

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	NE-2020-023-00
<b>Temporary Application Number</b>	1815-2020-3
<b>Application Name</b>	NDOT: Section 5311 Emergency Response (ER) Funds for Protective Barriers and Operating Activities for COVID-19 Activities
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	N/A      Revision #: N/A

## Part 1: Recipient Information

**Name: Transportation, Nebraska Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K ST RM 2316	LINCOLN	NE	685082731
Physical Address	1500 HWY 2	LINCOLN	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

## Union Information

<b>Union Name</b>	NONE
<b>Address 1</b>	
<b>Address 2</b>	

City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

## Part 2: Application Information

### Title: NDOT: Section 5311 Emergency Response (ER) Funds for Protective Barriers and Operating Activities for COVID-19 Activities

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2020-023-00	Final Concurrence / Reservation	Grant	6/2/2020	6/2/2020	No

#### Application Executive Summary

Original Grant July 28, 2020 for Emergency Response (ER) toward COVID-19 Pandemic in the State of Nebraska

1. This grant of FFY 2020 \$5,000,000 will provide funding for 360 vehicles to add barriers to protect drivers and riders from each other; provide increased weekly cleaning and sanitation of the 360 vehicles; and, provide disinfectants, PPEs, soaps, and other necessary supplies to increase safety for the riding public.

2. This project includes capital projects, with no substantial functional, location, or capacity change. Therefore, per the Formula Funding Under Emergency Relief Program requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

3. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Ken	Rouch	Federal Aid Administrator III	ken.rouch@nebraska.gov	(402) 479-3680

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$5,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,000,000</b>

**Application Budget**

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-023-01-00	114-00 (114-A1)	BUS: SUPPORT EQUIP AND FACILITIES	\$360,000.00	\$0.00	\$360,000.00	360
NE-2020-023-01-00		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$360,000.00	\$0.00	\$360,000.00	360
NE-2020-023-01-00	300-00 (300-A2)	OPERATING ASSISTANCE	\$4,640,000.00	\$0.00	\$4,640,000.00	0
NE-2020-023-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,640,000.00	\$0.00	\$4,640,000.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: Enhance Vehicles with Protective Shielding using COVID Emergency Relief (ER) Funds and provide PPEs, disinfectants, cleansers, and other supplies as needed.**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2020-023-01-00	1815-2020-3-P1	6/12/2020	8/1/2020	12/31/2022

### Project Description

This grant will provide funding for 360 vehicles to add barriers to protect drivers and riders from each other, provide increased weekly cleaning and sanitation of the 360 vehicles; and provide disinfectants, PPEs, soaps, and other necessary supplies to increase safety for the riding public.

### Project Benefits

This grant will help to keep Nebraska Transit vehicle drivers and riders safe from airborne viruses which may be carried by some riders.

### Additional Information

None.

### Location Description

Every vehicle within the Nebraska Transit system is a candidate for this retrofitting.

**Security**

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

**Project Location (Urbanized Areas)**

UZA Code	Area Name
310000	Nebraska

**Congressional District Information**

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	3	Adrian Smith
Nebraska	2	Don Bacon

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$5,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$5,000,000</b>
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## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2020-023-01-00	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$360,000.00	\$0.00	\$360,000.00	360
NE-2020-023-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$360,000.00	\$0.00	\$360,000.00	360
NE-2020-023-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$4,640,000.00	\$0.00	\$4,640,000.00	0
NE-2020-023-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,640,000.00	\$0.00	\$4,640,000.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

#### Extended Budget Description

Weekly cleaning and sanitation for 360 vehicles beginning 8/1/2020 - for the duration of the relevant state of emergency.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$4,640,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,640,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2020	These cleaning and sanitation activities will commence immediately.
End Date	12/31/2022	Expected date all funds will be expended or for the actual duration of the relevant state of emergency.

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	360

**Extended Budget Description**

This funding will provide the addition of barriers to separate drivers and the riding public from each other. These separators will help diminish the spread of the COVID 19. There will likely be repair and maintenance of these items, too.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$360,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$360,000</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
RFQs sent	8/14/2020	This project will commence immediately upon approval of this grant.
Contracts Awarded	9/30/2020	
Vehicle Enhancements to Commence	10/15/2020	
End date	9/30/2021	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 05: Activities, including repairs, replacements, and rehabilitations, designed to promote transportation safety, security, accessibility and effective communication within or adjacent to existing right-of-way, such as: the deployment of Intelligent Transportation Systems and components; installation and improvement of safety and communications equipment, including hazard elimination and mitigation; installation of passenger amenities and traffic signals; and retrofitting existing transportation vehicles, facilities or structures, or upgrading to current standards.

<b>Date Description</b>	<b>Date</b>
Class IIc CE Approved	7/31/2020

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Logan Daniels

**Comment Type** DOL Review for Information



Date	8/4/2020
Comment	The State of Nebraska's grant is using FFY 2020 \$5,000,000 to provide funding for 360 vehicles to add protective barriers to protect drivers and riders from each other; provide increased weekly cleaning and sanitation of the 360 vehicles; and, provide disinfectants, PPEs, soaps, and other necessary supplies to increase safety for the riding public.

## Application Review Comments

### Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	7/21/2020
Comment	returning per grantee request

### Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	7/23/2020
Comment	There's issues with the Scopes and ALIs you selected that don't go with what you are trying to do.

### Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	7/24/2020
Comment	Please see e-mail sent for guidance to combine Operating Scope/ALIs info

### Comment By Logan Daniels

Comment Type	FTA Post Review Comments for Grantee
Date	7/28/2020
Comment	<ul style="list-style-type: none"> <li>• Operating Assistance Scope Codes: <ul style="list-style-type: none"> <li>o Scope Code: 300-00</li> <li>o Scope Code Name: Operating Assistance</li> </ul> </li> <li>• Activity Line Items (ALI): <ul style="list-style-type: none"> <li>o ALI 30.09.08 is for 100% Federal Share reimbursement</li> </ul> </li> </ul>

## Application Review Comments

### Comment By Beth Held

Comment Type	Environmental Findings
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Date	7/31/2020
Project Title	Enhance Vehicles with Protective Shielding using COVID Emergency Relief (ER) Funds and provide PPEs, disinfectants, cleansers, and other supplies as needed.
Project Number	NE-2020-023-01-00
Comment	The proposed emergency relief activities to fund purchase of protective shielding for 360 vehicles using COVID Emergency Relief (ER) Funds and provide PPEs, disinfectants, cleansers, and other supplies as needed for ridership and driver safety, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(5).

## Part 6: Agreement

### UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

#### GRANT AGREEMENT (FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

#### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: Transportation, Nebraska Department Of

Recipient ID: 1815

DUNS No: 808881403

## **Application Information**

Federal Award Identification Number: NE-2020-023-00

Application Name: NDOT: Section 5311 Emergency Response (ER) Funds for Protective Barriers and Operating Activities for COVID-19 Activities

Application Start Date: N/A

Original Award End Date: 12/31/2023

Current Award End Date: N/A

Application Executive Summary: Original Grant July 28, 2020 for Emergency Response (ER) toward COVID-19 Pandemic in the State of Nebraska

1. This grant of FFY 2020 \$5,000,000 will provide funding for 360 vehicles to add barriers to protect drivers and riders from each other; provide increased weekly cleaning and sanitation of the 360 vehicles; and, provide disinfectants, PPEs, soaps, and other necessary supplies to increase safety for the riding public.

2. This project includes capital projects, with no substantial functional, location, or capacity change. Therefore, per the Formula Funding Under Emergency Relief Program requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

3. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$5,000,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$5,000,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$5,000,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$5,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,000,000</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

### Special Conditions

There are no special conditions.

### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Nebraska Department Of*