

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	NY-2020-075-00
<b>Temporary Application Number</b>	6855-2020-2
<b>Application Name</b>	Seneca Nation -Seneca Transit System (STS) Section 5311 CARES ACT, Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2021
<b>Current Period of Performance End Date</b>	N/A      Revision #: N/A

## Part 1: Recipient Information

**Name: Seneca Nation Of Indians**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6855	Indian Tribe	SENECA NATION OF INDIANS	074038266

Location Type	Address	City	State	Zip
Headquarters	90 OHIYO WAY	SALAMANCA	NY	14779
Physical Address	90 OHIYO WAY	SALAMANCA	NY	14779
Mailing Address	PO BOX 231	SALAMANCA	NY	14779

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: Seneca Nation -Seneca Transit System (STS) Section 5311 CARES ACT, Operating Assistance</b>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NY-2020-075-00	Final Concurrence / Reservation	Grant	4/24/2020	4/24/2020	No

**Application Executive Summary**

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$400,486. Per the CARES Act, the grant is requesting 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes lost fare revenue, administrative leave for operations employees, additional cleaning expenses including PPE and cleaning supplies.

The award letter, dated May 13, 2020, is attached in the application.

The Seneca Nations of Indians agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Our application will include a 21.10% indirect cost rate that our organization has been approved for.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Jerrel	Fox	Deputy Director	jerrel.fox@sni.org	(716) 945-1790 3059
Madeleine	Duchatellier	Transportation Program Specialist	Madeleine.Duchatellier@dot.gov	(212) 668-2202

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$400,486
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$400,486</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-075-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$400,486.00	\$0.00	\$400,486.00	1
NY-2020-075-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$400,486.00	\$0.00	\$400,486.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Seneca Nation -Seneca Transit System (STS) Section 5311 CARES ACT Operating Assistance</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2020-075-01-00	6855-2020-2-P1	7/28/2020	1/30/2020	9/30/2021

### Project Description

CARES Act funds will be used towards lost fare revenue, administrative leave for operations employees, additional cleaning expenses including PPE, cleaning supplies, and additional operating expenses relating to the COVID-19 public emergency. This is for the period of 1/20/2020 through 12/31/2020 and 1/1/2021 through 9/30/2021. The Federal share is 100%.

### Project Benefits

The project will enable Seneca Nation of Indians to operate public transportation to local residents and visitors in a safe, reliable and cost effective manner.

### Additional Information

*None provided.*

### Location Description

The public transportation system operates between the Allegany and Cattaraugus Territories of the Seneca Nation including the surrounding communities of Irving, Gowanda, Dayton, Village of Cattaraugus, Little Valley and Steamburg of Cattaraugus County, NY

## Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

## Congressional District Information

State	District	Representative
New York	27	Chri Collins
New York	23	Tom Reed

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$400,486
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$400,486</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2020-075-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$400,486.00	\$0.00	\$400,486.00	1
NY-2020-075-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$400,486.00	\$0.00	\$400,486.00	1

**Project Budget Activity Line Items**

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 1

#### Extended Budget Description

This FFY 2020 Section 5311 CARES Act 100% Operating funds will cover the Seneca Nation of Indians' expenses for costs incurred due to the COVID-19 pandemic. This is for the period of 1/20/2020 through 12/31/2020 and 1/1/2021 through 9/30/2021.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$400,486
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$400,486</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/30/2020	Eligible Start Date
End Date	9/30/2021	Anticipated End Date

## Project Environmental Findings

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Madeleine Duchatellier

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Comment Type DOL Review for Information

Date 8/7/2020

Comment This is a Cares Act grant.

## Application Review Comments

**Comment By** Madeleine Duchatellier

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Comment Type FTA Post Review Comments for Grantee

Date 8/7/2020

Comment Change needed on source of funding selection

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Seneca Nation Of Indians

Recipient ID: 6855

DUNS No: 074038266

#### **Application Information**

Federal Award Identification Number: NY-2020-075-00

Application Name: Seneca Nation -Seneca Transit System (STS) Section 5311 CARES ACT, Operating Assistance

Application Start Date: N/A

Original Award End Date: 9/30/2021

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 CARES Act application in the amount of \$400,486. Per the CARES Act, the grant is requesting 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes lost fare revenue, administrative leave for operations employees, additional cleaning expenses including PPE and cleaning supplies.

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Per the CARES Act, the project in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$400,486.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$400,486.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$400,486.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$400,486</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

## **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Seneca Nation Of Indians*