

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Award

Federal Award Identification Number (FAIN)	KY-2020-011-03
Temporary Application Number	1003-2020-1
Award Name	KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide
Award Status	Active (Executed)
Award Budget Number	0

Period of Performance Start Date	5/4/2020	
Original Period of Performance End Date	3/30/2023	
Current Period of Performance End Date	3/30/2025	Revision #: 2

Part 1: Recipient Information

Name: Transportation Cabinet, Kentucky

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CPTL 700 CPITOL AVE STATE CAPITOL	FRANKFORT	KY	40601
Physical Address	200 MERO ST	FRANKFORT	KY	40601

Mailing Address

OFFICE TRANSPORTATION DELIVERY

FRANKFORT

KY

40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	
City	No Union
State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Award Information

Title: KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide

FAIN	Award Status	Award Type	Date Created	Last Updated Date	From TEAM?
KY-2020-011-03	Active (Executed)	Grant	12/8/2020	12/8/2020	No

Award Executive Summary

Amendment #3 – December 7, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$15,864,971(100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

Amendment #3

The application scope of work includes:

\$1,163,884 in Capital Assistance,

\$13,129,804 Operating and

\$1,571,283 Intercity Operating

Please see attached POP for details. The total 5311 and 5340 apportionment is \$58,808,456 and \$1,764,000 Appalachian. The current balance is \$29,462,599. This Amendment decreases the total balance to \$13,597,628. (The remaining balance are planned capital projects).

Total Revised Federal Share: \$46,974,828. With this Amendment#3, there is a total intercity of 7.33% of the 5311 CARES Act grant programmed for Intercity. Although 15% (\$8,821,268) of the Apportionment has not yet been fully designated for Intercity, the remaining apportionment includes an intercity construction (\$5.5), which will surpass the 15% Intercity and will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP. See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program. KYTC/OTD is a designated recipient of the Section 5311 funds. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

END DATE 3/30/25

Amendment #2 – August 28, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,802,579 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$1,802,579 in Capital Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456. The current balance is \$31,265,178. This Amendment decreases the total balance to \$29,462,599.

Total Revised Federal Share: \$31,109,857.

Currently only \$2,740,798 (9.34%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,080,470 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C

4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Start date is Date of Award. End date is 03/30/2024.

Amendment #1 – July 17, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$6,413,177 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$469,650 in Operating Assistance, \$354,418 in Intercity Operating Assistance, \$4,695,625 in Capital Assistance, and \$893,484 in Intercity Capital Assistance for rural transit Agencies statewide in Kentucky.

The total 5311 and 5340 apportionment is \$58,808,456, and there is a current balance of \$37,678,355. This Amendment decreases the total balance to \$31,265,178.

Total Revised Federal Share: \$29,307,278.

Currently, only \$2,797,418 (10.16%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2024.

Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101 (100% Federal Share) , this application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$19,580,585 in Operating Assistance, \$1,549,516 in Intercity Operating Assistance, and \$1,764,000 in Appalachian Operating Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be requested in future grants or amendments. The Appalachian apportionment is \$1,764,000, so this application leaves a balance of \$0.

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the

Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	+1(404) 865-5616
Holly	Liles	Community Planner	holly.liles@dot.gov	404-865-5637
Eric	Perez	Staff Assistant	eric.perez@ky.gov	502-564-7433

Award Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$45,210,828
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$46,974,828

Award Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2020-011-01-03	111-00 (111-A4)	BUS - ROLLING STOCK	\$4,029,647.00	\$0.00	\$4,029,647.00	83
KY-2020-011-01-03		11.12.02 BUY REPLACEMENT 35-FT BUS	\$332,912.00	\$0.00	\$332,912.00	4
KY-2020-011-01-03		11.12.03 BUY REPLACEMENT 30-FT BUS	\$96,185.00	\$0.00	\$96,185.00	1
KY-2020-011-01-03		11.12.04 BUY REPLACEMENT <30 FT BUS	\$160,199.00	\$0.00	\$160,199.00	2
KY-2020-011-01-03		11.12.15 BUY REPLACEMENT VAN	\$1,786,110.00	\$0.00	\$1,786,110.00	41
KY-2020-011-01-03		11.13.15 BUY VAN FOR SVC EXPANSION	\$1,654,241.00	\$0.00	\$1,654,241.00	35
KY-2020-011-01-03	114-00 (114-A5)	BUS: SUPPORT EQUIP AND FACILITIES	\$3,484,061.00	\$0.00	\$3,484,061.00	1203
KY-2020-011-01-03		11.41.02 ENG/DESIGN - MAINT FACILITY	\$15,000.00	\$0.00	\$15,000.00	1
KY-2020-011-01-03		11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	\$107,400.00	\$0.00	\$107,400.00	1
KY-2020-011-01-03		11.42.06 ACQUIRE - SHOP EQUIPMENT	\$84,350.00	\$0.00	\$84,350.00	47
KY-2020-011-01-03		11.42.07 ACQUIRE - ADP HARDWARE	\$259,401.00	\$0.00	\$259,401.00	422
KY-2020-011-01-03		11.42.08 ACQUIRE - ADP SOFTWARE	\$350,000.00	\$0.00	\$350,000.00	2
KY-2020-011-01-03		11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$540,860.00	\$0.00	\$540,860.00	129

KY-2020-011-01-03		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$245,871.00	\$0.00	\$245,871.00	597
KY-2020-011-01-03		11.43.02	CONSTRUCT - MAINTENANCE FACILITY	\$150,000.00	\$0.00	\$150,000.00	1
KY-2020-011-01-03		11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	\$36,000.00	\$0.00	\$36,000.00	1
KY-2020-011-01-03		11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$1,695,179.00	\$0.00	\$1,695,179.00	2
KY-2020-011-01-03	116-00 (116-A6)		SIGNAL & COMM EQUIPMENT (BUS)	\$291,781.00	\$0.00	\$291,781.00	3
KY-2020-011-01-03		11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	\$291,781.00	\$0.00	\$291,781.00	3
KY-2020-011-01-03	300-00 (300-A3)		OPERATING ASSISTANCE	\$33,093,258.00	\$0.00	\$33,093,258.00	0
KY-2020-011-01-03		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$33,093,258.00	\$0.00	\$33,093,258.00	0
KY-2020-011-01-03	634-00 (634-A1)		INTERCITY BUS TRANSPORTATION	\$4,312,081.00	\$0.00	\$4,312,081.00	17
KY-2020-011-01-03		11.12.15	BUY REPLACEMENT VAN	\$39,374.00	\$0.00	\$39,374.00	1
KY-2020-011-01-03		11.13.15	BUY VAN FOR SVC EXPANSION	\$778,290.00	\$0.00	\$778,290.00	10
KY-2020-011-01-03		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$19,200.00	\$0.00	\$19,200.00	6
KY-2020-011-01-03		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)	\$3,475,217.00	\$0.00	\$3,475,217.00	0

KY-2020-011-01-03	648-00 (648-A2)	APPALACHIAN DEV PUB TRANSIT ASSIST		\$1,764,000.00	\$0.00	\$1,764,000.00	0
KY-2020-011-01-03		30.09.01 Appalachian Operating (CARES Act) 100% Federal Share		\$1,764,000.00	\$0.00	\$1,764,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Sources of Federal Financial Assistance

PO Number	Project Number	Scope Name	Scope Number	Scope Suffix	UZA Code	Area Name	Account Class Code	FPC	Description	Amendment Amount	Cumulative Amount
KY-18-X032	KY-2020-011-01-03	APPALACHIAN DEV PUB TRANSIT ASSIST	648-00 (648)	A2	210000	Kentucky	2020.29.18.AV.1	04	Appalachian Dev Formula (CARES Act)	\$0	\$1,764,000
KY-18-X032	KY-2020-011-01-03	BUS: SUPPORT EQUIP AND FACILITIES	114-00 (114)	A5	210000	Kentucky	2020.29.18.CV.1	00	Rural Area Formula (CARES Act)	\$165,000	\$3,484,061
KY-18-X032	KY-2020-011-01-03	OPERATING ASSISTANCE	300-00 (300)	A3	210000	Kentucky	2020.29.18.CV.1	04	Rural Area Formula (CARES Act)	\$13,129,804	\$33,093,258
KY-18-X032	KY-2020-011-01-03	BUS - ROLLING STOCK	111-00 (111)	A4	210000	Kentucky	2020.29.18.CV.1	00	Rural Area Formula (CARES Act)	\$998,884	\$4,029,647
KY-18-X032	KY-2020-011-01-03	SIGNAL & COMM EQUIPMENT (BUS)	116-00 (116)	A6	210000	Kentucky	2020.29.18.CV.1	00	Rural Area Formula (CARES Act)	\$0	\$291,781

KY-18-X032	KY-2020-011-01-03	INTERCITY BUS TRANSPORTATION	634-00 (634)	A1	210000	Kentucky	2020.29.18.CV.1	04	Rural Area Formula (CARES Act)	\$1,571,283	\$4,312,081
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Part 3: Project Information

Project Title: 5311 CARES Act Operating, Intercity Operating, & Appalachian Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2020-011-01-03	1003-2020-1-P1	12/8/2020	3/5/2019	12/30/2022

Project Description

Amendment #3 – December 7, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$15,864,971(100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

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\$1,163,884 in Capital Assistance,

\$13,129,804 Operating and

\$1,571,283 Intercity Operating

Please see attached POP for details. The total 5311 and 5340 apportionment is \$58,808,456 and \$1,764,000 Appalachian. The current balance is \$29,462,599. This Amendment decreases the total balance to \$13,597,628. (The remaining balance are planned capital projects).

Amendment #2 – August 28, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,802,579 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$1,802,579 in Capital Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456. The current balance is \$31,265,178. This Amendment decreases the total balance to

\$29,462,599.

Total Revised Federal Share: \$31,109,857.

Currently only \$2,740,798 (9.34%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,080,470 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

Amendment #1 – July 17, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$6,413,177 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$469,650 in Operating Assistance, \$354,418 in Intercity Operating Assistance, \$4,695,625 in Capital Assistance, and \$893,484 in Intercity Capital Assistance for rural transit Agencies statewide in Kentucky.

The total 5311 and 5340 apportionment is \$58,808,456, and there is a current balance of \$37,678,355. This Amendment decreases the total balance to \$31,265,178.

Total Revised Federal Share: \$29,307,278

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Currently, only \$2,797,418 (10.16%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$19,580,585 in Operating Assistance, \$1,549,516 in Intercity Operating Assistance, and \$1,764,000 in Appalachian Operating Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be requested in future grants or amendments. The Appalachian apportionment is \$1,764,000, so this application leaves a balance of \$0.

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

KYTC/OTD is a designated recipient of the Section 5311 funds.

Please see attached POP for Subrecipient Names and Amounts by ALI Code and Scope.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

Project Benefits

These rural transit agencies will provide transportation services statewide to the general public in the rural areas of Kentucky, including Appalachian Counties. Services will include transportation to jobs, medical/mental health appointments (including cancer treatment and dialysis), Veterans, shopping, etc. Intercity Bus services support the connection between non-urbanized areas and the larger regional/national system of intercity bus services.

Per the US Census, the poverty rate in Kentucky is 16.9% which means that approximately 1 out of ever 6 residents live in poverty. Higher than the national poverty rate of 11.8%, Kentucky ranks 47th in the nation. Due to COVID-19, many residents of Kentucky are now unemployed and need access to reliable transportation for medical care, groceries, prescriptions, and other necessities.

Transit Agencies, in Kentucky, serve a large portion of the Appalachian Region. 54 of Kentucky's 120 Counties are in the Appalachian Region. According to the last Poverty Report, published by the Appalachian Regional Commission (ARC), the poverty rate across the combined Appalachian Region (Alabama, Kentucky, Tennessee, Virginia, and West Virginia) was found to be 19.7%. However, this Report found that the state with the worst poverty rate in the Appalachian Region is Kentucky with a 25.4% rate in the Appalachian portion versus the rest of the state. The county in Kentucky with the highest poverty rate is Owsley County, an Appalachian County, with a poverty rate of 39.2%. Poverty is not based on unemployment numbers alone. One of the main factors found, in this Report, in regards to poverty in the Appalachian Region was that the employed population make significantly lower amounts of money than the rest of the United States. The people in this Region need adequate, affordable public transportation to survive.

Additional Information

None provided.

Location Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery (KYTC/OTD), the Designated Recipient, is applying for the FFY 2020 Section 5311 CARES Act funds on behalf of Kentucky Rural Areas Statewide. This includes the following congressional districts: KY-001, KY-002, KY-004, KY-005, and KY-006. All congressional districts are represented, with the exception of KY-003, as it encompasses an urbanized area only.

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie

Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: 11/29/2018

Description: STIP Federally Approved on 11/29/2018

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$45,210,828
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$46,974,828

Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2020-011-01-03	111-00 (111-A4)	BUS - ROLLING STOCK	\$4,029,647.00	\$0.00	\$4,029,647.00	83
KY-2020-011-01-03		11.12.02 BUY REPLACEMENT 35-FT BUS	\$332,912.00	\$0.00	\$332,912.00	4
KY-2020-011-01-03		11.12.03 BUY REPLACEMENT 30-FT BUS	\$96,185.00	\$0.00	\$96,185.00	1
KY-2020-011-01-03		11.12.04 BUY REPLACEMENT <30 FT BUS	\$160,199.00	\$0.00	\$160,199.00	2
KY-2020-011-01-03		11.12.15 BUY REPLACEMENT VAN	\$1,786,110.00	\$0.00	\$1,786,110.00	41
KY-2020-011-01-03		11.13.15 BUY VAN FOR SVC EXPANSION	\$1,654,241.00	\$0.00	\$1,654,241.00	35
KY-2020-011-01-03	114-00 (114-A5)	BUS: SUPPORT EQUIP AND FACILITIES	\$3,484,061.00	\$0.00	\$3,484,061.00	1203
KY-2020-011-01-03		11.41.02 ENG/DESIGN - MAINT FACILITY	\$15,000.00	\$0.00	\$15,000.00	1
KY-2020-011-01-03		11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	\$107,400.00	\$0.00	\$107,400.00	1
KY-2020-011-01-03		11.42.06 ACQUIRE - SHOP EQUIPMENT	\$84,350.00	\$0.00	\$84,350.00	47
KY-2020-011-01-03		11.42.07 ACQUIRE - ADP HARDWARE	\$259,401.00	\$0.00	\$259,401.00	422
KY-2020-011-01-03		11.42.08 ACQUIRE - ADP SOFTWARE	\$350,000.00	\$0.00	\$350,000.00	2
KY-2020-011-01-03		11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$540,860.00	\$0.00	\$540,860.00	129
KY-2020-011-01-03		11.42.20 ACQUIRE - MISC	\$245,871.00	\$0.00	\$245,871.00	597

			SUPPORT EQUIPMENT				
KY-2020-011-01-03		11.43.02	CONSTRUCT - MAINTENANCE FACILITY	\$150,000.00	\$0.00	\$150,000.00	1
KY-2020-011-01-03		11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	\$36,000.00	\$0.00	\$36,000.00	1
KY-2020-011-01-03		11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$1,695,179.00	\$0.00	\$1,695,179.00	2
KY-2020-011-01-03	116-00 (116-A6)		SIGNAL & COMM EQUIPMENT (BUS)	\$291,781.00	\$0.00	\$291,781.00	3
KY-2020-011-01-03		11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	\$291,781.00	\$0.00	\$291,781.00	3
KY-2020-011-01-03	300-00 (300-A3)		OPERATING ASSISTANCE	\$33,093,258.00	\$0.00	\$33,093,258.00	0
KY-2020-011-01-03		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$33,093,258.00	\$0.00	\$33,093,258.00	0
KY-2020-011-01-03	634-00 (634-A1)		INTERCITY BUS TRANSPORTATION	\$4,312,081.00	\$0.00	\$4,312,081.00	17
KY-2020-011-01-03		11.12.15	BUY REPLACEMENT VAN	\$39,374.00	\$0.00	\$39,374.00	1
KY-2020-011-01-03		11.13.15	BUY VAN FOR SVC EXPANSION	\$778,290.00	\$0.00	\$778,290.00	10
KY-2020-011-01-03		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$19,200.00	\$0.00	\$19,200.00	6
KY-2020-011-01-03		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)	\$3,475,217.00	\$0.00	\$3,475,217.00	0

KY-2020-011-01-03	648-00 (648-A2)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$0.00	\$1,764,000.00	0
KY-2020-011-01-03		30.09.01 Appalachian Operating (CARES Act) 100% Federal Share	\$1,764,000.00	\$0.00	\$1,764,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

AMENDMENT #3 DEC 2020
 ADD FEDERAL SHARE \$160,199
 LESS THAN 30FT BUS
 USEFUL LIFE 7 YEARS AND/OR 200,000 MILES
 KRF 11.12.04

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	N/A	N/A	30 < FT BUS

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$160,199
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$160,199

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/5/2021	KRF BUS LESS THAN 30 FT REPLACEMENT PROCUREMENT RFP PROCESS DATE
Contract Award Date	9/6/2021	CONTRACT AWARD DATE KRF LESS THAN 30FT BUS REPLACEMENT
Initial Delivery Date	6/6/2022	INITIAL DELIVERY DATE KRF LESS THAN 30FT BUS
Final Delivery Date	10/3/2022	FINAL DELIVERY DATE KRF LESS THAN 30FT BUS
Contract Completion Date	12/30/2022	COMPLETION OF INSPECTION, INVOICES KRF LESS THAN 30FT BUS

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

AMENDMENT #3

ADD FEDERAL SHARE \$96,185

KRF BUS 35 FT

LIFETIME 7 YEARS AND/OR 200,000 MILES

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	N/A	N/A	35 FT

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$96,185
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$96,185

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	1/11/2021	PROCUREMENT PROCESS ISSUED
Contract Award Date	7/5/2021	projected contract award date
Initial Delivery Date	4/4/2022	delivery date of bus projected date
Final Delivery Date	4/4/2022	final delivery date
Contract Completion Date	12/5/2022	completion of inspections and final invoice

Budget Activity Line Item: 11.41.02 - ENG/DESIGN - MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.02	ENG/DESIGN - MAINT FACILITY	ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

Amendment #3

Harlan A & E Services for construction of Maintenance Facility

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$15,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$15,000

Milestone Name	Est. Completion Date	Description
Start Date	1/4/2021	Begin A & E Service procure process
A&E Services	4/14/2021	
End Date	6/7/2021	complete A & E Services Harlan County Maintenance Facility

Budget Activity Line Item: 11.43.02 - CONSTRUCT - MAINTENANCE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.02	CONSTRUCT - MAINTENANCE FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

AMENDMENT #3 DEC 2020

ADD CONSTRUCTION HARLAN FACILITY

ADD FEDERAL SHARE: \$150,000

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

SEE FTA WALKER EMAIL APPROVAL NEPA

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$150,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$150,000

Milestone Name	Est. Completion Date	Description
04/01/2021 Start Date	4/5/2021	HARLAN, KENTUCKY FINALIZE PLANS
End Date	4/5/2022	Final construction phase, final inspections, final invoices

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	10

Extended Budget Description

Budget Revision #1 - August 21, 2020

This Budget Revision will decrease the Federal Share on this ALI by \$56,620 for a new total of \$778,290. Total quantity stays the same. Please see attached POP for details for each Transit Agency by ALI Code, including useful life information.

Amendment #1 – July 10, 2020

Add this ALI Code with a \$834,910 Federal Share. Added quantity of 10. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$778,290
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$778,290

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION	11.42.09	ACQUIRE - MOBILE	ACQUISITION OF BUS SUPPORT	6

(634-00)

SURV/SECURITY EQUIP

EQUIP/FACILITIES

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$19,200 Federal Share. Added quantity of 6. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$19,200
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$19,200

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND	11.42.20	ACQUIRE - MISC SUPPORT	ACQUISITION OF BUS SUPPORT	597

FACILITIES (114-00)

EQUIPMENT

EQUIP/FACILITIES

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$245,871 Federal Share. Added quantity of 597. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$245,871
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$245,871

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.41.03 - ENG/DESIGN - ADMIN/MAINTENANCE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND	11.41.03	ENG/DESIGN - ADMIN/MAINTENANCE	ENGINEERING & DESIGN - BUS	1

FACILITIES (114-00)

FACILITY

SUPPORT EQUIP/FACIL

Extended Budget Description

Amendment #2 – August 28, 2020

Add this ALI Code with a \$107,400 Federal Share. 100% Federal Share. Added quantity of 1. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$107,400
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$107,400

Milestone Name	Est. Completion Date	Description
Start Date	11/1/2020	Estimated Start Date
Contract Award Date	1/1/2021	Estimated Contract Award Date
End Date	12/31/2021	Estimated Completion Date

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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BUS: SUPPORT EQUIP AND
FACILITIES (114-00)

11.44.03

REHAB/RENOVATE - ADMIN/MAINT
FACILITY

REHAB/RENOVATE BUS SUPPORT
FACIL/EQUIP

2

Extended Budget Description

Amendment #2 – August 28, 2020

Add this ALI Code with a \$1,695,179 Federal Share. 100% Federal Share. Added quantity of 2. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

1200 S. Clay Street Hopkinsville, KY and owned by Pennyrile Allied Community Services (was purchased, previously, with FTA funds). PACS purchased the facility in 2012 with an FTA 5309 Grant (KY-04-0037) and the facility was already 50 years old at that time (built in 1962). The Total Federal Amount was \$500,000 (KY-04-0037) and it was matched with toll credits as the 20% match. Now PACS is requesting to renovate the current facility to meet current, emerging, and future public transportation needs. This is not an addition. The renovation will add a wash bay, employee breakroom, restrooms, training room, and additional offices. The wash bay is not an addition, just renovating the current maintenance area to be used as a wash bay. The renovation useful life will be 25 years. Requested funds will allow PACS to renovate and remodel the Transportation Annex building to meet current, emerging, and future public transportation needs. The current failing infrastructure does not have the current capacity needed for transit staff, vehicles, and service maintenance necessary for a Transportation Agency. The facility renovations would include administrative space, training and break rooms for transportation staff, public restrooms, and a public front desk for the City Transit central station as well as a maintenance garage equipped to provide the necessary maintenance and clean up required year-round for their vehicles. The facility renovations will improve safety by creating separate office spaces, increasing social distancing between essential staff. FTA has reviewed the documentation that provided to FTA for the proposed PACS project. FTA has determined that the appropriate NEPA class of action for this proposed project is Listed Categorical Exclusion #5. See attached email from FTA titled "PACS NEPA Email from FTA."

203 High Street Flemingsburg, KY and owned by Licking Valley Community Action Program (was not purchased with FTA funds). The renovation useful life will be 25 years. This project will add an additional wash bay to the existing structure/warehouse, reconstruct the warehouse to have a maintenance/shop area to work on 90 plus fleet, ADA restrooms to be installed, meeting/training room for drivers and transit office staff, 2 offices to be built inside warehouse for Fleet supervisor and Mechanic, and a secured storage space for all tools and supplies. Recently, LVCAP received a grant for shop equipment that has already been purchased, and LVCAP is ready to install a vehicle lift and other equipment in order to perform 90% of all maintenance to fleet in house. FTA has reviewed the documentation that provided to FTA for the proposed LVCAP project. FTA has determined that the appropriate NEPA class of action for this proposed project is Listed Categorical Exclusion #8. See attached email from FTA titled "LVCAP NEPA Email from FTA."

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,695,179
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,695,179

Milestone Name	Est. Completion Date	Description
Start Date	11/1/2020	Estimated Start Date
IFB Issue Date	11/15/2020	Estimated IFB Issue Date
Contract Award Date	12/31/2020	Estimated Contract Award Date
End Date	9/30/2021	Estimated End Date

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

AMENDMENT #3 DEC 2020

ADD INTERCITY OPERATING FEDERAL SHARE #1,571,283

Federal Line Item will go from \$1,903,934 to \$3,475,217

Amendment #1 – July 10, 2020

Add \$354,418 Federal Share for a Revised Federal Share Total of \$1,903,934. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Currently, only \$2,797,418 (9.64%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

Original Application

Intercity Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not limited to: salaries, fuel, office supplies, cleaning supplies, personal protective equipment, insurance, vehicle and/or facility maintenance, advertising, legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope,

Currently only \$1,549,516 (6.82%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,475,217
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,475,217

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2021	

Budget Activity Line Item: 30.09.01 - Appalachian Operating (CARES Act) 100% Federal Share

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

Appalachian Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not limited to: salaries, fuel, office supplies, cleaning supplies, personal protective equipment, insurance, vehicle and/or facility maintenance, advertising, legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope,

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,764,000

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2021	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0
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Extended Budget Description

AMENDMENT #3 DEC 2020

ADD OPERATING FEDERAL SHARE OF \$13,129,804

Federal Line Item will go from \$19,963,454 to \$33,093,258.

Budget Revision #2 – September 24, 2020

Decrease by \$86,781 Federal Share for a Revised Federal Share Total of \$19,963,454.

Amendment #1 – July 10, 2020

Add \$469,650 Federal Share for a Revised Federal Share Total of \$20,050,235. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Original Application

Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not limited to: salaries, fuel, office supplies, cleaning supplies, personal protective equipment, insurance, vehicle and/or facility maintenance, advertising, legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$33,093,258
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$33,093,258

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
End Date	6/30/2021	

Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	4

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$332,912 Federal Share. Added quantity of 4. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	35 Ft Bus

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$332,912
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$332,912

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/13/2019	Contract awarded under joint bid via KPTA Bid 8 on May 13, 2019.
Initial Delivery Date	3/31/2021	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	41

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$1,786,110 Federal Share. Added quantity of 41. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,786,110
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,786,110

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	35

Extended Budget Description

AMENDMENT #3 - DEC 2020

Add quantity of 12 expansion vehicles
will go from 23 to 35

Add 100% Federal Share of \$742,500

Federal Line item will go from \$911,741 to \$1,654,241

useful life 100,000 miles and/or 4 years

Amendment #1 – July 10, 2020

Add this ALI Code with a \$911,741 Federal Share. Added quantity of 23. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,654,241
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,654,241

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	47

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with an \$84,350 Federal Share. Added quantity of 47. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$84,350
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,350

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	6/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	422

Extended Budget Description

Budget Revision #1 - August 21, 2020

This Budget Revision will increase the Federal Share on this ALI by \$2,000 for a new total of \$259,401. This Budget Revision will, also, increase the quantity by 10 to a new total of 422. Please see attached POP for details for each Transit Agency by ALI Code, including useful life information.

Amendment #1 – July 10, 2020

Add this ALI Code with a \$257,401 Federal Share. Added quantity of 412. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$259,401
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$259,401

Milestone Name	Est. Completion Date	Description
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RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	2

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$350,000 Federal Share. Added quantity of 2. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$350,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$350,000

Milestone Name	Est. Completion Date	Description
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RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Estimated Contract Completion Date	10/31/2020	Estimated Contract Completion Date
Contract Award Date	4/30/2021	Estimated Award Date

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	129

Extended Budget Description

Budget Revision #1 - August 21, 2020

This Budget Revision will increase the Federal Share on this ALI by \$54,620 for a new total of \$540,860. This Budget Revision will, also, increase the quantity by 10 to a new total of 129. Please see attached POP for details for each Transit Agency by ALI Code, including useful life information.

Amendment #1 – July 10, 2020

Add this ALI Code with a \$486,240 Federal Share. Added quantity of 119. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$540,860
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$540,860

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.44.01 - REHAB/RENOVATE - ADMINISTRATIVE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$36,000 Federal Share. Added quantity of 1. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

302 Eastwood Drive Fulton, KY and owned by Fulton County Transit Authority (was, previously, constructed with FTA funds). The current facility is 12 years old. Renovation will include: replace carpet, throughout administrative building, with tile flooring.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$36,000
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$36,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.62.20 - PURCHASE MISC COMMUNICATIONS EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	AQUISITION	3

Extended Budget Description

Budget Revision #2 – September 24, 2020

Increase by \$86,781 Federal Share for a Revised Federal Share Total of \$291,781.

Increase Quantity by 1 for a new total Quantity of 3.

Amendment #1 – July 10, 2020

Add this ALI Code with a \$205,000 Federal Share. Added quantity of 2. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$291,781

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$291,781

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2020	Estimated RFP/IFB Issue Date
Contract Award Date	4/30/2021	Estimated Contract Award Date
Contract Completion Date	10/31/2021	Estimated Contract Completion Date

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$39,374 Federal Share. Added quantity of 1. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$39,374
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$39,374

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/5/2019	Joint Bid conducted through KPTA (Bid #8). IFB issued/advertised on 3/5/2019.
Contract Award Date	5/14/2019	Contract awarded under joint bid via KPTA Bid 8 on May 14, 2019.
Initial Delivery Date	12/31/2020	Estimated Initial Delivery Date
Final Delivery Date	6/30/2021	Estimated Final Delivery Date
Contract Completion Date	9/30/2021	Estimated Contract Completion

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)	0	\$3,475,217.00	\$3,475,217.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	Appalachian Operating (CARES Act) 100% Federal Share	0	\$1,764,000.00	\$1,764,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$33,093,258.00	\$33,093,258.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	4	\$332,912.00	\$332,912.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant

environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	41	\$1,786,110.00	\$1,786,110.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	35	\$1,654,241.00	\$1,654,241.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	47	\$84,350.00	\$84,350.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	422	\$259,401.00	\$259,401.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	2	\$350,000.00	\$350,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing

facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	129	\$540,860.00	\$540,860.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.01	REHAB/RENOVATE - ADMINISTRATIVE FACILITY	1	\$36,000.00	\$36,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	3	\$291,781.00	\$291,781.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15	BUY REPLACEMENT VAN	1	\$39,374.00	\$39,374.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION	10	\$778,290.00	\$778,290.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	6	\$19,200.00	\$19,200.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	597	\$245,871.00	\$245,871.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.03	ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	1	\$107,400.00	\$107,400.00

Finding: Class II(d) - Categorical Exclusions (D-List)

Class Level Description

Class II(d) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) does require documentation.

Categorical Exclusion Description

Type 08: Modernization or minor expansions of transit structures and facilities outside existing right-of-way, such as bridges, stations or rail yards.

Date Description	Date
Class IId CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	2	\$1,695,179.00	\$1,695,179.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.02	CONSTRUCT - MAINTENANCE FACILITY	1	\$150,000.00	\$150,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.02	ENG/DESIGN - MAINT FACILITY	1	\$15,000.00	\$15,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	1	\$96,185.00	\$96,185.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	2	\$160,199.00	\$160,199.00

Part 4: Fleet Details

Fleet Type: Paratransit

Fleet Comments

None provided.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	2
	B. Spares	1
	C. Total (A+B)	3
	D. Spare Ratio (B/A)	50%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	3

Fleet Type: Fixed Route

Fleet Comments

Paducah Transit Authority will replace (2) 35 ft. buses. Total fleet will not change.
FFY18 5339(b) Competitive Grant

		Current Value
I.	Active Fleet	
	A. Peak Requirement	65
	B. Spares	3
	C. Total (A+B)	68
	D. Spare Ratio (B/A)	4.62%
II.	Inactive Fleet	
	A. Other	8
	B. Pending Disposal	2
	C. Total (A+B)	10
III.	Total (I.C and II.C)	78

Fleet Type: Other

Fleet Comments

Replace 7 vehicles total and add 13 expansion vehicles.
Replace 9 vehicles; four to BGCAA and five to SVTS demand response service

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1346
	B. Spares	155
	C. Total (A+B)	1501
	D. Spare Ratio (B/A)	11.52%
II.	Inactive Fleet	
	A. Other	0

	B. Pending Disposal	91
	C. Total (A+B)	91
III.	Total (I.C and II.C)	1592

Part 5: FTA Review Comments

DOL Certification

Comment By Karen Torre

Comment Type DOL Review for Information

Date 12/18/2020

Comment

FTA Comments for DOL

Comment By Holly Liles

Comment Type DOL Review for Information

Date 12/18/2020

Comment 5311 is sent to DOL for information only per A to Z.

FTA Review Internal Comments

Comment By Holly Liles

Comment Type Technical Concurrence

Date 12/17/2020

Comment

Comment By Holly Liles

Comment Type Environmental Concurrence

Date 12/17/2020

Comment

Comment By Robert Buckley

Comment Type Ad Hoc Review

Date 12/17/2020

Comment The financial sheet has been coded and funds are available for obligations.

Comment By Holly Liles

Comment Type Ad Hoc Review

Date 12/17/2020

Comments Cleared

Comment Planning Stamp: see attached STIP pages, as applicable.

Comment By Holly Liles

Comment Type Ad Hoc Review

Date 12/17/2020

Comment This Grant does not include: new construction, alterations, rebuild or remanufacture or stations, stops or vehicles, and does not require a civil rights review. Additionally, the CR programs are "concurred" or are "in review" or "n/a".

Comment By Robert Buckley

Comment Type Ad Hoc Review

Date 12/18/2020

Comment OFPO Director concurrence provided. No comments.

Comment By	Micah Miller
Comment Type	Ad Hoc Review
Date	12/18/2020
Comment	FY20 C&As have been sufficiently completed. FY20 C&As control until FY21 C&As are published. No substantive application comments.

Comment By	Boyd Melton
Comment Type	Planning Concurrence
Date	12/18/2020
Comment	

Comment By	Robert Buckley
Comment Type	Reservation Status
Date	12/18/2020
Comment	

Comment By	Micah Miller
Comment Type	Legal Concurrence
Date	12/21/2020
Comment	DOL's Special Warranty applies to 5311 applications.

Comment By	Yvette Taylor
Comment Type	RA Concurrence
Date	12/21/2020
Comment	

Application Review Comments

Comment By	Holly Liles
Comment Type	Pre-Award Manager Returns Application
Date	12/17/2020
Comment	Returning to fix budget issues

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation Cabinet, Kentucky

Recipient ID: 1003

DUNS No: 927328732

Award Information

Federal Award Identification Number: KY-2020-011-03

Award Name: KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide

Award Start Date: 5/4/2020

Original Award End Date: 3/30/2023

Current Award End Date: 3/30/2025

Award Executive Summary: Amendment #3 – December 7, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$15,864,971(100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share.

Amendment #3

The application scope of work includes:

\$1,163,884 in Capital Assistance,

\$13,129,804 Operating and

\$1,571,283 Intercity Operating

Please see attached POP for details. The total 5311 and 5340 apportionment is \$58,808,456 and \$1,764,000 Appalachian. The current balance is \$29,462,599. This Amendment decreases the total balance to \$13,597,628. (The remaining balance are planned capital projects).

Total Revised Federal Share: \$46,974,828. With this Amendment#3, there is a total intercity of 7.33% of the 5311 CARES Act grant programmed for Intercity. Although 15% (\$8,821,268) of the Apportionment has not yet been fully designated for Intercity, the remaining apportionment includes an intercity construction (\$5.5), which will surpass the 15% Intercity and will meet the requirement of 15% of the total 5311 CARES Act

Apportionment to be spent on Intercity.

See Attached POP and STIP. See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program. KYTC/OTD is a designated recipient of the Section 5311 funds. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

END DATE 3/30/25

Amendment #2 – August 28, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,802,579 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$1,802,579 in Capital Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456. The current balance is \$31,265,178. This Amendment decreases the total balance to \$29,462,599.

Total Revised Federal Share: \$31,109,857.

Currently only \$2,740,798 (9.34%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least

another \$6,080,470 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Start date is Date of Award. End date is 03/30/2024.

Amendment #1 – July 17, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$6,413,177 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$469,650 in Operating Assistance, \$354,418 in Intercity Operating Assistance, \$4,695,625 in Capital

Assistance, and \$893,484 in Intercity Capital Assistance for rural transit Agencies statewide in Kentucky.

The total 5311 and 5340 apportionment is \$58,808,456, and there is a current balance of \$37,678,355. This Amendment decreases the total balance to \$31,265,178.

Total Revised Federal Share: \$29,307,278.

Currently, only \$2,797,418 (10.16%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.
This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2024.

Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101 (100% Federal Share) , this application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$19,580,585 in Operating Assistance, \$1,549,516 in Intercity Operating Assistance, and \$1,764,000 in Appalachian Operating Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be requested in future grants or amendments. The Appalachian apportionment is \$1,764,000, so this application leaves a balance of \$0.

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Award Budget

Total Award Budget: \$46,974,828.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$15,864,971.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$46,974,828.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Award Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$45,210,828
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$46,974,828

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 9/23/2020

Review Decision: Special Warranty
Amendment 3 Certification Date: 12/18/2020

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:
Yvette Taylor
Regional Administrator
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info: yvette.taylor@dot.gov

Award Date: 12/21/2020

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Vickie Bourne

Executive Director

Transportation Cabinet, Kentucky

12/21/2020