

Application

Federal Award Identification Number (FAIN)	CA-2020-277-00
Temporary Application Number	1622-2020-1
Application Name	FFY 2020 CMAQ Capital (Amador, MARTA) (Calif ID #0021000079)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, CALIFORNIA DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1622	State Agency	California DOT (Caltrans) Division of Rail and Mass Transportation (DRMT)	135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	958145680
Physical Address	1120 N STREET RM 3300	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY 2020 CMAQ Capital (Amador, MARTA) (Calif ID #0021000079)

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CA-2020-277-00	Final Concurrence / Reservation	Grant	11/22/2019	11/22/2019	No

Application Executive Summary

This California Department of Transportation (Caltrans) grant is for Congestion Mitigation and Air Quality (CMAQ) program funds transferred from FHWA to Federal Transit Administration (FTA) Section 5311. Capital funds will be used to purchase new transit vehicles for two rural agencies: Amador Transit (2 vehicles) and Mountain Area Regional Transit Agency (4 vehicles).

Program of Projects (POP) showing project details and Fleet Status Reports for these projects are attached as PDF documents in TrAMS. Details of vehicles to be replaced, including identifying information, age and mileage of the vehicle, and determining factor allowing FTA funding for the replacement is uploaded as a separate document in TrAMS. The programming information for the Federalized State Transportation Improvement Plan is in TrAMS as another attachment.

This grant of 5311 CMAQ funds totals \$771,130 in federal funding. Transportation Development (Toll) Credits, totaling \$64,232 are requested in lieu of Local Share. Due to the use of Transportation Development (Toll) Credits, the effective Federal Share for projects to be funded by this award differs from usual rates. Total projects costs for this grant are \$798,484.

Projects can be for a period of up to three years. A total of 6 vehicles are planned for purchase.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jean	Mazur	Transportation Program Specialist	Jean.Mazur@dot.gov	415-734-9456
Ted	Matley	Supervisory Transportation Program Specialist	Ted.Matley@dot.gov	(415) 734-9468
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$771,130
Local			\$27,354
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$64,232
Adjustment			\$0
Total Eligible Cost			\$798,484

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2020-277-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$211,130.00	\$27,354.00	\$238,484.00	2
CA-2020-277-01-00	11.12.04 Replacement Bus (Amador) 11.12.04 (Hybrid/Electric)	\$211,130.00	\$27,354.00	\$238,484.00	2
CA-2020-277-02-00	111-00 BUS - ROLLING STOCK	\$560,000.00	\$0.00	\$560,000.00	4

	(111-A2)					
CA-2020-277-02-00	11.12.04	Replacement Bus	\$560,000.00	\$0.00	\$560,000.00	4

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Amador Transit Bus Purchase

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2020-277-01-00	1622-2020-1-P1	8/21/2020	12/1/2020	6/30/2024

Project Description

Purchase two (2) new hybrid electric buses

Project Benefits

Estimated emission reduction is 70 pounds per year

Additional Information

Local Share source is sales tax revenue via the State Transit Assistance program

Location Description

Transit service area including travel between the communities of Jackson, Lone, Plymouth, Pioneer, Pine Grove, Sutter Creek, Amador City, Drytown, Rancho Murieta, and Sacramento, CA.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	4	Tom McClintock

Program Plan Information

STIP/TIP

Date: 10/3/2019

Description: Amendment 17

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$211,130
Local			\$27,354
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$238,484

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2020-277-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$211,130.00	\$27,354.00	\$238,484.00	2
CA-2020-277-01-00	11.12.04 Replacement Bus (Amador) 11.12.04 (Hybrid/Electric)	\$211,130.00	\$27,354.00	\$238,484.00	2

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - Replacement Bus (Amador) 11.12.04 (Hybrid/Electric)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

Purchase two (2) XL Hybrid/Electric transit buses

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Hybrid Electric	New	<30

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$211,130
Local			\$27,354
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$238,484

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	12/1/2020	
Contract Award Date	2/28/2021	
Initial Delivery Date	9/30/2022	
Final Delivery Date	12/31/2022	
Contract Completion Date	6/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and

people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Mountain Area RTA Bus Purchase

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2020-277-02-00	1622-2020-1-P2	8/21/2020	12/1/2020	6/30/2024

Project Description

Purchase four (4) transit buses

Project Benefits

Reduced emissions of pollutants ROG, CO, NOx, PM10, PM2.5

Additional Information

None provided.

Location Description

Agency service area in the San Bernardino Mountains of southern California.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	8	Paul Cook

Program Plan Information

STIP/TIP

Date: 2/3/2020

Description: Amendment 2019 A18

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$560,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$64,232
Adjustment			\$0
Total Eligible Cost			\$560,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2020-277-02-00	111-00 (111-A2) BUS - ROLLING STOCK	\$560,000.00	\$0.00	\$560,000.00	4
CA-2020-277-02-00	11.12.04 Replacement Bus	\$560,000.00	\$0.00	\$560,000.00	4

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - Replacement Bus

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	4

Extended Budget Description

Four (4) replacement buses for Mountain Area Regional Transit Authority

Vehicles to be replaced:

2016 Glaval Entourage (Gas) VIN 1FDGF5GY8GEA75107 (Miles) Mileage 160,419 (No Federal Funds Spent)

2016 Aerolite Eldorado (Gas) VIN 1FDAF5GY3GEB63505 (Miles) Mileage 148,829

2016 Aerolite Eldorado (Gas) VIN 1FDAF5GY5GEB56894 (Miles) Mileage 147,566
 2016 Glaval Entourage (Gas) VIN 1FDGF5GY2GEB34569 (Miles) Mileage 142,572 (No Federal Funds Spent)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$560,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$64,232
Adjustment			\$0
Total Eligible Cost			\$560,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	12/1/2020	
Contract Award Date	2/21/2021	
Initial Delivery Date	9/30/2022	
Final Delivery Date	12/31/2022	
Contract Completion Date	6/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers

that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ted Matley

Comment Type	DOL Review for Information
Date	9/2/2020
Comment	5311 program grant for your review and action

Application Review Comments

Comment By Ted Matley

Comment Type	FTA Post Review Comments for Grantee
Date	9/2/2020
Comment	For the project using cash funds (not the toll credits) add text to the project description indicating the source of the local match funds.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, CALIFORNIA DEPARTMENT OF

Recipient ID: 1622

DUNS No: 135253776

Application Information

Federal Award Identification Number: CA-2020-277-00

Application Name: FFY 2020 CMAQ Capital (Amador, MARTA) (Calif ID #0021000079)

Application Start Date: N/A

Original Award End Date: 12/31/2024

Current Award End Date: N/A

Application Executive Summary: This California Department of Transportation (Caltrans) grant is for Congestion Mitigation and Air Quality (CMAQ) program funds transferred from FHWA to Federal Transit Administration (FTA) Section 5311. Capital funds will be used to purchase new transit vehicles for two rural agencies: Amador Transit (2 vehicles) and Mountain Area Regional Transit Agency (4 vehicles).

Program of Projects (POP) showing project details and Fleet Status Reports for these projects are attached as PDF documents in TrAMS. Details of vehicles to be replaced, including identifying information, age and mileage of the vehicle, and determining factor allowing FTA funding for the replacement is uploaded as a separate document in TrAMS. The programming information for the Federalized State Transportation Improvement Plan is in TrAMS as another attachment.

This grant of 5311 CMAQ funds totals \$771,130 in federal funding. Transportation Development (Toll)

Credits, totaling \$64,232 are requested in lieu of Local Share. Due to the use of Transportation Development (Toll) Credits, the effective Federal Share for projects to be funded by this award differs from usual rates. Total projects costs for this grant are \$798,484.

Projects can be for a period of up to three years. A total of 6 vehicles are planned for purchase.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$798,484.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$771,130.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$27,354.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$771,130.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$27,354.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$771,130
Local			\$27,354
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$64,232
Adjustment			\$0
Total Eligible Cost			\$798,484

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, CALIFORNIA DEPARTMENT OF