

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	ND-2021-002-00
<b>Temporary Application Number</b>	1153-2020-5
<b>Application Name</b>	FFY2020 Section 5311 Rural Transit Funds for ICB, State Admin and RTAP
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2024
<b>Current Period of Performance End Date</b>	N/A    Revision #: N/A

## Part 1: Recipient Information

**Name: Transportation, North Dakota Dept Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1153	State Agency	North Dakota Department of Transportation	802742742

Location Type	Address	City	State	Zip
Headquarters	600 E BOULEVARD AVE	BISMARCK	ND	585050601
Physical Address	608 E BOULEVARD AVE	BISMARCK	ND	58505
Mailing Address	608 E BOULEVARD AVE	BISMARCK	ND	58505

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: FFY2020 Section 5311 Rural Transit Funds for ICB, State Admin and RTAP</b>
--

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ND-2021-002-00	Final Concurrence / Reservation	Grant	9/2/2020	9/2/2020	No

#### Application Executive Summary

NDDOT is applying for partial FFY2020 funding apportionment for 5311(f) Intercity funds of \$500,000 federal, State Administration funds of \$300,000 federal (\$5,191,963 FFY2020 5311 funds remaining) and RTAP funds of \$104,737 (full apportionment), totaling \$904,737 federal. The FFY2020 RTAP funds are requested for training and technical support costs in the full amount of \$104,737. This grant is requesting \$300,000 in State Administration funds for state salaries, training, continue to fund a Drug & Alcohol Consultant and solicit and hire a contractor to conduct vehicle and facility inspections, which is below the 10% maximum requirement. This grant is requesting \$500,000 to fund intercity vehicles that operate throughout ND. Due to CARES Act funding for Intercity Bus Operators operating and administration expenses, these buses were all that will be currently funded from this grant. The NDDOT will award the remaining Intercity Bus funds of approximately \$400,000 in a future grant request. This will allow the NDDOT to meet the required 15%. The following projects are included in this grant application:

Project 1: State Administration - Salaries, training, Drug & Alcohol Consultant, and contractor for vehicle/facility inspections.

Project 2: RTAP - Training and technical support

Project 3: Intercity Bus Transportation - Vehicles

The agencies use State Aid funds or other local funds for match. A breakout of these funds and the agencies awarded is included in the program of project in the application documents. The STIP project listing and STIP approval letter are attached under application documents.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### Pre-Award Authority

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

#### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

#### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Ranae	Tunison	Transportation Program Specialist	ranae.tunison@dot.gov	(303) 362-2397
Becky	Hanson	Transit Program Manager	bhanson@nd.gov	701-328-2542
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	303-362-2414

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$904,737
Local			\$125,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,029,737</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2021-002-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	1
ND-2021-002-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	1
ND-2021-002-02-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$104,737.00	\$0.00	\$104,737.00	2

ND-2021-002-02-00	43.50.01	TRAINING	\$84,737.00	\$0.00	\$84,737.00	1
ND-2021-002-02-00	43.50.02	TECHNICAL ASSISTANCE	\$20,000.00	\$0.00	\$20,000.00	1
ND-2021-002-03-00	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$500,000.00	\$125,000.00	\$625,000.00	2
ND-2021-002-03-00	11.12.15	BUY REPLACEMENT VAN	\$50,000.00	\$12,500.00	\$62,500.00	1
ND-2021-002-03-00	11.13.01	BUY 40-FT BUS FOR EXPANSION	\$450,000.00	\$112,500.00	\$562,500.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2021-002-01-00	1153-2020-5-P1	9/2/2020	9/2/2020	9/30/2024

### Project Description

The grant is requesting FFY2020 5311 Rural funds in the amount of \$300,000 for State Administration funds, which is below the 10% maximum allowable amount. Match is 100% federal.

### Project Benefits

These funds will allow the NDDOT to continue to pay a Drug & Alcohol Consultant to conduct onsite compliance reviews, training, MIS report and monitor updates to federal requirements and transit agencies Drug & Alcohol plans. These funds will also help the NDDOT manage their staffing and better work with the transit agencies by out sourcing the Vehicle and Facility Inspections. In addition, the NDDOT will use these funds to pay for training and bring in speakers to present relevant topics to the transit agencies.

### Additional Information

*None provided.*

### Location Description

Statewide, ND

## Project Location (Urbanized Areas)

UZA Code	Area Name
----------	-----------

380000	North Dakota
--------	--------------

## Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer
North Dakota	1	Kelly Armstrong

## Program Plan Information

### STIP/TIP

Date: 11/19/2020

Description: Page 62 of STIP.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$300,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2021-002-01-00	610-00 (610- STATE / PROGRAMS ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	1

	A1)					
ND-2021-002-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$300,000.00	\$0.00	\$300,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

#### Extended Budget Description

The grant is requesting \$300,000 in State Administration funds, which is below the 10% maximum amount. State Administration funds are 100% federally funded with no local match required. The following items are part of this ALI:

- NDDOT to continue to pay for a Drug & Alcohol Consultant to do onsite compliance reviews, training, MIS report and monitor updates to federal requirements and transit agencies Drug & Alcohol plans.
- NDDOT to hire a consultant to conduct on-site vehicle and facility inspections, monitor maintenance of assets, and performance to meet TAM requirements. This is currently a task that is done in-house but due to staffing issues and increased duties this is an area that NDDOT management has approved us to hire.
- NDDOT will use these funds to pay for training and bring in speakers because many smaller training agencies are unable to travel to out-of-state conferences due to staffing challenges. This training allows them to stay current on FTA and State requirements.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$300,000</b>

Milestone Name	Est. Completion Date	Description
----------------	----------------------	-------------

Start Date	9/2/2020	Start of project
End Date	9/30/2024	All funds used.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

### Project Title: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2021-002-02-00	1153-2020-5-P2	9/2/2020	9/1/2020	9/30/2023

#### Project Description

This grant applies for the full apportionment of FFY2020 RTAP funds in the amount of \$104,737 (federal) for rural transit agency training and technical services provided by Dakota Transit Association for the annual conference and planning activities. RTAP funds are 100% federal match.

#### Project Benefits

These funds allow ND rural transit agency employees to attend transit related training and conferences. Most of the transit agencies would not be able to afford to attend conferences and training in and out of state without these funds. This also allows transit agencies to send their employees to PASS training, First Aid, etc. and to participate in the bus rodeo at the annual DTA Conference.

The training they attend helps agencies learn new federal rules and regulations and to be in compliance with FTA.

#### Additional Information

*None provided.*

#### Location Description

Statewide, ND

## Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

## Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer
North Dakota	1	Kelly Armstrong

## Program Plan Information

### STIP/TIP

Date: 11/23/2020

Description: Page 67 of STIP

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$104,737
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$104,737</b>

## Project Budget

Project Number	Budget Item	FTA	Non-	Total	Quantity
----------------	-------------	-----	------	-------	----------



			Amount	FTA Amount	Eligible Amount	
ND-2021-002-02-00	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$104,737.00	\$0.00	\$104,737.00	2
ND-2021-002-02-00		43.50.01 TRAINING	\$84,737.00	\$0.00	\$84,737.00	1
ND-2021-002-02-00		43.50.02 TECHNICAL ASSISTANCE	\$20,000.00	\$0.00	\$20,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

FFY2020 RTAP funds will be requested for training and technical support costs in the amount of \$84,737 (federal) at 100% federal funding, total project cost of \$84,737.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$84,737
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$84,737</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2020	
End Date	9/30/2023	

### Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

This grant applies \$20,000 (federal) funds at 100% federal funding to provide Dakota Transit Association planning and hosting of the annual conference, website development and technical assistance to transit agencies. Funding assists with speakers, training, board member travel and administrative costs. Total project cost of \$20,000.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$20,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/2/2020	
End Date	9/30/2023	

## Project Environmental Findings

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Project Title: Intercity Bus - Vehicles

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2021-002-03-00	1153-2020-5-P3	9/2/2020	8/25/2020	2/16/2022

### Project Description

This grant applies \$500,000 federal and \$125,000 local funds to provide for 2 vehicles for North Dakota Intercity Bus Providers at 80/20 match.

### Project Benefits

This project allows people to move safely throughout the state and continue onto their destinations across the upper United States. ND continues to work with the Intercity providers and encourage coordination with the rural transit agencies to facilitate this program. Transportation is provided through the state with passengers able to coordinate rides with the rural transit agencies to get to the major cities that the intercity bus stops along 1-94.

The will allow passengers to safely travel to their destinations by improving transportation reliability.

### Additional Information

*None provided.*

### Location Description

Statewide ND

## Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

## Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer
North Dakota	1	Kelly Armstrong

## Program Plan Information

### STIP/TIP

Date: 11/23/2020

Description: Page 67 of STIP.

## UPWP

Date: N/A

Description: N/A

## Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$500,000
Local			\$125,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$625,000

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2021-002-03-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$500,000.00	\$125,000.00	\$625,000.00	2
ND-2021-002-03-00	11.12.15 BUY REPLACEMENT VAN	\$50,000.00	\$12,500.00	\$62,500.00	1
ND-2021-002-03-00	11.13.01 BUY 40-FT BUS FOR EXPANSION	\$450,000.00	\$112,500.00	\$562,500.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 11.13.01 - BUY 40-FT BUS FOR EXPANSION

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
-------------------	-----------	----------------	----------	----------

#				
INTERCITY BUS TRANSPORTATION (634-00)	11.13.01	BUY 40-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	1

#### Extended Budget Description

This grant applies \$450,000 federal funds to purchase an expansion 40 foot ADA compliant bus for intercity operations through ND. Jefferson Lines operates nearly 360,000 miles each year in ND and based on the current schedule and bus rotation, requires 6 over-the-road buses to provide this service. Jefferson Lines would like to add this new bus to the ND rotation and move a current older bus to another route/rotation. A federal match rate of 80/20 applies to this ALI per the NDDOT State Management Plan (the SMP is being updated to include ADA vehicles at 85/15 for future procurements).

This new bus will have updated safety features and improved reliability. The bus will have a useful life of 12 years or 500,000 miles.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$450,000
Local			\$112,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$562,500</b>

Milestone Name	Est. Completion Date	Description
Contract Award	8/25/2020	NDDOT contracted with Jefferson Lines. Jefferson Lines is bidding from a Bus Procurement valid until October 2021.
Delivery Date	6/30/2021	Vehicle delivered to agency.
Contract Completion date	8/2/2021	NDDOT will close this project once the delivery and invoicing are complete.

#### Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

#### Extended Budget Description

This grant applies \$50,000 federal funding to purchase a replacement ADA van for intercity bus operator. This will replace a vehicle in their fleet that has met FTA Useful Life. This vehicle will replace: 2014 VIN# 1GB6G5BG6E1183939. A federal match rate of 80/20 applies to this ALI per the NDDOT State Management Plan (the SMP is being updated to include ADA vehicles at 85/15 for future procurements).

This vehicle will have a useful life of 4 years or 100,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,000
Local			\$12,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$62,500</b>

Milestone Name	Est. Completion Date	Description
Contract Award Date	8/27/2020	NDDOT contract awarded to Transit Agency.
State Bid Purchase	3/15/2021	State Bid - to be released by NDDOT and State Procurement Office. Agency will order van when approved.
Vehicle Delivery Date	1/28/2022	Vehicle delivered to Agency.
Contract Completion Date	2/16/2022	NDDOT will close this project once the delivery and invoicing are complete.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that

can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Ranae Tunison

Comment Type	DOL Review for Information
Date	12/28/2020
Comment	Becky Hanson, Transit Program Manager 701-328-2542

### Application Review Comments

**Comment By** Morgan Hecht

Comment Type	Application Details
Date	12/1/2020
Comment	<p>Requirements to Remember for: FTA-assisted vehicle purchases:</p> <p>Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website:</p> <p><a href="https://www.surveymonkey.com/r/vehicleawardreportsurvey">https://www.surveymonkey.com/r/vehicleawardreportsurvey</a></p> <p>As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved:</p> <p><a href="https://www.transit.dot.gov/TVM">https://www.transit.dot.gov/TVM</a></p> <p>These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression</p>

that it had an FTA-approved program, please contact FTA to confirm.

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

## Application Review Comments

**Comment By** Ranae Tunison

<b>Comment Type</b>	Pre-Award Manager Returns Application
---------------------	---------------------------------------

<b>Date</b>	12/22/2020
-------------	------------

<b>Comment</b>	See email from Ranae on 12/18/2020 regarding the Statewide Coordination Plan.
----------------	---

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:  
(1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019,



<http://www.transit.dot.gov>,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, North Dakota Dept Of

Recipient ID: 1153

DUNS No: 802742742

#### **Application Information**

Federal Award Identification Number: ND-2021-002-00

Application Name: FFY2020 Section 5311 Rural Transit Funds for ICB, State Admin and RTAP

Application Start Date: N/A

Original Award End Date: 12/31/2024

Current Award End Date: N/A

Application Executive Summary: NDDOT is applying for partial FFY2020 funding apportionment for 5311(f) Intercity funds of \$500,000 federal, State Administration funds of \$300,000 federal (\$5,191,963 FFY2020 5311 funds remaining) and RTAP funds of \$104,737 (full apportionment), totaling \$904,737 federal. The FFY2020 RTAP funds are requested for training and technical support costs in the full amount of \$104,737. This grant is requesting \$300,000 in State Administration funds for state salaries, training, continue to fund a Drug & Alcohol Consultant and solicit and hire a contractor to conduct vehicle and facility inspections, which is below the 10% maximum requirement. This grant is requesting \$500,000 to fund intercity vehicles that operate throughout ND. Due to CARES Act funding for Intercity Bus Operators operating and administration expenses, these buses were all that will be currently funded from this grant. The NDDOT will award the remaining Intercity Bus funds of approximately \$400,000 in a future grant request. This will allow the NDDOT to meet the required 15%. The following projects are included in this grant application:

Project 1: State Administration - Salaries, training, Drug & Alcohol Consultant, and contractor for vehicle/facility inspections.

Project 2: RTAP - Training and technical support  
Project 3: Intercity Bus Transportation - Vehicles

The agencies use State Aid funds or other local funds for match. A breakout of these funds and the agencies awarded is included in the program of project in the application documents. The STIP project listing and STIP approval letter are attached under application documents.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$1,029,737.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$904,737.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$125,000.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$904,737.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$125,000.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$904,737
Local			\$125,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,029,737</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, North Dakota Dept Of*