

Application

Federal Award Identification Number (FAIN)	CO-2021-007-00
Temporary Application Number	1130-2020-6
Application Name	FY19/20 Section 5311 - Operating, Capital, State and Program Admin, ICB and RTAP
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Colorado Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1130	State Agency	Colorado Department of Transportation	960738771

Location Type	Address	City	State	Zip
Headquarters	2829 W HOWARD PL	DENVER	CO	802042305
Physical Address	2829 W HOWARD PL	DENVER	CO	80204
Mailing Address	2829 W HOWARD PL	DENVER	CO	80204

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY19/20 Section 5311 - Operating, Capital, State and Program Admin, ICB and RTAP

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CO-2021-007-00	Final Concurrence / Reservation	Grant	9/9/2020	9/9/2020	No

Application Executive Summary

This grant will use FY19 and FY20 5311 Apportionments. CDOT selected 2020 transit projects in Colorado rural areas totaling:

- \$721,679 - (FFY 2019 5311 remaining apportionment - to be applied to operating scope)
- + \$179,595 - (FFY 2020 RTAP full apportionment - to be applied to RTAP scope)
- + \$12,657,558 - (FFY 2020 5311 partial apportionment - to be applied to all other scopes)

 Total: \$13,558,832

Available apportionment:

- \$13,248,406- (FFY 2020 5311 full Apportionment amount excluding RTAP)
- \$12,657,558 - (FFY 2020 5311 applied in this application excluding RTAP)

 \$590,848 – REMAINING FFY 2020 5311 apportionment (CDOT will apply for remaining FFY 2020 funds with a FFY2021 grant application)

PROGRAM REQUIREMENTS:

FFY19 funding program requirements have been satisfied in grant CO-2019-030

FFY20 funding program requirements in this application total:

6.79% of FFY 2020 5311 funds are for State Admin funding, satisfying the 10% maximum admin requirement.

15.00% of FFY2020 5311 funds toward 5311 (f) projects, meets the 15% minimum ICB program requirement.

CDOT has entered and rolled the FFY2020 5311 program into CDOT 2021-2024 STIP document. The STIP # is SST6729.00 for all 5311 pooled activities. The total amount of SST 6729 under the roll forward amount, includes FFY 2019 and FFY2020 funds. The STIP page is attached.

CDOT has selected 2020 5311 projects under pre-award authority. All projects have been evaluated and selected under FTA regulation and CDOT State Management Plan. All documentation is on file with CDOT DTR.

All local match sources by project are captured in the Program of Projects (POP). All local match sources were confirmed as eligible local match sources.

Documents Attached:

- Program of Projects (POP)
- STIP pages
- Transportation Development Credits approval letter

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	303-362-2392
Qing	Lin	Transit Programming Manager	qing.lin@state.co.us	303-757-9973
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	303-362-2414

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$13,558,832
Local			\$8,837,496
Local/In-Kind			\$997,520
State			\$11,686
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$546,975
Adjustment			\$0
Total Eligible Cost			\$23,405,534

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-007-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$9,368,948.00	\$8,568,425.00	\$17,937,373.00	46
CO-2021-007-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$508,834.00	\$62,077.00	\$570,911.00	6
CO-2021-007-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$241,536.00	\$60,384.00	\$301,920.00	1
CO-2021-007-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$90,920.00	\$22,730.00	\$113,650.00	1
CO-2021-007-01-00	11.12.15 BUY REPLACEMENT VAN	\$139,232.00	\$34,808.00	\$174,040.00	2
CO-2021-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$8,388,426.00	\$8,388,426.00	\$16,776,852.00	36
CO-2021-007-01-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$900,000.00	\$0.00	\$900,000.00	1
CO-2021-007-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$900,000.00	\$0.00	\$900,000.00	1
CO-2021-007-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$1,123,028.00	\$280,757.00	\$1,403,785.00	25
CO-2021-007-01-00	11.79.00 PROJECT ADMINISTRATION	\$1,123,028.00	\$280,757.00	\$1,403,785.00	25
CO-2021-007-01-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$1,987,261.00	\$997,520.00	\$2,984,781.00	5
CO-2021-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$997,520.00	\$997,520.00	\$1,995,040.00	2
CO-2021-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$989,741.00	\$0.00	\$989,741.00	3
CO-2021-007-01-00	635-00 RURAL TRANSIT ASSISTANCE PROGRAM	\$179,595.00	\$0.00	\$179,595.00	1

00	(635-A4)					
CO-2021-007-01-00	43.50.01	TRAINING	\$179,595.00	\$0.00	\$179,595.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 2019/2020 5311 Projects

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2021-007-01-00	1130-2020-6-P1	9/9/2020	1/1/2020	12/31/2022

Project Description

This project covers 5 scope activities:

1) 600-00 Other Program Costs

This scope includes:

A) the replacement of 10 vehicles, all at an 80/20 Federal share ratio (FTA amount of \$980,522/Local amount of \$179,999). Cripple Creek was recently approved for using Toll Credits due to lack of local match. The Toll Credit request is attached within this application

B) operating assistance for 36 rural transit providers with a 50/50 cost share (FTA amount of \$8,388,426/local amount \$8,388,426).

2) 610-00 State Admin

CDOT will utilize \$900,000 (FTA) at a 100/0 match rate for state admin expenses. \$900,000 is approximately 6.79% of FFY2019 5311 apportionment, and is below the 10% cap available for state admin.

3) 635-00 RTAP

CDOT applies for the full amount of 2020 5311(b) apportionment of RTAP funding, \$179,595, (100% FTA), for training and conferences conducted by CASTA.

4) 620-00 Project Admin.

2020 5311 Project Admin funds were awarded at FTA Amount of \$1,123,028/Local amount of \$280,757, with a 80/20 local match rate.

5) 634-00 Intercity Bus (ICB)

Five ICB route services were selected for FTA ICB funds in the federal amount of \$1,987,261/local amount of \$997,520, and Transportation Development Credits in the amount of \$494,870. Two routes utilized Greyhound In-Kind match at a 50/50 rate. The In-Kind match letters are attached within this application. Three routes were approved for Transportation Development Credits, which essentially increases the federal share to 100% of the total service cost. The Transportation Development Credit Memos and approval emails are attached within this application. Please see details on Budget Activity Line item for SRDA and SoCoCaa projects.

Project Benefits

CDOT utilized 2019/2020 5311 funds to 1) enhance the access of people in rural areas to health care, shopping, education, recreation, public services and employment by encouraging the development, maintenance, improvement, and use of public transportation services., and 2) to develop, support, and promote a network of intercity bus (ICB) transportation services in Colorado.

Additional Information

Although the State of Colorado is eligible for sliding scale match ratios, CDOT does not apply sliding scale ratios as a matter of policy described in CDOT's State Management Plan.

Location Description

Please see POP for details on each sub-recipients service area.

Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

Congressional District Information

State	District	Representative
Colorado	1	Diana DeGette
Colorado	2	Joe Neguse
Colorado	3	Scott R Tipton
Colorado	4	Ken Buck
Colorado	5	Doug Lamborn
Colorado	6	Jason Crow
Colorado	7	Ed Perlmutter

Program Plan Information

STIP/TIP

Date: Not Provided

Description: CDOT has entered and rolled all 2020 5311 projects into the current CDOT SFY 2021-2024 STIP under the program pool ID- SST6729.00.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$13,558,832
Local			\$8,837,496
Local/In-Kind			\$997,520
State			\$11,686
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$546,975
Adjustment			\$0
Total Eligible Cost			\$23,405,534

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-007-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$9,368,948.00	\$8,568,425.00	\$17,937,373.00	46
CO-2021-007-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$508,834.00	\$62,077.00	\$570,911.00	6
CO-2021-007-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$241,536.00	\$60,384.00	\$301,920.00	1
CO-2021-007-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$90,920.00	\$22,730.00	\$113,650.00	1
CO-2021-007-01-00	11.12.15 BUY REPLACEMENT VAN	\$139,232.00	\$34,808.00	\$174,040.00	2
CO-2021-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$8,388,426.00	\$8,388,426.00	\$16,776,852.00	36
CO-2021-007-01-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$900,000.00	\$0.00	\$900,000.00	1
CO-2021-	11.80.00 STATE OR PROGRAM	\$900,000.00	\$0.00	\$900,000.00	1

007-01-00 ADMINISTRATION						
CO-2021-007-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$1,123,028.00	\$280,757.00	\$1,403,785.00	25
CO-2021-007-01-00	11.79.00	PROJECT ADMINISTRATION	\$1,123,028.00	\$280,757.00	\$1,403,785.00	25
CO-2021-007-01-00	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$1,987,261.00	\$997,520.00	\$2,984,781.00	5
CO-2021-007-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$997,520.00	\$997,520.00	\$1,995,040.00	2
CO-2021-007-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$989,741.00	\$0.00	\$989,741.00	3
CO-2021-007-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$179,595.00	\$0.00	\$179,595.00	1
CO-2021-007-01-00	43.50.01	TRAINING	\$179,595.00	\$0.00	\$179,595.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

This ALI includes replacement vehicles for two providers:

- 1) SMART, one gasoline ADA accessible van replacement
- 2) Telluride, one gasoline ADA accessible van replacement

The useful life for the new vans is 100,000 miles and 4 years.

CDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their

useful life. The POP has additional details for each grantee, such as local match (80/20), and replacement vehicle information, including age, mileage and VIN is included in the POP.

Projects in this rolling stock scope qualify as Class II(C)-Categorical Exclusions (C-List) Type 07: Acquisition, Maintenance of Vehicles/Equipment. The useful life for rolling stock and match rate is per CDOT's SMP.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$139,232
Local			\$34,808
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$174,040

Milestone Name	Est. Completion Date	Description
RFP/IFB Date	3/30/2020	CDOT Selected 2020 Capital projects.
Contract Issued Date	10/31/2020	The grant agreements executed.
First Vehicle Delivery Date	10/31/2021	The first vehicle delivered.
Last Vehicle Delivery Date	10/31/2022	The last vehicle delivered.
Contract Closed Date	12/31/2022	The grant agreement closed.

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This line item includes one gasoline ADA accessible cutaway bus replacement awarded to Via. The useful life for the new bus is 150,000 miles and 5 years.

CDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their useful life. The POP has additional details for each grantee, such as local match (80/20), and replacement vehicle information, including age, mileage and VIN is included in the POP.

Projects in this rolling stock scope qualify as Class II(C)-Categorical Exclusions (C-List) Type 07: Acquisition, Maintenance of Vehicles/Equipment. The useful life and match rate for rolling stock is per CDOT's SMP.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$90,920
Local			\$22,730
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$113,650

Milestone Name	Est. Completion Date	Description
RFP/IFB Date	3/30/2020	CDOT selected 2020 capital projects
Contract Date	10/1/2020	The grant agreement executed.
Vehicle Delivery Date	10/31/2021	The vehicle delivered.
Contract Closed Date	12/31/2021	The contract closed.

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This line item includes one diesel ADA accessible 30 ft bus replacement awarded to San Miguel Authority for Regional Transportation (S.M.A.R.T.).

The useful life for the new bus is 350,000 miles and 10 years.

CDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their useful life. The POP has additional details for each grantee, such as local match (80/20), and replacement vehicle information, including age, mileage and VIN is included in the POP.

Projects in this rolling stock scope qualify as Class II(C)-Categorical Exclusions (C-List) Type 07: Acquisition, Maintenance of Vehicles/Equipment. The useful life and match rate for rolling stock is per CDOT's SMP.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$241,536
Local			\$60,384
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$301,920

Milestone Name	Est. Completion Date	Description
RFP/IFB Date	3/30/2020	CDOT selected 2020 capital awards.
Contract Date	10/31/2020	The grant agreement executed.
Vehicle Delivery Date	10/31/2022	The vehicle delivered.
Contract Close Date	12/31/2022	The contract closed.

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	6

Extended Budget Description

This line items includes six 30 foot gasoline buses to replace six buses that have met their useful lives (see the attached POP for details) for 4 transit agencies:

- 1) Cripple Creek, two gasoline ADA accessible 30 ft bus replacement;
- 2) Durango, one gasoline ADA accessible 30 ft bus replacement;
- 3) NECALG, two gasoline ADA accessible 30 ft bus replacement;
- 4) Prowers County, one gasoline ADA accessible 30 ft bus replacement .

The minimum useful life of the new vehicles is 350,000 miles/10 years.

CDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their useful life. The POP has additional details for each grantee, such as local match (80/20), and replacement vehicle information, including age, mileage and VIN is included in the POP.

Projects in this rolling stock scope qualify as Class II(C)-Categorical Exclusions (C-List) Type 07: Acquisition, Maintenance of Vehicles/Equipment. The useful life and match rate for rolling stock is per CDOT's SMP.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$508,834
Local			\$50,391
Local/In-Kind			\$0
State			\$11,686
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$52,105
Adjustment			\$0
Total Eligible Cost			\$570,911

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/1/2020	CDOT Selected 2020 capital projects.
Contract Award Date	10/1/2020	All grant agreements executed
First Vehicle Delivery Date	10/31/2021	The first vehicle delivered
Last Vehicle Delivery Date	10/31/2022	The last vehicle delivered.
Contract Closed Date	12/31/2022	All grant agreement closed.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	3

Extended Budget Description

This ALI covers three ICB service routes operated by two private no-profit providers:

1. Pueblo SRDA was awarded \$244,890 FTA 5311(f) funds for operating assistance for service between Lamar and Colorado Springs from 1/1/2020 to 12/31/2021.
2. Pueblo SRDA was also awarded \$265,120 FTA 5311 (f) funds for operating assistance for service between Alamosa and Pueblo from 1/1/2020 to 12/31/2021.
3. Durango SoCoCaa was awarded \$479,731 FTA 5311(f) funds for operating assistance for service between Durango and Grand Junction from 1/1/2020 to 12/31/2021.

The local matching funds were provided through Transportation Development Toll Credits (TDC). The TDC memos to FTA and FHWA were attached within the application.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$989,741
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$494,870
Adjustment			\$0
Total Eligible Cost			\$989,741

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	The ICB services begin
End Date	12/31/2021	The ICB services end

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	36

Extended Budget Description

This line items includes 36 sub-recipients that were awarded 2020 operating assistance. The original CDOT contract with the subrecipient covered the period of 1/1/2020 to 12/31/2020, but with the infusion of CARES Act Funds, CDOT amended 2020 operating grant agreements to extend the end date to 12/31/2021. Therefore, this grant covers the operating timeframe of 1/1/2020 through 12/31/2021.

Please see POP for project details.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,388,426
Local			\$8,388,426
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$16,776,852
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Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	Operating services begin
End Date	12/31/2021	Operating services end

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	25

Extended Budget Description

This line items includes 25 sub-recipients awarded 2020 project admin funds. The original CDOT contract with the subrecipient covered the period of 1/1/2020 to 12/31/2020, but with the infusion of CARES Act Funds, CDOT amended 2020 operating grant agreements to extend the end date to 12/31/2021. Therefore, this grant covers the timeframe of 1/1/2020 through 12/31/2021.

Please see POP for detail projects.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,123,028
Local			\$280,757
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,403,785

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	The project admin begins
End Date	12/31/2021	The project admin ends

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	2
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Extended Budget Description

This line item includes two private for-profit transit providers: Greyhound and Alpine Express.

1. Greyhound was awarded \$552,261 FTA operating assistance to operate Denver to Salt Lake route service from 1/1/2020 to 12/31/2021.
2. Alpine Express was awarded \$445,259 FTA operating assistance to operate Gunnison to Denver route service from 1/1/2020 to 12/31/2021.

The local match will be provided through Greyhound In-Kind match. The in-kind match letters are attached within this application. The Greyhound letter notes that \$877,084 is available for in-kind local share, however only \$552,261 is being utilized to meet the 50/50 cost share. Similarly, the Alpine letter notes that \$492,531 is available for in-kind local match, however, only \$445,259 is being utilized to meet the 50/50 cost share.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$997,520
Local			\$0
Local/In-Kind			\$997,520
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,995,040

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	The services start
End Date	12/31/2021	The services end

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

This line item includes CDOT's RTAP program funds. CDOT contracts with the Colorado Association of Transit Agencies (CASTA) to carry out most of its RTAP program, to accomplish the following goals:

- Provide and arrange training to rural transportation providers
- Provide technical assistance to rural agencies
- Promote research into rural transit issues and possible solutions
- Serve as an information clearinghouse for information related to rural transit
- Maintain a library of resources
- Coordinate with national organizations such as CTAA, APTA, and TRB to disseminate information relevant to rural transit providers
- Communicate relevant information of interest to rural transportation providers via newsletters, workshops and an annual conference

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$179,595
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$179,595

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	2020 CASTA contract starts
End Date	12/31/2020	The contract ends

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

CDOT will utilize \$900,000 (FTA) at a 100/0 match rate for state admin expenses, staff salaries and benefits, and consultant services to provide FTA grants administration, planning and technical assistance. Consultant services may be utilized for Drug and Alcohol testing support, CASTA transit coordination support, Asset Management, price agreement and transit and rail planning support, as needed. Consultants will be procured according to State Procurement standards, likely through on-call contracts already in place.

\$900,000 is approximately 6.79% of FFY2020 5311 apportionment, and is below the 10% cap available for state admin.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$900,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$900,000

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	CDOT will start to draw down the funds.
End Date	12/31/2022	CDOT will complete the drawdowns on the state admin funds.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	36	\$8,388,426.00	\$16,776,852.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	25	\$1,123,028.00	\$1,403,785.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	2	\$997,520.00	\$1,995,040.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$179,595.00	\$179,595.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$900,000.00	\$900,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program

guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	3	\$989,741.00	\$989,741.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	6	\$508,834.00	\$570,911.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	1	\$241,536.00	\$301,920.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	1	\$90,920.00	\$113,650.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	11/10/2020

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	2	\$139,232.00	\$174,040.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Emma Belmont

Comment Type	DOL Review for Information
Date	1/11/2021
Comment	<p>Grantee contact: Qing Lin, Transit Programming Unit Manager Certified Transit Program Administrator P 303.757.9973 F 303.757.9727 2829 West Howard PL, Suite 422 f, Denver, CO 80204 qing.lin@state.co.us http://www.codot.gov www.cotrip.org</p>

Application Review Comments

Comment By Morgan Hecht

Comment Type	Application Details
Date	11/9/2020
Comment	<p>Requirements to Remember for: FTA-assisted vehicle purchases: Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website: https://www.surveymonkey.com/r/vehicleawardreportsurvey As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved: https://www.transit.dot.gov/TVM These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming</p>

vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact FTA to confirm.

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

Application Review Comments

Comment By Emma Belmont

Comment Type	Environmental Findings
Date	11/10/2020
Project Title	2019/2020 5311 Projects
Project Number	CO-2021-007-01-00
Comment	Concur with CE C-list selections

Comment By Emma Belmont

Comment Type	Environmental Findings
Date	11/10/2020
Project Title	2019/2020 5311 Projects
Project Number	CO-2021-007-01-00
Comment	concur

Application Review Comments

Comment By Emma Belmont

Comment Type Pre-Award Manager Returns Application

Date 11/17/2020

Comment Qing,
I made some edits based on the Planning Director's requests. You indicated there is a change to the local match for at least one project so I am sending it back to you to fix that.
Thanks,
Emma

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Colorado Department Of

Recipient ID: 1130

DUNS No: 960738771

Application Information

Federal Award Identification Number: CO-2021-007-00

Application Name: FY19/20 Section 5311 - Operating, Capital, State and Program Admin, ICB and RTAP

Application Start Date: N/A

Original Award End Date: 3/30/2023

Current Award End Date: N/A

Application Executive Summary: This grant will use FY19 and FY20 5311 Apportionments. CDOT selected 2020 transit projects in Colorado rural areas totaling:

\$721,679 - (FFY 2019 5311 remaining apportionment - to be applied to operating scope)
+ \$179,595 - (FFY 2020 RTAP full apportionment - to be applied to RTAP scope)
+ \$12,657,558 - (FFY 2020 5311 partial apportionment - to be applied to all other scopes)

Total: \$13,558,832

Available apportionment:

\$13,248,406- (FFY 2020 5311 full Apportionment amount excluding RTAP)
-\$12,657,558 - (FFY 2020 5311 applied in this application excluding RTAP)

\$590,848 – REMAINING FFY 2020 5311 apportionment (CDOT will apply for remaining FFY 2020 funds with a FFY2021 grant application)

PROGRAM REQUIREMENTS:

FFY19 funding program requirements have been satisfied in grant CO-2019-030

FFY20 funding program requirements in this application total:

6.79% of FFY 2020 5311 funds are for State Admin funding, satisfying the 10% maximum admin requirement.

15.00% of FFY2020 5311 funds toward 5311 (f) projects, meets the 15% minimum ICB program requirement.

CDOT has entered and rolled the FFY2020 5311 program into CDOT 2021-2024 STIP document. The STIP # is SST6729.00 for all 5311 pooled activities. The total amount of SST 6729 under the roll forward amount, includes FFY 2019 and FFY2020 funds. The STIP page is attached.

CDOT has selected 2020 5311 projects under pre-award authority. All projects have been evaluated and selected under FTA regulation and CDOT State Management Plan. All documentation is on file with CDOT DTR.

All local match sources by project are captured in the Program of Projects (POP). All local match sources were confirmed as eligible local match sources.

Documents Attached:

- Program of Projects (POP)

- STIP pages
- Transportation Development Credits approval letter

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$23,405,534.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$13,558,832.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$9,846,702.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$13,558,832.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$9,846,702.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$13,558,832
Local			\$8,837,496
Local/In-Kind			\$997,520
State			\$11,686
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$546,975
Adjustment			\$0
Total Eligible Cost			\$23,405,534

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Colorado Department Of