

Application

Federal Award Identification Number (FAIN)	AK-2020-044-00
Temporary Application Number	7320-2020-3
Application Name	FY20 Sec 5311 TTP Formula - Operations and Indirect Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Niniichik Village

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7320	Indian Tribe	Niniichik	614159697

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	15910 STERLING HWY	NINILCHIK	AK	99639
Mailing Address	P.O. BOX 39070	NINILCHIK	AK	99639

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY20 Sec 5311 TTP Formula - Operations and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2020-044-00	Final Concurrence / Reservation	Grant	7/9/2020	7/9/2020	No

Application Executive Summary

This application includes \$73,995 in FY20 Section 5311 TTP Formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for fixed route transit service on the Kenai Peninsula.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The BIA approved the Ninilchik Village Tribe indirect cost rate on June 12, 2018 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The BIA requires tribes to renegotiate their rates annually. The approved rate of 33.11% or any future approved rates will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Darrel	Williams	Resource Director	darrel@niniichiktribe-nsn.gov	907-567-3815

Deborah	Ensor	Transportation Program Specialist	Deborah.Ensor@dot.gov	(206) 220-7950
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$73,995
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$73,995

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-044-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$73,995.00	\$0.00	\$73,995.00	2
AK-2020-044-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Costs (FY20 Sec 5311 TTP Formula 100:0)	\$7,400.00	\$0.00	\$7,400.00	1
AK-2020-044-01-00	30.09.01 UP TO 50% FEDERAL SHARE - Operating Assistance (FY20 Sec 5311 TTP Formula 100:0)	\$66,595.00	\$0.00	\$66,595.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY20 Sec 5311 TTP Formula - Operations and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2020-044-01-00	7320-2020-3-P1	7/9/2020	10/1/2019	9/30/2021

Project Description

This project includes \$73,995 in FY20 Section 5311 TTP Formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for fixed route transit service on the Kenai Peninsula.

Project Benefits

The project provides a fixed route service to the public.

Additional Information

The expected results include the continued operation of public transportation.

Location Description

The location of the transit service is on the Kenai Peninsula, Alaska. The service is a fixed route service that operates between Homer and Kenai covering 750 miles a week.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$73,995
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$73,995

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2020-044-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$73,995.00	\$0.00	\$73,995.00	2
AK-2020-044-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Costs (FY20 Sec 5311 TTP Formula 100:0)	\$7,400.00	\$0.00	\$7,400.00	1
AK-2020-044-01-00	30.09.01 UP TO 50% FEDERAL SHARE - Operating Assistance (FY20 Sec 5311 TTP Formula 100:0)	\$66,595.00	\$0.00	\$66,595.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE - Operating Assistance (FY20 Sec 5311 TTP Formula 100:0)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

This activity line items includes \$66,595 in FY20 Sec 5311 TTP formula funds for operating assistance for the time period of 10/1/2019-9/30/2021.

MATCH RATIO

Federal/Non-federal match ratio is 100:0.

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$66,595
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$66,595

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2021	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect Costs (FY20 Sec 5311 TTP Formula 100:0)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

This activity uses \$7,400 in FY2019 Section 5311 TTP Formula funding for Administrative cost using the most current Federally approved indirect costs rate for the time period of 10/1/2019 to 9/30/2021.

MATCH RATIO

Federal/Non-federal match ratio is 100:0

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement

Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$7,400
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,400

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/19/2012

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Deborah Ensor

Comment Type DOL Review for Certification

Date 9/16/2020

-- 5311 TTP DOL CERTIFICATION
The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Annette McKelvey

Comment Type FTA Post Review Comments for Grantee

Date 8/3/2020

Comment to change preaward manager

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL

TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Ninilchik Village

Recipient ID: 7320

DUNS No: 614159697

Application Information

Federal Award Identification Number: AK-2020-044-00

Application Name: FY20 Sec 5311 TTP Formula - Operations and Indirect Costs

Application Start Date: N/A

Original Award End Date: 12/31/2021

Current Award End Date: N/A

Application Executive Summary: This application includes \$73,995 in FY20 Section 5311 TTP Formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for fixed route transit service on the Kenai Peninsula.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$73,995.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$73,995.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$73,995.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$73,995
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$73,995

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Ninilchik Village