

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	IL-2021-003-00
<b>Temporary Application Number</b>	1177-2020-2
<b>Application Name</b>	FFY20 5311 General Public Operating and Prj Admn Assistance, ICB Operating and Prj Admn Assistance, RTAP and State Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2029
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

## Part 1: Recipient Information

**Name: ILLINOIS DEPARTMENT OF TRANSPORTATION**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1177	State Agency	ILLINOIS DEPARTMENT OF TRANSPORTATION	133600754

Location Type	Address	City	State	Zip
Headquarters	2300 SOUTH DIRKSEN PARKWAY HANLEY BUILDING	SPRINGFIELD	IL	62764
Physical Address	2300 S DIRKSEN PKWY	SPRINGFIELD	IL	62764
Mailing Address	2300 SOUTH DIRKSEN PARKWAY, ROOM 307	SPRINGFIELD	IL	62764

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: FFY20 5311 General Public Operating and Prj Admn Assistance, ICB Operating and Prj Admn Assistance, RTAP and State Administration**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IL-2021-003-00	Final Concurrence / Reservation	Grant	4/22/2020	4/22/2020	No

### Application Executive Summary

This grant to the Illinois Department of Transportation (IDOT) will utilize \$17,500,246 in FFY20 5311, 5311(f) and 5311(b)(3) Rural federal formula funding. The State of Illinois 5311 Apportionment will be divided amongst the following reimbursement Activities: \$250,000 for State Admin, \$9,222,850 for sub-recipient operating assistance, \$3,808,114 in sub-recipient project administrative assistance, \$3,258,700 in sub-recipient ICB operating assistance, and \$642,512 in sub-recipient ICB project administrative assistance. The State of Illinois' 5311(b)(3) apportionment of \$318,070 will be used to fund RTAP activities, including; RTAP training, technical assistance and support services; and state administration costs associated with all, for the performance period of 7/1/20 through 08/31/26.

The grant is organized by general activities, ALI and by federal funding year. State Administrative Activities are in Project 1 and General Public Transportation Operating Assistance is in Project 2. Related sub-recipient Project Administration is in Project 3. Project 4 is FFY20 Intercity Bus Operating and Project 5 is related FFY20 Intercity Bus Project Administration. Project 6 is the RTAP project which uses FFY20 funds.

Total federal funding across all federal funding years and projects will amount to \$17,500,246. Of this, \$318,070 will be devoted to Rural Transit Assistance Program (RTAP) activities.

Pre-Award Authority is being used for this grant. Match required for this grant will be provided by \$11,394,207 of State of Illinois' Downstate Operating Assistance Program funds, and \$2,200,000 in Greyhound In-kind match(ICB Project 4). Total local match for this grant is \$13,594,207. All forms of match are also listed in application budget control totals. Please see attached POP for a more detailed listing of projects to be funded under this grant. Projects are listed in the table attached to the STIP amendment request of August 27, 2020 and approved by FTA on September XX, 2020.

IDOT's Office of Intermodal Project implementation (OIPI) is the governor's designated recipient of the Federal Transit Administration's Section 5311 formula funds for public transportation services in rural and small urban areas in Illinois. Historically, grant recipients submit annual applications for funding to the Department, but in the interest of providing funding stability, it is not a competitive process. Instead, the Department funds its approved eligible sub-recipients each year based upon the State's available Section 5311 allocation.

The Department has exceeded the 15% set aside, from the state's annual 5311 apportionment, for InterCity Bus (ICB). This application includes \$3,901,212 (22.69%) in FFY20 5311 funds for ICB operating and project administrative activities.

### Attachments:

STIP Amendment Approval letter (FTA Region 5)

POP

In-Kind Match Documentation

Illinois DOT Approval to apply for 5311 funds and to execute the subsequent agreement

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Tarressa	Carrell	Transportation Program Specialist	tarressa.carrell@dot.gov	312-886-1624
Karen	Strell	Section Chief, Operating Programs, Northern Section	karen.strell@illinois.gov	(312) 793-5230
Anthony	Greep	Transportation Program Specialist	anthony.greep@dot.gov	(312) 353-1646

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,500,246

Local			\$0
Local/In-Kind			\$2,200,000
State			\$11,394,207
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$31,094,453</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2021-003-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$250,000.00	\$0.00	\$250,000.00	3
IL-2021-003-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$250,000.00	\$0.00	\$250,000.00	3
IL-2021-003-02-00	300-00 (300-A2) OPERATING ASSISTANCE	\$9,222,850.00	\$9,222,850.00	\$18,445,700.00	43
IL-2021-003-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$9,222,850.00	\$9,222,850.00	\$18,445,700.00	43
IL-2021-003-03-00	620-00 (620-A3) PROJECT ADMINISTRATION	\$3,808,114.00	\$952,029.00	\$4,760,143.00	43
IL-2021-003-03-00	11.79.00 PROJECT ADMINISTRATION	\$3,808,114.00	\$952,029.00	\$4,760,143.00	43
IL-2021-003-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$3,258,700.00	\$3,258,700.00	\$6,517,400.00	6
IL-2021-003-04-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,258,700.00	\$3,258,700.00	\$6,517,400.00	6
IL-2021-003-05-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$642,512.00	\$160,628.00	\$803,140.00	6
IL-2021-	11.79.00 PROJECT	\$642,512.00	\$160,628.00	\$803,140.00	6

003-05-00	ADMINISTRATION					
IL-2021-003-06-00	435-00 (435-A6)	RURAL TRANSIT ASST PROGRAM	\$318,070.00	\$0.00	\$318,070.00	3
IL-2021-003-06-00		43.50.01 TRAINING	\$95,420.00	\$0.00	\$95,420.00	1
IL-2021-003-06-00		43.50.02 TECHNICAL ASSISTANCE	\$143,130.00	\$0.00	\$143,130.00	1
IL-2021-003-06-00		43.50.04 RELATED SUPPORT SERVICES	\$79,520.00	\$0.00	\$79,520.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FFY20 State Administration (SFY22-SFY26)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2021-003-01-00	1177-2020-2-P1	7/23/2020	7/1/2022	8/31/2026

#### Project Description

This project utilizes FFY20 5311 federal funds to reimburse the state for expenses incurred for its administration of rural 5311 program, technical assistance, coordination and mobility management for the statewide 5311 program. The amount applied for is less than the state typically applies for, allowing the state to program and spend remaining older funds from aging 5311 grants.

FFY20 5311 funding under this project will reimburse the state for expenses incurred for performance of work completed by Illinois DOT transit staff and with intergovernmental partners providing technical assistance, coordination and support costs for the following project types: HSTP coordinators embedded in regional planning bodies assisting with coordination and mobility management, technical assistance delivered through interagency agreements, Administration of the 5311 program utilizing the state's staff, and potential vendor agreements (grant management system, consultants).

#### Project Benefits

The state of Illinois, strives to provide safe, effective transportation solutions to all of its citizens. The projects included under the State Administration scope will insure that sub-recipients are providing services that are coordinated, efficient, current in data collection techniques and managed to best serve the general public.

#### Additional Information

State Administration: The State of Illinois does not currently have an approved ICR. Only direct costs will be charged to the grant until an ICR is approved by a federal cognizant agency.

Grant Management System vendor and consultant contracts are awarded through competitive procurements conducted by IDOT.

### Location Description

These activities benefit the statewide administration of the 5311 program throughout Illinois. 5311 funds are specifically used to support rural public transportation outside of the 6 County RTA region in rural areas with populations under 50,000.

## Project Location (Urbanized Areas)

UZA Code	Area Name
170000	Illinois

## Congressional District Information

State	District	Representative
Illinois	11	Bill Foster
Illinois	12	Mike Bost
Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos
Illinois	18	Aaron Schock

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$250,000
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$250,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2021-003-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$250,000.00	\$0.00	\$250,000.00	3
IL-2021-003-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$250,000.00	\$0.00	\$250,000.00	3

## Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	3

### Extended Budget Description

The funding in this section of the federal grant will be used to reimburse the State for activities associated with additional RTAP costs, HSTP coordination or for work performed by the State's staff. The goal is to provide enough funding through these projects to provide continuous support to the program and to expend the funds as expeditiously as possible. The amount of funding applied for in this grant is notably less than the amounts that the state typically applies for, allowing for the state to reprogram State Admin funds in aging 5311 grants, before applying for its full 10% allowance in State Admin.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$250,000

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$250,000</b>

Milestone Name	Est. Completion Date	Description
State Administration - Funds Obligated to Activities/IGAs	7/1/2022	The State will finalize obligations for the funds included in this section of the federal grant.
First Reimbursement Payment	7/1/2025	The first funds will be dispersed for work performed under this section of the grant
Final Reimbursement Payment	8/31/2026	Date of the final disbursement of funding from this Activity Line Item.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

### Project Title: FFY20 Operating Assistance (sub-recipient contracts)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2021-003-02-00	1177-2020-2-P2	7/24/2020	7/1/2020	8/31/2024



**Project Description**

This Operating Assistance funding will provide operating expenses reimbursement from the FFY20 5311 Illinois Apportionment to 43 eligible Illinois sub-recipients for a portion of their continuous rural transit services. These federal operating assistance funds are matched by State of Illinois Downstate Operating Assistance Program funds. When state operating assistance funds are not adequate to provide all required match, the sub-recipients will provide the additional required match. The types of expense reimbursed under this project are typically those Operating Expenses reimbursed by FTA at 50% and may include, but are not limited to the following: Operator's, dispatchers and maintenance salaries, wages and fringe benefits, building repair and maintenance expenses, fuels, leases, etc. A list of Eligible recipients and a more detailed list of eligible expenses are included in the Extended Budget Description for this Operating Assistance project. This project scope is continuous as it is for uninterrupted delivery of existing transit services. Pre-Award Authority is being used for this grant. The period of performance is July of 2020 through August of 2025. These operating project scopes are supported through sub-recipient administrative activities in Project 3 of this grant. FFY2020 Section 5311 funds lapse after 9/30/2022.

**Project Benefits**

Illinois has experienced and is continuing to experience demographic shifts and substantial impacts to the economy. These changes greatly affect the need for public services, including public transportation. The number of older Illinoisans is growing and rural counties in particular are growing older resulting in more residents unable to drive due to age and health factors and subsequently, becoming more transit dependent.

Of the 12.9 million people living in Illinois, almost 2.3 million are over 60 (17.7%). The proportion of Illinois' population that is 60 and older is growing more rapidly than other age groups of the population. The U.S. Census Bureau estimates that 22.3% of Illinois's population will be 60 and older by the year 2030, an increase of more than 28 percentage points from 2012.

The deployment of demand-response transit service in anticipation of and in response to these trends has been quite rapid and now covers 94 of the 96 Downstate counties. The demand response systems primarily carry riders who cannot drive themselves, either because they do not have a car available for the trip or because they are unable to drive due to some disability. Most riders are older. The biggest single category of trips on most systems is medical; i.e. travel to doctor appointments or treatment locations. However, all systems carry people travelling for a variety of other purposes, such as shopping, work, or education, although the proportion of each varies greatly. Like the way people use their car, many rural transit riders combine trip purposes, often involving multiple stops over the course of a day. Because these systems are often the only way to travel in or out of these areas for people who do not drive (or have someone to drive them), a few riders may be people using the system as part of their travel for family visits, vacations, or other purposes.

Additionally, Downstate demand response carriers have, in many cases, stepped in to fill the gap that was left by the disappearance of intercity bus service in small towns and rural areas of the state during the latter half of the 20th Century. A variety of approaches are used to provide these services. Many operators routinely operate trips to cities outside of their service area, with many of these trips tied to medical appointments (a portion of the cost of these trips is often reimbursed by Medicaid, or other sources). Often, these trips are advertised to clients and medical service providers as only being operated on a given day of the week, encouraging appointments to be scheduled on those days.

**Additional Information**

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

**Location Description**

5311 funding is programmed and used to support rural public transportation in areas with a population

under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

## Project Location (Urbanized Areas)

UZA Code	Area Name
170000	Illinois

## Congressional District Information

State	District	Representative
Illinois	11	Bill Foster
Illinois	12	Mike Bost
Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos
Illinois	18	Aaron Schock

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,222,850
Local			\$0
Local/In-Kind			\$0
State			\$9,222,850
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$18,445,700</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2021-003-02-00	300-00 (300-A2) OPERATING ASSISTANCE	\$9,222,850.00	\$9,222,850.00	\$18,445,700.00	43
IL-2021-003-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$9,222,850.00	\$9,222,850.00	\$18,445,700.00	43

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	43

### Extended Budget Description

This project will utilize \$9,222,850 in FFY20 5311 funding and \$9,222,850 in State Downstate Operating Assistance Program (DOAP) funds as match to reimburse the eligible operating expenses of the following rural public transportation providers during the period July 2020 through August 2024. Some sub-recipients will spend far more to operate their systems than will be programmed for them in this grant. In those cases where the sub-recipients project expenses exceed the federal and state grant amounts local match is used to cover the deficit. For clarity, we do not show overmatch on the POP, nor is it included in any calculations. But it is worth noting that the state appropriates significantly more in state funds to these rural grantee's than they receive in federal funds. These Sub-Recipient Operating Projects are supported by sub-recipient Project Administration Projects which appear in Project 3 of this grant. Sub-recipient Operating Project expenses which sub-recipients may charge to this ALI include, but are not limited to: operator's, dispatchers and maintenance salaries, wages and fringe benefits, temporary service, contract maintenance, building repair and maintenance, fuels, lubricants, tires, tubes and other materials and supplies consumed, propulsion power and line lease fees for radio service, purchased transportation, tolls, long and short term debt obligations, leases. These expense reimbursements allow the sub-recipients to provide uninterrupted service. Also see the attached document titled 'Guidelines for Allowability of Costs under FTA Program' s which has been attached.

Eligible Rural Public Transportation Providers:

Bond County  
Boone County  
Bureau County  
Carroll County

Champaign County  
 Coles County  
 CRIS Rural MTD  
 DeKalb County  
 Douglas County  
 Effingham County  
 City of Freeport  
 Fulton County  
 City of Galesburg  
 Greater Peoria MTD (providing service in Peoria County)  
 Grundy County  
 Hancock County  
 Henry County  
 Jackson County  
 Jersey County  
 Jo Daviess County  
 Kankakee County  
 Kendall County  
 Lee County  
 Logan County  
 City of Macomb  
 Macoupin County  
 Marshall County  
 McLean County  
 Monroe Randolph Transit District  
 City of Ottawa  
 Piatt County  
 City of Quincy  
 RIDES MTD  
 Rock Island County  
 Sangamon County  
 Shawnee Mass Transit District  
 Shelby County  
 South Central Illinois Mass Transit District  
 Tazewell County  
 Warren County  
 West Central Mass Transit District  
 Whiteside County  
 Woodford County

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,222,850
Local			\$0
Local/In-Kind			\$0
State			\$9,222,850
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$18,445,700</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	First date for which service eligible for reimbursement can be performed under this ALI.
End Date	8/31/2024	Expected date of the final subrecipient payment for services delivered under this ALI.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

## Project Title: FFY20 Project Administration (sub-recipient contracts)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2021-003-03-00	1177-2020-2-P3	7/24/2020	7/1/2020	8/31/2024

#### Project Description

The Sub-Recipient Project Administration Assistance scope in Project 3 includes the activities that support the sub-recipient operating tasks in Project 2 of this grant (above). Like Project 2, this Project Administration scope will provide FFY20 5311 reimbursement funds to eligible Illinois sub-recipients for a portion of their uninterrupted project administration activities in order to provide continuous transit services across 94 of 96 Downstate Counties. The federal funds awarded under this project and ALI are matched by State of Illinois Downstate Operating Assistance Program funds. When those state funds are not adequate to provide all required match, the sub-recipients will provide additional match. The types of expense reimbursed under this project are typically those Operating Expenses reimbursed by FTA at 80% and may include, but are not limited to the following: salaries, wages and fringe benefits for work performed by various directors and administrators or professionals other than those covered by Operating; coordinators, drug/alcohol testing program manager, etc. The eligible recipients are the same as Project 2

above, with a more detailed list of eligible expenses for Project Administration Assistance included in the Extended Budget Description for this project. This project provides for the uninterrupted delivery of existing transit administrative tasks. Therefore, the period of performance for work under this project is from July of 2020 through June of 2024.

### **Project Benefits**

Project Administration supports the sub-recipients' deployment of operating activities which provide public and specialized transportation services in 94 of the 96 Downstate Illinois counties. The benefactors of this project and the benefits provided are the same as described in Project 2 (above).

### **Additional Information**

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

### **Location Description**

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

## **Project Location (Urbanized Areas)**

<b>UZA Code</b>	<b>Area Name</b>
170000	Illinois

## **Congressional District Information**

<b>State</b>	<b>District</b>	<b>Representative</b>
Illinois	11	Bill Foster
Illinois	12	Mike Bost
Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos
Illinois	18	Aaron Schock

## **Program Plan Information**

### **STIP/TIP**

Date: N/A

Description: N/A

### **UPWP**

Date: N/A

Description: N/A

## Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,808,114
Local			\$0
Local/In-Kind			\$0
State			\$952,029
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,760,143</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2021-003-03-00	620-00 PROJECT ADMINISTRATION (620-A3)	\$3,808,114.00	\$952,029.00	\$4,760,143.00	43
IL-2021-003-03-00	11.79.00 PROJECT ADMINISTRATION	\$3,808,114.00	\$952,029.00	\$4,760,143.00	43

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	43

### Extended Budget Description

This project will utilize \$3,808,114 in FFY20 5311 funding and \$952,029 in State Downstate Operating Assistance Program (DOAP) funds as match to federal funds awarded to reimburse sub-recipients for eligible Project Administration expenses. Eligible recipients of these awards are listed under Operating Assistance Project 2 of this grant. The period of performance is July 2020 through August 2024. Some sub-recipients will spend substantially more in administrative expenses to provide the required support to their operations, than will be programmed for them in this grant. In those cases where the sub-recipients

project administration expenses exceed the federal and state grant amounts local funding is used to cover the deficit. For clarity, we do not show overmatch on this project. Sub-recipient Project Administration expenses which sub-recipients may charge to this ALI include, but are not limited to: Salaries, wages and fringe benefits for Transportation Directors, Transportation Supervisors, Assistant Directors, mid-level managers and supervisors, secretarial and other administration positions, financial management positions, transit planners, planning supervisors and managers, GIS techs, marketing managers or graphic artists, modal supervisors, rideshare coordinators, insurance premiums or payments to a self-insurance reserve, facilities and equipment rental, special services directors, drug/alcohol testing program manager and other administrative staff. Fees for management services, advertising services, labor and materials, Professional and Technical services, Custodial and security Services, Office and Communications equipment repair, and other administrative services and labor, inventory purchases for office supplies, general office supplies, computer software and related expenses, training and educational materials, first aid kits, bio-hazard kits and replacement supplies, other administrative materials and supplies, telephone, cell phone and internet and related services, all other utilities not related to propulsion, insurance and settlement payments, licensing and registration fees, dues & subscriptions, travel & meetings, advertising/promotion media fees, leases of service and supervisory vehicles, data processing facilities and other administrative facilities. These Project Administration expense reimbursements allow the sub-recipients to provide continuous service. Also see the attached document titled 'Guidelines for Allowability of Costs under FTA Program' which has been attached.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,808,114
Local			\$0
Local/In-Kind			\$0
State			\$952,029
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,760,143</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	The first date for performance of administrative activities reimbursed under this ALI.
End Date	8/31/2024	Anticipated date of final payment made to a sub-recipient for project administrative work performed under this ALI

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either



individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

### Project Title: FFY20 5311(f) Intercity Bus Operating (sub-recipient contracts)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2021-003-04-00	1177-2020-2-P4	7/24/2020	7/1/2021	8/31/2024

### Project Description

This Intercity Bus (ICB) Operating Assistance Project will provide reimbursement funds FFY20 5311(f) Illinois Apportionment to eligible Illinois sub-recipients for a portion of their continuous ICB operating expenses for eligible projects that meet the federal requirements of ICB. The federal funds awarded under this project are matched by State of Illinois Downstate Operating Assistance Program (DOAP) funds for all projects not operated by a national carrier. However, the Lee County/Greyhound Service between Chicago and Rock Island and between Chicago and Danville will be matched with Carrier In-Kind Contributions. For details on that Greyhound Match please refer to the attached document (GLI In-Kind Match Letter I-88 Route and Danville Route.pdf). For all other ICB Operating Assistance Projects, when DOAP funds are not adequate to provide all required match, the sub-recipients will provide additional match. The types of expense reimbursed under this project are typically those types of Operating Expenses reimbursed by FTA at 50% and may include, but are not limited to the following: Operator's, dispatchers and maintenance salaries, wages and fringe benefits, building repair and maintenance expenses, fuels, leases, etc. Eligible recipients and a more detailed list of eligible expenses are included in the Extended Budget Description for this Operating Assistance project. Since this project provides for the continuation of existing ICB services the project scope is treated as continuous and the period of performance for work under this project is from July of 2021 through August of 2024. These projects are supported through sub-recipient administrative activities in Project 5 of this grant.

### Project Benefits

Intercity bus service links rural communities and the rest of the nation. Projects are encouraged to support the following 3 national objectives: connection between rural areas and the larger regional or national system of intercity bus service, support services to meet the intercity travel needs of residents in rural areas, or support the infrastructure of the intercity bus network through planning and marketing assistance and capital investment in facilities. Projects are also be considered for funding as they meet priorities determined by the state provided they also meet federal ICB requirements.

### Additional Information

Intercity bus service links rural communities and the rest of the nation. Projects are encouraged to support

the following 3 national objectives: connection between rural areas and the larger regional or national system of intercity bus service, support services to meet the intercity travel needs of residents in rural areas, or support the infrastructure of the intercity bus network through planning and marketing assistance and capital investment in facilities. Projects are considered for funding if they meet priorities determined by the state, provided they also meet federal ICB requirements. If additional funding is awarded as a result of an upcoming call for projects or due to expansion of service, the sub-recipient will be required to match these federal award with Downstate Operating Assistance Funds or contract with a carrier who has an approved in-kind match program for operating.

#### **Location Description**

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

### **Project Location (Urbanized Areas)**

<b>UZA Code</b>	<b>Area Name</b>
170000	Illinois

### **Congressional District Information**

<b>State</b>	<b>District</b>	<b>Representative</b>
Illinois	11	Bill Foster
Illinois	12	Mike Bost
Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos
Illinois	18	Aaron Schock

### **Program Plan Information**

#### **STIP/TIP**

Date: N/A

Description: N/A

#### **UPWP**

Date: N/A

Description: N/A

#### **Long Range Plan**

Date: N/A

Description: N/A

### **Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,258,700
Local			\$0
Local/In-Kind			\$2,200,000
State			\$1,058,700
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,517,400</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2021-003-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$3,258,700.00	\$3,258,700.00	\$6,517,400.00	6
IL-2021-003-04-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,258,700.00	\$3,258,700.00	\$6,517,400.00	6

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	6

### Extended Budget Description

This project will utilize \$3,258,700 in FFY20 5311(f) funding and \$1,058,700 in State Downstate Operating Assistance Program (DOAP) funds and Greyhound Lines Carrier In-Kind Contribution of \$2,200,000 as match to reimburse the eligible operating expenses of the following rural public transportation providers during the period July 2020 through August 2024. Please refer to the attached document titled 'GLI In-Kind Match Letter I-88 Route and the GLI In-Kind Match Letter Danville Route'. Some sub-recipients will spend more to operate their ICB routes than will be programmed for them in this grant. In those cases where the sub-recipients project expenses exceed the federal and state grant amounts, either Carrier In-Kind or local funds are used to cover the deficit. For clarity, we do not show overmatch on this project. These Sub-Recipient ICB Operating Projects are supported by sub-recipient ICB Project Administration Projects which appear in Project 5 of this grant. Sub-recipient ICB Operating Project expenses which eligible ICB sub-recipients may charge to this ALI include, but are not limited to: operator's, dispatchers and maintenance

salaries, wages and fringe benefits, temporary service, contract maintenance, building repair and maintenance, fuels, lubricants, tires, tubes and other materials and supplies consumed, propulsion power and line lease fees for radio service, purchased transportation, tolls, long and short term debt obligations, leases. These expense reimbursements allow the sub-recipients to provide continuous service. Also see the attached document titled 'Guidelines for Allowability of Costs under FTA Program'. While any eligible 5311 sub-recipient may apply for 5311(f) funding, only projects meeting the definition of Intercity Bus will receive 5311(f) awards.

The eligible recipients awarded 5311(f) funds are:

Shawnee MTD

South Central MTD

RIDES MTD,

Lee County for Greyhound Chicago to Rock Island Route and Greyhound Chicago to Danville Route

#### **Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$3,258,700
Local			\$0
Local/In-Kind			\$2,200,000
State			\$1,058,700
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,517,400</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	7/1/2021	First date for performance of service which can be reimbursed under this ALI
Service End Date	6/30/2024	Final date that service may be performed for InterCity Bus under this grant.
End Date	8/31/2024	Expected data of final payment to sub-recipients for service provided under this ALI

## **Project Environmental Findings**

#### **Finding: Class II(c) - Categorical Exclusions (C-List)**

##### **Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

**Project Title: FFY20 5311(f) Intercity Bus Project Administration (sub-recipient contracts)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2021-003-05-00	1177-2020-2-P5	7/24/2020	7/1/2021	8/31/2026

**Project Description**

This ICB Project Administration Assistance scope will provide reimbursement funds from the FFY20 5311(f) Illinois Apportionment to eligible Illinois ICB sub-recipients for a portion of their continuous ICB project administration activities to support the eligible ICB operating expenses in Project 4. The ICB expenses eligible for reimbursement under this project are matched by State of Illinois Downstate Operating Assistance Program (DOAP) funds. When DOAP funds programmed are not adequate to provide all required match, the sub-recipients will provide additional match. The types of ICB expense reimbursed under this project are typically those Project Administration Expenses reimbursed by FTA at 80% and may include, but are not limited to the following: salaries, wages and fringe benefits for work performed by various directors and administrators or professionals other than those covered by Operating Assistance, rideshare coordinators, drug/alcohol testing program manager, etc. The eligible ICB recipients are the same as Project 4, with a more detailed list of eligible expenses for ICB Project Administration Assistance included in the Extended Budget Description for this project. Since this project provides for the continuation of existing ICB administrative tasks the project scope is continuous and the period of performance for work under this project is from July of 2021 through June of 2026. Pre-Award Authority is being used for this grant.

**Project Benefits**

The administrative activities in this project support the operating tasks outlined in Project 4(above). The project benefactors and the benefits provided are the same as those outlined in Project 4.

**Additional Information**

Intercity bus service links rural communities and the rest of the nation. Projects are encouraged to support the following 3 national objectives: connection between rural areas and the larger regional or national system of intercity bus service, support services to meet the intercity travel needs of residents in rural areas, or support the infrastructure of the intercity bus network through planning and marketing assistance and capital investment in facilities. Projects will also be considered for funding as they meet priorities determined by the state as long as they also meet federal ICB requirements.

**Location Description**

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the 6 county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
170000	Illinois

**Congressional District Information**

State	District	Representative
Illinois	11	Bill Foster
Illinois	12	Mike Bost
Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos
Illinois	18	Aaron Schock

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A  
Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$642,512
Local			\$0
Local/In-Kind			\$0
State			\$160,628
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$803,140</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2021-003-05-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$642,512.00	\$160,628.00	\$803,140.00	6
IL-2021-003-05-00	11.79.00 PROJECT ADMINISTRATION	\$642,512.00	\$160,628.00	\$803,140.00	6

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	6

### Extended Budget Description

The Sub-Recipient Intercity Bus (ICB) Project Administration Assistance scope in Project 5 contains the sub-recipient activities that support the sub-recipient ICB operating activities in Project 4 of this grant. Like Project 4 this ICB Project Administration Assistance scope will provide reimbursement funds from the FFY20 5311(f) Illinois Apportionment to eligible Illinois ICB sub-recipients for a portion of their continuous ICB project administration activities to support the eligible ICB operating expenses in Project 4. The ICB expenses eligible for reimbursement under this project are matched by State of Illinois Downstate Operating Assistance Program (DOAP) funds. When DOAP funds programmed are not adequate to

provide all required match, the sub-recipients will provide additional match. The types of ICB expense reimbursed under this project are typically those Project Administration Expenses reimbursed by FTA at 80% and may include, but are not limited to the following: salaries, wages and fringe benefits for work performed by various directors and administrators or professionals other than those covered by Operating Assistance, rideshare coordinators, drug/alcohol testing program manager, etc. The eligible ICB recipients are the same as Project 4. Since this project provides for the uninterrupted performance of existing ICB administrative tasks the project scope is continuous and the period of performance for work under this project is from July of 2021 through June of 2026.

Pre-Award Authority is being used for this Activity.

FFY20 Section 5311 funds lapse after 9/30/2022.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$642,512
Local			\$0
Local/In-Kind			\$0
State			\$160,628
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$803,140</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	The first date that service eligible for reimbursement can be performed under this ALI.
End Date	8/31/2026	The expected date of sub-recipients final payment for services delivered under this ALI.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or



program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

## Project Title: FFY20 5311(b)(3) Rural Transit Assistance Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IL-2021-003-06-00	1177-2020-2-P6	7/24/2020	7/1/2020	6/30/2026

### Project Description

This project will utilize FFY20 5311(b)(3) RTAP funding to reimburse Western Illinois University Rural Transit Assistance Center for portion of their expenses to provide technical assistance and other support activities to rural grantees. These activities meet a requirement of the 5311 program.

### Project Benefits

The funding in this line will be utilized to reimburse Western Illinois University - Rural Transit Assistance Center (RTAC) group to provide the following required sub-recipient support activities:

- Training and technical assistance offered to rural public transportation providers
- Related Support Services for tasks associated with the coordination of public, private specialized and human service transportation in rural areas during the period 7/1/2020 through 3/30/2025.

### Additional Information

5311(b)(3) funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

### Location Description

5311 funding is programmed and used to support rural public transportation in areas with a population under 50,000 and outside of the six (6) county RTA region. While funding will be targeted to Illinois' non-urbanized area providers, connections and impacts will be felt throughout the entire state.

## Project Location (Urbanized Areas)

UZA Code	Area Name
170000	Illinois

## Congressional District Information

State	District	Representative
Illinois	11	Bill Foster
Illinois	12	Mike Bost

Illinois	13	Rodney Davis
Illinois	14	Randy Hultgren
Illinois	15	John Shimkus
Illinois	16	Adam Kinzinger
Illinois	17	Cheri Bustos
Illinois	18	Aaron Schock

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$318,070
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$318,070</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IL-2021-003-06-00	435-00 (435-A6) RURAL TRANSIT ASST PROGRAM	\$318,070.00	\$0.00	\$318,070.00	3
IL-2021-003-06-	43.50.01 TRAINING	\$95,420.00	\$0.00	\$95,420.00	1

00							
IL-2021-003-06-00	43.50.02	TECHNICAL ASSISTANCE	\$143,130.00	\$0.00	\$143,130.00	1	
IL-2021-003-06-00	43.50.04	RELATED SUPPORT SERVICES	\$79,520.00	\$0.00	\$79,520.00	1	

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

Funds will reimburse Western Illinois University for RTAP training work performed for the benefit of rural public transportation providers for the period 7/1/2020 through 3/30/2026.

Training Activities include:

Conducting the State of Illinois Annual RTAC Conference and Bus Roadeo in conjunction with IDOT, the RTAP Advisory Council and IPTA; Driver and support personnel instruction addressing defensive driving, emergency procedures and passenger assistance; Conducting paratransit vehicle maintenance workshops focusing on vehicle maintenance for sub-recipient and operator, driver, transit managers, support personnel and technicians; field visits made by RTAP to sub-recipients to observe operations assess policies and needs and provide technical assistance. RTAC will provide a clearing-house of information related to rural public transportation, by maintaining and updating an RTAP library or printed and electronic materials and training videos. RTAC will market the library through various media formats.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$95,420
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$95,420</b>

Milestone Name	Est. Completion	Description
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Date		
Start Date	7/1/2020	first date of service provided for work performed by intergovernmental partner under this ALI.
End Date	3/30/2026	anticipated date of final payment to intergovernmental partner for work performed under this ALI

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

Funding will reimburse Western Illinois University for RTAP technical assistance work performed for the benefit of rural and small urban public transportation providers for the period 7/1/2020 through 6/30/2024.

Technical Assistance: RTAC is responsible for the collection and analysis of data from various sources to produce the Rural Transit Mobility Index and capital needs data reports. RTAC will assist sub-recipients and operators to work through the ICCT Coordination Primer process and provide guidance in addressing compliance and operations issues and promote the adoption of current mobility management techniques among sub-recipients. RTAC will assist IDOT with special projects and provide technical support to promote and compile annual statistical reports and reports relating to the two regional maintenance centers.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$143,130
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$143,130</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	first date of service performed by intergovernmental partner for work outlined under this ALI.
End Date	6/30/2026	Expected date of final payment to intergovernmental partner based on work performed under this ALI.

**Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

Project will use FFY18 5311(b)(3) funds to reimburse Western Illinois University for RTAP transit program support work performed for the benefit of rural public transportation providers for the period 7/1/2020 through 3/30/2025.

Related Support Services include: Aiding rural public transportation providers by visiting local agencies that receive 5310 and 5311 funds, observing operations, providing guidance, performing vehicle and operational assessments and assisting with policy formulation. RTAC will assist sub-recipients, IDOT and the RTAP Advisory Council, RTAC will assist IDOT with special projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$79,520
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$79,520</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	The first date for service performed under this ALI
End Date	3/30/2026	The anticipated date of the final payment to intergovernmental partner for work performed under this ALI

**Project Environmental Findings****Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment

or an environmental impact statement. Class II(c) does not require documentation.

#### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## **Part 4: Fleet Details**

No fleet data exists for this application.

## **Part 5: FTA Review Comments**

### **FTA Comments for DOL**

**Comment By** Anthony Greep

**Comment Type** DOL Review for Information

**Date** 12/2/2020

**Comment** This grant to the Illinois Department of Transportation (IDOT) will utilize \$17,500,246 in FFY20 5311, 5311(f) and 5311(b)(3) Rural federal formula funding. The State of Illinois 5311 Apportionment will be divided amongst the following reimbursement Activities: \$250,000 for State Admin, \$9,222,850 for sub-recipient operating assistance, \$3,808,114 in sub-recipient project administrative assistance, \$3,258,700 in sub-recipient ICB operating assistance, and \$642,512 in sub-recipient ICB project administrative assistance. The State of Illinois' 5311(b)(3) apportionment of \$318,070 will be used to fund RTAP activities, including; RTAP training, technical assistance and support services; and state administration costs associated with all, for the performance period of 7/1/20 through 08/31/26.

## **Part 6: Agreement**

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this

FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: ILLINOIS DEPARTMENT OF TRANSPORTATION

Recipient ID: 1177

DUNS No: 133600754

#### **Application Information**

Federal Award Identification Number: IL-2021-003-00

Application Name: FFY20 5311 General Public Operating and Prj Admn Assistance, ICB Operating and Prj Admn Assistance, RTAP and State Administration

Application Start Date: N/A

Original Award End Date: 3/30/2029

Current Award End Date: N/A

Application Executive Summary: This grant to the Illinois Department of Transportation (IDOT) will utilize \$17,500,246 in FFY20 5311, 5311(f) and 5311(b)(3) Rural federal formula funding. The State of Illinois 5311 Apportionment will be divided amongst the following reimbursement Activities: \$250,000 for State Admin, \$9,222,850 for sub-recipient operating assistance, \$3,808,114 in sub-recipient project administrative assistance, \$3,258,700 in sub-recipient ICB operating assistance, and \$642,512 in sub-recipient ICB project administrative assistance. The State of Illinois' 5311(b)(3) apportionment of \$318,070 will be used to fund RTAP activities, including; RTAP training, technical assistance and support services; and state administration costs associated with all, for the performance period of 7/1/20 through 08/31/26.

The grant is organized by general activities, ALI and by federal funding year. State Administrative Activities are in Project 1 and General Public Transportation Operating Assistance is in Project 2. Related sub-recipient Project Administration is in Project 3. Project 4 is FFY20 Intercity Bus Operating and Project 5 is related FFY20 Intercity Bus Project Administration. Project 6 is the RTAP project which uses FFY20 funds.

Total federal funding across all federal funding years and projects will amount to \$17,500,246. Of this, \$318,070 will be devoted to Rural Transit Assistance Program (RTAP) activities.

Pre-Award Authority is being used for this grant. Match required for this grant will be provided by \$11,394,207 of State of Illinois' Downstate Operating Assistance Program funds, and \$2,200,000 in Greyhound In-kind match(ICB Project 4). Total local match for this grant is \$13,594,207. All forms of match are also listed in application budget control totals. Please see attached POP for a more detailed listing of projects to be funded under this grant. Projects are listed in the table attached to the STIP amendment request of August 27, 2020 and approved by FTA on September XX, 2020.

IDOT's Office of Intermodal Project implementation (OIPI) is the governor's designated recipient of the Federal Transit Administration's Section 5311 formula funds for public transportation services in rural and small urban areas in Illinois. Historically, grant recipients submit annual applications for funding to the Department, but in the interest of providing funding stability, it is not a competitive process. Instead, the Department funds its approved eligible sub-recipients each year based upon the State's available Section 5311 allocation.

The Department has exceeded the 15% set aside, from the state's annual 5311 apportionment, for InterCity Bus (ICB). This application includes \$3,901,212 (22.69%) in FFY20 5311 funds for ICB operating and project administrative activities.

**Attachments:**

STIP Amendment Approval letter (FTA Region 5)

POP

In-Kind Match Documentation

Illinois DOT Approval to apply for 5311 funds and to execute the subsequent agreement

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$31,094,453.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$17,500,246.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$13,594,207.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$17,500,246.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$13,594,207.00



**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$17,500,246
Local			\$0
Local/In-Kind			\$2,200,000
State			\$11,394,207
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$31,094,453</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*ILLINOIS DEPARTMENT OF TRANSPORTATION*