

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2021-001-00
<b>Temporary Application Number</b>	6667-2021-1
<b>Application Name</b>	FY 2019 Sec 5311 TTP Discretionary Funding - Vehicle Acquisition and Project Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	11/6/2021
<b>Current Period of Performance End Date</b>	N/A      Revision #: N/A

## Part 1: Recipient Information

**Name: Gulkana Village Council**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6667	Indian Tribe	GULKANA VILLAGE COUNCIL	001142814

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	MILE 127 RICHARDSON HWY	GAKONA	AK	99586
Mailing Address	P.O. BOX 254	GAKONA	AK	99586

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: FY 2019 Sec 5311 TTP Discretionary Funding - Vehicle Acquisition and Project Administration</b>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2021-001-00	Final Concurrence / Reservation	Grant	11/5/2020	11/5/2020	No

**Application Executive Summary**

This grant application uses \$165,000 in FY 2019 Section 5311 TTP discretionary funding, announced in FY 2020 under discretionary ID No. D2020-TRTR-032, for vehicle replacements and related project administration indirect expenses for the Soaring Eagles Transit system.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Department of Interior (DOI) has approved the Gulkana Village Council indirect cost rate on 2/24/2020 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The DOI requires the indirect cost rates for tribes to be updated annually. The currently approved indirect cost rate is 33.21% and the most current rate will continue to be applied to this grant.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
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Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Sandra	Tsinnie	Program Manager	stsinnie@gulkanacouncil.org	907-822-3172
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$165,000
Local			\$16,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$181,500</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-001-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$165,000.00	\$16,500.00	\$181,500.00	3
AK-2021-001-01-00	11.12.15 BUY REPLACEMENT VAN (19 5311 TTP Disc 90:10)(00)	\$148,500.00	\$14,850.00	\$163,350.00	3
AK-2021-001-01-00	11.79.00 PROJECT ADMINISTRATION (19 5311 TTP Disc 90:10)(00)	\$16,500.00	\$1,650.00	\$18,150.00	0

## Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2020-TRTR-032	Transit Vehicle Purchase	\$165,000	AK-2021-001-00	

## Part 3: Project Information

<b>Project Title: FY 2019 Sec 5311 TTP Discretionary Funding - Vehicle Acquisition and Project Administration</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2021-001-01-00	6667-2021-1-P1	11/5/2020	10/22/2020	4/10/2021

### Project Description

This project uses \$165,000 in FY 2019 Section 5311 TTP discretionary funding, announced in FY 2020 under discretionary ID No. D2020-TRTR-032, for vehicle replacements and related project administration indirect expenses for the Soaring Eagles Transit system. The three (3) Soaring Eagle Transit replacement vehicles are needed because the current vehicles are no longer deemed reliable for passenger transportations.

### Project Benefits

Increase service reliability and operational safety

### Additional Information

*None provided.*

### Location Description

Gulkana Village, Alaska

## Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

## Congressional District Information

State	District	Representative
Alaska	1	Don Young

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

### UPWP

Date: Not Provided

Description: n/a

## Long Range Plan

Date: Not Provided

Description: n/a

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$165,000
Local			\$16,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$181,500

## Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-001-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$165,000.00	\$16,500.00	\$181,500.00	3
AK-2021-001-01-00		11.12.15 BUY REPLACEMENT VAN (19 5311 TTP Disc 90:10)(00)	\$148,500.00	\$14,850.00	\$163,350.00	3
AK-2021-001-01-00		11.79.00 PROJECT ADMINISTRATION (19 5311 TTP Disc 90:10)(00)	\$16,500.00	\$1,650.00	\$18,150.00	0

## Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (19 5311 TTP Disc 90:10)(00)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity line item uses FY 2019 Section 5311 TTP discretionary funding under discretionary ID No. D2020-TRTR-032 for project administration indirect expenses related to vehicle acquisition for the Soaring Eagles Transit system. The federal-to-local match is 90:10 and local match will be provided by the Gulkana Village Council replacement equipment fund. The DOI approved indirect cost rate for the Gulkana Village Council is 33.21% for FY 2020 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$16,500
Local			\$1,650
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$18,150</b>

Milestone Name	Est. Completion Date	Description
Project Administration Start Date	10/22/2020	
Project Administration End Date	4/10/2021	

**Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN (19 5311 TTP Disc 90:10)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	3

**Extended Budget Description**

This activity line item uses FY 2019 Section 5311 TTP discretionary funding under, announced in FY 2020 under discretionary ID No. D2020-TRTR-032, for vehicle acquisition for the Soaring Eagles Transit system. The federal-to-local match is 90:10 and local match will be provided by the Gulkana Village Council replacement equipment fund. Vehicles estimated to be purchased are gasoline powered, including two (2) 15-passenger vans and one (1) 7-passenger van. Useful life of the three vehicles is at least four years or an accumulation of at least 100,000 miles.

There is no STIP requirement for 5311 TTP Funds, per Circular 9040.1G Page X-3 #10. Statewide

Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$148,500
Local			\$14,850
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$163,350</b>

Milestone Name	Est. Completion Date	Description
Obtain Vehicle Quotes Start Date	10/22/2020	
Contract Start Date	11/20/2020	
First Vehicle delivered	12/11/2020	
Last Vehicle Delivered	4/3/2021	
Contract end date	4/10/2021	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION (19 5311 TTP Disc 90:10)(00)	0	\$16,500.00	\$18,150.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN (19 5311 TTP Disc 90:10)(00)	3	\$148,500.00	\$163,350.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Annette McKelvey

Comment Type	DOL Review for Information
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Date	12/14/2020
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INFO ONLY DOL CERTIFICATION

Comment

The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: Gulkana Village Council

Recipient ID: 6667

DUNS No: 001142814

**Application Information**

Federal Award Identification Number: AK-2021-001-00

Application Name: FY 2019 Sec 5311 TTP Discretionary Funding - Vehicle Acquisition and Project Administration

Application Start Date: N/A

Original Award End Date: 11/6/2021

Current Award End Date: N/A

Application Executive Summary: This grant application uses \$165,000 in FY 2019 Section 5311 TTP discretionary funding, announced in FY 2020 under discretionary ID No. D2020-TRTR-032, for vehicle replacements and related project administration indirect expenses for the Soaring Eagles Transit system.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$181,500.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$165,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$16,500.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$165,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$16,500.00

#### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Competitive	5311-1C	20509	\$165,000
Local			\$16,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$181,500</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Gulkana Village Council*