

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2020-026-00
<b>Temporary Application Number</b>	6951-2020-1
<b>Application Name</b>	Pueblo of San Ildefonso 5311(c) Cares Act funding (\$9,232).
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2022
<b>Current Period of Performance End Date</b>	N/A      Revision #: N/A

## Part 1: Recipient Information

**Name: PUEBLO DE SAN ILDEFONSO**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6951	Indian Tribe	PUEBLO DE SAN ILDEFONSO	114786049

Location Type	Address	City	State	Zip
Headquarters	02 TUNYO PO	SANTA FE	NM	87506-7258
Physical Address	02 TUNYO PO	SANTA FE	NM	87506
Mailing Address	02 Tunyo Po	SANTA FE	NM	87506

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: Pueblo of San Ildefonso 5311(c) Cares Act funding (\$9,232).**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
NM-2020-026-00	Final Concurrence / Reservation	Grant	8/6/2020	8/6/2020	No

**Application Executive Summary**

This is an FFY 2020 Section 5311(c) CARES Act application in the amount of \$9,232. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes transit services for the Pueblo de San Ildefonso which will contract with NCRTD to continue transit services as depicted in the District's recently adopted service plan. The Pueblo de San Ildefonso is located in North Central New Mexico and borders the City of Santa Fe on the north. The Pueblo de San Ildefonso is a member of the North Central Regional Transit District. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district. The NCRTD spends approximately \$35,000 a year to operate the San Ildefonso Tribal Route. The remainder funds come from gross receipts taxes received from 4 different counties including Santa Fe County.

In addition to the 5311(c) CARES funding the Pueblo de San Ildefonso also was apportioned \$10,034 in FY2020 Full Year Section 5331(c) public transportation on Indian Reservation Formula apportionments which will be applied for separately.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This application will not lead to a functional, location or capacity change, this per the CARES Act, the project in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Abel	Ayala	Program Management Specialist	Abel.Ayala@dot.gov	(817) 978-0560
Timothy	Mildren	Finance Director	tim@ncrtd.org	(505) 629-4701

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$9,232
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,232</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-026-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$9,232.00	\$0.00	\$9,232.00	0
NM-2020-026-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,232.00	\$0.00	\$9,232.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: San Ildefonso Cares Act funding 5311(c) (\$9,232).</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-026-01-00	6951-2020-1-P1	8/6/2020	4/1/2020	9/30/2021

### Project Description

This grant will provide the Pueblo de San Ildefonso funds for services as depicted in the District's recently adopted service plan. The Pueblo de San Ildefonso is located in North Central New Mexico and borders the City of Santa Fe on the north.

### Project Benefits

Access to these routes provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district.

### Additional Information

The Pueblo de San Ildefonso is a member of the North Central Regional Transit District.

### Location Description

The San Ildefonso Pueblo consists of over 60,000 acres and has an enrollment of approximately 750 people. They are located north of Santa Fe, off State Road 502 and east of Los Alamos, along the Rio Grande Valley.

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico
353790	Santa Fe, NM

## Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide

Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

#### UPWP

Date: N/A

Description: N/A

#### Long Range Plan

Date: 10/1/2015

Description: San Ildefonso Pueblo included on page 6,

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$9,232
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,232</b>

### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-026-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$9,232.00	\$0.00	\$9,232.00	0
NM-2020-026-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,232.00	\$0.00	\$9,232.00	0

### Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

#### Extended Budget Description

Funds will be used for partial reimbursement of continued transit services provided by NCRTD during fiscal years FY2020 and FY2021.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$9,232
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,232</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2020	Funds will be used for partial reimbursement of continued transit services for fiscal years FY2020 and FY2021.
End Date	9/30/2021	Funds will be used for partial reimbursement of continued transit services for fiscal years FY2020 and FY2021.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	8/6/2020

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Abel Ayala

Comment Type DOL Review for Information

Date 8/12/2020

Comment FY20 5311 CARES Act Tribal Funding for info only.

## Application Review Comments

**Comment By** Abel Ayala

Comment Type FTA Post Review Comments for Grantee

Date 8/10/2020

Comment Please use Scope 600-00 OTHER PROGRAM COSTS for Operating ALI.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: PUEBLO DE SAN ILDEFONSO

Recipient ID: 6951

DUNS No: 114786049

#### **Application Information**

Federal Award Identification Number: NM-2020-026-00

Application Name: Pueblo of San Ildefonso 5311(c) Cares Act funding (\$9,232).

Application Start Date: N/A

Original Award End Date: 3/30/2022

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311(c) CARES Act application in the amount of \$9,232. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes transit services for the Pueblo de San Ildefonso which will contract with NCRTD to continue transit services as depicted in the District's recently adopted service plan. The Pueblo de San Ildefonso is located in North Central New Mexico and borders the City of Santa Fe on the north. The Pueblo de San Ildefonso is a member of the North Central Regional Transit District. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district. The NCRTD spends approximately \$35,000 a year to operate the San Ildefonso Tribal Route. The remainder funds come from gross receipts taxes received from 4 different counties including Santa Fe County.

In addition to the 5311(c) CARES funding the Pueblo de San Ildefonso also was apportioned \$10,034 in



FY2020 Full Year Section 5331(c) public transportation on Indian Reservation Formula apportionments which will be applied for separately.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

This application will not lead to a functional, location or capacity change, this per the CARES Act, the project in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$9,232.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$9,232.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$9,232.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

#### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal formula (CARES Act)	5311-7	20509	\$9,232
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,232</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*PUEBLO DE SAN ILDEFONSO*