

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NY-2020-079-00
Temporary Application Number	6855-2020-1
Application Name	STS Section 5311, Operating Assistance for FY2019 & FY2020
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Seneca Nation Of Indians

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6855	Indian Tribe	SENECA NATION OF INDIANS	074038266

Location Type	Address	City	State	Zip
Headquarters	90 OHIYO WAY	SALAMANCA	NY	14779
Physical Address	90 OHIYO WAY	SALAMANCA	NY	14779
Mailing Address	PO BOX 231	SALAMANCA	NY	14779

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: STS Section 5311, Operating Assistance for FY2019 & FY2020
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NY-2020-079-00	Final Concurrence / Reservation	Grant	2/18/2020	2/18/2020	No

Application Executive Summary

The Seneca Nation of Indians is applying for a total Federal amount of \$537,423 from the balance of the FY2018 Section 5311 and a portion of the FY2019 Section 5311 (Tribal Transit Formula Funds). The funds will be used for Operating Assistance. The remaining balance of the FY2019 will be utilized in a future award application. This grant contains lapsing funds.

The source of funding:

\$412,144 - FY2018 Section 5311
\$125,279 – FY2019 Section 5311

FY 2018 Full Year Section 5311(C) Public Transportation on Indian Reservations Formula Apportionments, Table 10 and FY 2019 Full Year Section 5311(C) Public Transportation on Indian Reservations Formula Apportionments, Table 10

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: An applied indirect cost rate of 21.17% is applied to the salary and fringe (\$21,141.00). This is based upon a negotiated indirect cost rate agreement with the US Dept. of Interior. A copy of the agreement for this application can be found in the recipient organization documents.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jerrel	Fox	Deputy Director	jerrel.fox@sni.org	(716) 945-1790 3059
Madeleine	Duchatellier	Transportation Program Specialist	Madeleine.Duchatellier@dot.gov	(212) 668-2202

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$537,423
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$537,423

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-079-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$537,423.00	\$0.00	\$537,423.00	1
NY-2020-079-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$537,423.00	\$0.00	\$537,423.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: STS FY2019 & FY2020 Operation Assistance
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2020-079-01-00	6855-2020-1-P1	2/18/2020	10/1/2018	9/30/2021

Project Description

Project will use a total of \$537,423 to supplement operating expenses (10/01/2018 – 09/30/2019) and (10/01/2019 – 09/30/2020). Funds will be used in the operation of public transportation between the Allegany and Cattaraugus Territories of the Seneca Nation including the surrounding communities of Irving, Gowanda, Dayton, Village of Cattaraugus, Little Valley and Steamburg of Cattaraugus County. Service currently operates as a fixed route, route deviation with 23 scheduled stops operating Monday through Saturday with two (2) active buses.

Project Benefits

The service is intended to provide public transportation that connects local residents and visitors to major employment centers, schools/educational institutions, medical centers, colleges, shopping centers, recreational events/centers and elderly communities in an easy and cost effective way.

Additional Information

None provided.

Location Description

The public transportation system operates between the Allegany and Cattaraugus Territories of the Seneca Nation including the surrounding communities of Irving, Gowanda, Dayton, Village of Cattaraugus, Little Valley, Salamanca, and Steamburg of Cattaraugus County.

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	27	Chri Collins
New York	23	Tom Reed

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$537,423
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$537,423

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-079-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$537,423.00	\$0.00	\$537,423.00	1
NY-2020-079-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$537,423.00	\$0.00	\$537,423.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

FY2018 FTA announced Section 5311 (C) Public Transportation on Indian Reservations Formula Apportionment. Per this announcement, the Seneca Nation was allocated \$442,171; [Tier 1 \$160,764]

[Tier 2 \$192,308] [Tier 3 \$89,099].

FY2019 FTA announced Section 5311 (C) Public Transportation on Indian Reservations Formula Apportionment. Per this announcement, the Seneca Nation was allocated \$428,479; [Tier 1 \$157,809] [Tier 2 \$187,500] [Tier 3 \$83,107].

Operating assistance of \$573,423.00 for FY19 (10/01/2018 - 09/30/2019) and FY20 (10/01/2019 - 09/30/2020): FY19 Operating Assistance \$143,092 (FFY2018 TTP tier formula funds), FY20 Operating Assistance \$269,025 (FFY2018 TTP tier formula funds), and FY20 Operating Assistance \$125,306 (FFY2019 TTP tier formula funds)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

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Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$537,423

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	Beginning of the FY2019 operating year (10/01/2018 - 09/30/2019)
End Date	9/30/2021	Full year after End of FY2020 operating year (10/01/2019 - 09/30/2020)

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

DOL Certification

Comment By Karen Torre

Comment Type DOL Review for Certification

Date 8/11/2020

Comment Special Warranty

FTA Comments for DOL

Comment By Madeleine Duchatellier

Comment Type DOL Review for Certification

Date 8/11/2020

Comment Grant contains Lapsing Funds.

Comment By Madeleine Duchatellier

Comment Type DOL Review for Information

Date 8/11/2020

Comment Grant contains Lapsing funds

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Seneca Nation Of Indians

Recipient ID: 6855

DUNS No: 074038266

Application Information

Federal Award Identification Number: NY-2020-079-00

Application Name: STS Section 5311, Operating Assistance for FY2019 & FY2020

Application Start Date: N/A

Original Award End Date: 9/30/2021

Current Award End Date: N/A

Application Executive Summary: The Seneca Nation of Indians is applying for a total Federal amount of \$537,423 from the balance of the FY2018 Section 5311 and a portion of the FY2019 Section 5311 (Tribal Transit Formula Funds). The funds will be used for Operating Assistance. The remaining balance of the FY2019 will be utilized in a future award application. This grant contains lapsing funds.

The source of funding:

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FY 2018 Full Year Section 5311(C) Public Transportation on Indian Reservations Formula Apportionments, Table 10 and FY 2019 Full Year Section 5311(C) Public Transportation on Indian Reservations Formula Apportionments, Table 10

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$537,423.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$537,423.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$537,423.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
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Local			\$0
Local/In-Kind			\$0
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$537,423

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Seneca Nation Of Indians