

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	SD-2020-016-00
<b>Temporary Application Number</b>	6881-2020-1
<b>Application Name</b>	FFY18 5311 TTP Formula for Operating, Capital, PM and Admin & 5311 COVID-19 ER Response for Admin Leave
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2021
<b>Current Period of Performance End Date</b>	N/A      Revision #: N/A

## Part 1: Recipient Information

**Name: Rosebud Sioux Tribe**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6881	Indian Tribe	ROSEBUD SIOUX TRIBE	105151518

Location Type	Address	City	State	Zip
Headquarters	11 LEGION AVE	ROSEBUD	SD	57570
Physical Address	11 LEGION AVE	ROSEBUD	SD	57570
Mailing Address	PO BOX 430	ROSEBUD	SD	57570

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: FFY18 5311 TTP Formula for Operating, Capital, PM and Admin &amp; 5311 COVID-19 ER Response for Admin Leave</b>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2020-016-00	Final Concurrence / Reservation	Grant	6/29/2020	6/29/2020	No

#### Application Executive Summary

This is a FFY18 5311 Tribal Formula and COVID-19 ER Response grant in the amount of \$549,675 (full apportionment). These are lapsing funds. The formula funds (Project 1) will be used for operating, capital, PM and administration expenses and a portion will be used for COVID-19 ER Response (Project 2) expenses related to Admin Leave during the COVID-19 pandemic.

Project 1 uses \$500,000 in regular 5311 TTP formula funds for the following activities:

- Operating assistance
- Admin expenses
- Rolling stock purchases
- Vehicle preventive maintenance
- Facility preventive maintenance

Project 2 uses \$49,675 in COVID-19 ER response funds for the following activities:

- Admin leave for operations staff during the COVID-19 pandemic
- Admin leave for admin staff during the COVID-19 pandemic

The recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

#### Frequency of Milestone Progress Reports (MPR)

Quarterly

#### Frequency of Federal Financial Reports (FFR)

Quarterly

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### Pre-Award Authority

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

#### Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

#### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details:* N/A

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Tracey	MacDonald	Supervisory Transportation Program Specialist	tracey.macdonald@dot.gov	303-362-2386
Tiffany	Gallegos	Supervisory Transportation Program Specialist	Tiffany.Gallegos@dot.gov	(303) 362-2390
John Charles	Arcoren	Director	johncharles.arcoren@rst-nsn.gov	(605) 747-2718

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$500,000
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$49,675
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$549,675</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$500,000.00	\$0.00	\$500,000.00	9

SD-2020-016-01-00		11.13.04	BUY	\$71,500.00	\$0.00	\$71,500.00	1
SD-2020-016-01-00		11.42.11	ACQUIRE - SUPPORT VEHICLES	\$107,000.00	\$0.00	\$107,000.00	3
SD-2020-016-01-00		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$7,000.00	\$0.00	\$7,000.00	1
SD-2020-016-01-00		11.79.00	PROJECT ADMINISTRATION	\$78,500.00	\$0.00	\$78,500.00	1
SD-2020-016-01-00		11.7A.00	PREVENTIVE MAINTENANCE - Vehicles	\$5,000.00	\$0.00	\$5,000.00	1
SD-2020-016-01-00		11.7A.00	PREVENTIVE MAINTENANCE - Facility	\$3,000.00	\$0.00	\$3,000.00	1
SD-2020-016-01-00		30.09.01	100% FEDERAL SHARE	\$228,000.00	\$0.00	\$228,000.00	1
SD-2020-016-02-00	600-00 (600-A2)	OTHER PROGRAM COSTS		\$49,675.00	\$0.00	\$49,675.00	2
SD-2020-016-02-00		11.79.00	PROJECT ADMINISTRATION - COVID-19 ER Admin Leave	\$16,393.00	\$0.00	\$16,393.00	1
SD-2020-016-02-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - COVID-19 ER Admin Leave	\$33,282.00	\$0.00	\$33,282.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: TTP 5311 Formula - Operating, Admin, PM and Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-016-01-00	6881-2020-1-P1	8/7/2020	7/1/2020	12/31/2020

#### Project Description

This project will use FFY18 TTP 5311 formula funds for the following activities: Operating Assistance, Admin Expenses, Preventive Maintenance for Vehicles and Facilities, and purchase of new vehicles.

#### Project Benefits

These funds are essential for the Tribe to continue to operate and maintain transit service throughout the Reservation.

**Additional Information**

*None provided.*

**Location Description**

We provide services through-out the entire federally recognized boundaries of the Rosebud Sioux Tribe, as well as the State of South Dakota and a small portion of Nebraska. I would like to provide nationwide services, if feasible. We are located in south central South Dakota, in the County of Todd.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Kristi L Noem

**Program Plan Information****STIP/TIP**

Date: Not Provided

Description: N/A

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$500,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$500,000.00	\$0.00	\$500,000.00	9
SD-2020-016-01-00	11.13.04 BUY	\$71,500.00	\$0.00	\$71,500.00	1
SD-2020-016-01-00	11.42.11 ACQUIRE - SUPPORT VEHICLES	\$107,000.00	\$0.00	\$107,000.00	3
SD-2020-016-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$7,000.00	\$0.00	\$7,000.00	1
SD-2020-016-01-00	11.79.00 PROJECT ADMINISTRATION	\$78,500.00	\$0.00	\$78,500.00	1
SD-2020-016-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Vehicles	\$5,000.00	\$0.00	\$5,000.00	1
SD-2020-016-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Facility	\$3,000.00	\$0.00	\$3,000.00	1
SD-2020-016-01-00	30.09.01 100% FEDERAL SHARE	\$228,000.00	\$0.00	\$228,000.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES					
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity	
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	3	

### Extended Budget Description

Funds will be used to purchase 3 support vehicles for use by Transit staff in support of the transit program. This includes 2 SUVs (e.g., Dodge Durango) and 1 pickup truck (e.g., Chevy Silverado). The

support vehicles will be used by transit staff for travel to transit related activities and to transport passengers in the event of a transit vehicle break down. The truck will be used to tow vehicles, trailers and plow transit facility parking lots during inclement weather. All vehicles are considered light-duty with a useful of 4 years/100,000 miles per FTA Circular 5010.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$107,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$107,000</b>

Milestone Name	Est. Completion Date	Description
RFP	8/24/2020	
Contract Award	9/7/2020	
Contract Completion	10/9/2020	

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

**Extended Budget Description**

Funds to purchase a trailer for transit maintenance purposes. Useful life of a cargo/utility type trailer is 15 yrs.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$7,000
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,000</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
RFP	8/24/2020	
Contract Award Date	9/7/2020	
Contract Completion Date	10/9/2020	

**Budget Activity Line Item: 11.13.04 - BUY**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
OTHER PROGRAM COSTS (600-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

Funds will be used to purchase a new expansion 14 passenger ADA compliant bus. This is a medium size, medium duty vehicle with a useful life of at least 7 years/200,000 miles based on FTA Circular 5010.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$71,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$71,500</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
RFP/IFB Issue Date	8/24/2020	



Contract Award Date	9/7/2020	
Initial Delivery Date	9/18/2020	
Final Delivery Date	9/30/2020	
Contract Completion Date	10/9/2020	

**Budget Activity Line Item: 30.09.01 - 100% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

Funds are for operating expenses for the time period of 7/1/2020 to 12/31/2020. Operating expenses include, but are not limited to the following: fuel, oil, antifreeze, drivers' salaries and fringe benefits, dispatcher salary and fringe benefits, mechanic/maintenance staff salaries and fringe benefits, and licenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$228,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$228,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	
End Date	12/31/2020	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Funds will be used for general administrative expenses, including, but not limited to the following: salaries and fringe benefits of director, secretary, admin and bookkeeping staff; marketing/advertising expenses; insurance; office supplies; facilities/equipment rental; standard overhead rates; costs of administering drug and alcohol testing; utilities; and consultant support for admin type activities including but not limited to NTD reporting. Admin expenses are for the time period of 7/1/2020 to 12/31/2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$78,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$78,500</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	
End Date	12/31/2020	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - Vehicles**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Funds for preventive maintenance of vehicles. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit program vehicles. The time period for PM is 7/1/2020 to 12/31/2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$5,000
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	
End Date	12/31/2020	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - Facility**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Funds for preventive maintenance of the transit facility. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit facility. The time period for PM is 7/1/2020 to 12/31/2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$3,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	
End Date	12/31/2020	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	100% FEDERAL SHARE	1	\$228,000.00	\$228,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$78,500.00	\$78,500.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE - Vehicles	1	\$5,000.00	\$5,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE - Facility	1	\$3,000.00	\$3,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	3	\$107,000.00	\$107,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	1	\$7,000.00	\$7,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.13.04	BUY	1	\$71,500.00	\$71,500.00

**Project Title: TTP 5311 COVID-19 ER - Operations and Admin**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-016-02-00	6881-2020-1-P2	8/7/2020	3/18/2020	12/31/2020

**Project Description**

FY18 5311 Tribal COVID-19 ER response funds in the amount of \$49,675 will be used for admin leave for operating and admin staff during the COVID-19 pandemic. The Rosebud Tribal Transit Program had to shut down operations and employees were placed on leave.

**Project Benefits**

These funds are essential for the Tribe to keep employees on the payroll and be ready to operate and maintain transit service throughout the Reservation.

**Additional Information**

*None provided.*

**Location Description**

We provide services within the federally recognized boundaries of the Rosebud Sioux Tribe, as well as the State of South Dakota and a small portion of Nebraska. I hope to provide nationwide services, if feasible. We are located in south central South Dakota, in the County of Todd.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

## Congressional District Information

State	District	Representative
South Dakota	1	Kristi L Noem

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$49,675
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$49,675</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-016-02-00	600-00 OTHER PROGRAM COSTS	\$49,675.00	\$0.00	\$49,675.00	2



	(600-A2)					
SD-2020-016-02-00	11.79.00	PROJECT ADMINISTRATION - COVID-19 ER Admin Leave	\$16,393.00	\$0.00	\$16,393.00	1
SD-2020-016-02-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share - COVID-19 ER Admin Leave	\$33,282.00	\$0.00	\$33,282.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share - COVID-19 ER Admin Leave**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

FY18 5311 Tribal COVID-19 ER response funds will be used for admin leave for transit operations staff (drivers, mechanics, maintenance and dispatch) during the COVID-19 pandemic from March 18, 2020 to December 31, 2020.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$33,282
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$33,282</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/18/2020	

End Date	12/31/2020	
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**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - COVID-19 ER Admin Leave**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

FY18 5311 Tribal COVID-19 ER response funds will be used for admin leave for admin staff (director, secretary and admin assistant) during the COVID-19 pandemic from March 18, 2020 to December 31, 2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$16,393
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$16,393</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/18/2020	
End Date	12/31/2020	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Tracey MacDonald

Comment Type	DOL Review for Information
Date	8/21/2020
Comment	These are lapsing funds and grant is sent for information only. Tribal Contact is: John Charles Arcoren, Director Rosebud Sioux Tribe Transportation Program PO Box 430 Rosebud, South Dakota 57570 Phone: (605)747-2718 Fax: (605)747-2471 johncharles.arcoren@rst-nsn.gov

## Application Review Comments

**Comment By** Morgan Hecht

Comment Type	Application Details
Date	8/17/2020
Comment	Requirements to Remember for: FTA-assisted vehicle purchases: Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website: <a href="https://www.surveymonkey.com/r/vehicleawardreportsurvey">https://www.surveymonkey.com/r/vehicleawardreportsurvey</a> As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle

manufacturers (TVMs) that have DBE programs and goals which are FTA-approved:

<https://www.transit.dot.gov/TVM>

These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact FTA to confirm.

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

## Part 6: Agreement

### **UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION**

#### **GRANT AGREEMENT (FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM “FTA AWARD” OR “AWARD” IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, “AWARD” ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Rosebud Sioux Tribe

Recipient ID: 6881

DUNS No: 105151518

#### **Application Information**

Federal Award Identification Number: SD-2020-016-00

Application Name: FFY18 5311 TTP Formula for Operating, Capital, PM and Admin & 5311 COVID-19 ER Response for Admin Leave

Application Start Date: N/A

Original Award End Date: 6/30/2021

Current Award End Date: N/A

Application Executive Summary: This is a FFY18 5311 Tribal Formula and COVID-19 ER Response grant in the amount of \$549,675 (full apportionment). These are lapsing funds. The formula funds (Project 1) will be used for operating, capital, PM and administration expenses and a portion will be used for COVID-19 ER Response (Project 2) expenses related to Admin Leave during the COVID-19 pandemic.

Project 1 uses \$500,000 in regular 5311 TTP formula funds for the following activities:

- Operating assistance
- Admin expenses
- Rolling stock purchases
- Vehicle preventive maintenance
- Facility preventive maintenance

Project 2 uses \$49,675 in COVID-19 ER response funds for the following activities:

- Admin leave for operations staff during the COVID-19 pandemic
- Admin leave for admin staff during the COVID-19 pandemic

The recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity

approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$549,675.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$549,675.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$549,675.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$500,000
5311 COVID-19 ER Response Tribal Formula	5311-8C	20509	\$49,675
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$549,675</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Rosebud Sioux Tribe*