

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	PA-2021-001-00
Temporary Application Number	1429-2020-9
Application Name	Pennsylvania FFY20 Section 5311-5 Rural Apportionment Cares Act Grant - Operating and Capital Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/30/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Pennsylvania Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1429	State Agency	PENNSYLVANIA DEPARTMENT OF TRANSPORTATION	192300564

Location Type	Address	City	State	Zip
Headquarters	225 CAPITOL BLDG	HARRISBURG	PA	171200001
Physical Address	400 NORTH ST 9FL	HARRISBURG	PA	17120
Mailing Address	P O BOX 8214	HARRISBURG	PA	17105

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Pennsylvania FFY20 Section 5311-5 Rural Apportionment Cares Act Grant - Operating and Capital Assistance
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
PA-2021-001-00	Final Concurrence / Reservation	Grant	9/28/2020	9/28/2020	No

Application Executive Summary

This application utilizes CARES Act Funding to prevent, prepare for, and respond to coronavirus. This grant applies for the remainder of Pennsylvania's 5311 Rural Apportionment in the amount of \$46,529,710. This grant will fund Rural operating expenses for Rural Transit Operators (\$31,741,710), Capital - Replacement of rolling stock for Rural Operators (\$9,300,000), State Administrative costs (\$700,000), as well as Appalachian operating expenses for Rural Transit Operators (\$4,788,000).

FFY20 CARES Act Section 5311-5 Rural (UZA# 420000): \$46,529,710 (\$31,741,710 Rural Operating, \$9,300,000 Rural Capital, \$700,000 State Administration and \$4,788,000 in Rural Appalachian Operating Funds)

The State of Pennsylvania is hereby submitting this grant application in support of the following projects:

1. Rural Operating Assistance

This project is requesting \$31,741,710 of FFY20 Federal Section 5311 Rural CARES Act Funds to support the operating assistance for twenty-four (24) Rural Transit Operators for the period of January 20, 2020 through June 30, 2021.

2. Rural Appalachian Operating Assistance

This project is requesting \$4,788,000 million of FFY20 Federal Section 5311 Rural CARES Act Funds to support the operating assistance for eight (8) Rural Transit Operators for the period of January 20, 2020 through June 30, 2021.

3. State Program Administration

This project is requesting \$700,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support State Program administrative costs associated with the Section 5311 program for the period January 20, 2020 through December 31, 2021.

4. Rural Capital Assistance

This project is requesting \$9,300,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support Rolling Stock Replacement for nineteen (19) Rural Transit Operators for the period of January 20, 2020 through December 31, 2021. In accordance with PennDOT's State Management Plan, PennDOT has evaluated sub-recipient's applications and confirmed that all replacement vehicles have met their useful life, and confirms procurement will follow the requirements laid out within the Plan.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
John	Levitsky	Program Manager-Community Trans	jlevitsky@pa.gov	717-787-1206
Katherine	Berrillo	General Engineer	Katherine.Berrillo@dot.gov	(215) 656-7257
Laura	Keeley	Community Planner	laura.keeley@dot.gov	215-656-7111

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$41,741,710
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$4,788,000
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$46,529,710

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PA-2021-001-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$31,741,710.00	\$0.00	\$31,741,710.00	1
PA-2021-001-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$31,741,710.00	\$0.00	\$31,741,710.00	1
PA-2021-001-02-00	300-00 (300-A3) OPERATING ASSISTANCE	\$4,788,000.00	\$0.00	\$4,788,000.00	1
PA-2021-001-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,788,000.00	\$0.00	\$4,788,000.00	1
PA-2021-001-03-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$700,000.00	\$0.00	\$700,000.00	1
PA-2021-001-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$700,000.00	\$0.00	\$700,000.00	1
PA-2021-001-04-00	111-00 (111-A4) BUS - ROLLING STOCK	\$9,300,000.00	\$0.00	\$9,300,000.00	90
PA-2021-001-04-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$1,000,000.00	\$0.00	\$1,000,000.00	2
PA-2021-001-04-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$7,875,000.00	\$0.00	\$7,875,000.00	87

PA-2021-001-04-00	11.12.15	BUY REPLACEMENT VAN	\$425,000.00	\$0.00	\$425,000.00	1
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Rural Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PA-2021-001-01-00	1429-2020-9-P1	9/28/2020	1/20/2020	12/31/2021

Project Description

PROJECT FUNDING

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 Rural Operating (UZA# 420000): \$31,741,710

TOTAL FFY20 CARES Act Federal Section 5311: \$31,741,710

Total Eligible Project Cost: \$31,741,710

This grant is requesting \$31,741,710 million of FFY20 Federal Section 5311 Rural CARES Act funds to support the operating assistance for twenty-four (24) Rural Operators throughout Pennsylvania for the period of January 20, 2020 through June 30, 2021.

This activity line item provides operating assistance to the following twenty-four (24) rural recipients:

- Area Transportation Authority
- Beaver County Transit Authority
- Butler Transit Authority
- Crawford Area Transit Authority
- Cambria County Transit Authority
- County of Carbon
- Cumberland-Dauphin-Harrisburg Transit Authority
- Endless Mountains Transportation Authority
- Erie Metropolitan Transit Authority
- Indiana County Transit Authority
- Monroe County Transit Authority
- Mid-County Transit Authority
- Borough of Mount Carmel
- New Castle Transit Authority
- Schuylkill Transportation System (STS)
- Transit Authority of Warren County
- Westmoreland County Transit Authority
- Allied Coordinated Transportation Services, Inc
- Clarion County
- Forest County
- Greene County
- Call-A-Ride Services
- Huntingdon-Bedford-Fulton Area Agency on Aging
- Pike County

Project Benefits

The Twenty-four Rural Operators throughout Pennsylvania continue to provide transit service to the public during the COVID19 pandemic.

Additional Information

None provided.

Location Description

The project will be carried out in Rural Counties throughout the state of Pennsylvania.

Project Location (Urbanized Areas)

UZA Code	Area Name
420000	Pennsylvania

Congressional District Information

State	District	Representative
Pennsylvania	3	Mike Kelly
Pennsylvania	5	Glenn Thompson
Pennsylvania	9	Bill Shuster
Pennsylvania	10	Tom Marino
Pennsylvania	11	Lou Barletta
Pennsylvania	12	Keith J Rothfus
Pennsylvania	17	Matt Cartwright
Pennsylvania	18	Tim Murphy

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$31,741,710
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$31,741,710

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PA-2021-001-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$31,741,710.00	\$0.00	\$31,741,710.00	1
PA-2021-001-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$31,741,710.00	\$0.00	\$31,741,710.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 Rural Operating (UZA# 420000): \$31,741,710

TOTAL FFY20 CARES Act Federal Section 5311: \$31,741,710

Total Eligible Project Cost: \$31,741,710

This grant is requesting \$31,741,710 million of FFY20 Federal Section 5311 Rural CARES Act funds to support the operating assistance for twenty-four (24) Rural Operators throughout Pennsylvania for the period of January 20, 2020 through June 30, 2021.

For Fixed Route Operating Service: We based the request off of ridership losses and forecasted need based on limited ridership recovery. The awards are based off of estimated revenue losses and increased costs related to COVID. In addition to reduced revenue, costs have increased due to mitigation efforts.

For Paratransit Operating Service: The amounts being requested were based on estimated revenue losses for an eight month period as determined by doubling actual total revenue lost for public demand-responsive service in the timeframe of March-June 2019 to March-June 2020 for the specified subrecipients. Half of the total will be paid to the subrecipients upon award to offset operating costs of existing service in the current state fiscal year. The remainder will be paid at a future timeframe to offset the same types of costs for the subrecipients.

Operating assistance will be utilized for expenses incurred for existing services, including expenses related to the coronavirus beginning 01/20/2020 until 06/30/2021. The expenditures include, but are not limited to salaries, wages, benefits, maintenance, fuel, net operating expenses, administrative leave for operations employees and associated expenses, enhanced cleaning and sanitizing of rolling stock, stations, bus shelters, etc., decontamination of facilities and transit vehicles, purchasing and providing personal protective equipment, purchasing and deploying hand sanitizing dispensers, etc.

This activity line item provides operating assistance to the following twenty-four (24) rural recipients:

- Area Transportation Authority
- Beaver County Transit Authority
- Butler Transit Authority
- Crawford Area Transit Authority
- Cambria County Transit Authority
- County of Carbon
- Cumberland-Dauphin-Harrisburg Transit Authority
- Endless Mountains Transportation Authority
- Erie Metropolitan Transit Authority
- Indiana County Transit Authority
- Monroe County Transit Authority
- Mid-County Transit Authority
- Borough of Mount Carmel
- New Castle Transit Authority
- Schuylkill Transportation System (STS)
- Transit Authority of Warren County
- Westmoreland County Transit Authority
- Allied Coordinated Transportation Services, Inc
- Clarion County
- Forest County
- Greene County
- Call-A-Ride Services
- Huntingdon-Bedford-Fulton Area Agency on Aging
- Pike County

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$31,741,710
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$31,741,710

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	6/30/2021	
Project Close Out	12/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Appalachian Rural Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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PA-2021-001-02-00

1429-2020-9-P2

9/28/2020

1/29/2020

12/31/2021

Project Description**PROJECT FUNDING**

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 Appalachian Rural Operating (UZA# 420000): \$4,788,000

TOTAL FFY20 CARES Act Federal Section 5311: \$4,788,000

Total Eligible Project Cost: \$4,788,000

This grant is requesting \$4,788,000 million of FFY20 Federal Section 5311 Appalachian Rural CARES Act funds to support the operating assistance for eight (8) Rural Operators throughout Pennsylvania for the period of January 20, 2020 through June 30, 2021.

This activity line item provides Appalachian funded operating assistance to the following eight (8) rural recipients:

- Pike County
- Wayne County
- Susquehanna-Wyoming County Transportation
- Butler County
- Somerset County
- Centre County
- STEP, Inc
- Blair Senior Services

Project Benefits

The eight (8) Rural Operators throughout Pennsylvania continue to provide transit service to the public during the COVID19 pandemic.

Additional Information*None provided.***Location Description**

The project will be carried out in Rural Counties throughout the state of Pennsylvania.

Project Location (Urbanized Areas)

UZA Code	Area Name
420000	Pennsylvania

Congressional District Information

State	District	Representative
Pennsylvania	3	Mike Kelly
Pennsylvania	5	Glenn Thompson
Pennsylvania	9	Bill Shuster

Pennsylvania	10	Tom Marino
Pennsylvania	11	Lou Barletta
Pennsylvania	12	Keith J Rothfus

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$4,788,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,788,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PA-2021-001-02-00	300-00 (300-A3) OPERATING ASSISTANCE	\$4,788,000.00	\$0.00	\$4,788,000.00	1
PA-2021-001-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST -	\$4,788,000.00	\$0.00	\$4,788,000.00	1

100% Fed
Share

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

PROJECT FUNDING

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 Appalachian Rural Operating (UZA# 420000): \$4,788,000

TOTAL FFY20 CARES Act Federal Section 5311: \$4,788,000

Total Eligible Project Cost: \$4,788,000

This grant is requesting \$4,788,000 million of FFY20 Federal Section 5311 Appalachian Rural CARES Act funds to support the operating assistance for eight (8) Rural Operators throughout Pennsylvania for the period of January 20, 2020 through June 30, 2021.

The amounts being requested were based on estimated revenue losses for an eight month period as determined by doubling actual total revenue lost for public demand-responsive service in the timeframe of March-June 2019 to March-June 2020 for the specified subrecipients. Half of the total will be paid to the subrecipients upon award to offset operating costs of existing service in the current state fiscal year. The remainder will be paid at a future timeframe to offset the same types of costs for the subrecipients.

Operating assistance will be utilized for expenses incurred for existing services, including expenses related to the coronavirus beginning 01/20/2020 until 06/30/2021. The expenditures include, but are not limited to salaries, wages, benefits, maintenance, fuel, net operating expenses, administrative leave for operations employees and associated expenses, enhanced cleaning and sanitizing of rolling stock, stations, bus shelters, etc., decontamination of facilities and transit vehicles, purchasing and providing personal protective equipment, purchasing and deploying hand sanitizing dispensers, etc.

This activity line item provides Appalachian funded operating assistance to the following eight (8) rural recipients:

- Pike County
- Wayne County
- Susquehanna-Wyoming County Transportation
- Butler County
- Somerset County
- Centre County
- STEP, Inc
- Blair Senior Services

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$4,788,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,788,000

Milestone Name	Est. Completion Date	Description
Start Date	1/29/2020	
End Date	6/30/2021	
Project Closeout	12/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: State Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PA-2021-001-03-00	1429-2020-9-P3	10/12/2020	1/20/2020	12/31/2021

Project Description**PROJECT FUNDING**

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 (UZA #420000): \$700,000

TOTAL FFY20 CARES Act Federal Section 5311-5 Funds: \$700,000

Total Eligible Project Cost: \$700,000

This project is requesting \$700,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support State Program Administrative costs associated with the Section 5311 program for the period January 20, 2020 through December 31, 2021.

These funds will be used to support administration of CARES 5311 funding. This ALI does not exceed the maximum of 10% of the state's CARES ACT apportionment.

Project Benefits

The State of Pennsylvania continues to provide support to Rural and Intercity Bus Providers during the COVID19 pandemic.

Additional Information

None provided.

Location Description

Provide program support services throughout the state of Pennsylvania

Project Location (Urbanized Areas)

UZA Code	Area Name
420890	Harrisburg, PA

Congressional District Information

State	District	Representative
Pennsylvania	4	Scott Perry

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$700,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$700,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PA-2021-001-03-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$700,000.00	\$0.00	\$700,000.00	1
PA-2021-001-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$700,000.00	\$0.00	\$700,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

PROJECT FUNDING

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 (UZA #420000): \$700,000

TOTAL FFY20 CARES Act Federal Section 5311-5 Funds: \$700,000

Total Eligible Project Cost: \$700,000

This project is requesting \$700,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support State Program Administrative costs associated with the Section 5311 program for the period January

20, 2020 through December 31, 2021.

These funds will be used to support administration of CARES 5311 funding. This ALI does not exceed the maximum of 10% of the state's CARES ACT apportionment.

Our estimated Program Administrative Budget for January 20, 2020 to December 31, 2021 is \$700,000.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$700,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$700,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	6/30/2021	
Project Close Out	12/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Rolling Stock Replacement

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PA-2021-001-04-00	1429-2020-9-P4	10/12/2020	12/27/2019	6/30/2022

Project Description**PROJECT FUNDING**

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 (UZA #420000): \$9,300,000

TOTAL FFY20 CARES Act Federal Section 5311-5 Funds: \$9,300,000

Total Eligible Project Cost: \$9,300,000

This project is requesting \$9,300,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support Rolling Stock SOGR Replacement Projects associated with the Section 5311 program for the period January 20, 2020 through December 31, 2021.

This activity line item provides rolling stock replacement capital assistance to the following nineteen (19) rural recipients:

- Area Transportation Authority
- Crawford Area Transit Authority
- Cambria County Transit Authority
- County of Carbon
- Endless Mountains Transportation Authority
- Indiana County Transit Authority
- Mid-County Transit Authority
- New Castle Transit Authority
- County of Schuylkill (Schuylkill Transportation System)
- Transit Authority of Warren County
- Allied Coordinated Transportation Services, Inc
- Greene County
- Call-A-Ride Services
- Huntingdon-Bedford-Fulton Area Agency on Aging
- Wayne County
- Susquehanna-Wyoming County Transportation
- Centre County
- STEP Inc.
- Blair Senior Services

In accordance with PennDOT's State Management Plan, PennDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their useful life, and confirms procurement will follow the requirements laid out within the Plan.

Project Benefits

The State of Pennsylvania continues to provide support to Rural Bus Providers during the COVID19 pandemic. Maintain equipment in a state of good repair.

Additional Information

None provided.

Location Description

Provide program support services throughout the state of Pennsylvania

Project Location (Urbanized Areas)

UZA Code	Area Name
420000	Pennsylvania

Congressional District Information

State	District	Representative
Pennsylvania	3	Mike Kelly
Pennsylvania	5	Glenn Thompson
Pennsylvania	9	Bill Shuster
Pennsylvania	10	Tom Marino
Pennsylvania	11	Lou Barletta
Pennsylvania	12	Keith J Rothfus
Pennsylvania	17	Matt Cartwright
Pennsylvania	18	Tim Murphy

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$9,300,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,300,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PA-2021-001-04-00	111-00 (111-A4) BUS - ROLLING STOCK	\$9,300,000.00	\$0.00	\$9,300,000.00	90
PA-2021-001-04-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$1,000,000.00	\$0.00	\$1,000,000.00	2
PA-2021-001-04-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$7,875,000.00	\$0.00	\$7,875,000.00	87
PA-2021-001-04-00	11.12.15 BUY REPLACEMENT VAN	\$425,000.00	\$0.00	\$425,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN					
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

PROJECT FUNDING

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 (UZA #420000): \$425,000

TOTAL FFY20 CARES Act Federal Section 5311-5 Funds: \$425,000

Total Eligible Project Cost: \$425,000

This project is requesting \$425,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support Rolling Stock SOGR Replacement Projects associated with the Section 5311 program for the period January 20, 2020 through December 31, 2021.

Due to circumstances related to covid-19, decreased revenues at the state level and the current delayed status of the turnpike payments, we may not have the necessary funding needed to ensure vehicles remain in a state-of-good-repair (SOGR). The funding provided as part of this grant would fund

replacement vehicles only, ensuring a SOGR and safe and reliable vehicles. Also, in response to covid-19 these replacement vehicles can be equipped with driver shields and misting systems (cleaning system).

This activity line item provides rolling stock replacement capital assistance to the following rural recipient. This agency will be replacing five (5) under 30 foot vans.

-Indiana County Transit Authority (5 Vans)

The useful life for replacement light duty passenger vans is at least 4 years or an accumulation of at least 100,000 miles, as per FTA Circular 5010.1E. The vans to be replaced meet the useful service life criteria for age and/or mileage as detailed in FTA Circular 5010.1E.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Under 30 Foot Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$425,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$425,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	12/27/2019	The South Central Transportation Authority's state wide RFP/IFB for wheelchair accessible vehicles went out for BID on 12/27/2019. The subrecipients under this application won't need to go out for BID when purchasing their accessible buses and vans, they'll be able to order them off of this state wide contract which includes all applicable federal clauses and certifications.
Contract Award Date	3/31/2020	
Notice To Proceed	3/31/2020	
Initial Delivery Date	6/30/2021	Please note that the first vehicle delivered milestone is based on the anticipated date that the first purchase order will be signed between one of the sub-recipients

		and the South Central Transportation Authority awarded vendor.
Final Delivery Date	12/31/2021	
Contract Completion Date	3/31/2022	
Project Closeout	6/30/2022	

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

PROJECT FUNDING

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 (UZA #420000): \$1,000,000

TOTAL FFY20 CARES Act Federal Section 5311-5 Funds: \$1,000,000

Total Eligible Project Cost: \$1,000,000

This project is requesting \$1,000,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support Rolling Stock SOGR Replacement Projects associated with the Section 5311 program for the period January 20, 2020 through December 31, 2021.

Due to circumstances related to covid-19, decreased revenues at the state level and the current delayed status of the turnpike payments, we may not have the necessary funding needed to ensure vehicles remain in a state-of-good-repair (SOGR). The funding provided as part of this grant would fund replacement vehicles only, ensuring a SOGR and safe and reliable vehicles. Also, in response to covid-19 these replacement vehicles can be equipped with driver shields and misting systems (cleaning system).

This activity line item provides rolling stock replacement capital assistance to the following rural recipient.

-New Castle Transit Authority (funding two (2) replacement 30 foot buses (CNG))

The useful life for the 30 foot small size heavy duty transit bus is at least 10 years or an accumulation of at least 350,000 miles, as per FTA Circular 5010.1E. The bus to be replaced meet the useful service life criteria for age and/or mileage as detailed in FTA Circular 5010.1E.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Compressed Natural Gas	New	30 Foot

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,000,000

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,000,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	6/30/2021	
Contract Award Date	8/31/2021	
Notice To Proceed	8/31/2021	
Initial Delivery Date	3/31/2022	
Final Delivery Date	3/31/2022	
Contract Completion Date	6/30/2022	
Project Closeout	6/30/2022	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	87

Extended Budget Description

PROJECT FUNDING

Federal Funds (100%):

FFY2020 CARES Act Section 5311-5 (UZA #420000): \$7,875,000

TOTAL FFY20 CARES Act Federal Section 5311-5 Funds: \$7,875,000

Total Eligible Project Cost: \$7,875,000

This project is requesting \$7,875,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support Rolling Stock SOGR Replacement Projects associated with the Section 5311 program for the period January 20, 2020 through December 31, 2021.

Due to circumstances related to covid-19, decreased revenues at the state level and the current delayed status of the turnpike payments, we may not have the necessary funding needed to ensure vehicles remain in a state-of-good-repair (SOGR). The funding provided as part of this grant would fund replacement vehicles only, ensuring a SOGR and safe and reliable vehicles. Also, in response to covid-19 these replacement vehicles can be equipped with driver shields and misting systems (cleaning system).

This activity line item provides rolling stock replacement capital assistance to the following seventeen (17) rural recipients. These seventeen agencies will be replacing eighty-seven (87) under 30 foot buses.

- Area Transportation Authority (8 buses)
- Crawford Area Transit Authority (6 buses)
- Cambria County Transit Authority (3 buses)
- County of Carbon (2 buses)
- Endless Mountains Transportation Authority (6 buses)
- Mid-County Transit Authority (8 buses)
- County of Schuylkill (Schuylkill Transportation System) (5 buses)
- Transit Authority of Warren County (3 buses)
- Allied Coordinated Transportation Services, Inc (3 buses)
- Greene County (2 buses)
- Call-A-Ride Services (6 buses)
- Huntingdon-Bedford-Fulton Area Agency on Aging (4 buses)
- Wayne County (6 buses)
- Susquehanna-Wyoming County Transportation (7 buses)
- Centre County (6 buses)
- STEP Inc. (6 buses)
- Blair Senior Services (6 buses)

The useful life for the replacement under 30 foot medium size light duty buses is at least 5 years or an accumulation of at least 150,000 miles, as per FTA Circular 5010.1E. The buses to be replaced meet the useful service life criteria for age and/or mileage as detailed in FTA Circular 5010.1E.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Under 30 Foot

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$7,875,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,875,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	12/27/2019	The South Central Transportation Authority's state wide RFP/IFB for wheelchair accessible vehicles went out for BID on 12/27/2019. The subrecipients under this application won't need to go out for BID when purchasing their accessible buses and vans, they'll be

		able to order them off of this state wide contract which includes all applicable federal clauses and certifications.
Contract Award Date	3/31/2020	
Notice To Proceed	3/31/2020	
Initial Delivery Date	6/30/2021	Please note that the first vehicle delivered milestone is based on the anticipated date that the first purchase order will be signed between one of the sub-recipients and the South Central Transportation Authority awarded vendor.
Final Delivery Date	12/31/2021	
Contract Completion Date	3/31/2022	
Project Close Out	6/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Laura Keeley

Comment Type	DOL Review for Information
Date	12/23/2020
Comment	The FTA contact for this application is Laura Keeley (laura.keeley@dot.gov) who can be reached at 215-656-7111. The grantee contact for this application is John Levitsky (jlevitsky@pa.gov) who can be reached at 717-787-1206.

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Pennsylvania Department Of

Recipient ID: 1429

DUNS No: 192300564

Application Information

Federal Award Identification Number: PA-2021-001-00

Application Name: Pennsylvania FFY20 Section 5311-5 Rural Apportionment Cares Act Grant - Operating and Capital Assistance

Application Start Date: N/A

Original Award End Date: 6/30/2022

Current Award End Date: N/A

Application Executive Summary: This application utilizes CARES Act Funding to prevent, prepare for, and respond to coronavirus. This grant applies for the remainder of Pennsylvania's 5311 Rural Apportionment in the amount of \$46,529,710. This grant will fund Rural operating expenses for Rural Transit Operators (\$31,741,710), Capital - Replacement of rolling stock for Rural Operators (\$9,300,000), State Administrative costs (\$700,000), as well as Appalachian operating expenses for Rural Transit Operators (\$4,788,000).

FFY20 CARES Act Section 5311-5 Rural (UZA# 420000): \$46,529,710 (\$31,741,710 Rural Operating, \$9,300,000 Rural Capital, \$700,000 State Administration and \$4,788,000 in Rural Appalachian Operating Funds)

The State of Pennsylvania is hereby submitting this grant application in support of the following projects:

1. Rural Operating Assistance

This project is requesting \$31,741,710 of FFY20 Federal Section 5311 Rural CARES Act Funds to support the operating assistance for twenty-four (24) Rural Transit Operators for the period of January 20, 2020 through June 30, 2021.

2. Rural Appalachian Operating Assistance

This project is requesting \$4,788,000 million of FFY20 Federal Section 5311 Rural CARES Act Funds to support the operating assistance for eight (8) Rural Transit Operators for the period of January 20, 2020 through June 30, 2021.

3. State Program Administration

This project is requesting \$700,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support State Program administrative costs associated with the Section 5311 program for the period January 20, 2020 through December 31, 2021.

4. Rural Capital Assistance

This project is requesting \$9,300,000 of FFY20 Federal Section 5311 Rural CARES Act Funds to support Rolling Stock Replacement for nineteen (19) Rural Transit Operators for the period of January 20, 2020 through December 31, 2021. In accordance with PennDOT's State Management Plan, PennDOT has evaluated sub-recipient's applications and confirmed that all replacement vehicles have met their useful life, and confirms procurement will follow the requirements laid out within the Plan.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$46,529,710.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$46,529,710.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$46,529,710.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$41,741,710
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$4,788,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$46,529,710

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Pennsylvania Department Of