

Application

Federal Award Identification Number (FAIN)	ND-2020-014-01
Temporary Application Number	6851-2020-2
Application Name	FY18 and FY19 Tribal Formula - Operating, Admin and PM
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	9/18/2020
Original Period of Performance End Date	12/31/2021
Current Period of Performance End Date	12/31/2021 Revision #: 0

Part 1: Recipient Information

Name: Turtle Mountain Band Of Chippewa Indians

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6851	Indian Tribe	TURTLE MOUNTAIN BAND OF CHIPPEWA INDIAN	121203483

Location Type	Address	City	State	Zip
Headquarters	HWY 5 W	BELCOURT	ND	583160000
Physical Address	HWY 5 W	BELCOURT	ND	58316
Mailing Address	PO BOX 900	BELCOURT	ND	58316

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY18 and FY19 Tribal Formula - Operating, Admin and PM

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ND-2020-014-01	Final Concurrence / Reservation	Grant	9/21/2020	9/21/2020	No

Application Executive Summary

Amendment #1 (9/21/2020) - Amendment created to apply the remaining \$50 in FY19 5311 funds to the Operating Expense budget, and increase from \$275,295 to \$275,345.

The Turtle Mountain Band of Chippewa Indians will use the full apportionment of FY18 (\$215,350) and FY19 (\$198,422) TTP funds to provide transit services to community members. FY18 funds are lapsing funds. These funds will be used for operating, admin and PM expenses.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ronald	Trottier	Director, Transportation Director	ronwtrottierjr25@gmail.com	701-477-8345

Terry	Gonzales	Administrative Officer	terry.gonzales@dot.gov	(303) 362-2401
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Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$413,772
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$413,772

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-014-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$413,772.00	\$0.00	\$413,772.00	3
ND-2020-014-01-01	11.79.00 PROJECT ADMINISTRATION	\$128,427.00	\$0.00	\$128,427.00	1
ND-2020-014-01-01	11.7A.00 PREVENTIVE MAINTENANCE	\$10,000.00	\$0.00	\$10,000.00	1
ND-2020-014-01-01	30.09.01 100% FEDERAL SHARE	\$275,345.00	\$0.00	\$275,345.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating, Admin, and PM

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2020-014-01-01	6851-2020-2-P1	9/21/2020	10/1/2020	9/30/2021

Project Description

Amendment #1 (9/21/2020) - Amendment created to apply the remaining \$50 in FY19 5311 funds to the Operating Expense budget, and increase from \$275,295 to \$275,345.

The Turtle Mountain Band of Chippewa Indians will use the full apportionment of FY 18 (\$215,350) and FY 19 (\$198,422) TTP funds to provide transit services to community members. FY 18 funds are lapsing funds. These funds in the total amount \$413,772 will be used for expenses related to operating, administration, and preventative maintenance.

Project Benefits

The Turtle Mountain Band of Chippewa Indians Tribal Transit Program served 7,226 passengers and had 4,459 Vehicle Revenue Hours while traveling 88,781 Revenue Miles. It is imperative that the Tribe continue providing this service to community members. This grant will allow us to continue to provide greater access to employment opportunities such as our Tribal Jobs and Vocational Rehabilitation Programs. It will also allow us to continue services for college students enhancing their educations at our Turtle Mountain Community College. It will allow us to continue to serve our elderly members to get to places for basic life needs such as groceries, social program appointments, and health care services. This grant will allow us to continue to serve those community members with disabilities whose conditions limit their possibilities of transportation.

Additional Information

N/A

Location Description

Turtle Mountain Band of Chippewa Indians Reservation and other locations such as Minot, Bismarck, Grand Forks, and Fargo for trips.

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: N/A

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$413,772
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$413,772

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2020-014-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$413,772.00	\$0.00	\$413,772.00	3
ND-2020-014-01-01	11.79.00 PROJECT ADMINISTRATION	\$128,427.00	\$0.00	\$128,427.00	1
ND-2020-014-01-01	11.7A.00 PREVENTIVE MAINTENANCE	\$10,000.00	\$0.00	\$10,000.00	1
ND-2020-014-01-01	30.09.01 100% FEDERAL SHARE	\$275,345.00	\$0.00	\$275,345.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - 100% FEDERAL SHARE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Amendment #1 (9/21/2020) - Amendment created to apply the remaining \$50 in FY19 5311 funds to the Operating Expense budget, and increase from \$275,295 to \$275,345.

FY18 and FY19 TTP formula funds will be used to provide operating assistance for the continued operations of the transit program. The federal share is 100%. Operating funds will cover expenses from October 1, 2020 to September 30, 2021, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits, and licenses. Operating expenses are based on past operating expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$275,345
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$275,345

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Start of Operating Expenses
End Date	9/30/2021	End of Operating Expenses

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FY18 and FY19 TTP formula funds will be used for administrative expenses for the continued operations of the transit program. Federal Share is 100%. Admin funds will cover expenses from October 1, 2020 to September 30, 2021, including general admin expenses such as director and admin staff salaries and fringe benefits, marketing expenses, insurance, office supplies, facilities and equipment rental, standard overhead rates, costs of administering drug and alcohol testing, etc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$128,427

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$128,427

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Start of Admin Expenses
End Date	9/30/2021	End of Admin Expenses

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FY18 and FY19 TTP formula funds will be used for preventive maintenance expenses for the transit program, including small capital purchases for preventive maintenance and repairs to vehicles, equipment and facilities. Timeframe for PM expenses is from October 1, 2020 to September 30, 2021. Any equipment exceeding \$5,000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5,000, will be reported as project property at close out of this award. Federal share is 100%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	Start of PM Expenses
End Date	9/30/2021	End of PM Expenses

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	9/18/2020

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Terry Gonzales

Comment Type DOL Review for Information

Date 9/23/2020

Amendment created to apply remaining \$50 in FY19 5311 funds to the Operating Expense budget

Comment Contact information: Ronald Trottier, Director
ronwtrottierjr25@gmail.com
701-477-8345

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Turtle Mountain Band Of Chippewa Indians

Recipient ID: 6851

DUNS No: 121203483

Application Information

Federal Award Identification Number: ND-2020-014-01

Application Name: FY18 and FY19 Tribal Formula - Operating, Admin and PM

Application Start Date: 9/18/2020

Original Award End Date: 12/31/2021

Current Award End Date: 12/31/2021

Application Executive Summary: Amendment #1 (9/21/2020) - Amendment created to apply the remaining \$50 in FY19 5311 funds to the Operating Expense budget, and increase from \$275,295 to \$275,345.

The Turtle Mountain Band of Chippewa Indians will use the full apportionment of FY18 (\$215,350) and FY19 (\$198,422) TTP funds to provide transit services to community members. FY18 funds are lapsing funds. These funds will be used for operating, admin and PM expenses.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$413,772.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$50.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$413,772.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$413,772
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$413,772

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective

Arrangements:

Review Decision: Special Warranty
Original Certification Date: 9/21/2020

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Turtle Mountain Band Of Chippewa Indians