

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	OH-2020-059-00
Temporary Application Number	1225-2020-5
Application Name	OHIO DOT Section 5311 CARES Act Grant for Intercity
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Ohio Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1225	State Agency	OHIO DEPT. OF TRANSPORTATION	809174402

Location Type	Address	City	State	Zip
Headquarters	30 E BROAD ST	COLUMBUS	OH	432153414
Physical Address	1980 W BROAD ST	COLUMBUS	OH	43223
Mailing Address	1980 WEST BROAD STREET	COLUMBUS	OH	43223

Union Information

Union Name	AMALGAMATED TRANSIT UNION
Address 1	5025 WISCONSIN AVE
Address 2	LOCAL 268, CLEVELAND OHIO
City	WASHINGTON
State	District of Columbia

Zipcode	20016
Contact Name	MR. JIM LA SALA
Telephone	
Fax	
E-mail	
Website	
Union Name	INTERNATIONAL ASSOC. OF MACHINISTS & AEROSPACE WORKERS
Address 1	9000 MACHINISTS PLACE
Address 2	
City	UPPER MARLBORO
State	Maryland
Zipcode	20772
Contact Name	GEORGE KOURPIAS
Telephone	
Fax	
E-mail	
Website	
Union Name	TRANSPORT WORKERS UNION
Address 1	80 WEST END AVENUE
Address 2	
City	NEW YORK,
State	New York
Zipcode	10023
Contact Name	FRANK MCCANN
Telephone	
Fax	
E-mail	
Website	
Union Name	TRANSPORT WORKERS UNION OF AMERICA LOCAL 208
Address 1	914 CLEVELAND AVENUE
Address 2	
City	COLUMBUS
State	Ohio
Zipcode	43201
Contact Name	MR. HUBERT SNEAD
Telephone	
Fax	
E-mail	

Website	
Union Name	UNITED AUTOMOBILE, AEROSPACE AND AGRICULTURAL IMPLEMENT WORKERS
Address 1	8000 EAST JEFFERSON AVENUE
Address 2	
City	DETROIT
State	Michigan
Zipcode	48214
Contact Name	STAN MARSHALL
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: OHIO DOT Section 5311 CARES Act Grant for Intercity

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OH-2020-059-00	Final Concurrence / Reservation	Grant	7/2/2020	7/2/2020	No

Application Executive Summary

This application is for FFY2020 Section 5311 CARES Act funds in the amount of \$12,145,240 that ODOT will award for intercity projects. These projects will support COVID-19 emergency response and recovery and day to day operations of intercity bus systems that provide service to the communities in the state of Ohio.

In this award there is one subrecipient who will be receiving funds for intercity operating service.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

ODOT is apportioned \$81,932,267 in FFY2020 CARES Act funding. Of this amount, \$964,000 was apportioned for Appalachian projects.

\$12,145,240 will be obligated through this application for intercity services to meet the 15% intercity bus requirement.

\$60,187,036 has been obligated in grant OH-2020-024. This leaves a remaining balance of \$9,599,991 that ODOT will be awarding for capital projects in a future grant.

CARES Act funding is 100% Federal and no local match is necessary to be provided by the sub-

recipients.

Supporting documents attached to the Application Documents section of this grant application include:
Initial Program of Projects
Department of Labor Assurance letter

Per the CARES Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program as they do not lead to a functional, location, or capacity change.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Stewart	McKenzie	Community Planner	Stewart.Mckenzie@dot.gov	(312) 353-2866
Alex	Ewers	Program Coordinator	alex.ewers@dot.ohio.gov	(614) 728-5629

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,145,240
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,145,240

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-059-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$12,145,240.00	\$0.00	\$12,145,240.00	1
OH-2020-059-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$12,145,240.00	\$0.00	\$12,145,240.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act Funding for Intercity Bus Transportation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OH-2020-059-01-00	1225-2020-5-P1	7/2/2020	1/20/2020	6/30/2023

Project Description

100% Federal funding to provide operating assistance to intercity bus systems to respond to and recover from the COVID-19 pandemic. Provided funding will sustain critical intercity bus service during the current pandemic response as well as in the wake of COVID-19 as the economy recovers. Recipients and funding amounts are listed in the attached program of projects.

Project Benefits

The COVID-19 pandemic has caused hardships to the intercity bus systems related to staffing, increased costs to protect staff and the public coupled with decreased ridership and revenue. This

funding will be vital in order to sustain systems during their immediate response efforts to the pandemic but also long term as they continue to suffer the effects of the long-lasting impacts to the economy. Without these services, the riding public throughout the state would not have access to critical services such as healthcare, employment and training/education.

Additional Information

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Location Description

The intercity bus service is provided through the state on six separate routes and stops in multiple rural areas in order to connect them to urban areas and the greater intercity transportation network.

Project Location (Urbanized Areas)

UZA Code	Area Name
390000	Ohio

Congressional District Information

State	District	Representative
Ohio	1	Steve Chabot
Ohio	2	Brad R Wenstrup
Ohio	3	Joyce Beatty
Ohio	4	Jim Jordan
Ohio	5	Robert E Latta
Ohio	6	Bill Johnson
Ohio	7	Bob Gibbs
Ohio	9	Marcy Kaptur
Ohio	10	Michael R Turner
Ohio	11	Marcia L Fudge
Ohio	13	Tim Ryan
Ohio	14	David P Joyce
Ohio	15	Steve Stivers
Ohio	12	Troy Balderson
Ohio	16	Anthony Gonzalez
Ohio	8	Warren Davidson

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,145,240
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,145,240

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2020-059-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$12,145,240.00	\$0.00	\$12,145,240.00	1
OH-2020-059-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$12,145,240.00	\$0.00	\$12,145,240.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

This award will provide funding to Ohio Intercity Bus Providers to support the emergency response and recovery to COVID-19 and day to day operations of intercity bus systems. In this award funds will be provided to HAPCAP who will be contracting with 3 companies (Greyhound Lines, Barons Bus, and Miller Transportation) to ensure that intercity bus service is provided in Ohio. Funds will be awarded from the 2020 Federal CARES Act and will be used for operating expenses from 01/20/2020 through 12/31/2022 or until funds are exhausted.

CARES Act funding is 100% Federal and no local match is necessary to be provided by the sub-recipients.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,145,240

Milestone Name	Est. Completion Date	Description
Project Performance Start Date	1/20/2020	Project performance period start date
Subrecipient Contract signed	7/15/2020	Receive signed contract from subrecipient.
End of subrecipient performance period	12/31/2022	All work completed and end of subrecipient performance period
Grant Close out	6/30/2023	Draw down of funds complete and close out grant award

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Stewart McKenzie

Comment Type	DOL Review for Information
Date	8/4/2020
Comment	FY2020 Section 5311 CARES Act funding for Ohio Department of Transportation for Intercity Bus Operating Assistance.

Application Review Comments

Comment By Stewart McKenzie

Comment Type	FTA Post Review Comments for Grantee
Date	7/23/2020
Comment	Minor adjustment needed to narrative for apportionment.
	More information needed in extended budget description.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Ohio Department Of

Recipient ID: 1225

DUNS No: 809174402

Application Information

Federal Award Identification Number: OH-2020-059-00

Application Name: OHIO DOT Section 5311 CARES Act Grant for Intercity

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This application is for FFY2020 Section 5311 CARES Act funds in the amount of \$12,145,240 that ODOT will award for intercity projects. These projects will support COVID-19 emergency response and recovery and day to day operations of intercity bus systems that provide service to the communities in the state of Ohio.

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Department of Labor Assurance letter

Per the CARES Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program as they do not lead to a functional, location, or capacity change.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$12,145,240.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$12,145,240.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$12,145,240.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$12,145,240
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,145,240

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Ohio Department Of