communication within or adjacent to existing right-of-way, such as: the deployment of Intelligent Transportation Systems and components; installation and improvement of safety and communications equipment, including hazard elimination and mitigation; installation of passenger amenities and traffic signals; and retrofitting existing transportation vehicles, facilities or structures, or upgrading to current standards.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	0	\$500.00	\$625.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either

individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.43	ACQUIRE - ADA VEHICLE EQUIPMENT	0	\$7,000.00	\$8,750.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$2,257,211.00	\$2,821,514.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	0	\$800,000.00	\$1,000,000.00

Part 4: Fleet Details

Fleet Type: Fixed Route

Fleet Comments

The vehicles will be distributed to multiple transit systems in rural areas throughout the State.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	0
	B. Spares	0
	C. Total (A+B)	0
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	0

Fleet Type: Other

Fleet Comments

The vehicles will be distributed to multiple transit systems in rural areas throughout the State.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1

	B. Spares	0
	C. Total (A+B)	1
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	1

Part 5: FTA Review Comments

DOL Certification

Comment By Karen Torre

Comment Type DOL Review for Information

Date 12/1/2020

Comment

FTA Comments for DOL

Comment By Chevonne Madison

Comment Type DOL Review for Information

Date 12/1/2020

Comment For your information and review

Comment By Chevonne Madison

Comment Type DOL Review for Information

Date 1/19/2021

DOL Section 5333(b) Certification
Per FTA program requirements, this grant application is being sent to DOL for information purposes only.

Comment Person to notify at FTA:
Chevonne Madison
chevonne.madison@dot.gov
Transportation Program Specialist
Federal Transit Administration, Region 6
819 Taylor Street, Room 14A02
Fort Worth, TX 76102

(817) 978-0501

Application Review Comments

Comment By Chevonne Madison

Comment Type FTA Post Review Comments for Grantee

Date 9/15/2020

Comment

Application Review Comments

Comment By Chevonne Madison

Comment Type Pre-Award Manager Returns Application

Date 12/8/2020

Comment Please see email

Comment By Chevonne Madison

Comment Type Pre-Award Manager Returns Application

Date 12/30/2020

Comment Please see emailed comments

Application Review Comments

Comment By Marisa Appleton

Comment Type Application Details

Date 12/30/2020

The Oklahoma Department of Transportation (ODOT) must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Comment Specifically, ODOT must comply with the ADA Standards for Transportation Facilities when overseeing subrecipient construction of a transit operations/maintenance facility at OSU. The following is a non-exhaustive list of ADA standards that ODOT must comply with and document compliance of:

- As required under DOT ADA Standard 206.4.1, ODOT must ensure that 60 percent of all public entrances to the facility will be accessible. If a station has only two entrances, then both must be accessible.

- As required under DOT ADA Standard 206.3, ODOT must ensure that accessible routes coincide with or are located in the same area as general circulation paths, and elements such as ramps, elevators, and fare vending and collection areas are placed to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public.
- As required under DOT ADA Standard 406.8, ODOT must ensure that curb ramps will have detectable warnings.
- As required under DOT ADA Standard 810.2, ODOT must ensure that bus boarding and alighting areas are in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy); dimensions (96" long x 60" wide); connection to sidewalks, streets, and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems.
- Recipient must ensure that station platforms must be coordinated with the vehicle floor height.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

For DBE: Please recall 49 CFR Part 26.49(a) states 'If you are an FTA recipient, you must require in your DBE program that each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, certify that it has complied with the requirements of this section.' FTA's current list of eligible TVMs can be found at <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-transit-vehicle-manufacturers>

Please recall 49 CFR Part 26.49(a)(4) states 'FTA recipients are required to submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract in the manner prescribed in the grant agreement.' The Transit Vehicle Award Reporting Forms can be found on FTA's website at <https://www.surveymonkey.com/r/vehicleawardreportsurvey>

Comment By David Bartels

Comment Type Application Details

Date 12/30/2020

Comment

Guidance to recipient for rolling stock procurement.

- Recipient must not acquire telecommunications and video surveillance equipment for rolling stock from: Huawei Technologies Company; ZTE Corporation; Hytera Communications Corporation; Hangzhou Hikvision Digital Technology Company; or Dahua Technology Company. This prohibition includes rolling stock telecommunications and video surveillance equipment, commercial items and micro-purchases.
- Recipients must not procure rolling stock from certain transit vehicle manufacturers. Refer to 49 U.S.C. Section 5323(u) of federal public transportation law, which limits the use of FTA funds, and in some circumstances local funds, to procure rolling stock from certain transit vehicle manufacturers. Refer to <https://www.transit.dot.gov/funding/procurement/frequently-asked-questions-regarding-section-7613-national-defense> for additional guidance.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, 3rd Party Contracting Guidance as they apply to acquiring rolling stock including 49 CFR Part 661, Buy America Requirements, 49 CFR 663, P

Award and Post-Delivery Audits, accessibility, minimum service life, spare ratios, air pollution and fuel economy testing, in-state dealers, basis for contract award and five-year limitation.

- Recipient must comply with the Recipient's procurement policies and procedures as they apply to FTA-funded projects.

Guidance to recipient for Telecommunications and Video Surveillance Equipment procurement.

- Recipient must not acquire telecommunications and video surveillance equipment from: Huawei Technologies Company; ZTE Corporation; Hytera Communications Corporation; Hangzhou Hikvision Digital Technology Company or Dahua Technology Company. This prohibition includes rolling stock telecommunications and video surveillance equipment, commercial items and micro-purchases.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, 3rd Party Contracting Guidance as they apply to acquiring Telecommunications and Video Surveillance Equipment.
- Recipient must comply with the Recipient's procurement policies and procedures as they apply to FTA-funded projects.

Guidance to recipient for administration/maintenance facility construction.

- Recipient must not acquire telecommunications and video surveillance equipment from: Huawei Technologies Company; ZTE Corporation; Hytera Communications Corporation; Hangzhou Hikvision Digital Technology Company or Dahua Technology Company. This prohibition includes rolling stock telecommunications and video surveillance equipment, commercial items and micro-purchases.
- If property acquisition is required, recipient must consult with FTA.
- ADA improvements must be within 1,500 feet of bus shelters, if applicable.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, Third Party Contracting Guidance as they apply to construction contracts including 49 CFR Part 661, Buy America Requirements, 49 U.S.C. Section 5333(a), Davis-Bacon Act, (prevailing wage protections), as they apply to laborers and mechanics employed on assisted projects, Americans with Disabilities Act, and Disadvantaged Business Enterprise Program.
- Recipient must comply with the Recipient's procurement policies and procedures as they apply to FTA-funded projects.
- Recipient is encouraged to use the FTA Construction Project Management Handbook, February 2016, https://www.transit.dot.gov/sites/fta.dot.gov/files/docs/FTA_Construction_Project_Management_Handbook_2016.pdf, Webinar: Applying the Construction Project Management Handbook, <https://connectdot.connectsolutions.com/p1v15vi6agp/>, the FTA Project and Construction Management Guidelines, March 2016, https://www.transit.dot.gov/sites/fta.dot.gov/files/docs/FTA_Project_and_Construction_Mgmt_Guidelines_2016.pdf, and FTA Quality Management System Guidelines, October 2019, https://www.transit.dot.gov/sites/fta.dot.gov/files/docs/funding/grant-programs/capital-investments/8536/final-qms-guidelines-2019_1.pdf.

The information provided above is intended as technical assistance and applies only to the projects as described. Should the project scopes change or new information on the projects be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Oklahoma Department Of

Recipient ID: 1565

DUNS No: 824700074

Application Information

Federal Award Identification Number: OK-2019-025-02

Application Name: OKDOT FY2019 SEC 5311 Funds

Application Start Date: 8/23/2019

Original Award End Date: 9/30/2025

Current Award End Date: 9/30/2025

Application Executive Summary: Amendment 02: 09/14/2020

This amendment is adding \$500,000 of previously unobligated funds and changing the scope of work in original award moving \$1,597,463. The total amount of \$2,097,463 being obligated or moved in FY2019 Section 5311 funds as follows:

Obligate \$500,000 of previously unobligate FY2019 5311 as follows:

Oklahoma State University: \$400,000 (Scope 600) - Preventative Maintenance
Oklahoma State University: \$100,000 (Scope 300) Operating.

Move \$262,638 from Capital (Scope 600) - Preventative Maintenance:
Ki Bois Area Transit System: \$169,688 from Scope 600 to Scope 111 - Capital Rolling Stock for Service Expansion
Pelivan Transit: \$26,477 from Scope 600 to Scope 620 - Project Administration
Southern Oklahoma Rural Transportation System: \$66,473 from Scope 600 to Scope 620 Project Administration

Move \$1,274,530 from Operational (Scope 300):
Ki Bois Area Transit System: \$1,174,530 from Scope 300 to Scope 111 Capital Rolling Stock for Service Expansion
Southern Oklahoma Rural Transportation System: \$100,000 from Scope 300 to Scope 620 Project Administration

Move \$60,295 from Scope 620 Project Administration:
Ki Bois Area Transit System: \$60,295 from Scope 620 to Scope 111 Capital Rolling Stock for Service Expansion

Amendment 01: 05/13/2020

Adding \$800,000 in Section FY19 5311 funds and \$200,000 in local matching funds from OSU for Construction of a Transit Operations/Maintenance Facility to replace their current 52 year old maintenance facility. The project site is located at the southeast quadrant of West Lakeview Avenue and North Western Road in Stillwater, Payne County, Oklahoma at Oklahoma State University. NEPA Clearance Date of June 25, 2019. Budget, site map and schedule have been attached to application documents.

SUMMARY OF FTA FUNDS AVAILABLE

\$16,898,264 - FY-2019 Apportionment for Section 5311

\$ 259,852 - FY-2019 Apportionment for RTAP

\$17,158,116 - TOTAL FUNDS AVAILABLE

\$ 88,500 - Capital Funds

\$13,819,937 - Section 5311 Project Administration and Operating

\$1,689,827- State Administration and Technical Assistance

\$225,758 - RTAP

\$15,824,022 TOTAL FTA FUNDS REQUESTED

\$17,158,116- Total funds available (including RTAP)

\$15,824,022 - Total funds requested under this application

\$ 34,094 - Unobligated RTAP funds

\$500,000 - Unobligated Program Reserve

\$800,000 - Unobligated OSU Capital - Transit Operations & Maintenance Facility

\$1,334,094 - Total Unobligated funds to be applied for in a future amendment.

The funds programmed under this grant will be used to help finance the operating and non-operating costs for 20 subrecipients to maintain reliable transit services within 76 counties. No subrecipient is a Tribal government.

All projects are in category A and zero projects are in category B.

Net costs may exceed required local match minimums; subrecipients will overmatch the Federal Funds as necessary.

Local Match may be provided through the following: cash, service agreements, State Transit Revolving

Fund and in-kind contributions. In-Kind Contributions are as follows:

Enid Public Transit - \$33,889 operational, \$97,787 administrative for a total of \$131,676

Call-A-Ride Public Transit - \$10,000 operational, \$600 administrative for a total of \$10,600

Cherokee Strip Transit - \$5,640 operational for a total of \$5,640

Project Administration, Operating Assistance and Preventive Maintenance to be used for administrative and

operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

RTAP Training funds to be used for the following: travel, lodging and per diem for transit managers and employees to attend workshops, seminars, conferences, development/presentation of training programs, and the purchase of audiovisual equipment and training materials to complement the training program. RTAP Technical Assistance funds to be used for maintenance of computerized record keeping and reporting system. This element could include the purchasing of computers and associated equipment and software. Contract for outside services to provide technical assistance to subrecipients (this will include, but is not limited to, on-site technical assistance).

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance. ODOT is the designated recipient and may apply for these funds directly.

NOTE: See Program of Projects for additional information and subrecipient allocation breakout data.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$27,029,324.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$500,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): (\$934,173.00)

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$17,124,022.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$9,905,302.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,124,022
Local			\$9,757,386

Local/In-Kind		\$147,916
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$27,029,324

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 12/1/2020

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and

- (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Oklahoma Department Of