

## Application

<b>Federal Award Identification Number (FAIN)</b>	CO-2021-008-00
<b>Temporary Application Number</b>	1130-2021-1
<b>Application Name</b>	Colorado DOT Section 5311 CARES Act Phase II- Operations and Project Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Transportation, Colorado Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1130	State Agency	Colorado Department of Transportation	960738771

Location Type	Address	City	State	Zip
Headquarters	4201 E ARKANSAS AVE	DENVER	CO	802223406
Physical Address	2829 W HOWARD PL	DENVER	CO	80204
Mailing Address	2829 W HOWARD PL	DENVER	CO	80204

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Colorado DOT Section 5311 CARES Act Phase II- Operations and Project Administration**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
CO-2021-008-00	Final Concurrence / Reservation	Grant	12/31/2020	12/31/2020	No

**Application Executive Summary**

This is for FFY20 Section 5311 CARES Act grant in the amount of \$12,026,879 (partial remaining apportionment) of the full \$39,754,866 apportionment. This is CDOT's phase 2 CARES Act grant, the first CARES grant was CO-2020-028 (\$27,727,987), and has been fully disbursed. Per the CARES Act, the grant requests 100% federal share. This Phase 2 Grant utilizes CARES Act funds to prevent, prepare for, and respond to COVID-19.

This grant scope of work includes one project:

P1: Operating assistance for 36 rural transit agencies and project administration for 23 rural transit agencies

Details are included in the Program of Projects (POP) in the application documents.

CDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	303-362-2392
Qing	Lin	Transit Programming Manager	qing.lin@state.co.us	303-757-9973
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	303-362-2414

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,026,879
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$12,026,879</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$10,130,932.00	\$0.00	\$10,130,932.00	36
CO-2021-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$10,130,932.00	\$0.00	\$10,130,932.00	36
CO-2021-008-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$1,895,947.00	\$0.00	\$1,895,947.00	23

CO-2021-008-01-00	11.79.00	PROJECT ADMINISTRATION	\$1,895,947.00	\$0.00	\$1,895,947.00	23
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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CARES Act Phase II : Operating Assistance and Project Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2021-008-01-00	1130-2021-1-P1	12/31/2020	1/1/2021	12/31/2021

#### Project Description

FFY2020 CARES Act 5311 funds will be used for two activities for Colorado rural public transit agencies: operating and project administration in the phase II distribution in the amount of \$12,026,879.

Please see Program of Projects (POP) for the detailed information by rural agencies and amounts allocated.

#### Project Benefits

The funds are essential for transit agencies in rural communities to continue to provide transit service during the COVID-19 pandemic. These rural communities provide transportation for the general public, elderly and individuals with disabilities to shopping, employment, medical, work, and social appointments. Colorado rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

#### Additional Information

*None provided.*

#### Location Description

Please see the POP for the detailed service areas for each rural transit agency.

## Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

## Congressional District Information

State	District	Representative
Colorado	1	Diana DeGette
Colorado	2	Joe Neguse
Colorado	3	Scott R Tipton

Colorado	4	Ken Buck
Colorado	5	Doug Lamborn
Colorado	7	Ed Perlmutter

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project includes only operations, project administration and PM with no substantial functional, location or capacity change. Per CARES Act requirements, no STIP documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,026,879
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$12,026,879</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$10,130,932.00	\$0.00	\$10,130,932.00	36
CO-2021-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100%	\$10,130,932.00	\$0.00	\$10,130,932.00	36

Fed Share						
CO-2021-008-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$1,895,947.00	\$0.00	\$1,895,947.00	23
CO-2021-008-01-00	11.79.00	PROJECT ADMINISTRATION	\$1,895,947.00	\$0.00	\$1,895,947.00	23

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	36

#### Extended Budget Description

FFY 2020 CARES ACT 5311 Phase 2 funds were distributed to 36 Colorado rural public transit agencies for operating assistance. Federal share is 100%.

Operating funds will cover expenses from January 1, 2021 to December 31, 2021, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits, and licenses. See attached Program of Projects for a list of rural transit agencies. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$10,130,932
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,130,932</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	The funds were made available on 1/20/2020, this phase 2 starts on 1/1/2021.

End Date	12/31/2021	The funds are expected to be spent by the end of 2021.
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**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	23

**Extended Budget Description**

FFY2020 CARES Act Phase II funds will be used by 23 transit agencies for Project Administration to provide transportation services in their communities and coordinate with other transit agencies in surrounding communities for public transportation. Federal share is 100%.

Project Admin expenses are from January 1, 2021 to December 31, 2021 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,895,947
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,895,947</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	The funds are made available on 1/20/2020, this funds phase 2 starting 1/1/2021.
End Date	12/31/2021	The funds are expected to be expended by the end of 2021.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	1/7/2021

**Part 4: Fleet Details**

No fleet data exists for this application.

**Part 5: FTA Review Comments**

**FTA Comments for DOL**

**Comment By Emma Belmont**

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Comment Type	DOL Review for Information
Date	1/27/2021
Comment	Grantee Contact:  Qing Lin, Transit Programming Unit Manager Certified Transit Program Administrator P 303.757.9973   F 303.757.9727 2829 West Howard PL, Suite 422 f, Denver, CO 80204 qing.lin@state.co.us   <a href="http://www.codot.gov">http://www.codot.gov</a>   <a href="http://www.cotrip.org">www.cotrip.org</a>

**Application Review Comments**

**Comment By Emma Belmont**

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Comment Type	Environmental Findings
Date	1/7/2021
Project Title	CARES Act Phase II : Operating Assistance and Project Admin
Project Number	CO-2021-008-01-00



## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-27, October 1, 2020)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(27), October 1, 2020, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Colorado Department Of

Recipient ID: 1130

DUNS No: 960738771

#### **Application Information**

Federal Award Identification Number: CO-2021-008-00

Application Name: Colorado DOT Section 5311 CARES Act Phase II- Operations and Project Administration

Application Start Date: N/A

Original Award End Date: 3/31/2022

Current Award End Date: N/A

Application Executive Summary: This is for FFY20 Section 5311 CARES Act grant in the amount of \$12,026,879 (partial remaining apportionment) of the full \$39,754,866 apportionment. This is CDOT's phase 2 CARES Act grant, the first CARES grant was CO-2020-028 (\$27,727,987), and has been fully disbursed. Per the CARES Act, the grant requests 100% federal share. This Phase 2 Grant utilizes CARES Act funds to prevent, prepare for, and respond to COVID-19.

This grant scope of work includes one project:

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Details are included in the Program of Projects (POP) in the application documents.

CDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$12,026,879.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$12,026,879.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$12,026,879.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,026,879
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$12,026,879</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,

- (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Colorado Department Of*