

Application

Federal Award Identification Number (FAIN)	WA-2020-128-00
Temporary Application Number	6684-2020-1
Application Name	CARES Act - FY 2020 Section 5311 TTP Formula Operations, Indirect Costs for the Lummi Tribe of the Lummi Reservation
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/1/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Lummi Indian Business Council

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6684	Indian Tribe	LUMMI TRIBE OF THE LUMMI RESERVATION	020245247

Location Type	Address	City	State	Zip
Headquarters	2665 KWINA RD	BELLINGHAM	WA	982269291
Physical Address	2665 KWINA RD	BELLINGHAM	WA	98226
Mailing Address	2665 KWINA ROAD	BELLINGHAM	WA	98226

Union Information

Union Name	NONE
Address 1	

Address 2	
City	
State	
Zipcode	
Contact Name	---
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: CARES Act - FY 2020 Section 5311 TTP Formula Operations, Indirect Costs for the Lummi Tribe of the Lummi Reservation

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2020-128-00	Final Concurrence / Reservation	Grant	8/25/2020	8/25/2020	No

Application Executive Summary

This grant includes \$61,883 in CARES Act - FY 2020 Section 5311 TTP funds, which is the full amount allocated to the Lummi Tribe of the Lummi Reservation. These funds will be used for operating assistance and project administration costs through the use of a Federally approved indirect cost rate, at 100% federal share, which includes but is not limited to salaries and benefits, administrative leave, indirect costs, disinfecting supplies, equipment and services, barriers/sneeze guards for driver protection and PPE's to prevent, prepare for, and respond to coronavirus pandemic.

** Special Condition **

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The BIA approved the Lummi Tribe indirect cost rate on 7/23/2020. A copy of the approved indirect cost rate is on file in TrAMS. The approved rate of 20.83% or any future approved rates will be applied to the applicable ALI's.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
	mark.stojak@dot.gov	Transportation Program Specialist		
	kristine.mcguill@dot.gov	Transportation Program Specialist		
	kirkv@lummi-nsn.gov	Planning and Public Works Director		

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$61,883
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$61,883

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2020-128-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$61,883.00	\$0.00	\$61,883.00	0
WA-2020-128-01-00	11.79.00 PROJECT ADMINISTRATION (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)	\$6,188.00	\$0.00	\$6,188.00	0
WA-2020-128-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)	\$55,695.00	\$0.00	\$55,695.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act - FY 2020 Section 5311 TTP Formula Operations, Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2020-128-01-00	6684-2020-1-P1	8/25/2020	2/1/2020	3/1/2021

Project Description

This grant includes \$61,883 in CARES Act - FY 2020 Section 5311 TTP funds, which is the full amount allocated to the Lummi Tribe of the Lummi Reservation. These funds will be used for operating assistance and project administration costs through the use of a Federally approved indirect cost rate, at 100% federal share, which includes but is not limited to salaries and benefits, administrative leave, indirect costs, disinfecting supplies, equipment and services, barriers/sneeze guards for driver protection and PPE's to prevent, prepare for, and respond to coronavirus pandemic.

Project Benefits

Sustain safe and healthy transportation options
Maintain reliable transportation

Additional Information

None provided.

Location Description

Lummi Transit provides service around the Lummi Reservation (outside the City of Bellingham in unincorporated county) 7 days a week with 5 days a week services to and from the Lummi Reservation and the City of Ferndale.

Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

Congressional District Information

State	District	Representative
Washington	2	Rick Larsen

Program Plan Information

STIP/TIP

Date: Not Provided

Description: CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP. CARES Act funds used to pay for capital expenses for emergency relief do not need to be included in the TIP/STIP unless the projects are for substantial functional, locational, or capacity changes.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$61,883
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$61,883

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2020-128-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$61,883.00	\$0.00	\$61,883.00	0
WA-2020-128-01-00	11.79.00 PROJECT ADMINISTRATION (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)	\$6,188.00	\$0.00	\$6,188.00	0
WA-2020-128-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)	\$55,695.00	\$0.00	\$55,695.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This ALI funds \$6,188 in CARES Act - 2020 Section 5311 TTP Formula for indirect costs using a federally approved indirect cost rate for the time period of 2/1/2020 - 3/1/2021.

STIP

CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$6,188

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,188

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	2/1/2020	
End Indirect costs	3/1/2021	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

This ALI funds \$55,695 in CARES Act - 2020 Section 5311 TTP Formula for operating assistance for transit services including but not limited to salaries and benefits, administrative leave, barriers for the drivers within the vehicles for safety, disinfecting supplies and services, and ppe's for the time period of 2/1/2020 - 3/1/2021.

STIP

CARES Act funds used to pay for operating expenses do not need to be included in the TIP/STIP.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$55,695
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$55,695

Milestone Name	Est. Completion Date	Description
Start Operating	2/1/2020	
End Operating	3/1/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)	0	\$6,188.00	\$6,188.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - 2020 Section 5311 TTP Formula 100:00)(00)	0	\$55,695.00	\$55,695.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

DOL Certification

Comment By Denise Diminuco

Comment Type DOL Review for Information

Date 9/22/2020

Comment FTA makes the January 3, 2011 Special Warranty Arrangement applicable to the Grantee's contract of assistance.

FTA Comments for DOL

Comment By Mark Stojak

Comment Type DOL Review for Information

Date 9/22/2020

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Lummi Indian Business Council

Recipient ID: 6684

DUNS No: 020245247

Application Information

Federal Award Identification Number: WA-2020-128-00

Application Name: CARES Act - FY 2020 Section 5311 TTP Formula Operations, Indirect Costs for the Lummi Tribe of the Lummi Reservation

Application Start Date: N/A

Original Award End Date: 6/1/2021

Current Award End Date: N/A

Application Executive Summary: This grant includes \$61,883 in CARES Act - FY 2020 Section 5311 TTP funds, which is the full amount allocated to the Lummi Tribe of the Lummi Reservation. These funds will be used for operating assistance and project administration costs through the use of a Federally approved indirect cost rate, at 100% federal share, which includes but is not limited to salaries and benefits, administrative leave, indirect costs, disinfecting supplies, equipment and services, barriers/sneeze guards for driver protection and PPE's to prevent, prepare for, and respond to

coronavirus pandemic.

**** Special Condition ****

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$61,883.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$61,883.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$61,883.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$61,883
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$61,883

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 9/22/2020

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Lummi Indian Business Council